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SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Mail Processing Section

NOV 182014 Information Required of a Self-Regulatory Organization Listing and Trading a New Securities Product Pursuant to Rule 19h-4(e) Under the Securities Exchange Act bf/1934:

	READ ALL INSTRUCTIONS PRIOR TO C	OMPLETING F	ORM 404			
Part I	Initial Listing Report					
•	Name of Self-Regulatory Organization Listing New Derivative Sec EDGX Exchange, Inc.	curities Product:				
•	Type of Issuer of New Derivative Securities Product (e.g., clearing See attached.	house, broker-de	aler, corporation, etc.):			
•	Class of New Derivative Securities Product: See attached.					
•	Name of Underlying Instrument: See attached.		14011486			
	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached.					
5.	Ticker Symbol(s) of New Derivative Securities Product: See attached.					
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached.					
	Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settlement	led at NSC	C.			
).	Position Limits of New Derivative Securities Product (if applicable Not applicable.	e):				
Part II	Execution					
	The undersigned represents that the governing body of the above- approved, or has duly delegated its approval to the undersigned fo derivative securities product according to its relevant trading rules standards.	r, the listing and t	trading of the above-referenced new			
Vame o	of Official Responsible for Form: Anders Franzon					
Title:	VP, Associate General Counsel					
• T	one Number: (913) 815-7154					
Manual	Signature of Official Responsible for Form:	Λct	Securities Exchange Act of 1934			
Date:	November 17, 2014	Territoria Territoria	RCS-A			
C 244	9 (6-01)	Public Availability:	NOV 1 8 2014			

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC. COMMENCING ON NOVEMBER 14, 2014

•				(7) Market(s) upon	
<u>(6)</u>	(2) Type of			Which Securities	(5) Broad
Ticker	Issuer of		(4) Name of Underlying	Comprising Underlying	<u>or</u>
Symbol	NDSP	(3) Class of NDSP	<u>Instrument</u>	<u>Instrument Trades</u>	<u>Narrow</u>
SKOR	Trust	Investment Company Units	Northern Trust Credit-Scored US Corporate Bond Index	U.S.	Broad
EMQQ	Trust	Investment Company Units	EMQQ The Emerging Markets Internet & Ecommerce Index	World	Broad



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SEC / MR

November 17, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

SEC
Mail Processing
Section
NOV 182014
Washington DC
404

RE: Form 19b-4(e) - EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities product that commenced trading on EDGX Exchange on November 14, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

Act Securities Exchange Act of 1934

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