

091-18064

For Internal Use Only
 Sec File No. 9-

RECEIVED

Submit 1 Original
 and 9 Copies

OMB APPROVAL
 OMB Number: 3235-0504
 Expires: August 31, 2010
 Estimated average burden
 hours per response: 3.60

2014 DEC 10 PM 2:37

UNITED STATES
 SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

SEC
 Mail Processing
 Section

Information Required of a Self-Regulatory Organization Listing and Trading a New
 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

DEC 10 2014
 Washington DC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

404

Part I

Initial Listing Report



14011465

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corp):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

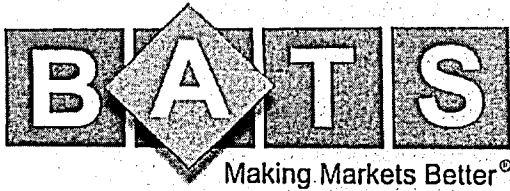
Date: December 9, 2014

Act _____ Securities Exchange Act of 1934

 RECEIVED: DEC 10 2014

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
 COMMENCING ON DECEMBER 4, 2014

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
VQTS	Corporation	Index Linked Notes	S&P 500 VEQTOR Switch Index	World	Broad
MLPO	Corporation	Index Linked Notes	S&P MLP Index	World	Broad
KCNV	Trust	Investment Company Units	CSI Diversified High Grade Commercial Paper Index	World	Broad



RECEIVED

2014 DEC 10 PM 2:37

SEC / MR

SEC
Mail Processing
Section

DEC 10 2014

Washington DC
404

December 9, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on BATS Exchange on December 4, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Public Availability	DEC 10 2014