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OMB APPROVAL

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: **ISE Cyber Security Index** 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based** 6. Ticker Symbol(s) of New Derivative Securities Product: **HACK** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Australian Stock Exchange (ASX), NASDAQ Stock Market LLC, NYSE, NYSE Amex, OMX Nordic Exchange, Helsinki, Tokyo Stock Exchange, Toronto, Warsaw 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: **Joan Conley** Title: Secretary Telephone Number: 301-978-8735 Manual Signature of Official Responsible for Form: Sampling Evahance Act of 1024 Date: November 14, 2014 Section 195-4 Runa 190-0(0) SEC 2449 (6-01) Publia DEC 03 2014