091-17756fc

For Internal Use Only Sec File No 9ECEIVED Submit 1 Original and 9 Copies

UNITED STATES

2014 SEP 25 PH 1: 07 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010

Estimated average burden hours per response.....3.60

Mail Processing Section

SEP 252014

Information Required of a Self-Regulatory Organization Listing and Trading Withington DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FOR					
Part I	Initial Listing Report				
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product: 14011411				
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See attached.				
3.	Class of New Derivative Securities Product: See attached.				
4.	Name of Underlying Instrument: See attached.				
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached.				
6.	Ticker Symbol(s) of New Derivative Securities Product: See attached.				
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached.				
8.	Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC.				
9.	Position Limits of New Derivative Securities Product (if applicable): Not applicable.				
Part II	art II Execution				
	The undersigned represents that the governing body of the above-rapproved, or has duly delegated its approval to the undersigned for derivative securities product according to its relevant trading rules standards.	, the listing and	trading of the above-referenced new		
Name o	of Official Responsible for Form: Anders Franzon				
Title:	Title: VP, Associate General Counsel				
Teleph	one Number: (913) 815-7154				
Manua	Signature of Official Responsible for Form:	Act Section	Securities Exchange Act of 1934 19b-4		
Batas		Rule	195-4(e)		
Date:	September 24, 2014	Public	•		
SEC 244	19 (6-01)	Availability:	SEP 2 5 2014		

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON SEPTEMBER 18, 2014

				(7) Market(s) upon	
<u>(6)</u>	(2) Type of			Which Securities	(5) Broad
<u>Ticker</u>	<u>Issuer of</u>		(4) Name of Underlying	Comprising Underlying	<u>or</u>
Symbol	NDSP	(3) Class of NDSP	<u>Instrument</u>	<u>Instrument Trades</u>	<u>Narrow</u>
	-			·	
				* •	
:		Investment Company	NASDAQ BulletShares USD		
BSCN	Trust	Units	Corporate Bond 2023 Index	U.S.	Broad
ļ .					
	-	Investment Company	NASDAQ BulletShares USD		
BSCO	Trust	Units	Corporate Bond 2024 Indexe	U.S.	Broad
					
	***, ***		NASDAQ BulletShares USD		
		Investment Company	High Yield Corporate Bond		• 1
BSJL	Trust	Units	2021 Index	U.S.	Broad
	·				
			NASDAQ BulletShares USD	j	
1		Investment Company	High Yield Corporate Bond		
вѕјм	Trust	Units	2022 Indexe	U.S.	Broad



RECEIVED

Making Markets Better 2014 SEP 25 Pil 1: 07

SEC / MR

September 24, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four (4) derivative securities products that commenced trading on BATS Exchange on September 18, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely

Anders Franzon

VP, Associate General Counsel

913.815.7154

Act Securities Exchange Act of 1934				
Section 195-4				
Ruie 195-4(e)				
Public Availability: SEP 2	5 2014			

SEC **Mail Processing**

Section SEP 252014

Washington DC

404