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|---|---|----------------------|--|
| | | 09 | 1-17732m |
| Sec File No. 9- RECEIVED 2014 OCT 20 FSECURITIES AN | Submit 1 Original and 9 Copies NITED STATES ND EXCHANGE COM hington, D.C. 20549 | AMISSION | OMB APPROVAL OMB Number: 3235-0504 Expires: FC August 31, 2010 Estimated average burden Mout Spencespoises |
| SEC / MR |)RM 19b-4(e) | | OCT 202014 Washington DC |
| Information Required of a Self-Re Derivative Securities Product Pursuant to | | | loing a New |
| READ ALL INSTRUCTI | ONS PRIOR TO CO | MPLETING FOR | A REAL PROPERTY AND A REAL |
| | tial Listing Report | | |
| Name of Self-Regulatory Organization Listing EDGX Exchange, Inc. | | | 14011387 |
| 2. Type of Issuer of New Derivative Securities Pro See attached. | oduct (<u>e.g.</u> , clearingho | use, broker-dealer | , corporation, etc.): |
| Class of New Derivative Securities Product: See attached. | | | |
| Name of Underlying Instrument: See attached. | | | |
| 5. If Underlying Instrument is an Index, State Wh See attached. | | l or Narrow-Based | 1: |
| 5. Ticker Symbol(s) of New Derivative Securities See attached. | | | |
| 7. Market or Markets Upon Which Securities Con See attached. | nprising Underlying In | strument Trades: | |
| Settlement Methodology of New Derivative Se Trades locked in at Exchange | | d at NSCC. | |
| 9. Position Limits of New Derivative Securities P Not applicable. | roduct (if applicable): | | |
| Part II | Execution | • | |
| The undersigned represents that the governing approved, or has duly delegated its approval to derivative securities product according to its re standards. | the undersigned for, t | he listing and tradi | ing of the above-referenced new |
| Name of Official Responsible for Form: Anders | Franzon | | |
| Title: VP, Associate General Couns | sel | | |
| Telephone Number: (913) 815-7154 | | | <i>C</i> |
| Manual Signature of Official Responsible for Form: | · · · · · · | Not Section | Securities Exchange Act of 1934 |
| Date: 0ctober 17, 2014 | | Public | 522-7(e) |
| EC 2449 (6-01) | <u></u> | Avanaoiiity: | |

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC. COMMENCING ON OCTOBER 17, 2014

| <u>(6)</u> <u>Ticker</u> Symbol | (2) Type of Issuer of NDSP | (3) Class of NDSP | (4) Name of Underlying Instrument | (7) Market(s) upon Which Securities Comprising Underlying Instrument Trades | <u>(5) Broad</u> <u>or</u> Narrow |
|---------------------------------------|----------------------------------|-----------------------------|--|--|---|
| СОМТ | Trust | Investment Company Units | Commodity futures contracts, exchange-cleared commodity- related swaps and commodity- related equity securities | World | Broad |
| VBND | Trust | Investment Company Units | Vident Core U.S. Bond Index | U.S. | Broad |



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2014 OCT 20 PH 3: 40

SEC / MR

October 17, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on EDGX Exchange on October 17, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel 913.815.7154

| Γ | Act Securities Exchange Act of 1934 |
|---|--------------------------------------|
| | Section 198-4 Pute 925-4(0) |
| | Public Availability: CCT 2 0 2014 |
| • | |

TEL. 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM

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