The second secon		091-18149 m		
For Internal Use Only	Submit 1 Original	OMB Approv		
Sec File Nor9 TIVED	and 9 Copies	Expires:	·	
KLULIT			e burden hours per response: 2.00	
2014 DEC 23 AM 8: 58 UNITED STATES SECURITIES AND EXCHANGE COMMISSION				
SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549				
SEC / TM	FORM 19b-4(e			
Information Required of a Self-Regulatory Organization Listing and Trading a New				
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934				
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I				
Part I Initial Listing Report  1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:				
NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)				
(stating parsuant to	amstea traumg privileges)	·		
2. Type of Issuer of New Derivative Securities	es Product (e.g., clearinghouse, brok	er-dealer, corporation, etc.):	14011367	
Open-end Management Investment Co	ompany		14011007	
			Notice of the second se	
3. Class of New Derivative Securities Produc	t:			
Investment Company Units	•			
4. Name of Underlying Instrument:			· · · · · · · · · · · · · · · · · · ·	
BioShares Biotechnology Products Ind	lex			
		•	·	
5. If Underlying Instrument is an Index, State	Whether it is Broad-Based or Narro	w-Based:		
Narrow	,			
6. Ticker Symbol(s) of New Derivative Secur	iai - D. J. J.			
BBP	illes Product:			
7. Market or Markets Upon Which Securities	Comprising Underlying Instrument	Trades:		
NYSE, NYSE MKT, NASDAQ				
8. Settlement Methodology of New Derivative Securities Product:				
Regular way trades settle on T+3/Book	entry only held in DTC.			
9. Position Limits of New Derivative Securities	es Product (if applicable)			
Not applicable.	os i roddet (ir applicable).			
Part II	Execution			
The undersigned represents that the governing	body of the above-referenced Self-	Regulatory Organization has	duly approved, or has duly	
delegated its approval to the undersigned for, relevant trading rules, procedures, surveillance	e programs and listing standards.	elerenced new derivative sec	curities product according to its	
			•	
Name of Official Responsible for Form:  Sudhir Bhattacharyya				
Title:		·		
Vice President		•		
Telephone Number:		···		
(212) 656-2920	÷			
Manual Signature of Official Responsible for	Form:	Aci Secune	CO EMOLUMO MALO DE 1954	
D		E otion 195-4		
December 19, 2014		1	and represent the set of the set	
SEC 2449 (1/99)		Public PSC	2 2 2014	
		Availability: Lill	L L LON	
		ł		

## Sudhir Bhattacharyya Vice President



RECEIVED 2014 DEC 23 AM 8: 57 SEC / TM NYSE Arca, Inc. 20 Broad Street New York, NY 10005

tel: 212.656.2920

Sudhir.Bhattacharyya@nyse.com

SEC Mail Processing Section

DEC 22 2014

Washington, DC 124

## Via Overnight Mail

December 19, 2014

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: 19b-4(e) – BioShares Biotechnology Products Fund
BioShares Biotechnology Clinical Trials Fund

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

BioShares Biotechnology Products Fund (BBP)
BioShares Biotechnology Clinical Trials Fund (BBC)

If you have any questions, please do not hesitate to call me at (212) 656-2920.

Sincerely,

**Enclosures** 

Economica Decision Act of 1934

10.14

10.14

10.14

10.14

Availability: DEC 2.2.2014

24