

091-171894

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504
Expires: August 31, 2010

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Section

SEP 222014

Information Required of a Self-Regulatory Organization Listing and Tradingsington DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange 404 f 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report	
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
3.	Class of New Derivative Securities Product: Exchange Traded Fund
4.	Name of Underlying Instrument: Actively managed portfolio of securities
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Not Applicable
6.	Ticker Symbol(s) of New Derivative Securities Product:
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE, NYSE Amex, NYSE Arca, OTC
8,	Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
9.	Position Limits of New Derivative Securities Product (if applicable): N/A
Part II Execution	
	The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.
Name of Official Responsible for Form: Joan Conley	
Title: Secretary	
	lephone Number: 1-978-8735
M	anual Signature of Official Responsible for Form: Admit Securities Exchange Act of 1934
Da	te: September 09, 2014 Section 195-4 Rule 195-4(e)
S	EC 2449 (6-01) Public Availability: SEP 2 2 2014