Form Page	U.S. SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549	Date filed (MM/DD/YY)	USE
Execution 201	APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT	04/16/14	ONLY
C '1 4 1	G: Failure to keep this form current and to file accurate supplementary information on a seep accurate books and records or otherwise to comply with the provisions of law applying to would violate the federal securities laws and may result in disciplinary, administrative or criminal contents.	o the conduct of me I	
	INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS		
	☐ APPLICATION    AMENDMENT		
1.	State the name of the applicant: EDGA Exchange, Inc.		
2.	Provide the applicant's primary street address (Do not use a P.O. Box): 8050 Marshall Dr., Suite 120 Lenexa, Kansas 66214	140112	. <b>38</b> .
3.	Provide the applicant's mailing address (if different):		
<b>4</b> .	Provide the business telephone and facsimile number:		
	(913) 815-7000 (913) 815-7119 (Telephone) (Facsimile)		
5.	Provide the name, title and telephone number of a contact employee:  Eric Swanson General Counsel, BATS Exchange, Inc. (212) 378-85.  (Name) (Title) (Telephone Number of a contact employee:  (212) 378-85.		
6.	Provide the name and address of counsel for the applicant:  Eric Swanson		
	17 State Street, 32 <sup>nd</sup> Floor New York, NY 10004		
7.	Provide the date that applicant's fiscal year ends: December 31		
8.	Indicate legal status of the applicant: X Corporation Sole Partnership Limited Liability Company Other (sp	Partnership	
	If other than a sole proprietor, indicate the date and place where applicant obtained its le where incorporated, place where partnership agreement was filed or where applicant entity w (a) Date (MM/DD/YY): 11/01/07 (b) State/Country of formation: Delaware/United States of Comparison of the State of Delaware which applicant was organized: General Corporation Law of the State of Delaware which applicant was organized:	vas formed): of America	
EXECUT	FION: cant consents that service of any civil action brought by, or notice of any proceeding before	e. the Securities and	
Exchange confirmed and 3. The the author herein, in	cant consents that service of any civil action orought by, or notice of any proceeding belong commission in connection with the applicant's activities may be given by registered at telegram to the applicant's contact employee at the main address, or mailing address if differ the undersigned, being first duly sworn, deposes and says that he/she has executed this form or rity of, said applicant. The undersigned and applicant represent that the information and cluding exhibits, schedules, or other documents attached hereto, and other information filed has part hereof, are current, true and complete.	or certified mail or ent, given in Items 2 in behalf of, and with statement contained	
Date: By:	O4/16/14  (Name of Applicant)  Anders Franzon, VP. Associate Gen  (Printed Name and Tide)  and and sworn before me this day of April  (Name of Applicant)  Anders Franzon, VP. Associate Gen  (Printed Name and Tide)	Lelloon	
	mission expires 03-21-2015 County of Johnson State of Kans		
	This page must always be completed in full with original, manual signature and notar	ization.	]

Affix notary stamp or seal where applicable.



## Exhibit M

## **Exhibit Request:**

Provide an alphabetical list of all members, participants, subscribers or other users, including the following information:

- 1. Name,
- 2. Date of election to membership or acceptance as a participant, subscriber or other user.
- 3. Principal business address and telephone number,
- 4. If member, participant, subscriber or other user is an individual, the name of the entity with which such individual is associated and the relationship of such individual to the entity (e.g., partner, officer, director, employee, etc.),
- 5. Describe the type of activities primarily engaged in by the member, participant, subscriber, or other user (e.g., floor broker, specialist, odd lot dealer, other market maker, proprietary trader, non-broker dealer, inactive or other functions). A person shall be "primarily engaged" in an activity or function for purposes of this item when that activity or function is the one in which that person is engaged for the majority of their time. When more than one type of person at an entity engages in any of the six types of activities or functions enumerated in this item, identify each type (e.g., proprietary trader, Registered Competitive Trader and Registered Competitive Market Maker) and state the number of members, participants, subscribers, or other users in each, and
- 6. The class of membership, participation or subscription or other access.

## Response:

Attached please find a list of the current Members and Sponsored Participants accepted as Users of the Exchange.

			I							
Company Name	Date Approved by EDGA	Date Approved by EDGX	Address 1	Address 2	City	State	Postal Code	Phone Number	Type of User	Primary Activities
ABN AMRO Clearing Chicago LLC	5/25/2010	5/27/2010	175 W. Jackson Blvd	4th Floor	Chicago	IL	60604	312-604-8020	Member	Market Maker
gency Trading Group, Inc.	Terminated	5/27/2010	235 East Lake Street		Wayzata	MN	55391	952-345-8911	Member	Agency
Albert Fried & Company, LLC	5/18/2010	5/18/2010	45 Broadway	24th Floor	New York	NY	10006	212-422-7299	Member	Agency
Allston Trading LLC	5/25/2010	5/27/2010	440 S. LaSalle Street	Suite 1200	Chicago	IL	60605	312-663-7249	Member	Proprietary
Alternet Securities, Inc.	5/25/2010	5/25/2010	380 Madison Ave	4th Floor	New York	NY	10017	212-444-6176	Member	Agency
pex Clearing Corporation	6/5/2012	6/5/2012	1700 Pacific Avenue		Dallas	TX	75201	214-765-542	Member	Clearing Firm
vchipelago Securities, LLC	5/25/2010	5/27/2010	100 S. Wacker Dr.	Suite 1800	Chicago	iL.	60606	312-442-7671	Member	Exchange
TM Execution, LLC	3/21/2012	3/21/2012	599 Lexington Avenue	21st Floor	New York	NY	10022	646-562-1701	Member	Market Maker
utomated Trading Desk Financial Services, LLC	5/25/2010	5/27/2010	12 East Wall Street		Mt Pleasant	sc	29464	843-789-2112	Member	Proprietary
arclays Capital, Inc.	5/14/2010	5/14/2010	745 7th Avenue		New York	NY	10019	212-412-2748	Member	Institutional
ATS Trading, Inc.	5/14/2010	5/14/2010	8050 Marshal Drive	Suite 120	Lenexa	KS	66214	913-815-7113	Member	Limited Routing Facility of BATS Exchange
ay Crest Partners, LLC	5/25/2010	5/27/2010	40 Wall Street	40th Floor	New York	NY	10005	212-480-1400	Member	Agency
elvedere Trading, LLC	11/29/2011	Term Requested	10 S. Riverside Plaza	Suite 2100	Chicago	IL	60606	312-262-3420	Member	Proprietary
Bloomberg Tradebook, LLC	5/14/2010	5/14/2010	120 Park Ave		New York	NY	10017	212-617-3917	Member	Agency
ue Fire Capital, LLC	5/25/2010	5/27/2010	311 S. Wacker Drive	Suite 2000	Chicago	IL	60606	312-242-0500	Member	Proprietary
luefin Trading, LLC	8/13/2013	9/27/2013	3 Park Avenue	37th Floor	New York	NY	10016	646-963-2717	Member	Agency
BNP Paribas Securities, Corp.	5/25/2010	5/27/2010	787 Seventh Avenue		New York	NY	10019	212-850-5170	Member	Proprietary
TIG, LLC	5/25/2010	5/27/2010	450 Sansome Street		San Francisco	CA	1	415-248-2204	Member	Market Maker
& C Trading, LLC	5/25/2010	5/27/2010	120 Broadway	20th Floor	New York	NY		212-433-5470	Member	Proprietary
anaccord Genuity, Inc.	6/10/2010	6/10/2010	99 High Street		Boston	MA		617-371-3900	Member	Market Maker
antor Fitzgerald & Co.	5/25/2010	5/27/2010	110 East 59th Street	15th Floor	New York	NY		212-829-4763	Member	Institutional / Agency
apital Institutional Services, Inc.	5/25/2010	5/27/2010	1601 Elm Street	Suite 3900	Dallas	тх		214-978-4761	Member	Agency
astleOak Securities, LP	5/14/2010	5/14/2010	110 E. 59th Street	2nd floor	New York	NY		212-829-4776	Member	Agency
hopper Securities, LLC	5/11/2011	5/11/2011	141 W. Jackson	Suite 2201A	Chicago	0		312-628-3530	Member	Proprietary
itadel Securities, LLC	5/14/2010	5/14/2010	131 South Dearborn Street	32nd Floor	Chicago	11		312-395-3307	Member	Market Maker
itigroup Global Markets, Inc.	5/25/2010	5/27/2010	390-388 Greenwich Street	3211011001	New York	NY		212-723-9247	Member	Full Service
LSA Americas, LLC	5/21/2013	5/21/2013	1301 Avenue of the Americas	<del></del>	New York	NY		212-408-5719	Member	Agency
MT Trading LLC	3/5/2014	3/5/2014	500 W. Monroe Street	Suite 2630	Chicago			312-612-6930	Member	Proprietary
	5/25/2010	5/27/2010		48th Floor	New York	NY		212-468-7746	Member	
onvergex Execution Solutions, LLC			1633 Broadway	<del></del>				678-405-4200	Member	Full Service
onvergex Prime Services, LLC	5/26/2010	5/27/2010	11175 Cicero Drive	200 Milton Park, Suite 575	Alpharetta New York	IGA NY		212-201-4855	Member	Agency Market Maker
owen & Company	5/14/2010	5/14/2010 5/27/2010	1221 Avenue of the Americas 11 Madison Avenue	3rd Floor	New York	NY		212-538-1059	Member	Full Service
redit Suisse Securities (USA), LLC	5/25/2010			<del>                                     </del>	+	NY			Member	
uttone & Co., Inc.	5/25/2010	5/27/2010	111 Broadway	10th Floor	New York			646-943-5451	· <del> </del>	Market Maker
art Executions, LLC	5/25/2010	5/27/2010	230 S. Lasalle Street	Suite 400	Chicago	III.		312-244-5408	Member	Proprietary
E Route	6/10/2010	6/10/2010	545 Washington Blvd.	6th Floor	Jersey City	NJ ND		201-942-8228	Member	Exchange
eutsche Bank Securities, Inc.	5/21/2010	5/21/2010	60 Wall Street	c 11 2500	New York	NY 		212-250-5762	Member	Full Service
RW Securities, LLC	5/25/2010	5/27/2010	540 West Madison Street	Suite 2500	Chicago	IL		312-542-1000	Member	Proprietary / Market Maker
TRADE Securities, LLC	Non-Member	6/10/2010	1271 Avenue of the Americas	14th Floor	New York	NY		703-236-8656	Member	Agency
lectronic Brokerage Systems, LLC	6/9/2010	6/9/2010	141. W. Jackson Street	Suite 3510	Chicago	IL		312-986-6262	Member	Market Maker
ectronic Transaction Clearing, Inc.	5/25/2010	5/27/2010	660 S. Figueroa Street	Suite 1450	Los Angeles	CA		213-401-1563	Member	Market Maker
ssex Radez, LLC	5/10/2010	5/14/2010	440 S. Lasalle Street	Suite 2101	Chicago	1L		312-212-1815 Ext: 202	Member	Service Bureau
rst New York Securities, LLC	6/10/2010	6/10/2010	90 Park Avenue	5th Floor	New York	NY		212-848-0875	Member	Proprietary
ow Traders U.S. LLC	2/28/2014	2/28/2014	1140 Avenue of the Americas	4th Floor	New York	NY		914-479-9944	Member	Proprietary
1 Execution Services, LLC	5/18/2010	5/18/2010	440 S. Lasalle Street	Suite 3030	Chicago	IL		312-294-7782	Member	Market Maker
obal American Investments, Inc.	3/5/2012	3/5/2012	20277 Valley Blvd.	Suite A10	Walnut	CA		909-393-8899	Member	Retail
oldman Sachs Execution & Clearing LP	5/14/2010	5/14/2010	440 S. LaSalle Street	Suite 1654	Chicago	IL .		212-357-8548	Member	Full Service
oldman, Sachs & Co.	5/14/2010	5/14/2010	85 Broad Street		New York	NY		212-357-8547	Member	Full Service
p Trading, LLC	6/2/2010	6/2/2010	33 Whitehall Street	6th Floor	New York	NY		212-380-5186	Member	Proprietary
rdcastle Trading USA, LLC	5/25/2010	5/27/2010	755 Secaucus Road	Suite F1110	Secacaus	NJ		443-541-8400	Member	Proprietary
RT Financial LLC	5/18/2010	5/18/2010	32 Old Slip	30th Floor	New York	NY	10005	212-239-1929	Member	Proprietary
AP Corporates, LLC	3/28/2013	N/A	Harborside Financial Center, 1100 Plaza 5	12th Floor	Jersey City	NJ	07311	212-341-9950	Member	Agency
X Services, LLC	9/24/2013	9/24/2013	7 World Trade CTR	30th Floor	New York	NY	10007	646-568-2324	Member	ATS
MC Financial Markets	5/25/2010	5/27/2010	233 South Wacker Street	Suite 4300	Chicago	IL	60606	312-244-3313	Member	Proprietary
nperial Capital, LLC	5/25/2010	5/27/2010	2000 Avenue of the Stars	Suite 900-S		CA		310-246-3644	Member	Market Maker
nstinet, LLC	5/14/2010	5/14/2010	3 Times Square	7th Floor	+	NY		212-310-7763	Member	Agency

21st. Floor

Jersev City

07310 212-278-6412

Proprietary

480 Washington Blvd.

5/14/2010

5/14/2010

SG Americas Securities, LLC

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on-Member 1/25/2013 6/2/2010 5/26/2010 5/26/2010 5/6/2010 5/6/2010 5/6/2010 5/6/2010 5/26/2010 6/2010 6/26/2010 6/26/2010 6/26/2010 6/26/2010 6/26/2010 6/26/2010 6/26/2010 6/26/2010 6/26/2010 6/26/2010 6/26/2010 6/26/2010	1/25/2013 /	440 S. LaSalle Street 501 North Broadway 1717 Route 6 100 S. Wacker 545 Washington Blvd. 303 Peach Tree Road NE	Suite 2800  Suite 102  Suite 300  7th Floor  Suite 220	Chicago St. Louis Carmel Chicago	IL MO NY IL NI GA	60605 63102 10512 60606 07310	312-362-4695 314-342-2100 800-874-3039 312-229-9636	Member Member Member Member Member	Clearing Firm Proprietary Agency Agency Proprietary Agency
6/2/2010 5/26/2010 5/26/2010 5/6/2010 5/18/2010 5/26/2010 5/26/2010 ferminated	6/2/2010 5/27/2010 5/27/2010 5/6/2010 5/18/2010 5/27/2010 5/27/2010	501 North Broadway 1717 Route 6 100 S. Wacker 545 Washington Blvd. 303 Peach Tree Road NE 401 City Avenue	Suite 102 Suite 300 7th Floor Suite 220	St. Louis Carmel Chicago Jersey City Atlanta	NY IL NJ	63102 10512 60606 07310	314-342-2100 800-874-3039 312-229-9636 312-356-1400	Member Member Member Member	Agency Agency Proprietary
5/26/2010 5/26/2010 5/6/2010 5/18/2010 5/26/2010 5/26/2010 Terminated	5/27/2010 5/27/2010 5/6/2010 5/18/2010 5/27/2010 5/27/2010	1717 Route 6 100 S. Wacker 545 Washington Blvd. 303 Peach Tree Road NE 401 City Avenue	Suite 102 Suite 300 7th Floor Suite 220	Carmel Chicago Jersey City Atlanta	NY IL NJ	10512 60606 07310	800-874-3039 312-229-9636 312-356-1400	Member Member Member	Agency Proprietary
5/26/2010 5/6/2010 5/18/2010 5/26/2010 5/26/2010 Terminated	5/27/2010 5/6/2010 5/18/2010 5/27/2010 5/27/2010	100 S. Wacker 545 Washington Blvd. 303 Peach Tree Road NE 401 City Avenue	Suite 300 7th Floor Suite 220	Chicago Jersey City Atlanta	IL NJ	60606 07310	312-229-9636 312-356-1400	Member Member	Proprietary
5/6/2010 5/18/2010 5/26/2010 5/26/2010 Ferminated	5/6/2010 5/18/2010 5/27/2010 5/27/2010	545 Washington Blvd. 303 Peach Tree Road NE 401 City Avenue	7th Floor Suite 220	Jersey City Atlanta		07310	312-356-1400	Member	<del></del>
5/18/2010 5/26/2010 5/26/2010 Ferminated	5/18/2010 5/27/2010 5/27/2010	303 Peach Tree Road NE 401 City Avenue	Suite 220	Atlanta			-	-	Agency
5/26/2010 5/26/2010 Ferminated	5/27/2010 5/27/2010	401 City Avenue	Suite 220		GA	30326	A0A-912-0927	Mambar	
5/26/2010 Ferminated	5/27/2010			Philadelphia			404-813-0837	wemper	Agency
erminated		401 City Avenue	6 11 224		PA	19004	610-617-2624	Member	Institutional / Agency
	5/27/2010		Suite 221	Philadelphia	PA	19004	610-617-2624	Member	Institutional / Agency
erminated		1005 N. Ameritrade Place		Bellevue	NE	68005	402-970-5271	Member	Agency
	5/27/2010	10 Town Square	Suite 100	Chatham	NJ	07928	973-665-9600	Member	Agency
5/26/2010	5/27/2010	1251 NW Briarcliff Parkway	Suite 700	Kansas City	мо	64116	816-285-6416	Member	Proprietary
5/25/2010	5/25/2010	8050 SW 10th Street	Suite 2000	Plantation	FL	33324	954-652-7736	Member	Market Maker
5/27/2010	5/27/2010	379 West Broadway	3rd Floor	New York	NY	10012	646-292-6643	Member	Proprietary
4/12/2010	4/12/2010	677 Washington Blvd		Stamford	ст	06901	203-719-4379	Member	Full Service
6/10/2010	6/10/2010	7280 West Palmeto	Suite 105	Boca Raton	FL	33432	561-620-0306	Member	Agency
5/27/2010	5/27/2010	645 Madison Avenue	16th Floor	New York	NY	10022	212-418-0188	Member	Proprietary
5/25/2010	5/27/2010	1540 Second Street	3rd Floor	Santa Monica	CA	90401	310-651-9746	Member	Proprietary
7/13/2012	7/3/2012	4 High Ridge Park	Suite 100	Stamford	ст	06905-1325	203-388-2675	Member	Retail
5/27/2010	5/27/2010	7 World Trade Center	Suite 3301	New York	NY	10007	646-484-3005	Member	Proprietary
5/27/2010	5/27/2010	17 Battery Place	11th Floor	New York	NY	10004	212-709-9453	Member	Agency
5/27/2010	5/27/2010	14601 27th Avenue N.	Suite 102	Plymouth	MN	55447	952-345-5230	Member	Market Maker
5/27/2010	5/27/2010	1000 Wilshire Blvd	Suite 900	Los Angeles	CA	90017	213-688-4528	Member	Market Maker
5/26/2010	5/26/2010	101 California St.	Suite 3050	San Fransisco	CA	94111	415-848-0269	Member	Market Maker
6/9/2010	6/9/2010	301 S. College Street	TW-8	Charlotte	NC	28288	704-383-1725	Member	Agency
8/20/2012	8/20/2012	140 Broadway	38th Floor	New York	NY	10005	415-293-3821	Member	Proprietary
5/14/2010	5/14/2010	175 W.Jackson Blvd.	Suite 200	Chicago	1L	60604	312-884-3878	Member	Market Maker
2/17/2012	2/17/2012	640 W. California Avenue	#220	Sunnyvale	CA	94086	408-990-1940	Member	Proprietary
5/10/2012		550 West Jackson Blvd.	Suite 1000	Chicago	IL	60661	312-244-4602	Member	Proprietary
5/ 5/ 6/ 5/ 5/ 5/ 5/ 5/ 5/ 5/ 2/	26/2010 (25/2010 (25/2010 (27/2010 (11/2010 (10/2010 (27/2010 (25/2010 (25/2010 (27/2010 (27/2010 (27/2010 (27/2010 (27/2010 (27/2010 (27/2010 (26/2012 (26/2010 (26/2010 (26/2010 (26/2010 (26/2010 (26/2010 (26/2012	26/2010   5/27/2010     (25/2010   5/25/2010   5/25/2010   5/25/2010   5/25/2010   5/25/2010   5/25/2010   5/27/2010   5/27/2010   5/27/2010   6/10/2010   6/10/2010   5/27/2010   6/9/2010   6/9/2010   6/9/2010   6/9/2010   6/9/2010   6/9/2010   5/27/2010	1251 NW Briarcliff Parkway   1251 NW Briarcliff Parkway   1252 NW Briarcliff Parkway   1252 NW Briarcliff Parkway   1252 NW Briarcliff Parkway   1252 NW 10th Street   1272 NW	125   100   125   125   100   125	125   100   125   125   100   125   125   100   125	125   125	125   125	125   125	State   Stat

From: (913) 815-7128 BUFFEE GILLIHAN BATS EXCHANGE, INC. 8050 MARSHALL DRIVE **STE 120** LENEXA, KS 86214

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SHIP TO: (913) 815-7260 **BILL SENDER** 

Mr. Christopher Grobbel Securities and Exchange Commission Div Trading & Markets, 100 F St NE Mal Stop 6628 Washington, DC 20549



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