### Form 1 Page 1 Execution Page

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

WASHINGTON, D.C. 20549
APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT

Date filed (MM/DD/YY): OFFICIAL USE **ONLY** 

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

	INTENTIONAL MISSIATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS
	APPLICATION ISE GEMINY, LLC MAMENDMENT
1.	State the name of the applicant:
2.	Provide the applicant's primary street address (Do not use a P.O. Box): 60 Broad Street,
	26" Floor New York. NY 10004
3.	Provide the applicant's mailing address (if different):  14011224
4.	Provide the applicant's business telephone and facsimile number:  212 943 - 2400 212 - 509-3955
	(Telephone) (Facsimile)
5.	Provide the name title, and telephone number of a contact employee:  Roman Cahill Senior Legal and Regulatory Associate 22-897-8152
	(Name) (Titlé) (Telephone Number)
6.	Provide the name and address of counsel for the applicant:  Michael Simon  60 Broad Street
	New York. NY 10004
7.	Provide the date applicant's fiscal year ends:
8.	Indicate legal status of applicant:   Corporation  Sole Proprietorship  Partnership  Other (specify):
	If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):
	(a) Date (MM/DD/YY): US/30 17012 (b) State/Country of formation: Delaware
	(c) Statute under which applicant was organized:
EXECUTION: The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The unders igned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof are current, true, and complete.	
Da By:	(MM/DDM) Com Roman (Marred of applicant) Logal Association
Sul	(Signature) bscribed and sworn before me this 10 day of 1000 (Month) (Year)  (Printed Name and Title)
Му	Commission expires Dec. 4, 2014 County of New YXK State of New YXK
<b> </b>	This page must always be completed in full with original, manual signature and entarization according to the complete of the c

Affix notary stamp or seal where applicable.





June 10, 2014

#### VIA FEDERAL EXPRESS

Chris Grobbel
U.S. Securities and Exchange Commission
Division of Market Regulation
Office of Market Supervision
100 F Street NE
Mail Stop 6628
Washington, DC 20549

Re:

ISE Gemini, LLC

SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Gemini, LLC's ("ISE Gemini") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Gemini issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Gemini's website:

#### **Options**

New Listings:

http://ise.com/newlistings

Delistings:

http://ise.com/delistings

**Expiration Notices:** 

http://ise.com/expirationnotices

Series Additions/Deletions:

http://ise.com/serieslist

Market Information Circulars:

http://ise.com/mics

#### **Index Options**

Recent Index Changes:

http://ise.com/newlistings

Index Settlement Values:

# http://www.ise.com/options/products-traded/index-settlement-values

# Legal & Regulatory

Regulatory Information Circulars:

http://www.ise.com/rics

Rules:

http://www.ise.com/rules

## **Marketing Material**

Press Releases:

http://www.ise.com/press-room/press-releases/

Publications:

http://www.ise.com/publications

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,

Ronan Cahill

Senior Legal & Regulatory Associate

From: (212) 897-8152 Ronan Cahill

**60 Broad Street** 

New York, NY 10004

Origin ID: SXYA

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SHIP TO: (202) 551-5658 . Chris Grobbel

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Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com.FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jew elry, precious metals, negotiable instruments and other items listed in our ServiceGuide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

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60 Broad Street

New York, NY 10004

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SHIP TO: (202) 551-5658 **Chris Grobbel** 

**US Securities & Exchange Commission** 

Office of Market Supervision 100 F Street NE, Mail Stop 6628

Washington, DC 20549

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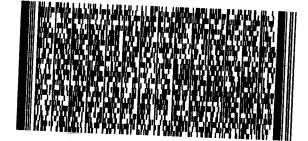
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