

COPY

Form 1 Page 1 Execution Page	UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20540 APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT	Date filed (MM/DD/YY): 08/04/2014	OFFICIAL USE ONLY
---------------------------------------	---	---	-------------------------

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS

APPLICATION

AMENDMENT

1. State the name of the applicant: National Stock Exchange, Inc.

2. Provide the applicant's primary street address (Do not use a P.O. Box):  
101 Hudson Street, Suite 1200

Jersey City, New Jersey 07302



14011221

3. Provide the applicant's mailing address (if different):  
\_\_\_\_\_

4. Provide the applicant's business telephone and facsimile number:

(201) 499-3700 (Telephone) (201) 499-0173 (Facsimile)

5. Provide the name, title, and telephone number of a contact employee:

James G. Buckley (Name) Chief Regulatory Officer (Title) (201) 499-3698 (Telephone Number)

6. Provide the name and address of counsel for the applicant:

Philip M. Pinc, General Counsel

National Stock Exchange, Inc.

101 Hudson Street, Suite 1200, Jersey City, New Jersey 07302

7. Provide the date applicant's fiscal year ends: December 31

8. Indicate legal status of applicant:  Corporation  Sole Proprietorship  Partnership  
 Limited Liability Company  Other (specify): \_\_\_\_\_

If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):

(a) Date (MM/DD/YY): 06/26/2006 (b) State/Country of formation: Delaware, USA

(c) Statute under which applicant was organized: D.C.L. 245 et seq.

EXECUTION: The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in items 2 and 3. The undersigned, being first duty sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Date: 08/04/2014 National Stock Exchange, Inc.

By: [Signature] (Signature) James G. Buckley, Chief Regulatory Officer (Name of applicant)

Subscribed and sworn before me this 04 day of August, 2014 by MARJANA WELDEN (Printed Name and Title)

My Commission expires 3/7/2017 County of Hudson State of New Jersey (Month) (Year) (Notary Public)

This page must always be completed in full with original, manual signature and notarization. Add notary stamp or seal where applicable.

RECEIVED  
2014 AUG -5 PM 1:43  
SEC/MR

United States Securities and Exchange Commission  
Washington, D.C. 20549

Form 1  
Amendment to Application for Registration as a National Securities Exchange

*National Stock Exchange, Inc.*

---

*Exhibit J*

Officers, Directors, Members of Standing Committees  
(from June 30, 2012 through Present)

Officers:

David F. Harris	Director, Chairman, & Chief Executive Officer Current term expires upon successor's appointment and qualification, or upon officer's earlier death, resignation, retirement or removal. Employee of the Exchange.
Francis T. Corcoran	President, Chief Administrative Officer Current term expires upon successor's appointment and qualification, or upon officer's earlier death, resignation, retirement or removal. Employee of the Exchange.
Jeremy Sanchez	Chief Compliance Officer Current term expires upon successor's appointment and qualification, or upon officer's earlier death, resignation, retirement or removal. Employee of the Exchange.
Philip M. Pinc	Vice President, Counsel and Secretary Current term expires upon successor's appointment and qualification, or upon officer's earlier death, resignation, retirement or removal. Employee of the Exchange.
James G. Buckley	Chief Regulatory Officer, Regulation Current term expires upon successor's appointment and qualification, or upon officer's earlier death, resignation, retirement or removal. Employee of the Exchange.
Francis N. Paulino	Senior Vice President, Business Development Current term expires upon successor's appointment and qualification, or upon officer's earlier death, resignation, retirement or removal. Employee of the Exchange.

Former Officers:

Paul Smith	Acting Chief Regulatory Officer Ceased Employment October 26, 2012. Former Employee of the Exchange.
Kenneth A. Hanson	Vice President, Finance Ceased Employment May 31, 2012. Former Employee of the Exchange.
Christopher Solgan	Senior Regulatory Counsel, Regulation Ceased Employment May 10, 2013. Former Employee of the Exchange.
Wendy Fumagalli	Vice President, Finance and Accounting Ceased Employment June 11, 2013. Former Employee of the Exchange.
David Reed	Chief Operating Officer Ceased Employment June 13, 2014. Former Employee of the Exchange.
Susan Ameen	Chief Regulatory Officer, Regulation Ceased Employment on June 30, 2014. Former Employee of the Exchange.
Michael Serafin	Vice President, Client Services Ceased Employment on July 15, 2014. Former Employee of the Exchange.
Bruce Kulback	Chief Information Officer Ceased Employment on July 31, 2014. Former Employee of the Exchange.

Officers, Directors, Members of Standing Committees  
(from June 30, 2012 through Present)

Directors:

David F. Harris	Director, Chairman and Chief Executive Officer. Service commenced December 30, 2011 and current term expires at the Exchange's next Annual Meeting. CEO Director.
Craig Drill	Director. Service commenced December 30, 2011 and current term expires at the Exchange's next Annual Meeting. Non-Industry/Independent Director.
John Faso	Director. Service commenced December 30, 2011 and current term expires at the Exchange's next Annual Meeting. Non-Industry/Independent Director.

Kathleen Hamm	Director. Service commenced February 10, 2012 and current term expires at the Exchange's next Annual Meeting. Non-Industry/Independent Director.
Gordon Martin	Director. Service commenced on December 31, 2012 and expires at the Exchange's next Annual Meeting. Industry Director.
Thomas O'Mara	Director. Service commenced December 30, 2011 and current term expires at the Exchange's next Annual Meeting. ETP Holder Director.
Jeffrey Brown	Director. Service commenced December 31, 2012 and current term expires at the Exchange's next Annual Meeting. ETP Holder Director.
Antoine Shagoury	Director. Service commenced February 10, 2012 and current term expires at the Exchange's next Annual Meeting. Non-Industry/Independent Director.
Michael Szymanski	Director. Service commenced December 30, 2011 and current term expires at the Exchange's next Annual Meeting. Non-Industry/Independent Director.
Gerald T. O'Connell	Director. Service commenced on March 27, 2012 and current term expires at the Exchange's next Annual Meeting. Industry Director.

**Former Directors:**

John Procopion	Service commenced on December 31, 2010 and expired December 31, 2012. Former ETP Holder Director.
Patrick Faye	Service commenced December 30, 2011 and expired on February 10, 2012. Former Industry Director.
Edward Provost	Service commenced February 10, 2012 and expired on March 27, 2012. Former Industry Director.

Exchange Committees

**Executive Committee**

Current Members

David Harris (Chairman), term commenced 2/10/12  
 Thomas O'Mara, term commenced 2/10/12  
 John Faso, term commenced 2/10/12  
 Kathleen Hamm, term commenced 2/10/12  
 Gerald T. O'Connell, term commenced 6/28/12

**Executive Compensation Committee**

**Current Members**

Antoine Shagoury (Chairman), term commenced 2/10/12

Craig Drill, term commenced 2/10/12

Michael Szymanski, term commenced 2/10/12

**Former Member**

John Procopion, term ended 12/31/12

**Regulatory Oversight Committee**

**Current Members**

Kathleen Hamm (Chairwoman), term commenced 2/10/12

John Faso, term commenced 2/10/12

Michael Szymanski, term commenced 2/10/12

**Governance & Nominating Committee**

**Current Members**

John Faso (Chairman), term commenced 2/10/12

David Harris, term commenced 2/10/12

Craig Drill, term commenced 2/10/12

Jeffrey Brown, term commenced 03/27/13

**Business Conduct Committee**

**Current Members**

Elizabeth H. Baird, term commenced 6/28/12

Thomas McManus, term commenced 6/20/2014

Anthony Seisser, term commenced 6/28/12

**Former Member**

Richard Roberts, term ended 11/5/13

**Appeals Committee**

**Current Members**

Jeffrey Brown, term commenced 03/27/13

Thomas O'Mara, term commenced 2/10/12

Craig Drill, term commenced 2/10/12

**Former Member**

John Procopion, term ended 12/31/12

**EXPRESS**

From: (201) 499-0173  
Marvena Weldon  
National Stock Exchange  
101 Hudson Street  
Suite 1200  
Jersey City, NJ 07310

Origin ID: AIYA



J142014061903uv

Ship Date: 04AUG14  
ActWgt: 1.0 LB  
CAD: 104370990/NET3550

Delivery Address Bar Code



SHIP TO: (201) 499-3700  
**Tina Barry, Esq.**  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE  
WASHINGTON, DC 20549

BILL SENDER

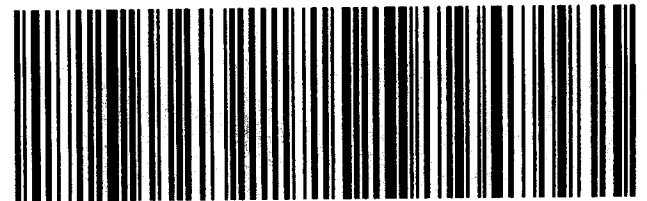
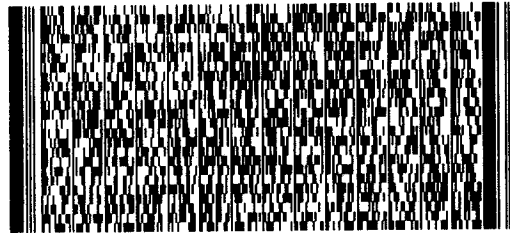
Ref # REG-SEC  
Invoice #  
PO #  
Dept #

TUE - 05 AUG AA  
STANDARD OVERNIGHT

TRK# 7707 5180 4150  
0201

20549  
DC-US  
IAD

**EP YKNA**



522G1/ECF2/8AC9

To: Barry, Tina C.

Department: HQ/TM  
Phone: 202.551.6256  
Route: HQ-7a  
Mail Stop: 7010  
Building: SP1

Type:  
Name:

91373061000205490077075180  
4150

8/5/2014 9:40:08 AM

**1 00000000 0110**