Form 1 Page 1 Execution Page

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF

Date filed (MM/DD/YY):

OFFICIAL USE ONLY

	THE PACHANGE ACT	
VCC	ARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to ep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant puld violate the federal securities laws and may result in disciplinary, administrative, or criminal action.	
	INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS	
4	State the name of the applicant: ISE Genuy, LLC MAMENDMENT	
	State the Harrie of the approant.	
۷.	Provide the applicant's primary street address (Do not use a P.O. Box): 60 Broad Street	
	26 Floor New York. NY 10004	
3.	Provide the applicant's mailing address (if different):	
	14011216	
4.	Provide the applicant's business telephone and facsimile number:  212 943 - 2400 212 - 509 - 3955	REC
	(Telephone) (Facsimile)	出
5.	Provide the name, title, and telephone number of a contact employee:  Ronan Cahill Senior Legal and Regulatory Associate: 212-897-615	RECEIVED
	(Name) (Title) (Telephone Number)	, –
6.	Michael Simon 60 Broad Street New York, NY 10004	
7.	Provide the date applicant's fiscal year ends:	
8.	Indicate legal status of applicant:   Corporation  Sole Proprietorship  Dartnership  Other (specify):	
	If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):	
	(a) Date (MM/DD/YY): (b) State/Country of formation:	
	(c) Statute under which applicant was organized:	
Exc app swc	ECUTION: The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and change Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly born, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The undersigned and policant represent that the information and statements contained herein, including exhibits, schedules, or other documents att ached hereto, do other information filed becautif, all of which are made a part hereof are current, true, and complete.	
Dat	(MM/DD/M/) Konan (Eli d'applicant) Loga H350(1 ali	
By: Sul	(Signature) bscribed and sworn before me this 25 day of July 2014 (Printed Name and Title) suming Puter	
Му	Commission expires 7/10/18 County of Nassau State of New York	
Ť	This page must always be completed in full with original, manual signature and notarization PATEL  Affix notary stamp or seal where applicable.  Notary Public - State of New York	
Щ	NO. 02PA6149270	•

My Commission Expires



July 25, 2014

VIA FEDERAL EXPRESS

RECEIVED 2014 JUL 29 AM 8: 38 SEC / MR

Chris Grobbel
U.S. Securities and Exchange Commission
Division of Market Regulation
Office of Market Supervision
100 F Street NE
Mail Stop 6628
Washington, DC 20549

Re:

ISE Gemini, LLC

SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Gemini, LLC's ("ISE Gemini") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Gemini issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Gemini's website:

#### **Options**

New Listings:

http://ise.com/newlistings

Delistings:

http://ise.com/delistings

**Expiration Notices:** 

http://ise.com/expirationnotices

Series Additions/Deletions:

http://ise.com/serieslist

Market Information Circulars:

http://ise.com/mics

#### **Index Options**

Recent Index Changes:

http://ise.com/newlistings

Index Settlement Values:

http://www.ise.com/options/products-traded/index-settlement-values

#### Legal & Regulatory

Regulatory Information Circulars:

http://www.ise.com/rics

Rules:

http://www.ise.com/rules

#### **Marketing Material**

Press Releases:

http://www.ise.com/press-room/press-releases/

Publications:

http://www.ise.com/publications

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,

Ronan Cahill

Senior Legal & Regulatory Associate

### Exhibit M

#### Approved Members:

Tullett Prebon Financial Services, LLC 101 Hudson Street Jersey City, NJ 07320 Contact: O'Neil Lee (201) 557-5626 Electronic Access Member Electronic Access Member

# **Extremely Urgent**

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From: (212) 897-8152 Ronan Cahill

Origin ID: SXYA

Ship Date: 25JUL 14 ActWgt: 1.0 LB CAD: 101219647/INET3550

60 Broad Street

New York, NY 10004

BILL SENDER

SHIP TO: (202) 551-5658 Chris Grobbei **US Securities & Exchange Commission** Office of Market Supervision 100 F Street NE, Mail Stop 6628 Washington, DC 20549

Delivery Address Bar Code

To: Grobbel, Christoph Department: HO/TM /Phone: Route: <sup>20</sup>2.551.5491

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