For Internal Use Only Sec File No. 9Submit 1 Original and 9 Copies

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

3235-0504 OMB Number: August 31, 2010 Expires:

Estimated average burden hours per response.....3.60

> SEC Mail Processing Section

Information Required of a Self-Regulatory Organization Listing and Trading a New NOV 12 2014

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

<del>;</del>	DATE AND DISCOUNT OF SOME PERSON OF SOME PERSON FORM	Washington DC
•	READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM	404
Part I	Initial Listing Report	_
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product: BATS Exchange, Inc.	
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corp. See attached.	oration, etc.):
3.	Class of New Derivative Securities Product: See attached.	
4.	Name of Underlying Instrument: See attached.	14011202
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached.	
6.	Ticker Symbol(s) of New Derivative Securities Product: See attached.	
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached.	
8.	Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC.	
9.	Position Limits of New Derivative Securities Product (if applicable): Not applicable.	
Part II	Execution	
	The undersigned represents that the governing body of the above-referenced Self-Regulatory approved, or has duly delegated its approval to the undersigned for, the listing and trading o derivative securities product according to its relevant trading rules, procedures, surveillance standards.	f the above-referenced new
Name	of Official Responsible for Form: Anders Franzon	
Title:	VP, Associate General Counsel	
•	one Number: (913) 815-7154	THE ARTHUR AND ARTHUR AND ARTHUR ARTH
Manua	I Signature of Official Responsible for Form:	N N
Date:	November 10, 2014 NOV 12 201	28
SEC 24	19 (6.01)	

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON NOVEMBER 10, 2014

				(7) Market(s) upon	
<u>(6)</u>	(2) Type of		<u> </u>	Which Securities	(5) Broad
Ticker	issuer of	* .	(4) Name of Underlying	Comprising Underlying	<u>or</u>
Symbol	NDSP	(3) Class of NDSP	Instrument	Instrument Trades	<u>Narrow</u>
			Futures contracts, exchange-		
	·		traded products, cash, cash-		
		Investment Company	like instruments or high quality		
PDBC	Trust	Units	collateral securities	U.S.	Broad
-					
	i		FTSE Developed ex North		
		Investment Company	America Diversified Factor		
JPIN	Trust	Units	Index	World	Broad



November 10, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) - BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on BATS Exchange on November 10, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely

Anders Franzon

VP, Associate General Counsel

913.815.7154

Coction		1		
10		2 (3)		 T'
Rabiid Avo in s	·· N	10V 12	2014	
		.0, , ,	ZUIY	

SEC

Mail Processing Section NOV 12 2014

Washington DC

404

RECEIVED