

09-17992jn

For Internal Use Only	Submit 1 Original	OMB Approval No.:
Sec File No. 91 -	and 9 Copies	Expires:

Estimated average burden hours per response: 2.00

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Mail Processing  
Section  
NOV 12 2014**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**404**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

**NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Open-end Management Investment Company**

3. Class of New Derivative Securities Product:

**Investment Company Units**

4. Name of Underlying Instrument:

**Actively Managed**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**Not Applicable**

6. Ticker Symbol(s) of New Derivative Securities Product:

**FEMB**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

**Not Applicable**

8. Settlement Methodology of New Derivative Securities Product:

**Regular way trades settle on T+3/Book entry only held in DTC.**

9. Position Limits of New Derivative Securities Product (if applicable):

**Not applicable.**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**Sudhir Bhattacharyya**

Title:

**Vice President**

Telephone Number:

**(212) 656-2920**

Manual Signature of Official Responsible for Form:

**November 10, 2014**

Act Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Public Availability: **NOV 12 2014**

14011188

RECEIVED  
NOV 12 PM 3:49  
SEC. IIR

SEC 2449 (1/99)

Sudhir Bhattacharyya  
Vice President



NYSE Arca, Inc.  
20 Broad Street  
New York, NY 10005

tel: 212.656.2920  
Sudhir.Bhattacharyya@nyse.com

RECEIVED

2014 NOV 12 PM 3:49

SEC / MR

SEC  
Mail Processing  
Section  
NOV 12 2014  
Washington DC  
404

November 10, 2014

**Via Overnight Mail**

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

**Re: 19b-4(e) – First Trust Emerging Markets Local Currency Bond ETF**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**First Trust Emerging Markets Local Currency Bond ETF  
(FEMB)**

If you have any questions, please do not hesitate to call me at (212) 656-2920.

Sincerely,

  
Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability	NOV 12 2014