| For Int Sec Fi | ernal Use Only-D le N& BOEIVED | Submit 1 Original and 9 Copies | | OMB APPROVAĽ OMB Number: 3235-0504 |
|-------------------|---|---|--|--|
| | | UNITED STATES ECURITIES AND EXCHANGE CO | OMMISSION | Expires: August 31, 2010 Estimated average burden hours per response3.60 |
| | SEC / MR | Washington, D.C. 20549 | - | SEC Mail Processing |
| • | , Y " , | FORM 19b-4(e) | | Section |
| • | Information Requir Derivative Securities Produ | red of a Self-Regulatory Organizat uct Pursuant to Rule 19b-4(e) Unde | ion Listing and T er the Securities | Trading a New SEP 1 1 2014 Exchange Act of 1934 Washington Do |
| | READ AL | L INSTRUCTIONS PRIOR TO CO | OMPLETING FO | ORM 404 |
| Part I | | Initial Listing Report | · · · | |
| 1. | EDGA Exchange, Inc | | | |
| 2. · | See attached. | ive Securities Product (<u>e.g.</u> , clearingh | ouse, broker-deal | ler, corp 14011148 |
| 3. | Class of New Derivative Secur See attached. | | | |
| 4. | Name of Underlying Instrumen See attached. | | | |
| 5. | See attached. | Index, State Whether it is Broad-Bas | ed or Narrow-Bas | sed: |
| 6. | Ticker Symbol(s) of New Deriv See attached. | | | |
| 7. | Market or Markets Upon Which See attached. | h Securities Comprising Underlying | Instrument Trades | s: |
| 8. | Trades locked in | w Derivative Securities Product: at Exchange and settle | | • |
| 9. | Position Limits of New Deriva Not applicable. | tive Securities Product (if applicable |): | |
| Part II | | Execution | | |
| <u></u> | approved, or has duly delegate | at the governing body of the above-re ed its approval to the undersigned for, ccording to its relevant trading rules, | , the listing and tra | ading of the above-referenced new |
| Name o | of Official Responsible for Form | " Anders Franzon | . • | |
| Title: | VP, Associate Gene | eral Counsel | | • · |
| Teleph | one Number: (913) 81 | 5-7154 | | • |
| Manua | 1 Signature of Official Responsil | ble for Form: | Act | Securities Exchange Act of 19. |
| Date: | September 10, 2014 | 4 | Rule | 195-0 197-4(a) |
| | | · · · · · · · · · · · · · · · · · · · | | |

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGA EXCHANGE, INC. COMMENCING ON SEPTEMBER 5, 2014

| <u>(6)</u> <u>Ticker</u> Symbol | (2) Type of Issuer of NDSP | (3) Class of NDSP | (4) Name of Underlying Instrument | (7) Market(s) upon Which Securities Comprising Underlying Instrument Trades | <u>(5) Broad</u> <u>or</u> Narrow |
|---------------------------------------|----------------------------------|-----------------------------|--|--|---|
| MBSD | Trust | Investment Company Units | Investment-grade US agency residential mortgage-backed pass-through securities | U.S. | Broad |



September 10, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – EDGA Exchange, Inc.

Ms. Jackson,

On behalf of EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on EDGA Exchange on September 5, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel 913.815.7154

| Act | Socurities Exchange Act of 1934 |
|-------------------------|---------------------------------|
| Section | 195-6 |
| Rule | 125-663 |
| Public Availability: | SEP 1 1 2014 |
| Availability. | |

TEL. 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM

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