| For Internal Use For Internal Use Only Sec File North APR 30 Fill: 36 SEC / HR UNITED STATES SEC / HR UNITED STATES SEC UNITED STATES SEC VIAR UNITED STATES SEC VIAR UNITED STATES SEC VIAR UNITED STATES SEC VIAR UNITED STATES SEC VIAR | |
|---|---|
| FORM 19b-4(e) | APR 302014 |
| Information Required of a Self-Regulatory Organization Listing and Trading a New 100 2014 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Action BC READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM 404 | |
| Part I Initial Listing Report | |
| Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges) | |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, bro Open End Management Investment Company | ker-dealer, corporation, etc.): |
| 3. Class of New Derivative Securities Product: Exchange Traded Fund | |
| 4. Name of Underlying Instrument: Gold Futures Contracts | |
| If Underlying Instrument is an Index, State Whether it is Broad-Based or Nar Not Applicable | row-Based: |
| Ticker Symbol(s) of New Derivative Securities Product: GLDL | |
| Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: CME Group | |
| Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) | |
| Position Limits of New Derivative Securities Product (if applicable): N/A | |
| Part II Execution | |
| The undersigned represents that the governing body of the above-refere approved, or has duly delegated its approval to the undersigned for, the new derivative securities product according to its relevant trading rules, standards. | listing and trading of the above-referenced |
| Name of Official Responsible for Form: Joan Conley | |
| Title: Secretary | · |
| Telephone Number: 301-978-8735 | $\left[\begin{array}{c} 1 \end{array} \right]$ |
| Manual Signature of Official Responsible for Form: | Tigt / Mt U. Frittics Exchange Act of 1934 |
| Date: April 10, 2014 | Section 191-4 Rule 1950-12 |
| SEC 2449 (6-01) | Public Availability: APR 3 0 2014 |

.