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2014 SEP -3 AM 11:39

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SEC  
Mail Processing  
Section

FORM 19b-4(e)

SEP 03 2014

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
Washington DC  
404

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



14011123

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Ownership of the Trust
- Name of Underlying Instrument:  
WBI Tactical High Income Shares ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
WBIH
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
- Settlement Methodology of New Derivative Securities Product:  
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Peter D. Santori

Title:

Executive Vice President  
Chief Compliance Officer, Chief Regulatory Officer

Telephone Number:

312-663-2402

Manual Signature of Official Responsible for Form:

Act

Securities Exchange Act of 1934

Section

19b-4

Rule

19b-4(e)

Date:

September 2, 2014

Public

Availability:

SEP 03 2014



Chicago Stock Exchange

September 2, 2014

By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE - Stop 7010
Washington, DC 20549

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Re: Form 19b-4(e) for exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded products:

Table with 2 columns: Symbol and Product Name. Rows include WBIA, WBIB, WBIC, WBID, WBIE, WBIF, WBIG, WBIL, WBII, and WBIH.

If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely,

Peter D. Santori
Executive Vice President
Chief Compliance Officer
Chief Regulatory Officer

Table with 2 columns: Field and Value. Fields include Act, Section, Rule, and Public Availability.

Enclosures