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SEC 2449 (6-01)

Submit 1 Original and 9 Copies

UNITED STATES

2014 SEP -8 PH 12: 11 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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FORM 19b-4(e)

OMB Number: 3235-0504 Expires: August 31, 2013

Estimated average burden hours per response. 3.60

Mall Processing

Information Required of a Self-Regulatory Organization Listing and Trading a New 082014

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

Washington DC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM 404 Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corpension, e.e. 2. 3. Class of New Derivative Securities Product: Ownership of the Trust 4. Name of Underlying Instrument: FlexShares Disciplined Duration MBS Index Fund ETF 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. MBSD Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Various Settlement Methodology of New Derivative Securities Product: 8. See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Peter D. Santori Title: Executive Vice President Chief Compliance Officer, Chief Regulatory Officer Telephone Number: 312-663-2402 Manual Signature of Official Responsible for Form: Act Securities Exchange Act of 1934 Section Date: September 5, 2014 Rule 15 ·((c)

Public

Availability:

SEP 08 2014



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SEC
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Section
SEP 0 8 2014
Washington DC
404

September 5, 2014

By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

MBSD FlexShares Disciplined Duration MBS Index Fund ETF	_							14.					100		
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If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely,

Peter D. Santori

Executive Vice President Chief Compliance Officer Chief Regulatory Officer

Enclosures

Section	195-4		
Rule	19h-4(c)		
Public			
Availability:	SEP 08	2014	