OMB APPRO Submit 1 Original For Internal Use Only **OMB Number:** 3235-0504 and 9 Copies Sec File No. 9-Expires: August 31, 2010 UNITED STATES Estimated average burden hours per response... ...3.60 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Mail Processing Section FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Securities Destant 7 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities ExchangWArshilleion DC 404 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Initial Listing Report Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. EDGX Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. 4. Name of Underlying Instrument: See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel -S [1] つ 5 **Telephone Number:** (913) 815-7154 ţ  $\square$ Manual/Signature of Official Responsible for Form: Act change Act of 1934 accurrices Lin Date: မ္မာ September 2, 2014 Section 19:0-4 ittic 120-6(0) SEC 2449 (6-01) Public SEP 0 3 2014 Availability:

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC. COMMENCING ON AUGUST 28, 2014

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	•			(7) Market(s) upon	
<u>(6)</u>	(2) Type of			Which Securities	<u>(5) Broad</u>
<u>Ticker</u>	Issuer of		(4) Name of Underlying	Comprising Underlying	<u>or</u>
<u>Symbol</u>	<u>NDSP</u>	(3) Class of NDSP	Instrument	Instrument Trades	<u>Narrow</u>
			Equity securities of small-		
			capitalization and mid-		
		Investment Company	capitalization domestic and		·
WBIA	Trust	Units	foreign companies	World	Broad
		· · ·	Equity securities of small-		
			capitalization and mid-		
		Investment Company	capitalization domestic and		
WBIB ·	Trust	Units	foreign companies	World	Broad
	·		Equity securities of small-		
			capitalization and mid-		
		Investment Company	capitalization domestic and		!
WBIC	Trust	Units	foreign companies	World	Broad
			Equity securities of small-		
			capitalization and mid-		
		Investment Company	capitalization domestic and		
WBID	Trust	Units	foreign companies	World	Broad
			Equity securities of small-		
			capitalization and mid-		
		Investment Company	capitalization domestic and		
WBIE	Trust	Units	foreign companies	World	Broad
		01110	Equity securities of small-		
1			capitalization and mid-		
	• • •	Investment Company	capitalization domestic and		
WBIF	Trust	Units	foreign companies	World	Broad
4401	11430		Equity securities of small-		
			capitalization and mid-	-	· •
		Investment Company	capitalization domestic and		
WBIG	Trust	Units	foreign companies	World	Broad
WDIG	11050	01113			
		1	Equity securities of small-		
		Investment Company	capitalization and mid-		
	Trust	Investment Company Units	capitalization domestic and foreign companies	World	Broad
WBIL					Dioda
	1		Equity securities of small-	14. 1	
			capitalization and mid-		
	- ·	Investment Company	capitalization domestic and	Marid	Broad
WBII	Trust	Units	foreign companies	World	Dioau
			Equity securities of small-	•	
	· ·		capitalization and mid-		
		Investment Company	capitalization domestic and		
WBIH	Trust	Units	foreign companies	World	Broad



September 2, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

## RE: Form 19b-4(e) – EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding ten (10) derivative securities products that commenced trading on EDGX Exchange on August 28, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

Section 19b-4 19b-4 19b-4(c)	Act	ecurities Exchange Act of 1934
Public	Section	
Public Availability: SEP 0 3 2014	Rule	95-4(c)
Availability: SEP 0 3 2014	Public	
	Availability:	SEP 0 3 2014
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SEC Mail Processing Section SEP 0 3 2014 Washington DC 404

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