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Section

Washington, D.C. 20549

## FORM 19b-4(e)

NOV 252014 Information Required of a Self-Regulatory Organization Listing and Trading a New Washington Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 9ton DC 404

## **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

Part I	Initial Listing Report			
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product: BATS Exchange, Inc.		1401	1103  103
2.	Type of Issuer of New Derivative Securities Product ( <u>e.g.</u> , clearinghouse, broker-dealer, or See attached.	corporation, e	tc.):	
3.	Class of New Derivative Securities Product:	•		
4.	Name of Underlying Instrument: See attached.	· · · · · ·		
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached.			
6.	Ticker Symbol(s) of New Derivative Securities Product: See attached.		•	
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached.			
8.	Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC.	· · · · · · · · · · · · · · · · · · ·	· · · ·	
9.	Position Limits of New Derivative Securities Product (if applicable): Not applicable.			
Part II	<b>Execution</b>			
	The undersigned represents that the governing body of the above-referenced Self-Regular approved, or has duly delegated its approval to the undersigned for, the listing and tradin derivative securities product according to its relevant trading rules, procedures, surveillar standards.	g of the abov	e-reference	
Name o	of Official Responsible for Form: Anders Franzon		2014	
Title:	VP, Associate General Counsel	• • •	SEC	RE(
Teleph	ione Number: (913) 815-7154			EV
Manua	al Signature of Official Responsible for Form:	hange Act of		8
Date:	November 24, 2014		<u></u>	
SEC 244	49 (6-01) Availability: NOV 2 5 2	014		4

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON NOVEMBER 20, 2014

<u>(6)</u> <u>Ticker</u> - Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	<u>(5) Broad</u> <u>or</u> Narrow
		Investment Company	S&P China Composite Select	•	
CHNB	Trust	Units	Bond Index	World	Broad



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November 24, 2014

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Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on BATS Exchange on November 20, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

Act Securities Exchange Act of 1934
Socian
Rule

TEL. 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM