	· · ·		91-17672m
For Internal Use Only Sec File No. 9-	Submit 1 and 9 C		OMB APPROVAL OMB Number: 3235-0504
	UNITED SECURITIES AND EXCHA Washington,	NGE COMMISSION	Expires: August 31, 2010 Estimated average burden hours per respired3.60 Mail Processing Section
14011077		19b-4(e)	SEP 222014
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Action 1995 DC			
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM 404			
Part I	Initial L	isting Report	·
 Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) 			
	ative Securities Product (e.g., clear		corporation, etc.):
3. Class of New Derivative Sec Exchange Traded Fund		····· · · · · · · · · · · · · · · · ·	
4. Name of Underlying Instrum Actively managed portfo			H F E CE
	an Index, State Whether it is Broad	-Based or Narrow-Based:	
6. Ticker Symbol(s) of New De FTLS	erivative Securities Product:		NO D
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE			
 Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 			
 9. Position Limits of New Derivative Securities Product (if applicable): N/A 			
Part II Execution			
approved, or has duly d	sents that the governing body of the lelegated its approval to the unders es product according to its relevant	igned for, the listing and t	rading of the above-referenced
Name of Official Responsible fo Joan Conley	r Form:	:	
Title: Secretary		:	
Telephone Number: 301-978-8735			
Manual Signature of Official Re	sponsible for Form:	170 (0)	nw
Date: September 09, 2014	· · ·	Act	Securities Exchange Act of 1934
SEC 2449 (6-01)		Nacion	195-4 195-4(o)
		Public	SEP 2 2 2014
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