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For Internal Use Only Sec File No. 9-	Submit 1 Or and 9 Cop		OMB APPROVAL OMB Number: 3235-0504
	UNITED ST SECURITIES AND EXCHAN Washington, D	GE COMMISSION	Expires: August 31, 2010 Estimated overage burden hours per response3.60 Mail Processing
14011072	FORM 1	19b-4(e)	SEP 222014
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934			
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM			
Part I	Initial List	ing Report	· · · · · · · · · · · · · · · · · · ·
<ol> <li>Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)</li> </ol>			
2. Type of Issuer of New Deriva Open End Management In	tive Securities Product (e.g., clearin westment Company	ghouse, broker-dealer, corp	poration, etc.):
3. Class of New Derivative Secu Exchange Traded Fund	rities Product:		
4. Name of Underlying Instrument: Actively managed portfolio of securities			
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Not Applicable			
6. Ticker Symbol(s) of New Derivative Securities Product:			
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE, NYSE Amex, NYSE Arca, OTC			
8. Position Limits of New Deriva Regular way trades settle	ative Securities Product (if applicab on T + 3 (cash settled)	le):	······································
9. Position Limits of New Deriva	ative Securities Product (if applicab	le):	
Part II	Exec	ution	
approved, or has duly de	ents that the governing body of the a elegated its approval to the undersign s product according to its relevant tr	ned for, the listing and trad	ing of the above-referenced
Name of Official Responsible for <b>Joan Conley</b>	Form:		
Title: Secretary			
Telephone Number: 301-978-8735			
Manual Signature of Official Resp	ponsible for Form:	Mart	Ask united the Act of 1934
Date: September 09, 2014	· · · · · · · · · · · · · · · · · · ·	Section	19b-4
SEC 2449 (6-01)		Public	195-4(0)
		Availability	: SEP 2 2 2014