For Internal Use Only Submit 1 Original OMB Number: 3235-0504 and 9 Copies Sec File No. 9-Expires: August 31, 2013 UNITED STATES Estimated average burden hours per response.....3.60 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Mail Processing Section FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New SEP 222014 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange AWashington DC <u>40a</u> **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: 011061 Chicago Stock Exchange Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Trust 3. Class of New Derivative Securities Product: Ownership of the Trust Name of Underlying Instrument: 4. Guggenheim BulletShares 2021 High Yield Corporate Bond ETF If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. 2 Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 00 6. 177 BSJL 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various Settlement Methodology of New Derivative Securities Product: 8. 10 See Prospectus Position Limits of New Derivative Securities Product (if applicable): 9. See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Peter D. Santori Title: Executive Vice President Chief Compliance Officer, Chief Regulatory Officer Telephone Number: 312-663-2402 Manual Signature of Official Responsible for Form: Date: September 18, 2014

¥



September 18, 2014

By UPS

Ms. Gayle S. Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549

## Re: Form 19b-4(e) for exchange traded product

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

AN 1:21

BSCN	Guggenheim BulletShares 2023 Corporate Bond ETF
BSCO	Guggenheim BulletShares 2024 Corporate Bond ETF
BSJL	Guggenheim BulletShares 2021 High Yield Corporate Bond ETF
BSJM	Guggenheim BulletShares 2022 High Yield Corporate Bond ETF

If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely,

Peter D. Santori Executive Vice President Chief Compliance Officer Chief Regulatory Officer

ActSecurities Exchange Act of 1934Section19b-4Rule19b-4(e)PublicSEP 2 2 2014

A

SEC

Mail Processing Section

SEP 222014

Washington DC 404

Enclosures