

091-1763680

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: August 31, 2013  
Estimated average burden  
hours per response: 3.60

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SEC  
Mail Processing  
Section

FORM 19b-4(e)

SEP 18 2014

Information Required of a Self-Regulatory Organization Listing and Trading a **Washington DC**  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of **1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



14011041

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**Chicago Stock Exchange**
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Trust**
- Class of New Derivative Securities Product:  
**Ownership of the Trust**
- Name of Underlying Instrument:  
**Stock Split Index Fund ETF**
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Narrow-Based**
- Ticker Symbol(s) of New Derivative Securities Product:  
**TOFR**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Various**
- Settlement Methodology of New Derivative Securities Product:  
**See Prospectus**
- Position Limits of New Derivative Securities Product (if applicable):  
**See Prospectus**

RECEIVED  
2014 SEP 18 PM 12:28  
SEC / MR

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Peter D. Santori**  
Title: **Executive Vice President  
Chief Compliance Officer, Chief Regulatory Officer**  
Telephone Number: **312-663-2402**

Manual Signature of Official Responsible for Form:  
*P. Santori*

Date: **September 17, 2014**

Act **Securities Exchange Act of 1934**  
Section **19b-4**  
Rule **19b-4**  
Public  
Availability: **SEP 18 2014**



Chicago Stock Exchange

RECEIVED

2014 SEP 18 PM 12:28

SEC / MR

SEC  
Mail Processing  
Section

SEP 18 2014

Washington DC  
404

September 17, 2014

By UPS

Ms. Gayle S. Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

**Re: Form 19b-4(e) for exchange traded product**

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

TOFR	Stock Split Index Fund ETF
------	----------------------------

If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely,

Peter D. Santori  
Executive Vice President  
Chief Compliance Officer  
Chief Regulatory Officer

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 18 2014