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UNITED STATES

2014 OCT -8 PM 12: 2 SECURITIES AND EXCHANGE COMMISSION

SEC / MR

Washington, D.C. 20549

OMB Number: 3235-0504 Expires: August 31, 2010

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Mail Processing Section

FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New tive Securities Product Proposed to Barbara and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exercises Exercises 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. EDGA Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. 4. Name of Underlying Instrument: See attachëd. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Act Securities Exchange Act of 1934 Date: Rente 4(e) October 6, 2014 OCT 07 2014

Availability:

SEC 2449 (6-01)

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGA EXCHANGE, INC. COMMENCING ON SEPTEMBER 30, 2014

				(7) Market(s) upon	
(6)	(2) Type of			Which Securities	(5) Broad
Ticker	Issuer of		(4) Name of Underlying	Comprising Underlying	<u>or</u>
Symbol	NDSP	(3) Class of NDSP	<u>Instrument</u>	Instrument Trades	<u>Narrow</u>
					· ·
		Investment Company	AlphaShares Emerging Market		
EMRE	Trust	Units	Real Estate Index	World	Broad



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October 6, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 SEC
Mail Processing
Section
OCT 7 2014
Washington DC
404

RE: Form 19b-4(e) - EDGA Exchange, Inc.

Ms. Jackson,

On behalf of EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on EDGA Exchange on September 30, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

Act Securities Exchange Act of 1934

Section 1954
Ruse 1954
Public Availability: OCT 0 7 2014