

091-17564 gm

For Internal Use Only

Sec File No. 9

Submit 1 Original and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2013
Estimated average burden hours per response:	3.60

RECEIVED

2014 AUG 19 AM 6:35

SEC 112

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SEC
Mail Processing
Section

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

AUG 19 2014
Washington DC
404

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corp., trust, etc.):
Trust
- Class of New Derivative Securities Product:
Ownership of the Trust
- Name of Underlying Instrument:
First Trust Strategic Income ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:
FDIV
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Various
- Settlement Methodology of New Derivative Securities Product:
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):
See Prospectus

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Peter D. Santori

Title: Executive Vice President
Chief Compliance Officer, Chief Regulatory Officer

Telephone Number:
312-663-2402

Act: Securities Exchange Act of 1934

Manual Signature of Official Responsible for Form:
P. Santori

Section: 19b-4
Rule: 19b-4(e)

Date:
August 15, 2014

Public Availability: AUG 19 2014



Chicago Stock Exchange

August 15, 2014

By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE - Stop 7010
Washington, DC 20549

RECEIVED
2014 AUG 19 AM 6:34
SEC
Mail Processing Section
AUG 19 2014
Washington DC
404

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded products:

Table with 2 columns: Symbol and Product Name. Rows include FDIV (First Trust Strategic Income ETF), IBMI (iShares iBonds Sep 2020 AMT-Free Muni Bond ETF), TYTE (ProShares CDS North American HY ETF), and WYDE (ProShares CDS Short North American HY ETF).

If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely,

Handwritten signature of Peter D. Santori

Peter D. Santori
Executive Vice President
Chief Compliance Officer
Chief Regulatory Officer

Enclosures

Table with 2 columns: Field and Value. Fields include Act (Securities Exchange Act of 1934), Section (19b-4), Rule (19b-4(e)), and Public Availability (AUG 19 2014).