For Internal Use Only Sec File No 9-

Submit 1 Original and 9 Copies

**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION

2014 AUG 12 PM 3:50

Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

OMB Number: 3235-0504 Expires: August 31, 2013 Estimated average burden

hours per response. . . . . 3.60

Information Required of a Self-Regulatory Organization Listing and Trading a New

	Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exc	SEC
	READ ALL INSTRUCTIONS PRIOR TO COMPLETING FOR	Mail Processing
Part I	Initial Listing Report	<b>Section</b> AUG 1 2 2014
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product:	Washington DC
	Chicago Stock Exchange	404
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer	corporation, etc.):
	Trust	P COME DANGE MARKET TORSE MARKET THE CONTRACT OF THE CONTRACT
3.	Class of New Derivative Securities Product:	
	Ownership of the Trust	
4.	Name of Underlying Instrument: First Trust Enhanced Short Maturity ETF	14010947
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:	
	Narrow-Based	
6.	Ticker Symbol(s) of New Derivative Securities Product:  FTSM	
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:	
	Various	
8.	Settlement Methodology of New Derivative Securities Product:	
	See Prospectus	
9.	Position Limits of New Derivative Securities Product (if applicable):	
	See Prospectus	
Part II	Execution	
	The undersigned represents that the governing body of the above-referenced Self-Regular approved, or has duly delegated its approval to the undersigned for, the listing and tradit derivative securities product according to its relevant trading rules, procedures, surveilla standards.	ng of the above-referenced new
Name	of Official Responsible for Form:	
	Peter D. Santori	
Title:	Executive Vice President	
	Chief Compliance Officer, Chief Regulatory Officer	
Teleph	one Number: 312-663-2402	
Manua	l Signature of Official Responsible for Form:	
Date:	August 8, 2014	





August 8, 2014

## By UPS

Ms. Gayle S. Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street NE - Stop 7010 Washington, DC 20549

SEC Mail Processing Section AUG 122014

Washington DC 404

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filling an original and form spring for the Exchange Act of 1934, I enclose for filling an original and four copies of the Form 19b-4(e) relating to the following exchange traded products:

Compass EMP U.S. Discovery 500 Enhanced Volatility Weighted Fund **CSF FTSM** First Trust Enhanced Short Maturity ETF **IBLN** Direxion iBillionaire Index ETF

If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely,

Peter D. Santori

**Executive Vice President** Chief Compliance Officer **Chief Regulatory Officer** 

Enclosures

1	Act Securities Exchange Act of 1934
100	Section 195-4
	Rule 195-4(e)
	Public Availability: AUG 1 2 2014
ŀ	Availability: AUG 1 2 ZU14