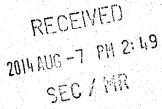
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Sec File No. 91 -	and 9 Copies	Expires:	SEC
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	UNITED STATES		Section
SEC / MR si	ECURITIES AND EXCHANGE CO	OMMISSION	AUC 0 7 2014
*	Washington, D.C. 20549		AUG 0 7 2014
Tu Course Allow Do	FORM 19b-4(e)	T	Washington DC
Derivative Securities Pr	quired of a Self-Regulatory Organizatio oduct Pursuant to Rule 19b-4(e) Under	n Listing and Tradin	g a New
	ALL INSTRUCTIONS PRIOR TO CO		nge Act of 1750-P
Part I	Initial Listing Report	WII EETHIOTORIV	
1. Name of Self-Regulatory Organization Li			
NYSE Arca, Inc. (trading pursuant to	A STATE OF THE PARTY OF THE PAR		
	parameter of comments for the same of the	· ·	THE PROPERTY OF THE PROPERTY O
2. Type of Issuer of New Derivative Securit	ies Product (e.g., clearinghouse, broker-de	ealer, corporation, etc	14010942
Open-end Management Investment C		- Annual Control of the Control of t	· · · · · · · · · · · · · · · · · · ·
3. Class of New Derivative Securities Produ	ict:	matter the second secon	
Investment Company Units			
× V			
4. Name of Underlying Instrument:			
Actively Managed			
5. If Underlying Instrument is an Index, Stat	e Whether it is Broad-Based or Narrow-B	ased:	
Not Applicable			
6. Ticker Symbol(s) of New Derivative Secu	rrities Product:		
FTSM			
7. Market or Markets Upon Which Securities	s Comprising Underlying Instrument Trad	ies:	·
Not Applicable			
O. CWilliam A. Martin, J. L. C. C. C. C. C. C.			
8. Settlement Methodology of New Derivative			
Regular way trades settle on T+3/Boo	k entry only held in DTC.		
9. Position Limits of New Derivative Securit	tian Product (if applicable)		
Not applicable.	ies i foddet (if applicable).		
Not applicable.			·
Part II	Execution		
The undersigned represents that the governing	the state of the s	llatory Organization h	as duly approved or has duly
delegated its approval to the undersigned for	, the listing and trading of the above-refer	enced new derivative s	securities product according to its
elevant trading rules, procedures, surveilland	ce programs and listing standards.		
Name of Official Danier illa for Farmer			
Name of Official Responsible for Form:			
Martha Redding			
Title:		;	
Chief Counsel and Assistant Corporat	te Secretary		
Telephone Number:			
212) 656-2938			
Manual Signature of Official Responsible for	r Form:	reproduce a service de la company de la comp	214 on Euchanica Act of 1024
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August 6, 2014		inc 16%.	((c)
EC/2449 (1/99)	li l		
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Washington DC
404

Martha Redding Chief Counsel Assistant Corporate Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T + 1 212 656 2838 F + 1 212 656 8101 mredding@nyx.com

August 6, 2014

Via Overnight Mail

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: 19b-4(e) - First Trust Enhanced Short Maturity ETF

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

First Trust Enhanced Short Maturity ETF (FTSM)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

Act Securities Exchange Act of 1934

Section 195-4

Rule 195-4(e)

Public Availability: AUG 0 7 2014