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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

OMB Number:

3235-0504

Expires: August 31, 2013 Estimated average burden

hours per response. 3.60

SEC Mail Processing Section

Information Required of a Self-Regulatory Organization Listing and Trading 4 New 2014 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exclusion DC

| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Part I | Initial Listing Report | | | |
| 1. | Name of Self-Regulatory Organization Listing New Derivative S | Securities Produc | | |
| | Chicago Stock Exchange | | 14010933 | |
| 2. | Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): | | | |
| | Trust | | | |
| 3. | Class of New Derivative Securities Product: | | | |
| | Ownership of the Trust | - | | |
| 4. | Name of Underlying Instrument: | | | |
| | AdvisorShares Sunrise Global Multi-Strategy ETF | | | |
| 5. | If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: | | | |
| | Narrow-Based | | | |
| 6. | Ticker Symbol(s) of New Derivative Securities Product: | | N E M | |
| | MULTI | | C = C | |
| 7. | Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: | | | |
| • | Various | | unida. | |
| 8. | Settlement Methodology of New Derivative Securities Product: | | <u> </u> | |
| | See Prospectus | | မ | |
| 9. | Position Limits of New Derivative Securities Product (if applicable): | | | |
| | See Prospectus | | | |
| | | | | |
| Part II Execution | | | | |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. | | | | |
| Name of Official Responsible for Form: | | | | |
| Peter D. Santori | | | | |
| Title: Executive Vice President | | | | |
| | Chief Compliance Officer, Chief Regulatory Officer | | | |
| Telephone Number: Act Sceurities Exchange Act 312-663-2402 | | Securities Exchange Act of 1934 | | |
| | | Section | 196-4 | |
| I Manual Signature of Official Responsible for Form. | | 190-4 190-4(e) | | |
| | (IVAS la X) | 10 | And the second s | |
| Date: | | Availability: | JUL 1 4 2014 | |
|] | July 10, 2014 | - Avenuality. | 1 1 6.011 | |



July 9, 2014

By UPS

Ms. Gayle S. Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549 SEC Mail Processing Section JUL 14 2014

Washington DC 404

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded products:

| CDC | Compass EMP US EQ Income 100 Enhanced Volatility Weighted Fund | | |
|------|----------------------------------------------------------------|--|--|
| CFA | Compass EMP U.S. 500 Volatility Weighted Index ETF | | |
| CFO | Compass EMP U.S. 500 Enhanced Volatility Weighted Index ETF | | |
| MULT | AdvisorShares Sunrise Global Multi-Strategy ETF | | |

If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely,

Peter D. Santori

Executive Vice President Chief Compliance Officer Chief Regulatory Officer

Enclosures

Act Securities Unchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Public Availability: JUL 1 4 2014