



091-175308

For Internal Use
Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

14010928

OMB APPROVAL

OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
hours per response.....3.60

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **SEC**
Mail Processing

Part I Initial Listing Report

JUL 24 2014

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)

Washington DC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company

404

3. Class of New Derivative Securities Product:
Exchange Traded Fund

2014 JUL 24 PM 2:51

4. Name of Underlying Instrument:
FTSE EPRA/NAREIT Global REIT Index

SEC / MR

RECEIVED

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-based

6. Ticker Symbol(s) of New Derivative Securities Product:
REET

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Listed on: Australian Stock Exchange (ASX), Borsa Italiana, Deutsche Borse, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Hong Kong, Istanbul Stock Exchange, Johannesburg Stock Exchange, London Stock Exchange, Mexican Stock Exchange, NASDAQ Stock Market LLC, NYSE, Osaka, Shanghai Stock Exchange, Shenzhen, Singapore Stock Exchange, Tokyo Stock Exchange, Toronto

8. Position Limits of New Derivative Securities Product (if applicable):
Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):
N/A

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Joan Conley

Title:
Secretary

Telephone Number:
301-978-8735

Manual Signature of Official Responsible for Form:

Date: **July 11, 2014**

Securities Exchange Act of 1934	
Section	19b-4
Rule	19b-4(e)

Public Availability: **JUL 24 2014**