

091-17521pm

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UNITED STATES SEC / MR SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

FORM 19b-4(e)

	tion Required of a Self-Regulator rities Product Pursuant to Rule 19		
	READ ALL INSTRUCTIONS	PRIOR TO COM	PLETING FORM SEC
Part I	Initial Listing Report		Mail Processing Section
Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)		JUL 2 4 2014	
2. Type of Issuer of New Deriva Open End Management In	tive Securities Product (e.g., clearin vestment Company	ghouse, broker-dea	eler, corporation, etc. Washington DC
3. Class of New Derivative Sect Exchange Traded Fund	urities Product:		
4. Name of Underlying Instrume Actively managed portfol			
If Underlying Instrument is a Not Applicable	n Index, State Whether it is Broad-B	Based or Narrow-Ba	sed:
6. Ticker Symbol(s) of New Der MULT	ivative Securities Product:		
	ich Securities Comprising Underlyir k Market LLC, NYSE, NYSE Ard		es:
8. Position Limits of New Deriv Regular way trades settle	rative Securities Product (if applicable on T + 3 (cash settled)	le):	:
9. Position Limits of New Deriv N/A	rative Securities Product (if applicab	le):	
Part II	Exec	ution	
approved, or has duly de	elegated its approval to the undersig	ned for, the listing	elf-Regulatory Organization has duly and trading of the above-referenced lures, surveillance programs and listing
Name of Official Responsible for Joan Conley	Form:		
Title: Secretary			/
Telephone Number: 301-978-8735		\searrow 1	
Manual Signature of Official Res	ponsible for Form:	/ Wy 1	Mul
Date: July 11, 2014		Act	Securit es Exchange Act of 1934
SEC 2449 (6-01)		Secti Rule	on 195-4* 195-4(e)
		Pub!	ic JUL 2 4 2014