091-17516 gn

*				1	
For Internal Use Only		Submit 1 Original		OMB Approval No.:	
Sec File No. 91 -		and 9 Copies		Expires: SEC	
				nated avera Majk Proce	Sold sponse: 2.00
		UNITED STATE		Section	n
	SECURI	TIES AND EXCHANGI		ION JUL 1/7 2	n14
		Washington, D.C. 20			
		FORM 19b-4(e	A CHARLES TO THE REAL PROPERTY OF THE PARTY OF THE PARTY.	Washingto	n DC
In Derivativ	formation Required o	f a Self-Regulatory Organiz ursuant to Rule 19b-4(e) U	zation Listing a nder the Securi	nd Trading a New 40434	(
DCI IV dCI		STRUCTIONS PRIOR TO			
Part I		Initial Listing Repo	Marking and the Control of the Contr		
Name of Self-Regulatory (Organization Listing No				
NYSE Arca, Inc.	<u> </u>				
				14	010913
Type of Issuer of New Der	ivative Securities Produ	ıct (<u>e.g.</u> , clearinghouse, brok	er-dealer, corpo	ration, etc.):	
Open-end Management l	Investment Compan	y			
	•	•			
3. Class of New Derivative S	ecurities Product:		<u> </u>	and the first of the second se	
Investment Company Un					
4. Name of Underlying Instru	ment:				
Sprott Zacks Gold Minei	rs Index				
				,	~
If Underlying Instrument is	s an Index, State Wheth	er it is Broad-Based or Narro	w-Based:		
Narrow-Based					늘 深
				SE	
6. Ticker Symbol(s) of New I	Derivative Securities Pro	oduct:		೧	
SGDM					
7. Market or Markets Upon W		sing Underlying Instrument	Trades:	Z	, <u>22 a</u>
NYSE, NYSE MKT, NAS	BDAQ				06
D. C. 441 - 1 1 1		4' - D 14		And the second s	<u> </u>
B. Settlement Methodology of	<u> </u>				
Regular way trades settle	on 1+3/Book entry	only neid in DIC.			I
Position Limits of New Der	rivative Securities Prod	ict (if annlicable):		·	
Not applicable.	TVative Securities I four	ict (if applicable).		The state of the s	
tot applicable.					
Part II		Execution			
he undersigned represents th	at the governing body of	<u> </u>	Regulatory Orga	nization has duly approved	. or has duly
lelegated its approval to the u	ndersigned for, the listing	ng and trading of the above-r			
elevant trading rules, procedu	ires, surveillance progra	ms and listing standards.			
Name of Official Responsible	for Form:		y .		
Sudhir Bhattacharyya	101 1 OIIII.				
itle:	<u></u>				
		The state of the s			
Vice President					
elephone Number:					
212) 656-2920) agnongihla for Farre		Act	his to the total file with the transport of	73CI OI 1934
Manual Signature of Official F	cesponsiole for Form:	<u> </u>		CONTRACTOR OF THE PROPERTY OF	
-			Section	195-4	
uly 15, 2014	nt des la	and the second of the second o	Rule	105.4/65	
EC 2449 (1/99)			Public		
50 2447 (1/77)	· ·		Availability	: JUL 1 7 2014	
			1		

Sudhir Bhattacharyya Vice President



NYSE Arca, Inc. 20 Broad Street New York, NY 10005

tel: 212.656.2920 sbhattacharyya@nyx.com

SEC
Mail Processing
Section
JUL 172014
Washington DC
404

Via Overnight Mail

July 15, 2014

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: SPROTT GOLD MINERS ETF

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

Sprott Gold Miners ETF

SGDM

If you have any questions, please do not hesitate to call me at (212) 656-2920.

Sincerely,

Enclosures

Act Section 195-4

Section 195-4

Rule 195-4(a)

Public JUL 1 7 2014

RECEIVED
2014 JUL 17 PM 12: 05
SEC / MR