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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## FORM 19b-4(e)

SEC Mail Processing Section

Information Required of a Self-Regulatory Organization Listing and Trading a New 7.4.7 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

Washington DC

	READ ALL INSTRUCTIONS PRIOR TO C	COMPLETING FO	ORM 404
Part I	Initial Listing Report		
1.	Name of Self-Regulatory Organization Listing New Derivative Sec Chicago Stock Exchange	urities Product:	
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  Trust		
3.	Class of New Derivative Securities Product:		- I MARK WIN DIAM ROUGHER BREVIOUR GAVE HER CONT
4.	Ownership of the Trust  Name of Underlying Instrument:  Compass EMP U.S. 500 Enhanced Volatility Weighte	d Index ETF	14010899
5.	If Underlying Instrument is an Index, State Whether it is Broad-Ba Narrow-Based	sed or Narrow-Bas	
6.	Ticker Symbol(s) of New Derivative Securities Product: CFO		S
7.	Market or Markets Upon Which Securities Comprising Underlying Various	Instrument Trades	
8.	Settlement Methodology of New Derivative Securities Product: See Prospectus		3 P F
9.	Position Limits of New Derivative Securities Product (if applicable):  See Prospectus		
Part II	Execution		
	The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.		
	f Official Responsible for Form: Peter D. Santori		
	Executive Vice President Chief Compliance Officer, Chief Regulatory Officer	учения польтической места и для деней в 1900 г. г.с.	Cartilles Exchange Act of 1934
	ne Number: 312-663-2402		195-4 195-70)
Manual	Signature of Official Responsible for Form:	Public	JUL 1 4 2014
Date:	July 9, 2014	Availability:	



July 9, 2014

## By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

SEC
Mail Processing
Section
JUL 14 Z014
Washington DC

404

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded products:

CDC	Compass EMP US EQ Income 100 Enhanced Volatility Weighted Fund	
CFA	Compass EMP U.S. 500 Volatility Weighted Index ETF	
CFO	Compass EMP U.S. 500 Enhanced Volatility Weighted Index ETF	
MULT	AdvisorShares Sunrise Global Multi-Strategy ETF	

If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely.

Peter D. Santori

Executive Vice President Chief Compliance Officer Chief Regulatory Officer

**Enclosures** 

Act Securities livehange Act of 1934

Section 19b-4
Rule 19b-4(e)

Public Availability: JUL 1 4 2014