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UNITED STATES

2014 AUG 13 PM 3:22 PRITIES AND EXCHANGE COMMISSION

SEC / MR

Washington, D.C. 20549

FORM 19b-4(e)

OMB Number: 3235-0504

August 31, 2010 Expires: Estimated average burden hours per response. . . . . 3.60

> Mail Processing Section

Information Required of a Self-Regulatory Organization Listing and Trading a New tive Securities Product Produ Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchang Washington DC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FOR Initial Listing Report Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. EDGA Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Class of New Derivative Securities Product: 3. See attached. Name of Underlying Instrument: 4. See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Act Date: 19b-4 Section August 12, 2014 19b-4(e) Ruic

Public

Availability:

AUG 1 3 2014

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGA EXCHANGE, INC. COMMENCING ON AUGUST 7, 2014

(6) <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
		Investment Company	· [		
FTSM	Trust	Units	Fixed Income Securities	World	Broad
					-
					1
		Investment Company	Index-Based Credit Default		
TYTE	Trust	Units	Swaps	World	Broad
			•		
		Investment Company	Index-Based Credit Default		
WYDE	Trust	Units	Swaps	World	Broad



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Section
AUG 132014
Washington DC

August 12, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) - EDGA Exchange, Inc.

Ms. Jackson,

On behalf of EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on EDGA Exchange on August 7, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

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