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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB Number: 3235-0504

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FORM 19b-4(e)

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Information Required of a Self-Regulatory Organization Listing and Trading a New Section tive Securities Product Pursuant to Rule 19b-4(e) Under the Securities Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Add of 3954014

|               | READ ALL INSTRUCTIONS PRIOR TO COM  | PLETING FOR        | M Vasnington DC                 |
|---------------|---|--------------------|---------------------------------|
| Part I        | Initial Listing Report  |                    | .04                             |
| l.            | Name of Self-Regulatory Organization Listing New Derivative Securit EDGX Exchange, Inc.   | ies Product:       |                                 |
|               | Type of Issuer of New Derivative Securities Product (e.g., clearinghou See attached.  | se, broker-dealer  | , corporation, etc.):           |
|               | Class of New Derivative Securities Product: See attached.   |                    |                                 |
| ļ.            | Name of Underlying Instrument: See attached.  |                    |                                 |
| j.            | If Underlying Instrument is an Index, State Whether it is Broad-Based See attached.   | or Narrow-Based    |                                 |
| ).            | Ticker Symbol(s) of New Derivative Securities Product: See attached.  |                    | E 3 CE                          |
| 1.            | Market or Markets Upon Which Securities Comprising Underlying Ins See attached.   | trument Trades:    | 著音                              |
|               | Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled   | at NSCC.           | <br>သင်္                        |
| ),            | Position Limits of New Derivative Securities Product (if applicable): Not applicable.   |                    |                                 |
| Part II       | Execution   |                    |                                 |
|               | The undersigned represents that the governing body of the above-refer approved, or has duly delegated its approval to the undersigned for, the derivative securities product according to its relevant trading rules, prestandards. | e listing and trad | ing of the above-referenced new |
| vame o        | of Official Responsible for Form: Anders Franzon  |                    |                                 |
| Title:        | VP, Associate General Counsel   |                    | 14010877                        |
|               |   |                    |                                 |
| <b>Feleph</b> | one Number: (913) 815-7154  |                    |                                 |
|               | Signature of Official Responsible for Form:   | Act                | Securities Exchange Act of 1887 |
|               | (913) 613-7134  | Act                | Securities Employees Activities |

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX, INC. COMMENCING ON JULY 24, 2014

| (6)<br>Ticker<br>Symbol | (2) Type of<br>Issuer of<br>NDSP | (3) Class of NDSP           | (4) Name of Underlying<br>Instrument                  | (7) Market(s) upon Which Securities Comprising Underlying Instrument Trades | (5) Broad<br>or<br>Narrow |
|-------------------------|----------------------------------|-----------------------------|---|---|---------------------------|
|                         |                                  |                             |   |   |                           |
| IFV                     | Trust                            | Investment Company<br>Units | First Trust Dorsey Wright International Focus 5 Index | World   | Broad                     |



July 30, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on EDGX Exchange on July 24, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

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|                        |                                   |  | in a series                 |                       | 1. Project (1)   |  |                   |

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