OMB APPROVAL 3235-0504 OMB Number:

Estimated average burden hours per response SEC . 3.60

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UNITED STATES

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 19b-4(e)

JUL 292014 Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Actington DC 4**0**4

#### **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

Part I	Initial Listing Report				
1.	Name of Self-Regulatory Organization Listing New Derivative Sec BATS Exchange, Inc.	-		14010876	) ( <b>NA</b> INA <b>K</b> ini tan 
2.	Type of Issuer of New Derivative Securities Product (e.g., clearing See attached.	house, broker-d	lealer, corpu	ланоп, etc.):	
3.	Class of New Derivative Securities Product: See attached.				
4.	Name of Underlying Instrument: See attached.				
5.	If Underlying Instrument is an Index, State Whether it is Broad-Ba See attached.	sed or Narrow-	Based:		
6.	Ticker Symbol(s) of New Derivative Securities Product: See attached.				Z.
7.	Market or Markets Upon Which Securities Comprising Underlying See attached.	Instrument Tra	des:	JL 30	E C H
8.	Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settl	led at NS	CC.	A R	IVE
9.	Position Limits of New Derivative Securities Product (if applicable Not applicable.	e):		~ ii	
Part D	Execution				
	The undersigned represents that the governing body of the above-r approved, or has duly delegated its approval to the undersigned fo derivative securities product according to its relevant trading rules standards.	r, the listing and	l trading of	the above-referenced	new
Name	of Official Responsible for Form: Anders Franzon				
Title:	VP, Associate General Counsel				
-	one Number: (913) 815-7154	11. BLETSHERE STREET			•
Manua	l Signature of Official Responsible for Form:	Act	Sections	Brohango nel el 191	
Date:	July 25, 2014	Patie	19546)		
SEC 24	49 (6-01)	Availability:	JUL 2	9 2014	

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON MARCH 6, 2014

(6) <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
YPRO	Trust	Investment Company Units	Fixed Income ETFs	Various World Markets	Broad
	, ]				· · ·
KBA	Trust	Investment Company Units	MSCI China A Index	Various World Markets	Broad



July 25, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 SEC Mail Processing Section JUL 292014 Washington DC 404

# RE: Resubmission of Form 19b-4(e) - BATS Exchange, Inc. (March 6, 2014)

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on BATS Exchange on March 6, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel 913.815.7154

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TEL. 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM