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UNITED STATES

2014 JUL 30 AM 11: QECURITIES AND EXCHANGE COMMISSION

SEC / MR

Washington, D.C. 20549

OMB APPROVAL

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New 2 9 2014

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Mashington DC

<del>404</del> READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. BATS Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. Name of Underlying Instrument: 4. See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. Ticker Symbol(s) of New Derivative Securities Product: 6 See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Act Date: Sociion 195-4 July 25, 2014 Rule 195-4(e)

SEC 2449 (6-01)

Public

Availability:

JUL 2 9 2014

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON MARCH 28, 2014

(6) <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
Symbol	NDSE	(8) Class Of NESE	nistiunani,		INCA III
		·			
		Investment Company	Dow Jones Brookfield Global		
TOLZ	Trust	Units	Infrastructure Composite Index	Various World Markets	Broad



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Washington DC
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July 25, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Resubmission of Form 19b-4(e) - BATS Exchange, Inc. (March 28, 2014)

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on BATS Exchange on March 28, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

VP, Associate General Counsel

913.815.7154

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