Submit 1 Original For Internal Use Only OMB Number: 3235-0504 and 9 Copies Sec File No. 9-Expires: August 31, 2010 **UNITED STATES** Estimated average burden hours per response.....3.60 SECURITIES AND EXCHANGE COMMISSION SEC Washington, D.C. 20549 Mail Processing Section FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a Nett. 29 2014 Information Required of a Self-Kegulatory Organization Assessment of the Securities Exchan Washef 1934 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchan Washef 1934 404 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. EDGA Exchange, Inc. 14010853 Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, our 2. See attached. Class of New Derivative Securities Product: 3 Re See attached. R မ္မ Name of Underlying Instrument: 4. See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. 1 See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. 00 See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Act Securities Exchange Act of 1934 Section 195-4 Date Rule 196-4(c) July 25, 2014 Public JUL 2 9 2014 SEC 2449 (6-01) Availability:

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGA Exchange, Inc. COMMENCING ON MARCH 28, 2014

(6) <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
TOLZ	Trust	Investment Company Units	Dow Jones Brookfield Global Infrastructure Composite Index	Various World Markets	Broad



SEC Mail Processing Section JUL 292014 Washington DC 404

July 25, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

## RE: Resubmission of Form 19b-4(e) - EDGA Exchange, Inc. (March 28, 2014)

Ms. Jackson,

On behalf of EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on EDGA Exchange on March 28, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

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