

091-17471-jm

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL
OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
hours per response..... 3.60

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Mail Processing
Section

JUL 29 2014

Part I

Initial Listing Report

Washington DC
404

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGA Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.

3. Class of New Derivative Securities Product:
See attached.

4. Name of Underlying Instrument:
See attached.

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.

6. Ticker Symbol(s) of New Derivative Securities Product:
See attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.

8. Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):
Not applicable.



14010843

RECEIVED
2014 JUL 30 AM 10:55
SEC / MR

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: July 25, 2014

Act: Securities Exchange Act of 1934
Section: 19b-1
Rule: 19b-7(a)
Public Availability: JUL 29 2014

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGA EXCHANGE, INC.
 COMMENCING ON MARCH 7, 2014

<u>(6)</u> Ticker Symbol	<u>(2) Type of</u> Issuer of NDSP	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying</u> Instrument	<u>(7) Market(s) upon</u> Which Securities Comprising Underlying Instrument Trades	<u>(5) Broad</u> or Narrow
FV	Trust	Investment Company Units	Dorsey Wright Focus Five Index	Various U.S. Markets	Broad



RECEIVED

2014 JUL 30 AM 10:55

SEC / MR

SEC
Mail Processing
Section

JUL 29 2014

Washington DC
404

July 25, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Resubmission of Form 19b-4(e) – EDGA Exchange, Inc. (March 7, 2014)

Ms. Jackson,

On behalf of EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on EDGA Exchange on March 7, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 29 2014