For Internal Use Only Sec File No. 9-

Submit 1 Original and 9 Copies

UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

> Mail Processing Section

> > 4<del>04</del>

Washington, D.C. 20549

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New JUL 292014 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange AWasHington DC

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

| Part I  | Initial Listing Report   |                                       |                                 |  |  |  |
|---------|--|---------------------------------------|---------------------------------|--|--|--|
| 1.      | Name of Self-Regulatory Organization Listing New Derivative Securit<br>BATS Exchange, Inc.   | ties Product:                         | 14010835                        |  |  |  |
| 2.      | Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):<br>See attached.  |                                       |                                 |  |  |  |
| 3.      | Class of New Derivative Securities Product:<br>See attached.   |                                       | 2014 J                          |  |  |  |
| 4.      | Name of Underlying Instrument:<br>See attached.  |                                       | SEC                             |  |  |  |
| 5.      | If Underlying Instrument is an Index, State Whether it is Broad-Based See attached.  | or Narrow-Based                       | PM PM                           |  |  |  |
| 6.      | Ticker Symbol(s) of New Derivative Securities Product:<br>See attached.  |                                       | R 0                             |  |  |  |
| 7.      | Market or Markets Upon Which Securities Comprising Underlying Ins<br>See attached.   | trument Trades:                       | <del>v</del>                    |  |  |  |
| 8.      | Settlement Methodology of New Derivative Securities Product:<br>Trades locked in at Exchange and settled   | l at NSCC.                            | · ·                             |  |  |  |
| 9.      | Position Limits of New Derivative Securities Product (if applicable):<br>Not applicable.   |                                       |                                 |  |  |  |
| Part II | Execution  |                                       |                                 |  |  |  |
|         | The undersigned represents that the governing body of the above-refer<br>approved, or has duly delegated its approval to the undersigned for, the<br>derivative securities product according to its relevant trading rules, pro-<br>standards. | e listing and trad                    | ing of the above-referenced new |  |  |  |
| Name o  | of Official Responsible for Form: Anders Franzon   |                                       |                                 |  |  |  |
| Title:  | VP, Associate General Counsel  | · · · · · · · · · · · · · · · · · · · |                                 |  |  |  |
| Telepho | one Number: (913) 815-7154   | -<br>-                                |                                 |  |  |  |
| Manua   | Signature of Official Responsible for Form:  | Act                                   | Securities Exchange Act of 1934 |  |  |  |
| Dato    | July 25, 2014  | Section                               | 195-4<br>193-4(c)               |  |  |  |
| SEC 244 | 9 (6-01)   | Public<br>Availability:               | JUL 2 9 2014                    |  |  |  |

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON MARCH 14, 2014

| <u>(6)</u><br><u>Ticker</u><br>Symbol | (2) Type of<br><u>Issuer of</u><br><u>NDSP</u> | (3) Class of NDSP           | (4) Name of Underlying<br>Instrument                                | (7) Market(s) upon<br>Which Securities<br>Comprising Underlying<br>Instrument Trades | (5) Broad<br>or<br>Narrow |
|---------------------------------------|--|-----------------------------|---|--|---------------------------|
| IJNK                                  | Trust  | Investment Company<br>Units | Barclays Global ex US Issuers<br>High Yield Corporate Bond<br>Index | Various World Markets  | Broad                     |



July 25, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Resubmission of Form 19b-4(e) - BATS Exchange, Inc. (March 14, 2014)

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on BATS Exchange on March 14, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154



| Section 19b-4   Rule 195-4463  | Act   | Scourilles Land and Ci 1934  |
|--|---|--|
| KUNC - The state of the second s | NAMES OF TAXABLE PARTY OF TAXABLE PARTY OF TAXABLE PARTY. | ALL AND DESCRIPTION OF THE ADDRESS O |
|  | Rule<br>Public  | 1 State 1 C - 2 C - 2<br>Marine - Marine Marine Construction and a second state of the   |

TEL 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM

SEC Mail Processing Section JUL 292014 Washington DC 404