

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS

APPLICATION ISE Gemini, LLC  AMENDMENT



14010825

1. State the name of the applicant: ISE Gemini, LLC
  2. Provide the applicant's primary street address (Do not use a P.O. Box): 60 Broad Street,  
26<sup>th</sup> Floor New York, NY 10004
  3. Provide the applicant's mailing address (if different):  
\_\_\_\_\_
  4. Provide the applicant's business telephone and facsimile number:  
212 943-2400 (Telephone) 212-509-3955 (Facsimile)
  5. Provide the name, title, and telephone number of a contact employee:  
Ronan Cahill (Name) Senior Legal and Regulatory Associate (Title) 212-897-8152 (Telephone Number)
  6. Provide the name and address of counsel for the applicant:  
Michael Simon  
60 Broad Street  
New York, NY 10004
  7. Provide the date applicant's fiscal year ends: December 31, 2014
  8. Indicate legal status of applicant:  Corporation  Sole Proprietorship  Partnership  
 Limited Liability Company  Other (specify): \_\_\_\_\_
- If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):
- (a) Date (MM/DD/YY): 05/30/2012 (b) State/Country of formation: Delaware
- (c) Statute under which applicant was organized: Delaware LLC Act 6 DelC section 18-101

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SEC/MR

**EXECUTION:** The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Date: 04/10/14 ISE Gemini, LLC  
(MM/DD/YY) (Name of applicant)

By: Ronan Cahill Legal Associate  
(Signature) (Printed Name and Title)

Subscribed and sworn before me this 3<sup>rd</sup> day of April, 2014 by Samir Patel  
(Month) (Year) (Notary Public)

My Commission expires 7/10/14 County of Nassau State of New York

This page must always be completed in full with original, manual signature and notarization. SAMIR PATEL  
Affix notary stamp or seal where applicable.

Notary Public - State of New York  
NO. 02PA6149278  
Qualified in Nassau County  
My Commission Expires 7/10/14



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April 3, 2014

VIA FEDERAL EXPRESS

Chris Grobbel  
U.S. Securities and Exchange Commission  
Division of Market Regulation  
Office of Market Supervision  
100 F Street NE  
Mail Stop 6628  
Washington, DC 20549

Re: ISE Gemini, LLC  
SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Gemini, LLC's ("ISE Gemini") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Gemini issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Gemini's website:

### **Options**

New Listings:

<http://ise.com/newlistings>

Delistings:

<http://ise.com/delistings>

Expiration Notices:

<http://ise.com/expirationnotices>

Series Additions/Deletions:

<http://ise.com/serieslist>

Market Information Circulars:

<http://ise.com/mics>

### **Index Options**

Recent Index Changes:

<http://ise.com/newlistings>

Index Settlement Values:

<http://www.ise.com/options/products-traded/index-settlement-values>

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**Legal & Regulatory**

Regulatory Information Circulars:

<http://www.ise.com/rics>

Rules:

<http://www.ise.com/rules>

**Marketing Material**

Press Releases:

<http://www.ise.com/press-room/press-releases/>

Publications:

<http://www.ise.com/publications>

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,



Ronan Cahill

Senior Legal & Regulatory Associate

Exhibit M

*Approved Members:*

NASDAQ Execution Services, LLC  
165 Broadway, 51st Floor  
New York, NY 10006  
Contact: Venu Palaparthi (212) 401-8780  
Electronic Access Member  
Electronic Access Member

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