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UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR,

(MM/DD/YY):

Date filed

OFFICIAL USE

	REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT	UNLY		
	FEB 1 D 2014	1		
	ARNING: Patitue to keep this form current and to file accurate supplementary information on a timely basis, or the failure to			
	ep accurate tooks and records or otherwise to comply with the provisions of law applying to the conduct of the applicant ould violate the specific laws and may result in disciplinary, administrative, or criminal action.			
	INTENTIONAL MISSIATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS	1		
	APPLICATION National Stock Exchange, Inc. State the name of the applicant:			
2.	To Thadson Street, Sale 7200			
	Jersey City, New Jersey 07302	1911 1611 1611		
3.	Provide the applicant's mailing address (if different):			
	14010824			
		1		
4.	Provide the applicant's business telephone and facsimile number: (201) 499-3700 (201) 499-0174			
	(Facsimile)			
5.	Provide the name, title, and telephone number of a contact employee:			
	Susan Ameel Chief Regulatory Officer (201) 499-0164			
	(Name) (Title) (Telephone Number)			
6.	Provide the name and address of counsel for the applicant:			
	James Buckley			
	National Stock Exchange, Inc.			
	Jersey City, New Jersey 07302			
_	December 31			
7.	Provide the date applicant's fiscal year ends:			
8.	Indicate legal status of applicant: ☐ Corporation ☐ Sole Proprietorship ☐ Partnership ☐ Limited Liability Company ☐ Other (specify):			
	If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed): 06/26/2006 (b) State/Country of formation: Delaware, USA			
	(a) Date (MM/DD/YY): (b) State/Country of formation: D.C.L. 245 et seq.			
_	(c) Statute under which applicant was organized:			
EX	ECUTION: The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and			
Exc	change Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the	1		
app	olicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly orn, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The unders igned and			
apo	plicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto,	1		
and	d other information filed herewith, all of which are made a part hereof, are current, true, and complete.	1		
Da	te:			
Ву	(Name of applicant) Susan Ameel, Chief Regulatory Officer			
	(Signature) bscribed and sworn before me this			
Му	Commission expires May 16, 2015 County of S-1/8/K State of New York	n a		
	This page must always be completed in full with original, manual signature and notarization. Affix notary stamp or seal where applicable. PHILIP I ASARO	y.a		

PHILIP J. ASARO
Notary Public - State of New York Qualified in Suffolk County

My Commission Expires May 16, 20 15

(extication filed in New York Co.

United States Securities and Exchange Commission Washington, D.C. 20549

Form 1 Amendment to Application for Registration as a National Securities Exchange

National Stock Exchange, Inc.

Exhibit J

Officers, Directors, Members of Standing Committees (from December 31, 2013 through Present)

Officers:

David F. Harris Director, Chairman, & Chief Executive Officer

Current term expires upon successor's appointment and qualification, or

upon officer's earlier death, resignation, retirement or removal.

Employee of the Exchange.

Francis Corcoran President, Chief Administrative Officer

Current term expires upon successor's appointment and qualification, or

upon officer's earlier death, resignation, retirement or removal.

Employee of the Exchange.

David Reed Chief Operating Officer

Current term expires upon successor's appointment and qualification, or

upon officer's earlier death, resignation, retirement or removal.

Employee of the Exchange.

Bruce Kulback Chief Information Officer

Current term expires upon successor's appointment and qualification, or

upon officer's earlier death, resignation, retirement or removal.

Employee of the Exchange.

Susan Ameel Chief Regulatory Officer, Regulation

Current term expires upon successor's appointment and qualification, or

upon officer's earlier death, resignation, retirement or removal.

Employee of the Exchange.

Jeremy Sanchez Chief Compliance Officer

Current term expires upon successor's appointment and qualification, or

upon officer's earlier death, resignation, retirement or removal.

Employee of the Exchange.

Michael Serafin Vice President, Client Services

Current term expires upon successor's appointment and qualification, or

upon officer's earlier death, resignation, retirement or removal.

Employee of the Exchange.

Philip M. Pinc

Vice President, Counsel and Secretary

Current term expires upon successor's appointment and qualification, or

upon officer's earlier death, resignation, retirement or removal.

Employee of the Exchange.

James Buckley

Senior Regulatory Counsel, Regulation

Current term expires upon successor's appointment and qualification, or

upon officer's earlier death, resignation, retirement or removal.

Employee of the Exchange.

Francis Paulino

Vice President, Business Development

Current term expires upon successor's appointment and qualification, or

upon officer's earlier death, resignation, retirement or removal.

Employee of the Exchange.

Former Officers:

No changes since last filing.

Officers, Directors, Members of Standing Committees (from December 31, 2013 through Present)

Directors:

David F. Harris Director, Chairman and Chief Executive Officer. Service

commenced December 30, 2011 and current term expires at the

Exchange's next Annual Meeting. CEO Director.

John Faso Director. Service commenced December 30, 2011 and current

term expires at the Exchange's next Annual Meeting. Non-

Industry/Independent Director.

Kathleen Hamm Director. Service commenced February 10, 2012 and current

term expires at the Exchange's next Annual Meeting. Non-

Industry/Independent Director.

Gordon Martin Director. Service commenced on December 31, 2012 and

expires at the Exchange's next Annual Meeting. Industry

Director.

Thomas O'Mara Director. Service commenced December 30, 2011 and current

term expires at the Exchange's next Annual Meeting. ETP

Holder Director.

Jeffrey Brown Director. Service commenced December 31, 2012 and current

term expires at the Exchange's next Annual Meeting. . Non-

Industry/Independent Director.

Antoine Shagoury Director. Service commenced February 10, 2012 and current

term expires at the Exchange's next Annual Meeting. Non-

Industry/Independent Director.

Michael Szymanski Director. Service commenced December 30, 2011 and current

term expires at the Exchange's next Annual Meeting. Non-

Industry/Independent Director.

Gerald T. O'Connell Director. Service commenced on March 27, 2012 and current

term expires at the Exchange's next Annual Meeting. Industry

Director.

Former Directors:

Craig Drill Service commenced on December 30, 2011 and expired October

30, 2013. Former Non-Industry/Independent Director.

Exhibit J (cont.)

Exchange Committees

Executive Committee

Current Members

David Harris (Chairman), term commenced 2/10/12 Thomas O'Mara, term commenced 2/10/12 John Faso, term commenced 2/10/12 Kathleen Hamm, term commenced 2/10/12 Gerald T. Connell, term commenced 6/28/12

Executive Compensation Committee

Current Members

Antoine Shagoury (Chairman), term commenced 2/10/12

Michael Szymanski, term commenced 2/10/12 Jeffrey Brown, service commenced 11/21/13 Former Members

Craig Drill, term ended 10/30/13

Governance & Nominating Committee

Current Members

John Faso (Chairman), term commenced 2/10/12 David Harris, term commenced 2/10/12 Jeffrey Brown, service commenced 03/27/13 Former Members Craig Drill, term ended 10/30/13

ETP Holder Director Nominating Committee Current Members

Thomas O'Mara (Chairman), term commenced 12/30/11
Andrew O'Hara, service commenced 03/27/13

Former Members

Jeffrey Brown, term ended 11/6/13

Audit Committee

Current Members

Michael Szymanski (Chairman), term commenced 2/10/12

John Faso, term commenced 2/10/12

Antoine Shagoury, term commenced 2/10/12

Regulatory Oversight Committee

Current Members

Kathleen Hamm (Chairwoman), term commenced 2/10/12

John Faso, term commenced 2/10/12

Michael Szymanski, term commenced 2/10/12

Business Conduct Committee

Current Members

Anthony Seisser (Chairman), term commenced 6/28/12

Elizabeth H. Baird, term commenced 6/28/12

Former Members

Richard Y. Roberts, term ended 11/5/13

Appeals Committee

Current Members

Jeffrey Brown, service commenced 03/27/13 Thomas O'Mara, term commenced 2/10/12 Former Members

Craig Drill, term ended 10/30/13