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**UNITED STATES** 2014 AUSECURITIES: ASP EXCHANGE COMMISSION

Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

OMB Number: 3235-0504

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AUG 132014

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934ington DC

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. Name of Underlying Instrument: 4. See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Act Date: 19b-4 Section August 12, 2014 105-6(0) Dista SEC 2449 (6-01) Public

Availability:

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## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC. COMMENCING ON AUGUST 7, 2014

(6) <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
		Investment Company			·
FTSM	Trust	Units	Fixed Income Securities	World	Broad
		Investment Company	Index-Based Credit Default		
TYTE	Trust	Units	Swaps	World	Broad
		Investment Company	Index-Based Credit Default		
WYDE	Trust	Units	Swaps	World	Broad



SEC Mail Processing Section AUG 132014 Washington DC 404

August 12, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on EDGX Exchange on August 7, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

Section	195-4	V 14 COMPANY	
Rule	150-4(e)		
Public			
Availability:	AUG 1	3 2014	