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UNITED STATES

2014 AUG 13 PM 3: SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

OMB APPROVAL

3235-0504 OMB Number:

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Section

AUG 132014

Information Required of a Self-Regulatory Organization Listing and Trading a New Information DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 9334

<del></del>	READ ALL INSTRUCTIONS PRIOR TO COMP	LETING FORM	M		
Part I	Initial Listing Report				
l.	Name of Self-Regulatory Organization Listing New Derivative Securitie BATS Exchange, Inc.	s Product:	14010822		
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  See attached.				
•	Class of New Derivative Securities Product: See attached.				
•	Name of Underlying Instrument: See attached.				
•	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached.				
	Ticker Symbol(s) of New Derivative Securities Product: See attached.				
·•	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached.				
•	Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC.				
).	Position Limits of New Derivative Securities Product (if applicable): Not applicable.				
Part II	Execution				
	The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.				
Vame o	of Official Responsible for Form: Anders Franzon				
Title:	VP, Associate General Counsel				
	one Number: (913) 815-7154				
Manua	Signature of Official Responsible for Form:	Act	Securities Exchange Act of 1934		
Date.	August 12, 2014	Livic	195-4 195-4(e)		
 EC 244	9 (6-01)	Availability:	AUG 1 3 2014		

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON AUGUST 7, 2014

(6)	(2) Type of			(7) Market(s) upon Which Securities	(5) Broad
Ticker	Issuer of		(4) Name of Underlying	Comprising Underlying	<u>or</u>
Symbol	NDSP	(3) Class of NDSP	Instrument	Instrument Trades	Narrow
	Ė			•	,
		Investment Company			
FTSM	Trust	Units	Fixed Income Securities	World	Broad
				•	
			•	, .	
		Investment Company	Index-Based Credit Default		
TYTE	Trust	Units	Swaps	World	Broad
					. [
		Investment Company	Index-Based Credit Default		_
WYDE	Trust	Units	Swaps	World	Broad



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August 12, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

SEC Mail Processing Section AUG 132014 Washington DC 402

RE: Form 19b-4(e) - BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on BATS Exchange on August 7. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel

913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	195-4(c)
Public Availability:	AUG 1 3 2014