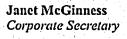
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For Internal Use Only		Submit 1 Original	OMB Approval N	
Sec File No. 91 -		and 9 Copies	Expires:	<u> </u>
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		UNITED STATES FIES AND EXCHANGE Co Washington, D.C. 20549 FORM 19b-4(e)		
		f a Self-Regulatory Organization And the self of the s		
Derivative		STRUCTIONS PRIOR TO CO		
Part I		Initial Listing Report		T FRATER FRATE ATENT PATER THAT CALLS TAKEN THAT
I. Name of Self-Regulatory C	Drganization Listing Ne	w Derivative Securities Product:	• · · · ·	
NYSE Arca, Inc.			(14010794
2. Type of Issuer of New Der	ivative Securities Produ	ict (e.g., clearinghouse, broker-d	ealer, corporation, etc.):	
Open-end Management I				
3. Class of New Derivative Second				
Investment Company Un	its			20
. Name of Underlying Instru	ment:			·
Richard Bernstein Advis		trial Renaissance Index		
				$\circ - \circ$
5. If Underlying Instrument is	an Index, State Wheth	er it is Broad-Based or Narrow-H	Based:	
Broad Based		7		
5. Ticker Symbol(s) of New I AIRR	Derivative Securities Pro	oduct:		<u> </u>
XIKK	$F_{1} = \frac{1}{2} \left[\frac{1}{2} + \frac{1}{2} \right] \left[\frac{1}{2} +$			
. Market or Markets Upon W	hich Securities Compri	sing Underlying Instrument Tra	les:	
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S. Settlement Methodology of	New Derivative Securi	ities Product:		
Regular way trades settle				
8	j			
. Position Limits of New Der	ivative Securities Prod	uct (if applicable):		
Not applicable.				
Part II		Execution		
he undersigned represents the lelegated its approval to the u elevant trading rules, procedu	ndersigned for, the listi	of the above-referenced Self-Reg ng and trading of the above-refer ams and listing standards.	ulatory Organization has dul enced new derivative securit	y approved, or has duly ies product according to its
Jame of Official Responsible	for Form:			
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Corporate Secretary		•		
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212) 656-2039			an the standard standard standard standards and standard standards and standard standards and standard standard	
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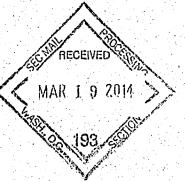
NYSE Arca, Inc. 11 Wall Street New York, NY 10005

tel: 212.656.2039 fax: 212.656.8101 jmcginness@nyx.com

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Via Overnight Mair

March 18, 2014

Ms. Gail Jackson US Securities and Exchange Commission Division of Trading and Markets Station Place – Building I 100 F Street, N.E. – Room 6628 Washington, DC 20549

Re: First Trust RBA Quality Income ETF First Trust RBA American Industrial Renaissance ETF

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

First Trust RBA Quality Income ETF	OINC
First Trust RBA American Industrial Renaissance ETF	AIRR

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

JanetmetAmves

Enclosures

Act	Securities	Exchange	e Act of 19	34
Section Rule	155-4 155-4(c)			
Public		9 2014		
Availabilit	y: 14741			