For Internal Use Only Sec File No. 9-



Submit 1 Original and 9 Copies

**Mail Processing** Section

SEC

JUN 27 2014

Washington DC

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM	
Part I Initial	l Listing Report
<ol> <li>Name of Self-Regulatory Organization Listing New Derivati NASDAQ OMX PHLX LLC (traded pursuant to unlist</li> </ol>	
<ol> <li>Type of Issuer of New Derivative Securities Product (e.g., cloopen End Management Investment Company</li> </ol>	
3. Class of New Derivative Securities Product:  Exchange Traded Fund	REC EC
4. Name of Underlying Instrument:  MSCI Japan Minimum Volatility (USD) Index	EIVI O Ph O Mi
<ol><li>If Underlying Instrument is an Index, State Whether it is Bro Broad-based</li></ol>	
<ol> <li>Ticker Symbol(s) of New Derivative Securities Product: JPMV</li> </ol>	
<ol> <li>Market or Markets Upon Which Securities Comprising Unde Listed on: Osaka, Tokyo Stock Exchange</li> </ol>	
<ol> <li>Position Limits of New Derivative Securities Product (if app. Regular way trades settle on T + 3 (cash settled)</li> </ol>	
<ol><li>Position Limits of New Derivative Securities Product (if app. N/A</li></ol>	olicable):
Part II	Execution
approved, or has duly delegated its approval to the under	the above-referenced Self-Regulatory Organization has duly ersigned for, the listing and trading of the above-referenced ant trading rules, procedures, surveillance programs and listing
Name of Official Responsible for Form: Joan Conley	
Title: Secretary	
Telephone Number: <b>301-978-8735</b>	
Manual Signature of Official Responsible for Form:	Act Social Francisco
Date: June 18, 2014	Section 195-4
SEC 2449 (6-01)	Public Availability: JUN 27 2014