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JUN 27 2014

Washington, D.C. 20549

FORM 19b-4(e)

Washington DC

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM	
Part I Initial Listing Report	
Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)	
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Corporation	
3. Class of New Derivative Securities Product: Index Linked Note	•
4. Name of Underlying Instrument: Russell 1000 Growth Index Total Return	
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based	
6. Ticker Symbol(s) of New Derivative Securities Product:	
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE	*
8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)	
 Position Limits of New Derivative Securities Product (if applicable): N/A 	
Part II Execution	
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.	
Name of Official Responsible for Form: Joan Conley	
Title: Secretary	
Telephone Number: 301-978-8735	
Manual Signature of Official Responsible for Form:	
Date: June 19, 2014 Act Securities 2014 Section 19b-4	
SEC 2449 (6-01) Rule 195-4(e)	
Public JUN 27 2014	1