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SEC / MR

June 27, 2014

NASDAQ OMX

John Yetter
Vice President, Office of General Counsel
805 King Farm Boulevard
Rockville, MD 20850
P: (301) 978-8400
F: (301) 978-8472

Mail Processing Section

JUN 302014

Wasnington DC

Via Overnight Mail

U.S. Securities and Exchange Commission Division of Trading and Markets Office of Market Supervision 100 F Street, N.E. Washington, DC 20549

Re: Form 1 – Amendment of June 27, 2014

Dear Sir of Madam:

Pursuant to Rule 6a-2 under the Securities Exchange Act of 1934, NASDAQ OMX PHLX LLC is submitting this annual amendment to Form 1. If you have any questions, please call me at 1-301-978-8497 or Jonathan Cayne at 1-301-978-8493.

Sincerely,

John M. Yefter

Vice President, Office of General Counsel

Form 1 Page 1 Execution Page

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT.

Date filed (MM/DD/YY):

OFFICIAL USE ONLY

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct SEC applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal actions in the federal securities laws and may result in disciplinary, administrative, or criminal actions in the federal securities laws and may result in disciplinary.

WO	ould violate the federal securities laws and may result in disciplinary, administrative, or INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITU			
		NDMENT JUN 3.0		
1.	State the name of the applicant:			
2.	Provide the applicant's primary street address (Do not use a P.O. Box):	Wasningto	n DC	ļ
	One Liberty Plaza, New York NY 10006	404		
3.	Provide the applicant's mailing address (if different):			
	Office of General Counsel 805 King Farm Blvd., Rockville Md 20850			
4.	Provide the applicant's business telephone and facsimile number: Phone = 301-978-8400 / Fax = 301-978-8472		2014 JUN 30 PM 12: SEC / MR	型型
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_	(1010)		~ 0	中
5.	Provide the name, title, and telephone number of a contact employee: John Yetter Vice President, Office of General Counsel	301-978-8497	工 呈	ħ
		(Telephone Number)	<u> 2</u> 2	中
_	(Name) (Title) Provide the name and address of counsel for the applicant:	(Telephone Humber)	57	
6.	Edward S. Knight 805 King Farm Blvd., Rockville MD 20850			
	Edward S. Knight 605 King Farm Bivd., Rockville Nib 20050			
	December 31			
7.	Provide the date applicant's fiscal year ends:			
8.	Indicate legal status of applicant: ☐ Corporation ☐ Sole Proprie	ify):	rtnership	
	If other than a sole proprietor, indicate the date and place where applicant of where incorporated, place where partnership agreement was filed or where the property of the p	obtained its legal status here applicant entity wa	s (e.g. stat as formed)	e):
	(a) Date (MM/DD/YY): 12/16/2005 (b) State/Country of formation:	Delaware		
_	(c) Statute under which applicant was organized: Delaware Limited Liability	Company Act		-
exception appropries and Date By:	tte: (Name of applic	certified mail or confirmed to and 3. The undersigned, but of, said applicant. The undersigned to adules, or other documents and applicant. The undersigned to the u	elegram to treeing first du lers igned an att ached her	ly id
	This page must always be completed in full with original, manual sign	College nature and notarization Ar	Y PUBLIC	力
	Affix notary stamp or seal where applicable.	Montgomery	County, Ma	ryland ozone

NASDAQ OMX PHLX LLC

EXHIBITS

Exhibit A Articles of Incorporation, By-Laws, and Rules

The information is available at http://nasdaqomx.cchwallstreet.com. The Exchange certifies that the information available at such location is accurate as of its date.

Exhibit B All Written Rulings, Settled Practices having the effects of Rules and Interpretations.

The information is available at http://nasdaqomx.cchwallstreet.com. The Exchange certifies that the information available at such location is accurate as of its date.

Exhibit C Subsidiaries and Affiliates of the Exchange (as of 4/15/14)

All information required under Exhibit C for the subsidiaries and affiliates of the Exchange is listed in the attachment for Exhibit C and is kept up-to-date and available to the Commission and the public upon request. The Exchange certifies that the information available at such location is accurate as of its date.

Exhibit D Financial Statements for each Subsidiary and Affiliate of the Exchange (as of 4/15/14)

The unconsolidated financial statements for the latest fiscal year that is required under Exhibit D for the subsidiaries and affiliates of the Exchange is listed in the attachment for Exhibit D and is kept up-to-date and available to the Commission and the public upon request.

<u>Exhibit E</u> Operation of Electronic Trading System

Not applicable.

Exhibit F Forms: Membership and Member

The information is available at http://nasdaqtrader.com/Micro.aspx?id=PHLXMembership. The Exchange certifies that the information available at such location is accurate as of its date.

Exhibit G Forms: Financial Statements, Reports, or Questionnaires

This information is kept up-to-date and available to the Commission and the public upon request.

Exhibit H Forms: Company Listings

Not applicable.

Exhibit I Financial Statement of Exchange

The audited financial statements for NASDAQ OMX PHLX are attached. The audited financial statements for The Nasdaq Stock Market Educational Foundation, Inc. will be completed shortly and will be filed upon completion. The audited financial statements contained in the Form 10-K for The NASDAQ OMX Group, Inc. for the fiscal year ended December 31, 2013 are attached and are available at http://www.sec.gov/Archives/edgar/data/1120193/000112019314000002/ndaq-20131231x10k.htm

Exhibit J List of Officers, Governors, and Members of Standing Committees

This information is kept up-to-date and available to the Commission and the public upon request.

Exhibit K List of Shareholders or Partners of the Exchange

- 1. Full legal name;
- 2. Title or Status;
- 3. Date title or status was acquired;
- 4. Approximate ownership interest; and
- 5. Whether the person has control, a term that is defined in the instructions to this Form.

The NASDAQ OMX Group, Inc. is the sole shareholder of NASDAQ OMX PHLX LLC, a Delaware corporation. All additional information required under Exhibit K for The NASDAQ OMX Group, Inc. can be found in the Amendments to Form 1 filed separately by The NASDAQ Stock Market, LLC. NASDAQ OMX PHLX certifies that the information required under Exhibit K is kept up-to-date and is available to the Commission and the public upon request.

Exhibit L Membership Criteria.

Not applicable

Exhibit M Membership Information

This information is kept up-to-date and available to the Commission and the public upon request.

Exhibit N Schedule of Securities

This information is kept up-to-date and available to the Commission and the public upon request.

NASDAQ OMX PHLX LLC

FORM 1 - Amendment of June 27, 2014

EXHIBIT C

Subsidiaries and Affiliates of the Exchange¹

U.S. Entities

- 1. Boston Stock Exchange Clearing Corporation
- 2. Bwise Internal Control Inc.
- 3. Consolidated Securities Source LLC
- 4. Directors Desk, LLC
- 5. Execution Access, LLC
- 6. FinQloud LLC
- 7. FINRA/NASDAQ Trade Reporting Facility LLC
- 8. FTEN, Inc.
- 9. GlobeNewswire, Inc.
- 10. Inet Futures Exchange, LLC
- 11. Kleos Managed Services Holdings, LLC
- 12. Kleos Managed Services, L.P.
- 13. Nasdaq Execution Services, LLC
- 14. NASDAQ Futures, Inc.
- 15. NASDAQ Global, Inc.
- 16. Nasdaq International Market Initiatives, Inc.
- 17. NASDAQ OMX BX Equities LLC
- 18. NASDAQ OMX BX, Inc.
- 19. NASDAQ OMX Commodities Clearing Company (merged out of existence in 2014)
- 20. NASDAQ OMX Commodities Clearing LLC
- 21. NASDAQ OMX Corporate Solutions, Inc.
- 22. NASDAQ OMX Corporate Solutions, LLC
- 23. NASDAQ OMX Event Technologies LLC
- 24. NASDAQ OMX Information, LLC
- 25. NASDAQ OMX PHLX LLC
- 26. NASDAQ Private Market, LLC
- 27. NASDAQ OMX (San Francisco) Insurance LLC
- 28. NASDAQ Options Services, LLC
- 29. Nasdaq Technology Services, LLC
- 30. Norway Acquisition LLC
- 31. NPM Securities, LLC
- 32. OM Technology (US) Inc.
- 33. OMX (US) Inc.
- 34. The NASDAO OMX Group Educational Foundation, Inc.
- 35. The NASDAQ OMX Group, Inc.
- 36. The NASDAQ Options Market LLC
- 37. The Stock Clearing Corporation of Philadelphia

Non-U.S. Entities

1. AB NASDAQ OMX Vilnius

¹ This list does not include branches of any of the subsidiaries or affiliates.

- 2. AS eCSD Expert
- 3. AS Eesti Väärtpaberikeskus
- 4. AS Latvijas Centralais depozitarijs
- 5. AS OMX Registrikeskus (closed in 2013)
- 6. Bwise Beheer BV
- 7. Bwise BV
- 8. Bwise Development BV
- 9. Bwise Germany GmbH
- 10. Bwise Holding BV
- 11. Bwise U.K. Ltd
- 12. "Central Depository of Armenia" Open Joint Stock Company
- 13. Clearing Control CC AB
- 14. Egypt for Information Dissemination Company
- 15. Eignarhaldsfelagid Verdbrefathing hf.
- 16. European Central Counterparty N.V.
- 17. Findata AB (dissolved in 2013)
- 18. FTEN Europe Ltd
- 19. Hugin AS
- 20. Indxis Ltd
- 21. Lithuanian Central Securities Depositary
- 22. Mamato Motion AB (merged out of existence in 2013)
- 23. Nasdaq Canada Inc.
- 24. Nasdaq International Ltd
- 25. "NASDAQ OMX Armenia" Open Joint Stock Company
- 26. NASDAQ OMX Australia Holding Pty Ltd
- 27. NASDAQ OMX Broker Services AB
- 28. NASDAQ OMX Clearing AB
- 29. NASDAQ OMX Copenhagen A/S
- 30. NASDAQ OMX Corporate Solutions (India) Private Limited
- 31. NASDAQ OMX Corporate Solutions International Limited
- 32. NASDAQ OMX Derivatives A/S
- 33. NASDAQ OMX Exchange and Clearing Services AB
- 34. NASDAQ OMX Europe Ltd
- 35. NASDAQ OMX France SAS
- 36. NASDAQ OMX Germany GmbH
- 37. NASDAQ OMX Helsinki Ltd
- 38. NASDAQ OMX Holding AB
- 39. NASDAQ OMX Holding Denmark A/S
- 40. NASDAQ OMX Holding Luxembourg Sarl
- 41. NASDAQ OMX Iceland hf.
- 42. NASDAQ OMX Korea Ltd.
- 43. NASDAQ OMX NLX Ltd
- 44. NASDAQ OMX Nordic Ltd
- 45. NASDAQ OMX Oslo ASA
- 46. NASDAQ OMX Riga, AS
- 47. NASDAQ OMX (South East Asia & Pacific) Pte. Ltd.
- 48. NASDAQ OMX Stockholm AB
- 49. NASDAQ OMX Tallinn AS

- 50. Nord Pool AB (shut down in 2013)
- 51. NOS Clearing ASA (merged out of existence in 2014)
- **52. OMX AB**
- 53. OMX Capital Insurance AG
- 54. OMX Ltd
- 55. OMX Netherlands BV
- 56. OMX Netherlands Holding BV
- 57. OMX Pty Ltd
- 58. OMX Technology AB
- 59. OMX Technology Canada Inc.
- 60. OMX Technology Energy Systems AS
- 61. OMX Technology (Ireland) Ltd
- 62. OMX Technology Italy Srl
- 63. OMX Technology Japan Ltd
- 64. OMX Technology Ltd
- 65. OMX Technology (UK) Ltd
- 66. OMX Treasury AB
- 67. OMX Treasury Euro AB
- 68. OMX Treasury Euro Holding AB
- 69. Quietus Advice K & W nr 505 AB (merged out of existence in 2013)
- 70. Shareholder.com B.V.
- 71. SMARTS (Asia) Ltd
- 72. SMARTS Broker Compliance Pty Ltd
- 73. SMARTS Group Europe Ltd
- 74. SMARTS Group Holdings Pty Ltd
- 75. SMARTS Market Surveillance Pty Ltd
- 76. TOM Broker B.V.
- 77. TOM B.V.
- 78. TOM Holding N.V.
- 79. Verdbrefaskraning Islands hf.
- 80. Zoom Vision Mamato AB (merged out of existence in 2013)
- 81. Zoom Vision Mamato ApS (shut down in 2013)

NASDAQ OMX PHLX LLC

FORM 1 - Amendment of June 27, 2014

EXHIBIT D

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - The NASDAQ Stock Market LLC

	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	587
Investments	•	0
Total Receivables - Net		123,643
Current Deferred Tax		11,350
Current Restricted Cash		0
Other Current Assets		294,016
Margin Deposits & Default Fund		0
Total Current Assets		429,596
Total Property and Equipment - Net		84,693
Goodwill		923,564
Other Intangibles		64,603
Non Current Deferred Taxes		27,670
Long Term Restricted Cash		0
Other Long Term Assets		32,681
Total Assets	\$	1,562,807
Liabilities and equity Current liabilities:	*	
Total AP and Accrued Expenses	\$	31,384
SEC 31a Payable to the SEC		64,490
Accrued Personnel Costs		35,767
Deferred Revenue		46,376
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		2,885
Other Current Liabilities		25,376
Total current liabilities		206,278
Total Long Term Debt		0
Non Current Deferred Tax Liability		90,622
Non-current deferred revenue Other liabilities		70,840
Total Liabilities		7,424
total Edabitues		375,164
Commitments and contingencies EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		904,491
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		283,153
Total stockholders' equity		1,187,643
Noncontrolling interests		0
Total Equity		1,187,643
Total liabilities and equity	\$	1,562,807

Unconsolidated Statement of Income - The NASDAQ Stock Market LLC

Revenues Year Ended December 31, 2013 Market Services Revenues 1,231,726 Global Listing Services 171,299 Global Information Services 197,582 Corporate Solutions 13,066 Market Technology Revenues 0 Other Revenues 1,531,270 Cost of revenues 1,631,270 Cost of revenues (814,868) Brokerage, Clearance and Exchange Fees (218,336) Total Cost of Revenues (1,033,204) Revenues less transaction rebates, brokerage, clearance and exchange fees 598,066 Operating Expenses 598,066 Compensation and Benefits 118,273 Marketing and Advertising 15,985 Depreciation and amortization 32,779 Professional and contract services 17,813 Computer operations and data communications 27,835 Cocupancy 18,928 Regulatory 21,331 General, administrative and other 119,187 Merger expenses 3,650 Total operating expenses 0 Operating In	(in thousands, unaudited)	
Revenues Revenues \$ 1,231,726 Global Listing Services 171,299 Global Information Services 197,582 Corporate Solutions 13,066 Market Technology Revenues 0 Other Revenues 17,598 Total Revenues 1,631,270 Cost of revenues Transaction rebates (814,868) Brokerage, Clearance and Exchange Fees (218,336) Total Cost of Revenues (1,033,204) Revenues less transaction rebates, brokerage, clearance and exchange fees 598,066 Operating Expenses Compensation and Benefits 118,273 Marketing and Advertising 15,985 Depreciation and amortization 32,779 Poressional and contract services 17,813 Computer operations and data communications 27,835 Occupancy 18,928 Regulatory 12,331 General, administrative and other 119,187 Merger expenses 3,650 Total operating expenses 366,781 Operating In		Year Ended
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Depreciation and amortization 32,779 Professional and contract services 17,813 Computer operations and data communications 27,835 Occupancy 18,928 Regulatory 12,331 General, administrative and other 119,187 Merger expenses 3,650 Total operating expenses 366,781 Operating Income 231,286 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	Compensation and Benefits	118,273
Depreciation and amortization 32,779 Professional and contract services 17,813 Computer operations and data communications 27,835 Occupancy 18,928 Regulatory 12,331 General, administrative and other 119,187 Merger expenses 3,650 Total operating expenses 366,781 Operating Income 231,286 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	Marketing and Advertising	15,985
Professional and contract services 17,813 Computer operations and data communications 27,835 Occupancy 18,928 Regulatory 12,331 General, administrative and other 119,187 Merger expenses 3,650 Total operating expenses 366,781 Operating Income 231,286 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0		32,779
Occupancy 18,928 Regulatory 12,331 General, administrative and other 119,187 Merger expenses 3,650 Total operating expenses 366,781 Operating Income 231,286 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	·	17,813
Occupancy 18,928 Regulatory 12,331 General, administrative and other 119,187 Merger expenses 3,650 Total operating expenses 366,781 Operating Income 231,286 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	Computer operations and data communications	27,835
Regulatory 12,331 General, administrative and other 119,187 Merger expenses 3,650 Total operating expenses 366,781 Operating Income 231,286 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	• •	18,928
General, administrative and other 119,187 Merger expenses 3,650 Total operating expenses 366,781 Operating Income 231,286 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	• •	12,331
Merger expenses 3,650 Total operating expenses 366,781 Operating Income 231,286 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0		
Total operating expenses 366,781 Operating Income 231,286 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	•	3,650
Operating Income 231,286 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	-	366,781
Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0		231,286
Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0		0
Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0		0
Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	•	0
Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes Income tax provision Net Income Net Income Income expense attributable to noncontrolling interests 0 Income from unconsolidated investees, net 0 Impairment Loss 0 Income before income taxes Income tax provision Income tax		0
Impairment Loss 0 FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0		0
FX Operating 0 Income before income taxes 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	,	-
Income before income taxes Income tax provision 231,286 Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	· ·	· · · · · · · · · · · · · · · · · · ·
Income tax provision 81,901 Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0	•	
Net Income 149,385 Net (income) expense attributable to noncontrolling interests 0		· · · · · · · · · · · · · · · · · · ·
Net (income) expense attributable to noncontrolling interests 0	mediae rax provision	01,701
	Net Income	149,385
	Net (income) expense attributable to noncontrolling interests	0
		\$ 149,385

Unconsolidated Balance Sheet - Boston Stock Exchange Clearing Corporation

(in thousands, unaudited)			
		Year Einded	
	Decemb	er 31, 2013	
Assets			
Current Assets:	•		
Cash & Equivalents	\$	53	
Investments		0	
Total Receivables - Net		0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		15	
Margin Deposits & Default Fund		00	
Total Current Assets		68	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		1,400	
Non Current Deferred Taxes		0	
Long Term Restricted Cash	•	0	
Other Long Term Assets	·	10	
Total Assets	\$	1,478	
Liabilities and equity		•	
Current liabilities:			
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability	•	0	
Other Current Liabilities		(58)	
Total current liabilities		(58)	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		564	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities	<u> </u>	505	
· · · · · · · · · · · · · · · · · · ·			
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		2,204	
Accumulated Other Comprehensive Income/(Loss)		0	
		(1,231)	
Retained earnings Total stockholders' equity		973	
Total stockholders' equity		0	
Noncontrolling interests	******	973	
Total Equity	•	1,478	
Total liabilities and equity	\$	1,4/0	

Unconsolidated Statement of Income - Boston Stock Exchange Clearing Corporation

(in thousands, unaudited)		
	Year Ended	
	December 31, 2013	
Revenues		
Market Services Revenues	\$. 0	
	0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues		
Other Revenues	0	
Total Revenues	U	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
B		
Revenues less transaction rebates, brokerage, clearance and exchange	Δ.	
fees	. 0.	
Operating Expenses		
Compensation and Benefits	0	
Marketing and Advertising	0	
Depreciation and amortization	0	
Professional and contract services	0	
Computer operations and data communications	0	
Occupancy	0	
Regulatory	0	
General, administrative and other	1	
Merger expenses	0	
Total operating expenses	1	
Operating Income	(1)	
Interest income	0	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	Ŏ	
Income from unconsolidated investees, net	0	
	0	
Impairment Loss	0	
FX Operating		
Income before income taxes	(1)	
Income tax provision	9	
Net Income	(10)	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ (10)	

Unconsolidated Balance Sheet - Bwise Internal Control Inc.

	Year Ended December 31, 2013	
Assets		
Current Assets:		ė
Cash & Equivalents	\$	558
Investments	•	. 0
Total Receivables - Net		3,351
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		296
Margin Deposits & Default Fund		0
Total Current Assets		4,205
Total Property and Equipment - Net		(683)
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		. 0
Total Assets	\$	3,522
Liabilities and equity		
Current liabilities:		4000
Total AP and Accrued Expenses	\$	4,356
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		312
Deferred Revenue		2,138
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		342
Total current liabilities		7,148
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities	· .	7,148
Total Liabilities		7,140
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		(2,315)
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		(1,312)
Total stockholders' equity		(3,626)
Noncontrolling interests		0
Total Equity		(3,626)
Total liabilities and equity	\$	3,522

Unconsolidated Statement of Income - Bwise Internal Control Inc.

• *		Enaca	
	F3	Year Ended December 31, 2013	
	Decemb	cr 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		7,257	
Other Revenues		37	
Total Revenues		7,294	
Cost of revenues			
Transaction rebates		. 0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exchange	ge		
fees	***************************************	7,294	
Operating Expenses		•	
Compensation and Benefits		4,873	
Marketing and Advertising		356	
Depreciation and amortization		.0	
Professional and contract services		353	
Computer operations and data communications		321	
Occupancy		438	
Regulatory		0	
General, administrative and other		974	
Merger expenses		. 0	
Total operating expenses		7,316	
Operating Income		(22)	
Interest income		3	
Interest expense .		0	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		Ŏ	
Impairment Loss		Ô	
• .		ő	
FX Operating	-	(19)	
Income before income taxes		4	
Income tax provision		ч	
Net Income		(23)	
Net (income) expense attributable to noncontrolling interests		. 0	
Net income attributable to Company	\$	(23)	

The NASDAQ OMX Group, Inc. Unconsolidated Financial Statements - Consolidated Securities Source LLC

Note: Consolidated Securities Source LLC is a new entity in 2014 therefore there is no data available for 2013

Unconsolidated Balance Sheet - Directors Desk, LLC

	Year Ended December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 0
investments	0
Total Receivables - Net	1,177
Current Deferred Tax	87
Current Restricted Cash	0
Other Current Assets	10,943
Margin Deposits & Default Fund	0
Total Current Assets	12,208
Total Property and Equipment - Net	390
Goodwill	6,573
Other Intangibles	614
Non Current Deferred Taxes	27
Long Term Restricted Cash	0
Other Long Term Assets	0
Total Assets	\$ 19,812
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 98
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	. 66
Deferred Revenue	4,873
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	0
Other Current Liabilities	38
Total current liabilities	5,075
Total Long Term Debt	.0
Non Current Deferred Tax Liability	1,133
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	6,208
Commitments and contingencies	
EQUITY	•
Common Stock in Treasury Total	0
Common Stock Total	0
Additional Paid in Capital	4,581
Accumulated Other Comprehensive Income/(Loss)	0
Retained earnings	9,023
Total stockholders' equity	13,604
Noncontrolling interests	0
Total Equity	13,604
Total liabilities and equity	\$ 19,812

Unconsolidated Statement of Income - Directors Desk, LLC

(iii ttotomias, anatotos)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	(14)
Global Information Services	0
Corporate Solutions	11,346
Market Technology Revenues	0
Other Revenues	0
Total Revenues	11,332
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	<u></u>
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exc	•
fees	11,332
Operating Expenses	
Compensation and Benefits	621
Marketing and Advertising	257
Depreciation and amortization	159
Professional and contract services	37
Computer operations and data communications	65
Occupancy	253
Regulatory	0
General, administrative and other	881
Merger expenses	0
Total operating expenses	2,274
Operating Income	9,058
Interest income	•
Interest expense	. 0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	9,058
Income tax provision	3,813
Net Income	5,245
Net (income) expense attributable to noncontrolling interests	<u>.</u> 0_
Net income attributable to Company	\$ 5,245

Unconsolidated Balance Sheet - Execution Access, LLC

Assets Vear Ended December 31, 2013 Current Assets: Cash & Equivalents \$ 54,449 Investments 0 Total Receivables - Net 2,476 Current Deferred Tax 0 Current Restricted Cash 250 Other Current Assets 20,000 Margin Deposits & Default Fund 0 Total Current Assets 77,175 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets 3 Urrent Long Term Assets 0 Total Assets 3 Urrent Liabilities and equity 2 Urrent Liabilities and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 9 Deferred Revenue 3 Current Defired Tax Liability 0 Other Current Liabilities 1 <t< th=""><th>(in thousands, unaudited)</th><th></th><th></th></t<>	(in thousands, unaudited)			
Current Assets: Cash & Equivalents \$ 54,449 Investments 0 0 Total Receivables - Net 2,476 Current Deferred Tax 0 0 Current Restricted Cash 250 Other Current Assets 20,000 Margin Deposits & Default Fund 0 0 Total Property and Equipment - Net 0 0 Goodwill 0 0 Code of the Intangibles 0 0 Other Intangibles 0 0 Non Current Deferred Taxes 0 0 Congress 0 0			Year Ended	
Current Assets: \$ 54,449 Cash & Equivalents 0 Total Receivables - Net 2,476 Current Deferred Tax 0 Current Restricted Cash 250 Other Current Assets 20,000 Margin Deposits & Default Fund 0 Total Current Assets 77,175 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity 0 Current liabilities: \$ 77,175 Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 0 Total Long Term Debt 0 Total Liabilities <th></th> <th>Decen</th> <th>nber 31, 2013</th>		Decen	nber 31, 2013	
Cash & Equivalents \$ 54,449 Investments 0 Total Receivables - Net 2,476 Current Deferred Tax 0 Current Restricted Cash 250 Other Current Assets 20,000 Margin Deposits & Default Fund 0 Total Current Assets 77,175 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity 0 Current Particular Costs 0 Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total Long Term Debt 0 Total Liabilities	Assets			
Investments 0 Total Receivables - Net 2,476 Current Deferred Tax 0 Current Restricted Cash 250 Other Current Assets 20,000 Margin Deposits & Default Fund 0 Total Current Assets 77,175 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity Urrent liabilities Current liabilities \$ 351 Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Liabilities 1,420 Other Current Liabilities 0 Total current liabilities 0 Other Liabilities 0 Total Common Stock in	Current Assets:			
Investments		\$	54,449	
Total Receivables - Net 2,476 Current Deferred Tax 0 Current Restricted Cash 250 Other Current Assets 20,000 Margin Deposits & Default Fund 0 Total Current Assets 77,175 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity 0 Current liabilities: 0 Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Labilities 1,420 Total current Liabilities 3,040 Total Long Term Debt 0 Non-current deferred Tax Liability 0 Non-current deferred Tax Liability 0 Non-	•		0	
Current Deferred Tax 26 Current Restricted Cash 250 Other Current Assets 20,000 Margin Deposits & Default Fund 0 Total Current Assets 77,175 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets 3 Total Assets 3 Userent liabilities: 0 Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 9 Deferred Revenue 333 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total current beferred Tax Liability 0 Other Current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Other liabilities 0 Total Ling Term Debt 0 Non-current Deferred Ta			2,476	
Current Restricted Cash 250 Other Current Assets 20,000 Margin Deposits & Default Fund 0 Total Current Assets 77,175 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 3,040 Total Long Term Debt 0 Non-current Deferred Tax Liability 0			0	
Other Current Assets 20,000 Margin Deposits & Default Fund 0 Total Current Assets 77,175 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Course Total Assets \$ 77,175 Liabilities and equity *** Current liabilities: *** Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total current liabilities 3,040 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Total Liabilities 3,040 Commitments and contingencies EQUITY Commitments and contingencies EQUITY			250	
Margin Deposits & Default Fund 0 Total Current Assets 77,175 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity Current liabilities Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Portion of Long Term Debt 0 Current Liabilities 1,420 Total Long Term Debt 0 Total Long Term Debt 0 Non-current beferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 3,040 Total Liabilities 0 Commitments and contingencies 0 EQUITY 0			20,000	
Total Current Assets 77,175 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 90 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Liabilities 1,420 Other Current Liabilities 3,040 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 3,040 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock				
Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 3,040 Total current Liabilities 3,040 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0	• .			
Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity Current liabilities Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 3,040 Total current Iberred Tax Liability 0 Non-current Deferred Tax Liability 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss)				
Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Total stockholders' equity 74,135	• • • • •			
Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 3,040 Commitments and contingencies EQUITY 0 Commitments and contingencies 5 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Accumulated Other Comprehensive				
Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total current liabilities 3,040 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 3,040 Commitments and contingencies Commitments and contingencies EQUITY 3,040 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,085 Accumulated Other Comprehensive Income/(Loss) 23,280 Total stockholders' equity 74,135 Noncontrolling interests			_	
Other Long Term Assets 0 Total Assets \$ 77,175 Liabilities and equity Second of the second of			_	
Total Assets \$ 77,175 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total current liabilities 3,040 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 3,040 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total Stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	· ·		-	
Liabilities and equity Current liabilities: 351 Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total current liabilities 3,040 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	· · · · · · · · · · · · · · · · · · ·	\$		
Current liabilities: 351 Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total current liabilities 3,040 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 3,040 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	Total Associs			
Current liabilities: 351 Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total current liabilities 3,040 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 3,040 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	Fightition and positive			
Total AP and Accrued Expenses \$ 351 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 936 Deferred Revenue 333 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,420 Total current liabilities 3,040 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 3,040 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	· · · · · · · · · · · · · · · · · · ·			
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Total current liabilities 3,040 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 3,040 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	· · · · · · · · · · · · · · · · · · ·		•	
Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 3,040 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135				
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Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 3,040 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	•			
Other liabilities 0 Total Liabilities 3,040 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135			-	
Total Liabilities 3,040 Commitments and contingencies EQUITY EQUITY 50 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135			-	
Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	* ***********************************			
EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	Total Liabilities		3,040	
EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135				
Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135				
Common Stock Total 0 Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	-		_	
Additional Paid in Capital 50,855 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135			•	
Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135			•	
Retained earnings 23,280 Total stockholders' equity 74,135 Noncontrolling interests 0 Total Equity 74,135	-			
Total stockholders' equity74,135Noncontrolling interests0Total Equity74,135	and the second of the second o		_	
Noncontrolling interests O Total Equity 74,135		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
Total Equity 74,135	• •		74,135	
	Noncontrolling interests	***************************************	0	
Total liabilities and equity \$ 77,175	Total Equity	<u></u>		
	Total liabilities and equity	<u>\$</u>	77,175	

Unconsolidated Statement of Income - Execution Access, LLC

(M monstards, maddled)	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	28,997
Global Listing Services	•	0
Global Information Services		0
Corporate Solutions		Ô
Market Technology Revenues		0
		0
Other Revenues		28,997
Total Revenues		20,791
Cost of revenues		_
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		(2,119)
Total Cost of Revenues		(2,119)
Revenues less transaction rebates, brokerage, clearance and exchange		
fces		26,878
Operating Expenses		
Compensation and Benefits		1,940
Marketing and Advertising		. 0
Depreciation and amortization		0
Professional and contract services		305
Computer operations and data communications		4
Occupancy		2
Regulatory		0
General, administrative and other		662
Merger expenses		514
Total operating expenses		3,428
Operating Income		23,450
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net	•	. 0
Impairment Loss		0
FX Operating	·	0
Income before income taxes		23,450
Income tax provision		0
Net Income	•	23,450
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	23,450
the medic attributable to company	Ψ	23,730

The NASDAQ OMX Group, Inc. Unconsolidated Financial Statements - FinQloud LLC

Note: FinQloud LLC (formerly Urban Labs LLC) did not have any acitivity in 2011 - 2013.

Unconsolidated Balance Sheet - FINRA/NASDAQ Trade Reporting Facility LLC

(in thousands, unaudited)	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$. 0
Investments		0
Total Receivables - Net		9,074
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		14,717
Margin Deposits & Default Fund		0
Total Current Assets		23,792
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	23,792

Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	1,439
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		. 0
Current Deferred Tax Liability		0
Other Current Liabilities		1,120
Total current liabilities		2,559
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		2,559
		.,
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		. 0
Common Stock Total		0
Additional Paid in Capital		4,000
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		17,233
Total stockholders' equity		21,233
Noncontrolling interests		0 \
Total Equity		21,233
Total liabilities and equity	\$	23,792
s over amounted and adult)	·y	,,,,,,

Unconsolidated Statement of Income - FINRA/NASDAQ Trade Reporting Facility LLC

(in thousands, aparation)	Year E December	
Revenues		
Market Services Revenues	\$	19,055
Global Listing Services		. 0
Global Information Services		7,137
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		26,192
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		¢"
fees		26,192
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		500
Computer operations and data communications		0
Occupancy		0
Regulatory		11,050
General, administrative and other	•	(353)
Merger expenses		0
Total operating expenses		11,196
Operating Income		14,996
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating	<u> </u>	0
Income before income taxes		14,996
Income tax provision		6,376
Net Income		8,619
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	8,619

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - FTEN, Inc

(in thousands, unaudited)	Year Ended
	December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 0
Investments	0
Total Receivables - Net	4,837
Current Deferred Tax	443
Current Restricted Cash	0
Other Current Assets	16,337
Margin Deposits & Default Fund	0
Total Current Assets	21,617
Total Property and Equipment - Net	4,103
Goodwill	585,556
Other Intangibles	712,956
Non Current Deferred Taxes	1,662
Long Term Restricted Cash	0
Other Long Term Assets	52,532
Total Assets	\$ 1,378,427
Total 7135013	1,570,127
Linkilisian and amulsu	
Liabilities and equity	
Current liabilities:	\$ 436
Total AP and Accrued Expenses	
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	947
Deferred Revenue	80
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	289
Other Current Liabilities	5,971
Total current liabilities	7,723
Total Long Term Debt	0
Non Current Deferred Tax Liability	10,171
Non-current deferred revenue	0
Other liabilities	397
Total Liabilities	18,291
Commitments and contingencies	
~	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	,
Additional Paid in Capital	1,398,669
Accumulated Other Comprehensive Income/(Loss)	0
Retained earnings	(38,533)
Total stockholders' equity	1,360,136
Noncontrolling interests	0
Total Equity	1,360,136
Total liabilities and equity	\$ 1,378,427

Unconsolidated Statement of Income - FTEN, Inc

(in thousands, disaured)	Year E December	
Revenues		
Market Services Revenues	\$	16,796
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		(88)
Total Revenues		16,708
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues	***************************************	0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		16,708
Operating Expenses		
Compensation and Benefits		7,144
Marketing and Advertising		7
Depreciation and amortization		8,516
Professional and contract services		248
Computer operations and data communications		4,034
Occupancy		1,705
Regulatory		0
General, administrative and other		3,143
Merger expenses	**************************************	3,672
Total operating expenses		28,470
Operating Income Interest income		(11,761)
		1 (4)
Interest expense Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		(13,996)
FX Operating		(12,770)
Income before income taxes		(25,760)
Income tax provision		(1,347)
·	·	
Net Income		(24,414)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	(24,414)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - GlobeNewswire, Inc

	Year Ended December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 0
Investments	0
Total Receivables - Net	3,769
Current Deferred Tax	207
Current Restricted Cash	. 0
Other Current Assets	19,970
Margin Deposits & Default Fund	0
Total Current Assets	23,946
Total Property and Equipment - Net	37
Goodwill	12,684
Other Intangibles	1,033
Non Current Deferred Taxes	496
Long Term Restricted Cash	0
Other Long Term Assets	: <u>0</u>
Total Assets	\$ 38,196
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 221
SEC 31a Payable to the SEC	. 0
Accrued Personnel Costs	595
Deferred Revenue	1,020
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	0
Other Current Liabilities	2,985
Total current liabilities	4,820
Total Long Term Debt	. 0
Non Current Deferred Tax Liability	389
Non-current deferred revenue	0
Other liabilities	i
Total Liabilities	5,210
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	0
Additional Paid in Capital	13,653
Accumulated Other Comprehensive Income/(Loss)	0
Retained earnings	19,333
Total stockholders' equity	32,987
Noncontrolling interests	0
Total Equity	32,987
Total liabilities and equity	\$ 38,196

Unconsolidated Statement of Income - GlobeNewswire, Inc

	Year E	nded
	December	31, 2013
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		. 0
Corporate Solutions		22,319
Market Technology Revenues		0
Other Revenues		3,467
Total Revenues		25,786
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		25,786
Operating Expenses		
Compensation and Benefits		1,777
Marketing and Advertising		9
Depreciation and amortization		291
Professional and contract services		1,910
Computer operations and data communications		25
Occupancy		1,026
Regulatory		0
General, administrative and other		2,226
Merger expenses		81
Total operating expenses		7,346
Operating Income	;	18,440
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		18,440
Income tax provision	:	6,954
Net Income		11,486
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	11,486

The NASDAQ OMX Group, Inc. Unconsolidated Financial Statements - Inet Futures Exchange, LLC

Note: Inet Futures Exchange, LLC did not have any acitivity in 2011 - 2013.

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Kleos Managed Services Holdings, LLC

		Ended : 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		0
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund		0
Total Current Assets		. 0
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	0
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		0
Total current liabilities		0
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		0
Commitments and contingencies		,
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		0
Accumulated Other Comprehensive Income/(Loss)	,	0
Retained earnings		0
Total stockholders' equity		0
Noncontrolling interests		0
Total Equity	 	
Total liabilities and equity	\$	0
• •		

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Kleos Managed Services Holdings, LLC (in thousands, unaudited)

	Year Ended December 31, 2013	3
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues	***************************************	0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0_
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange fees		۰.
icts	······································	0_
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization	•	0
Professional and contract services		0
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		0
Merger expenses	***************************************	0
Total operating expenses	***************************************	0
Operating Income		0
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		0
Income tax provision	***************************************	0_
Net Income		0
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Kloos Managed Services, L.P (in thousands, unaudited)

(in indusands, unaudited)	3/	*
	Year I	
	December	31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments	•	0
Total Receivables - Net		•
Current Deferred Tax		5,164
Current Restricted Cash		819
Other Current Assets		0
		690
Margin Deposits & Default Fund Total Current Assets		0
		6,673
Total Property and Equipment - Net		6,547
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	13,219
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	4,308
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		2,015
Deferred Revenue		98
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		. 0
Other Current Liabilities		3,892
Total current liabilities		10,313
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		10,313
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		Ô
Additional Paid in Capital		1,395
Accumulated Other Comprehensive Income/(Loss)		1,595
Retained earnings		1,511
Total stockholders' equity	·	2,906
Noncontrolling interests		• -
Total Equity		2 006
Total liabilities and equity	•	2,906
rotal navitaes and equity	\$	13,219

Unconsolidated Statement of Income - Kicos Managed Services, L.P

		ar Ended ber 31, 2013
Revenues		
Market Services Revenues	\$.	10,872
Global Listing Services		0
Global Information Services		5,058
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		. 0
Total Revenues		15,930
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0_
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		15,930
Operating Expenses		
Compensation and Benefits		3,582
Marketing and Advertising		26
Depreciation and amortization		470
Professional and contract services		221
Computer operations and data communications		4,717
Occupancy		1,671
Regulatory		0
General, administrative and other		2,196
Merger expenses		373
Total operating expenses		13,256
Operating Income		2,674
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		2,674
Income tax provision		1,163
Net Income		1,511
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	1,511

Unconsolidated Balance Sheet - Nasdaq Execution Services, LLC

(in thousands, unaudited)		
		ar Ended
	Decem	ber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	17,468
Investments		63
Total Receivables - Net		0
Current Deferred Tax	•	(61)
Current Restricted Cash		250
Other Current Assets		3,995
Margin Deposits & Default Fund		0
Total Current Assets		21,716
Total Property and Equipment - Net		0
Goodwill		5,569
Other Intangibles		0
Non Current Deferred Taxes		4
Long Term Restricted Cash		0
Other Long Term Assets		10
Total Assets	\$	27,299
•	<u></u>	
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	2,236
SEC 3 la Payable to the SEC		0
Accrued Personnel Costs		330
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		3,133
Total current liabilities		5,700
Total Long Term Debt		0
Non Current Deferred Tax Liability		(2,347)
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		3,352
		·
Commitments and contingencies		
EOUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		23,308
Accumulated Other Comprehensive Income/(Loss)		25,500
Retained carnings		639
Total stockholders' equity		23,947
• •		23,947
Noncontrolling interests		23,947
Total liabilities and equity	\$	27,299
Total liabilities and equity	•	21,279

Unconsolidated Statement of Income - Nasdaq Execution Services, LLC

(in thousands, unaudited)		
	Year I	
	December	31, 2013
Revenues		
Market Services Revenues	\$	38,119
		0
Global Listing Services Global Information Services		0
		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		
Total Revenues		38,119
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		(33,923)
Total Cost of Revenues		(33,923)
Davanuas lass transportion rehates healtarage glasgones and evaluates		
Revenues less transaction rebates, brokerage, clearance and exchange fees		4,196
icts		4,170
Operating Expenses		
Compensation and Benefits		1,500
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		8
Computer operations and data communications		0
Occupancy		63
Regulatory	•	0
General, administrative and other		492
Merger expenses		0
Total operating expenses		2,063
Operating Income		2,133
Interest income		0
Interest expense		0.
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		2,133
Income tax provision		734
Net Income		1,400
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	1,400

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ Futures, Inc (in thousands, unaudited)

Assets Current Assets: \$ 0 Investments 0 Total Receivables - Net 3,923 Current Deferred Tax 47 Current Restricted Cash 0 Other Current Assets 35 Margin Deposits & Default Fund 0 Total Current Assets 4,005
Current Assets: \$ 0 Cash & Equivalents 0 Investments 0 Total Receivables - Net 3,923 Current Deferred Tax 47 Current Restricted Cash 0 Other Current Assets 35 Margin Deposits & Default Fund 0
Cash & Equivalents \$ 0 Investments 0 Total Receivables - Net 3,923 Current Deferred Tax 47 Current Restricted Cash 0 Other Current Assets 35 Margin Deposits & Default Fund 0
Investments 0 Total Receivables - Net 3,923 Current Deferred Tax 47 Current Restricted Cash 0 Other Current Assets 35 Margin Deposits & Default Fund 0
Total Receivables - Net 3,923 Current Deferred Tax 47 Current Restricted Cash 0 Other Current Assets 35 Margin Deposits & Default Fund 0
Current Deferred Tax47Current Restricted Cash0Other Current Assets35Margin Deposits & Default Fund0
Current Restricted Cash0Other Current Assets35Margin Deposits & Default Fund0
Other Current Assets 35 Margin Deposits & Default Fund 0
Margin Deposits & Default Fund 0
Total Property and Equipment - Net 17
Goodwill
Other Intangibles 0
Non Current Deferred Taxes 0
Long Term Restricted Cash 0
Other Long Term Assets 8
Total Assets \$ 4,030
Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 200 SEC 31a Payable to the SEC 0
Accrued Personnel Costs 112
Deferred Revenue 0
Current Portion of Long Term Debt 0
Current Deferred Tax Liability 0
Other Current Liabilities 231
Total current liabilities 543
Total Long Term Debt 0
Non Current Deferred Tax Liability (175)
Non-current deferred revenue 0
Other liabilities0
Total Liabilities 369
Commitments and contingencies EQUITY
Common Stock in Treasury Total 0
Common Stock Total 0
Additional Paid in Capital 5,893
Accumulated Other Comprehensive Income/(Loss) 0
Retained earnings (2,232)
Total stockholders' equity 3,662
Noncontrolling interests0
Total Equity 3,662
Total liabilities and equity \$ 4,030

Unconsolidated Statement of Income - NASDAQ Futures, Inc

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 821
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	821
Cost of revenues	
Transaction rebates	. 0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	821
Operating Expenses	
Compensation and Benefits	440
Marketing and Advertising	56
Depreciation and amortization	6
Professional and contract services	78
Computer operations and data communications	0
Occupancy	34
Regulatory	639
General, administrative and other	(456)
Merger expenses	0
Total operating expenses	798
Operating Income	23
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	23
Income tax provision	7
Net Income	16
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 16

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ Global, Inc

	Y	Year Ended	
	Decer	nber 31, 2013	
Assets			
Current Assets:		,	
Cash & Equivalents	\$	0	
Investments	•	0	
Total Receivables - Net		220	
Current Deferred Tax		. 0	
Current Restricted Cash		0	
Other Current Assets		237,865	
Margin Deposits & Default Fund		0	
Total Current Assets		238,085	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		(159,005)	
Long Term Restricted Cash		0	
Other Long Term Assets		5,384,816	
Total Assets	\$	5,463,896	
f inhibition and acuteur			
Liabilities and equity			
Current liabilities:	•	222	
Total AP and Accrued Expenses	\$	332	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		3,613	
Other Current Liabilities		267,870	
Total current liabilities		271,815	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue	•	0	
Other liabilities		507	
Total Liabilities		272,322	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		.0	
Additional Paid in Capital		4,634,412	
Accumulated Other Comprehensive Income/(Loss)		230,322	
Retained earnings	•	326,840	
Total stockholders' equity		5,191,575	
Noncontrolling interests		0,171,373	
Total Equity	***************************************	5,191,575	
Total liabilities and equity		5,463,896	
		J,-10J,070	

Unconsolidated Statement of Income - NASDAQ Global, Inc

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues		
Transaction rebates	÷	0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		0
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		0
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		9
Merger expenses		0
Total operating expenses		9
Operating Income		(9)
Interest income	-	964
Interest expense	(3,	935)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		286
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0_
Income before income taxes		306
Income tax provision	21,	046
Net Income	(20,	740)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$ (20,	740)

The NASDAQ OMX Group, Inc.
Unconsolidated Balance Sheet - Nasdaq International Market Initiatives, Inc.

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	. .	0	
Investments	•	0	
Total Receivables - Net		0	
Current Deferred Tax		14	
Current Restricted Cash		0	
Other Current Assets		100	
Margin Deposits & Default Fund		0	
Total Current Assets		114	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash	•	0	
Other Long Term Assets		0	
Total Assets	\$	114	
Liabilities and equity		•	
Current liabilities:			
Total AP and Accrued Expenses	\$	4	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		91	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		10	
Other Current Liabilities		770	
Total current liabilities		875	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		9	
Non-current deferred revenue		0	
Other liabilities	****	0	
Total Liabilities		884	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		3,642	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings		(4,412)	
Total stockholders' equity		(770)	
Noncontrolling interests		(770)	
Total Equity	<u></u>	(770)	
Total liabilities and equity	3	114	

Unconsolidated Statement of Income - Nasdaq International Market Initiatives, Inc.

(in alousands, thiaddica)		
	Year Ended	
	December 31, 2	013
Revenues		
Market Services Revenues	\$	0
Global Listing Services	•	0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		Ŏ
Other Revenues		Ö
Total Revenues		0
		,
Cost of revenues		
Transaction rebates		0.
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fces		0
Operating Expenses		
Compensation and Benefits		222
Marketing and Advertising		0
Depreciation and amortization	•	0
Professional and contract services		17
Computer operations and data communications		I
Occupancy		0
Regulatory		0
General, administrative and other		111
Merger expenses		25
Total operating expenses		375
Operating Income		(375)
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		(375)
Income tax provision		(131)
Net Income		(244)
Net (income) expense attributable to noncontrolling interests	÷	(244)
Net income attributable to Company	\$	(244)
	<u>*</u>	(477)

Unconsolidated Balance Sheet - NASDAQ OMX BX Equities LLC

	Year Ended December 31, 2013	
A		
Assets Current Assets:		
	c	•
Cash & Equivalents	\$	0
Investments		2
Total Receivables - Net		11,815
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		84,844
Margin Deposits & Default Fund		0
Total Current Assets		96,662
Total Property and Equipment - Net		0
Goodwill		(229)
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	<u>\$</u>	96,433
Liabilities and equity		
Current liabilities:		•
Total AP and Accrued Expenses	\$	1,679
SEC 31a Payable to the SEC		9,273
Accrued Personnel Costs		0
Deferred Revenue		300
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		1,123
Total current liabilities		12,375
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		Õ
Other liabilities		Ö
Total Liabilities		12,375
		,
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		• 0
Additional Paid in Capital		(15,529)
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		99,587
Total stockholders' equity		84,058
Noncontrolling interests		0
Total Equity		84,058
Total liabilities and equity	\$	96,433

Unconsolidated Statement of Income - NASDAQ OMX BX Equities LLC

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	110,484
Global Listing Services		. 0
Global Information Services		16,736
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		642
Total Revenues		127,861
Cost of revenues		
Transaction rebates	•	(49,406)
Brokerage, Clearance and Exchange Fees		(29,551)
Total Cost of Revenues		(78,957)
Revenues less transaction rebates, brokerage, clearance and excha	nge	
fees		48,904
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		52
Computer operations and data communications		0
Occupancy		0
Regulatory		742
General, administrative and other		2,371
Merger expenses		0
Total operating expenses		3,165
Operating Income		45,739
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		. 0
Income before income taxes		45,739
Income tax provision		0
Net Income		45,739
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	45,739

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX BX, Inc.

	Year Ended	
	Decem	ber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	6
Investments	Φ	0
Total Receivables - Net		-
Current Deferred Tax		1,412 129
Current Restricted Cash		0
Other Current Assets		-
Margin Deposits & Default Fund		10 0
Total Current Assets		
		1,557
Total Property and Equipment - Net Goodwill		0
		31,276
Other Intangibles		49,773
Non Current Deferred Taxes		(564)
Long Term Restricted Cash		0
Other Long Term Assets Total Assets		(4,026)
Total Assets	<u>\$</u>	78,016
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	æ	1.607
SEC 31a Payable to the SEC	\$	1,697 147
Accrued Personnel Costs		6
Deferred Revenue		0
Current Portion of Long Term Debt		•
Current Deferred Tax Liability		0 94
Other Current Liabilities		
Total current liabilities		16,587
		18,531
Total Long Term Debt		0
Non Current Deferred Tax Liability Non-current deferred revenue		20,044
Other liabilities		0
	·····	97
Total Liabilities	•	38,672
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		8
Additional Paid in Capital		50,227
Accumulated Other Comprehensive Income/(Loss).		30,227
Retained earnings		
Total stockholders' equity		(10,890)
Noncontrolling interests		39,344
Total Equity		0 20.244
Total liabilities and equity	Ф	39,344
· our navanos and oquity	\$	78,016

Unconsolidated Statement of Income - NASDAQ OMX BX, Inc.

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	15,675
Global Listing Services		0
Global Information Services		Ô
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		15,675
Cost of revenues		
Transaction rebates		(7,236)
Brokerage, Clearance and Exchange Fees		(920)
Total Cost of Revenues		(8,157)
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		7,518
Operating Expenses		
Compensation and Benefits		81
Marketing and Advertising		1
Depreciation and amortization		118
Professional and contract services		20
Computer operations and data communications		0
Occupancy	•	217
Regulatory	•	959
General, administrative and other		(96)
Merger expenses	•	0
Total operating expenses		1,300
Operating Income		6,218
Interest income	•	0
Interest expense		0 .
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating	•	0
Income before income taxes		6,218
Income tax provision		12,687
Net Income		(6,468)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	(6,468)

Unconsolidated Balance Sheet - NASDAQ OMX Commodities Clearing Company

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$. 0	
Investments		0	
Total Receivables - Net		38	
Current Deferred Tax		28	
Current Restricted Cash		0	
Other Current Assets		25	
Margin Deposits & Default Fund		0.	
Total Current Assets	-	91	
Total Property and Equipment - Net		0	
Goodwill		75	
Other Intangibles		0	
Non Current Deferred Taxes		4	
Long Term Restricted Cash		3	
Other Long Term Assets		99	
Total Assets	\$	272	
Liabilitics and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	4	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		113	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		29	
Other Current Liabilities	·	4,663	
Total current liabilities		4,809	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		60	
Non-current deferred revenue		0	
Other liabilities		18	
Total Liabilities		4,888	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		4,891	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings		(9,506)	
Total stockholders' equity		(4,615)	
Noncontrolling interests		0	
Total Equity		(4,615)	
Total liabilities and equity	\$	272	
·			

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Commodities Clearing Company

(iii iii) iiii iii iii iii iii iii iii i		ar Ended ber 31, 2013
Revenues		
Market Services Revenues	\$	417
Global Listing Services		. 0
Global Information Services		0
Corporate Solutions		. 0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		417
Cost of revenues		•
Transaction rebates		. 0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		. 0
Revenues less transaction rebates, brokerage, clearance and exchan	ıge	
fees	 	417
Operating Expenses		
Compensation and Benefits		2,235
Marketing and Advertising	* .	10
Depreciation and amortization		40
Professional and contract services		71
Computer operations and data communications		58
Occupancy		602
Regulatory		0
General, administrative and other	•	1,545
Merger expenses		15
Total operating expenses		4,576
Operating Income		(4,159)
Interest income		12 .
Interest expense		(2)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		(4,149)
Income tax provision		(1,763)
Net Income		(2,386)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	(2,386)

The NASDAQ OMX Group, Inc.
Unconsolidated Financial Statements - NASDAQ OMX Commodities Clearing LLC

Note: NASDAQ OMX Commodities Clearing LLC is a new entity in 2014 therefore there is no data available for 2013

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Corporate Solutions, Inc. (in thousands, unaudited)

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	13	
Investments	•	0	
Total Receivables - Net		3,716	
Current Deferred Tax		1,463	
Current Restricted Cash		0	
Other Current Assets		3.037	
Margin Deposits & Default Fund		0	
Total Current Assets		8,230	
Total Property and Equipment - Net		1,582	
Goodwill		30,997	
Other Intangibles		4,306	
Non Current Deferred Taxes		30	
Long Term Restricted Cash		0	
Other Long Term Assets		18,256	
Total Assets	\$	63,401	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	6,053	
SEC 31a Payable to the SEC	•	0,022	
Accrued Personnel Costs		4,180	
Deferred Revenue		(1)	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability	*	242	
Other Current Liabilities		2,433	
Total current liabilities		12,908	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		1,951	
Non-current deferred revenue		0	
Other liabilities		17	
Total Liabilities	***************************************	14,876	
		1.,070	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		48,975	
Accumulated Other Comprehensive Income/(Loss)		10,773	
Retained earnings		(450)	
Total stockholders' equity	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	48,525	
Noncontrolling interests		10,525	
Total Equity		48,525	
Total liabilities and equity	\$	63,401	
mix vilaity		03,401	

The NASDAQ OMX Group, Inc.
Unconsolidated Statement of Income - NASDAQ OMX Corporate Solutions, Inc.
(in thousands, unaudited)

(m monstales, anadelica)	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		37,154
Market Technology Revenues		0
Other Revenues		10,967
Total Revenues		48,121
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange	ze	
fees	***************************************	48,121
Operating Expenses		
Compensation and Benefits		27,150
Marketing and Advertising		200
Depreciation and amortization		756
Professional and contract services		16,180
Computer operations and data communications		253
Occupancy		1,001
Regulatory		0
General, administrative and other		7,890
Merger expenses		1,081
Total operating expenses	***************************************	54,510
Operating Income		(6,389)
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		(6,389)
Income tax provision		(2,424)
Net Income		(3,965)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	(3,965)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Corporate Solutions, LLC

Assets Current Assets: Cash & Equivalents Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	\$	0 0 20,819 1,706 0 1,906 0 24,431 6,570 216,754 59,754
Current Assets: Cash & Equivalents Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles		0 20,819 1,706 0 1,906 24,431 6,570 216,754 59,754
Cash & Equivalents Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles		0 20,819 1,706 0 1,906 24,431 6,570 216,754 59,754
Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles		0 20,819 1,706 0 1,906 24,431 6,570 216,754 59,754
Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles		20,819 1,706 0 1,906 24,431 6,570 216,754 59,754
Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles		1,706 0 1,906 0 24,431 6,570 216,754 59,754
Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles		0 1,906 0 24,431 6,570 216,754 59,754
Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles		1,906 0 24,431 6,570 216,754 59,754
Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles		0 24,431 6,570 216,754 59,754
Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles		24,431 6,570 216,754 59,754
Total Property and Equipment - Net Goodwill Other Intangibles		6,570 216,754 59,754 0
Goodwill Other Intangibles		216,754 59,754 0
Other Intangibles	£	59,754 0
· ·	•	0
Nian Command Cafamend Carona	· ·	•
	•	0
Long Term Restricted Cash	•	
Other Long Term Assets	E.	0
Total Assets	<u> </u>	307,510
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	25,384
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		9,549
Deferred Revenue		9,138
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		. 0
Other Current Liabilities		2,301
Total current liabilities		46,371
Total Long Term Debt		0
Non Current Deferred Tax Liability		4,881
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities	****	51,252
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		252,852
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		3,406
Total stockholders' equity	-	256,257
Noncontrolling interests		0
Total Equity		256,257
Total liabilities and equity	\$	307,510

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Corporate Solutions, LLC (in thousands, unaudited)

(in thousands, unaudited)		
	Year Ended	
	December 31, 2013	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	. 0	
Corporate Solutions	88,324	
Market Technology Revenues	0	
Other Revenues	1,866	
Total Revenues	90,190	
G. 4. A		
Cost of revenues	0	
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees		
Total Cost of Revenues	<u>v</u>	
Revenues less transaction rebates, brokerage, clearance and exchange		
fecs	90,190	
Operating Expenses	22.202	
Compensation and Benefits	32,283	
Marketing and Advertising	187	
Depreciation and amortization	4,307	
Professional and contract services	23,652	
Computer operations and data communications	5,655	
Occupancy	3,628	
Regulatory	0	
General, administrative and other	4,839	
Merger expenses	9,837	
Total operating expenses	84,388	
Operating Income	5,801	
Interest income	0	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	5,801	
Income tax provision	2,396	
Not Income	3,406	
Net Income	J,400 0	
Net (income) expense attributable to noncontrolling interests	\$ 3,406	
Net income attributable to Company	3,400	

Unconsolidated Balance Sheet - NASDAQ OMX Event Technologies LLC

(III III/II IIII III III III III III III	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		0
Current Deferred Tax		31
Current Restricted Cash		0
Other Current Assets		583
Margin Deposits & Default Fund		0
Total Current Assets	***************************************	614
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		1,065
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	1,679
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	134
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		76
Deferred Revenue		0
Current Portion of Long Term Debt		. 0
Current Deferred Tax Liability		0
Other Current Liabilities		12_
Total current liabilities		222
Total Long Term Debt		0
Non Current Deferred Tax Liability		(193)
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities	•	29
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		1,557
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings	*******	94
Total stockholders' equity		1,651
Noncontrolling interests		0
Total Equity		1,651
Total liabilities and equity	\$	1,679

Unconsolidated Statement of Income - NASDAQ OMX Event Technologies LLC

(in mousakus, unaduncu)	Year Ended December 31, 2013	
	17000111001 51, 2015	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	988	
	0	
Corporate Solutions	0	
Market Technology Revenues	•	
Other Revenues	0	
Total Revenues	988	
Cost of revenues		
Transaction rebates	. 0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
,		
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	988	
Operating Expenses		
Compensation and Benefits	326	
Marketing and Advertising	(26)	
•	355	
Depreciation and amortization	242	
Professional and contract services	0	
Computer operations and data communications	•	
Occupancy	21	
Regulatory	0	
General, administrative and other	8	
Merger expenses	0	
Total operating expenses	925	
Operating Income	62	
Interest income	0	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	62	
Income tax provision	36	
Net Income	26	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ 26	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Information, LLC

	Year Ended December 31, 2013		
Assets			
Current Assets:			
Cash & Equivalents	\$	0	
Investments		0	
Total Receivables - Net	18:	5	
Current Deferred Tax		3)	
Current Restricted Cash	,	0	
Other Current Assets	51,90	4	
Margin Deposits & Default Fund		0	
Total Current Assets	52,08	7	
Total Property and Equipment - Net	·	0	
Goodwill	1	0	
Other Intangibles	1	0	
Non Current Deferred Taxes	•	0	
Long Term Restricted Cash	ı	0	
Other Long Term Assets		0	
Total Assets	\$ 52,08	7	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	2	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt	·	0	
Current Deferred Tax Liability		0	
Other Current Liabilities	88	4	
Total current liabilities	88		
Total Long Term Debt		0	
Non Current Deferred Tax Liability	ı	0	
Non-current deferred revenue		0	
Other tiabilities	1	0	
Total Liabilities	88	6	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total	•	0	
Common Stock Total	1	0	
Additional Paid in Capital	1,00	Ō	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings	50,20	0	
Total stockholders' equity	51,20		
Noncontrolling interests	•	0	
Total Equity	51,20		
Total liabilities and equity	\$ 52,08	_	
• •	32,00	<u></u>	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Information, LLC

(in thousands, unaudited)		
	Year Ended	
	December 31, 2013	
Revenues		
Market Services Revenues	\$ 54	
Global Listing Services	0	
Global Information Services	47,198	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	61	
Total Revenues	47,313	
Total Revenues	47,313	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange	•	
fees	47,313	
Operating Expenses		
Compensation and Benefits	1	
Marketing and Advertising	0	
Depreciation and amortization	. 0	
Professional and contract services	16	
Computer operations and data communications	0	
Occupancy	0	
Regulatory	0	
General, administrative and other	2,861	
Merger expenses	. 0	
Total operating expenses	2,878	
Operating Income	44,434	
Interest income	0	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	. 0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	44,434	
Income tax provision	18,946	
· · · · · · · · · · · · · · · · · · ·		
Net Income	25,488	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ 25,488	
· · · · · · · · · · · · · · · · · · ·		

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX PHLX LLC (in thousands, unaudited)

	Year Ended	
	Decem	iber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	18
Investments	*	1
Total Receivables - Net		31,948
Current Deferred Tax		4,204
Current Restricted Cash		0
Other Current Assets	•	892
Margin Deposits & Default Fund		0
		37,063
Total Current Assets		21,438
Total Property and Equipment - Net		503,610
Goodwill		•
Other Intangibles		297,057
Non Current Deferred Taxes		16,845
Long Term Restricted Cash		0
Other Long Term Assets		7,581
Total Assets	<u>\$</u>	883,595
Liabilities and equity		
Current liabilities:		
	S	2,136
Total AP and Accrued Expenses	. 9	8,226
SEC 31a Payable to the SEC		
Accrued Personnel Costs		5,435
Deferred Revenue		125
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		4,860
Other Current Liabilities		423,481
Total current liabilities		444,263
Total Long Term Debt		0
Non Current Deferred Tax Liability		125,417
Non-current deferred revenue		0
Other liabilities		41,089
Total Liabilities		610,769
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0 -
		0
Common Stock Total		73,458
Additional Paid in Capital		-
Accumulated Other Comprehensive Income/(Loss)		(1,285)
Retained earnings	-	200,653
Total stockholders' equity		272,826
Noncontrolling interests	***************************************	0
Total Equity		272,826
Total liabilities and equity	\$	883,595

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX PHLX LLC

(in thousands, unaudited)		Ended er 31, 2013
Revenues		
Market Services Revenues	\$	310,884
Global Listing Services	•	0
Global Information Services		12,177
Corporate Solutions		0
Market Technology Revenues		o
Other Revenues	•	3,717
Total Revenues		326,778
Cost of revenues		
Transaction rebates		(132,889)
Brokerage, Clearance and Exchange Fees		(33,721)
Total Cost of Revenues		(166,610)
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		160,167
Operating Expenses		
Compensation and Benefits		13,932
Marketing and Advertising		533
Depreciation and amortization		12,913
Professional and contract services		512
Computer operations and data communications		1,044
Occupancy		1,142
Regulatory		2,351
General, administrative and other		11,852
Merger expenses		0
Total operating expenses		44,280
Operating Income		115,888
Interest income		1
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		115,889
Income tax provision		42,599
Net Income		73,290
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	73,290

Unconsolidated Balance Sheet - NASDAQ Private Market, LLC

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	(250)	
Investments		0	
Total Receivables - Net		1,668	
Current Deferred Tax		0	
Current Restricted Cash		250	
Other Current Assets	·	3,017	
Margin Deposits & Default Fund		´ 0	
Total Current Assets		4,685	
Total Property and Equipment - Net		1,068	
Goodwill		. 0	
Other Intangibles		2,417	
Non Current Deferred Taxes		2,	
Long Term Restricted Cash		0	
Other Long Term Assets		250	
Total Assets	\$	8,419	
total rissols		-,	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	1,396	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		516	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		0	
Total current liabilities		1,912	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		(47)	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		1,865	
Commitments and contingencies			
EQUITY .			
Common Stock in Treasury Total	•	0	
Common Stock Total		0	
Additional Paid in Capital		8,858	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings		(2,303)	
Total stockholders' equity	•	6,554	
Noncontrolling interests		0	
Total Equity		6,554	
Total liabilities and equity	\$	8,419	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ Private Market, LLC

(in mousaines, unaudicer)	Year Ended	
	December 31, 2013	
_		
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	0	
Total Revenues	0	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	0	
Operating Expenses		
Compensation and Benefits	1,672	
Marketing and Advertising	113	
Depreciation and amortization	205	
Professional and contract services	1,515	
Computer operations and data communications	86	
Occupancy	185	
Regulatory	29	
General, administrative and other	113	
Merger expenses	0	
Total operating expenses	3,918	
Operating Income	(3,918)	
Interest income	3	
Interest expense	. 0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	(3,915)	
Income tax provision	(1,612)	
moone an provision		
Net Income	(2,303)	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ (2,303)	

Unconsolidated Balance Sheet - NASDAQ OMX (San Francisco) Insurance LLC

	Year Ended December 31, 2013	
A constant		
Assets		
Current Assets: Cash & Equivalents	\$	0
Investments	J.	0
Total Receivables - Net		0
Current Deferred Tax		(209)
Current Restricted Cash		0
Other Current Assets		736
Margin Deposits & Default Fund		0
Total Current Assets	-,-,	527
Total Property and Equipment - Net		0
Goodwill		17,336
Other Intangibles		. 0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	17,863
Liabilities and equity		
Current liabilities:	S	0
Total AP and Accrued Expenses	3	0
SEC 31a Payable to the SEC Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		ő
Other Current Liabilities		2
Total current liabilities		3
Total Long Term Debt		0
Non Current Deferred Tax Liability		916
Non-current deferred revenue		0
Other liabilities		41
Total Liabilities		960
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		24,713
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		(7,810)
Total stockholders' equity		16,903
Noncontrolling interests		0
Total Equity		16,903
Total liabilities and equity	3	17,863

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX (San Francisco) Insurance LLC (in thousands, unaudited)

m thousands, unaddited)		Year Ended December 31, 2013		
Revenues				
Market Services Revenues	\$		0	
Global Listing Services			0	
Global Information Services			0	
Corporate Solutions			0	
Market Technology Revenues			0	
Other Revenues			0	
Total Revenues			0	
Cost of revenues				
Transaction rebates			0	
Brokerage, Clearance and Exchange Fees			0	
Total Cost of Revenues			0	
Revenues less transaction rebates, brokerage, clearance and exchange			•	
fees			0	
Operating Expenses				
Compensation and Benefits			0	
Marketing and Advertising			0	
Depreciation and amortization			0	
Professional and contract services			0	
Computer operations and data communications			2	
Occupancy			. 0	
Regulatory			0	
General, administrative and other			i	
Merger expenses			0	
Total operating expenses			3	
Operating Income			(3)	
Interest income			0	
Interest expense			0	
Gain (loss) on sale of strategic initiatives			0	
Dividend and investment income			0	
Income from unconsolidated investees, net			0	
Impairment Loss			0	
FX Operating			0	
Income before income taxes			(3)	
Income tax provision			. 5	
Net Income			(8)	
Net (income) expense attributable to noncontrolling interests	_		0	
Net income attributable to Company	<u>\$</u>		(8)	

Unconsolidated Balance Sheet - NASDAQ Options Services, LLC

(Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$ 3,756	
Investments	. 3	
Total Receivables - Net	0	
Current Deferred Tax	5	
Current Restricted Cash	250	
Other Current Assets	1,585	
Margin Deposits & Default Fund	0_	
Total Current Assets	5,600	
Total Property and Equipment - Net	0	
Goodwill	. 0	
Other Intangibles	0	
Non Current Deferred Taxes	0	
Long Term Restricted Cash	0	
Other Long Term Assets	20_	
Total Assets	\$ 5,620	
	•	
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$ 385	
SEC 31a Payable to the SEC	0	
Accrued Personnel Costs	16	
Deferred Revenue	0	
Current Portion of Long Term Debt	. 0	
Current Deferred Tax Liability	v	
Other Current Liabilities	1,733	
Total current liabilities	2,134	
Total Long Term Debt	0	
Non Current Deferred Tax Liability	0	
Non-current deferred revenue	0	
Other liabilities		
Total Liabilities	2,134	
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total	. 0	
Common Stock Total	0	
Additional Paid in Capital	3,282	
Accumulated Other Comprehensive Income/(Loss)	0	
Retained earnings	204	
Total stockholders' equity	3,486	
Noncontrolling interests	0	
Total Equity	3,486	
Total liabilities and equity	\$ 5,620	

Unconsolidated Statement of Income - NASDAQ Options Services, LLC

Revenues Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	8,086 0 0 0 0 0 8,086
Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Total Revenues Cost of revenues Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	0 0 0 0
Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	0 0 0 0
Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	0 0 0 0
Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	0 0 0
Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	0
Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	0
Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	8,086
Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	8,086
Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	
Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	
Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	0
Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	(7,384)
Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	(7,384)
Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	
Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	702
Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	
Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	484
Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	0
Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	0
Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	0
Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	21
General, administrative and other Merger expenses Total operating expenses Operating Income Interest income	21
Merger expenses Total operating expenses Operating Income Interest income	0
Total operating expenses Operating Income Interest income	90
Operating Income Interest income	0
Interest income	616
·····	87
Interest summany	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	. 0
Impairment Loss	0
FX Operating	0
Income before income taxes	87
Income tax provision	23
Net Income	64
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company \$	•

The NASDAQ OMX Group, Inc.
Unconsolidated Balance Sheet - Nasdaq Technology Services, LLC

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents		\$	-0
Investments			0
Total Receivables - Net			(209)
Current Deferred Tax			. 0
Current Restricted Cash			0
Other Current Assets		•	95,771
Margin Deposits & Default Fund		***************************************	0
Total Current Assets			95,562
Total Property and Equipment - Net			0
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			11,034
Total Assets		\$	106,596
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	4.1	\$	1,136
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			0
Deferred Revenue			0
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			0
Other Current Liabilities			590
Total current liabilities			1,726
Total Long Term Debt			0 -
Non Current Deferred Tax Liability			0
Non-current deferred revenue			0
Other liabilities			3,066
Total Liabilities			4,792
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total			0
Common Stock Total			0
Additional Paid in Capital			20,000
Accumulated Other Comprehensive Income/(Loss)	•		0
Retained earnings	•		81,804
Total stockholders' equity			101,804
Noncontrolling interests			0
Total Equity		***************************************	101,804
Total liabilities and equity		\$	106,596

The NASDAQ OMX Group, Inc.
Unconsolidated Statement of Income - Nasdaq Technology Services, LLC (in thousands, unaudited)

(in thousands, unaudited)	Year Ended	
	December	
·	December	31, 2013
Revenues		
Market Services Revenues	\$	63,657
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		63,657
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		00
Total Cost of Revenues	***	0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		63,657
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		57
Depreciation and amortization		. 0
Professional and contract services	•	131
Computer operations and data communications		(50)
Occupancy		23,668
Regulatory		0
General, administrative and other		4,660
Merger expenses		0
Total operating expenses		28,468
Operating Income		35,189
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		35,189
Income tax provision		706
Net Income		34,484
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	34,484

Unconsolidated Balance Sheet - Norway Acquisition LLC

(in thousands, unaudited)		Year Ended December 31, 2013	
Assets			
Current Assets:		_	
Cash & Equivalents	\$	0	
Investments		0	
Total Receivables - Net		0.	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		6,356	
Margin Deposits & Default Fund		0	
Total Current Assets		6,356	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes	•	0	
Long Term Restricted Cash		0	
Other Long Term Assets		23,603	
Total Assets	\$	29,959	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	176	
SEC 3 la Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		698	
Total current liabilities		874	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		. 0	
Non-current deferred revenue	4	0	
Other liabilities		0	
Total Liabilities		874	
Commitments and contingencies			
EQUITY	4		
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		23,548	
Accumulated Other Comprehensive Income/(Loss)		(31)	
Retained earnings		5,568	
Total stockholders' equity		29,085	
Noncontrolling interests		0 20 005	
Total Equity		29,085	
Total liabilities and equity	<u>\$</u>	29,959	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Norway Acquisition LLC

(m mousainus, unauditeu)	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	0
Global Listing Services	•	Ö
Global Information Services		Ő
Corporate Solutions		0
Market Technology Revenues		2,786
Other Revenues		2,700
Total Revenues		2,786
Total Revenues		2,700
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		1
fees		2,786
Operating Expenses		
Compensation and Benefits		. 0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		0
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		0
Merger expenses		0
Total operating expenses		0
Operating Income		2,786
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		(4)
Income from unconsolidated investees, net		. 0
Impairment Loss		0
FX Operating		0
Income before income taxes		2,782
Income tax provision	·	0
Net Income		2,782
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	2,782

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NPM Securities, LLC (in thousands, unaudited)

		r Ended per 31, 2013
	• —	
Assets		
Current Assets:		
Cash & Equivalents	S	250
Investments	7	0
Total Receivables - Net		0
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund		Û
Total Current Assets	•	250
Total Property and Equipment - Net		0
, - , -		0
Goodwill Other Intercibles		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets	•	
Total Assets	\$	250
1		
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$.	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		0
Total current liabilities		0
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		.()
Total Liabilities		0
Commitments and contingencies	ı	
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		250
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		0
Total stockholders' equity		250
Noncontrolling interests		0
Total Equity		250
Total liabilities and equity	\$	250
	-	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NPM Securities, LLC (in thousands, unaudited)

	Year Ended December 31, 201	
Revenues		
Market Services Revenues	\$	0
Global Listing Services	(0
Global Information Services	(0
Corporate Solutions	(0
Market Technology Revenues	(0
Other Revenues	(0
Total Revenues		<u>5</u>
Cost of revenues		
Transaction rebates	(0
Brokerage, Clearance and Exchange Fees		0_
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		0
Operating Expenses	•	
Compensation and Benefits	•	0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		0
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		0
Merger expenses		0_
Total operating expenses		<u>0</u>
Operating Income		0
Interest income		0
Interest expense	(0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income	(0
Income from unconsolidated investees, net	•	0
Impairment Loss	•	0
FX Operating		0
Income before income taxes	-	0
Income tax provision		0
Net Income .		0
Net (income) expense attributable to noncontrolling interests		0_
Net income attributable to Company	\$	0

The NASDAQ OMX Group, Inc.
Unconsolidated Balance Sheet - OM Technology (US) Inc.

	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		0
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund		00
Total Current Assets		0
Total Property and Equipment - Net		. 0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		(16,155)
Total Assets	\$	(16,155)
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		. 0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		1,044
Total current liabilities	***************************************	1,044
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue	•	0
Other liabilities		0
Total Liabilities		1,044
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		(22,596)
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		5,397
Total stockholders' equity		(17,200)
Noncontrolling interests		0
Total Equity		(17,200)
Total liabilities and equity	\$	(16,155)

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OM Technology (US) Inc.

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	. 0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	0
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	(5,573)
Merger expenses	0_
Total operating expenses	(5,573)
Operating Income	5,573
Interest income	0
Interest expense	. (7)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	(150)
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes Income tax provision	5,416 0
Net Income	5 416
Net (income) expense attributable to noncontrolling interests	5,416
Net income attributable to Company	\$ 5.416
The theome attributable to Company	<i>σ</i>

Unconsolidated Balance Sheet - OMX (US) Inc.

(in thousands, unaudited)		Year Ended December 31, 2013	
Assets			
Current Assets:	\$	0	
Cash & Equivalents	ъ	0	
Investments		•	
Total Receivables - Net		(509)	
Current Deferred Tax		558	
Current Restricted Cash		0	
Other Current Assets		1,126	
Margin Deposits & Default Fund	***************************************	0	
Total Current Assets		1,175	
Total Property and Equipment - Net		24	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		1,123	
Long Term Restricted Cash		0 .	
Other Long Term Assets		0 222	
Total Assets	\$	2,322	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	1,409	
•	vP	0	
SEC 31a Payable to the SEC Accrued Personnel Costs		630	
Deferred Revenue		030	
		0 .	
Current Portion of Long Term Debt Current Deferred Tax Liability		0	
Other Current Liabilities		1,014	
			
Total current liabilities	•	3,053	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		•	
Other liabilities		2,749	
Total Liabilities		5,802	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		(0)	
Additional Paid in Capital		(16,155)	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained carnings		12,676	
Total stockholders' equity		(3,480)	
Noncontrolling interests		(3,480)	
Total Equity		(3,480)	
Total liabilities and equity	\$	2,322	
Total habilities and equity	\9	6,JL6	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX (US) Inc.

	Year Ended December 31, 20)13
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		, 0
Market Technology Revenues	•	0
Other Revenues	2	,052
Total Revenues	2	,052
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	2	,052
Operating Expenses		
Compensation and Benefits	2	,668
Marketing and Advertising		40
Depreciation and amortization		7
Professional and contract services		74
Computer operations and data communications		6
Occupancy	l	,042
Regulatory		0
General, administrative and other	(25	,015)
Merger expenses		0
Total operating expenses	(21	,178)
Operating Income	23	,230
Interest income		ŧ
Interest expense		(1)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes	23	3,230
Income tax provision	(2	2,340)
Net Income	25	5,570
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$ 25	5,570

Unconsolidated Financial Statements - The NASDAQ OMX Group Educational Foundation, Inc.

Note: The Nasdaq Stock Market Educational Foundation, Inc. is not a consolidated entity of The NASDAQ OMX Group, Inc. and therefore is not included.

The NASDAQ OMX Group, Inc.
Unconsolidated Balance Sheet - The NASDAQ OMX Group, Inc.

(in invasions, initiative)		ear Ended mber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	148,179
Investments		2
Total Receivables - Net		80,604
Current Deferred Tax		(10,275)
Current Restricted Cash		0
Other Current Assets		497,101
Margin Deposits & Default Fund		0
Total Current Assets		715,612
Total Property and Equipment - Net		37,786
Goodwill		10,453
Other Intangibles		4,292
Non Current Deferred Taxes		137,303
Long Term Restricted Cash		0
Other Long Term Assets	·	7,478,869
Total Assets	\$	8,384,315
Liabilities and equity	•	
Current liabilities:		
Total AP and Accrued Expenses	\$	132,238
SEC 31a Payable to the SEC	y	152,250
Accrued Personnel Costs		29,995
Deferred Revenue		9,225
Current Portion of Long Term Debt		45,000
Current Deferred Tax Liability		8,003
Other Current Liabilities		850,463
Total current liabilities		1,074,924
Total Long Term Debt		2,588,590
Non Current Deferred Tax Liability		8,035
Non-current deferred revenue	* .	1,238
Other liabilities		59,143
Total Liabilities		3,731,931
Commitments and contingencies EQUITY		
Common Stock in Treasury Total		(1,004,770)
Common Stock Total		2,143
Additional Paid in Capital		4,281,051
Accumulated Other Comprehensive Income/(Loss)		(83,691)
Retained carnings	,	1,457,360
Total stockholders' equity		4,652,093
Noncontrolling interests		291
Total Equity		4,652,385
Total liabilities and equity	\$	8,384,315
tom months and odnit	·v	0,507,515

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - The NASDAQ OMX Group, Inc.

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	1,783
Global Information Services	. 69,608
Corporate Solutions	(0)
Market Technology Revenues	(3,714)
Other Revenues	72,928
Total Revenues	140,604
totat revenues	140,004
Cost of revenues	•
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	. 0
Revenues less transaction rebates, brokerage, clearance and exchang	ve
fees	140,604_
	,
Operating Expenses	100.00
Compensation and Benefits	102,262
Marketing and Advertising	6,951
Depreciation and amortization	10,100
Professional and contract services	28,923
Computer operations and data communications	7,064
Occupancy	12,688
Regulatory	. 0
General, administrative and other	52,391
Merger expenses	1,195
Total operating expenses	221,574
Operating Income	(80,970)
Interest income	383
Interest expense	(108,163)
Gain (loss) on sale of strategic initiatives	21,344
Dividend and investment income	(9,555)
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	(154 343)
Income before income taxes	(176,962)
Income tax provision	(10,614)
Net Income	(166,348)
Net (income) expense attributable to noncontrolling interests	t,009
Net income attributable to Company	\$ (165,339)

The NASDAQ OMX Group, Inc.
Unconsolidated Financial Statements - The NASDAQ Options Market LLC

Note: The results of The NASDAQ Options Market LLC are included in the results of NASDAQ Options Services, LLC.

Unconsolidated Balance Sheet - The Stock Clearing Corporation of Philadelphia

Current Assets: Current Assets: Cash & Equivalents \$ 0 0 Investments 0 0 Current Deferred Tax 0 0 Current Deferred Tax 0 0 Current Restricted Cash 0 0 Current Assets 0 0 Margin Deposits & Default Fund 0 0 Total Current Assets 0 0 Total Property and Equipment - Net 0 0 Codwill 0 0 Codwill 0 0 Other Intangibles 0 0 Other Intangibles 0 0 Other Long Term Assets 151 Long Term Restricted Cash 0 0 Other Long Term Assets 151 Long Term Restricted Cash 0 0 Other Long Term Assets 0 0 Total Assets 5 151 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 0 Accrued Personnel Costs 0 0 Current Portion of Long Term Debt 0 0 Current Deferred Tax Liability 0 0 Current Deferred Tax Liability 0 0 Current Deferred Tax Liabilities (51) Total Long Term Debt 0 0 Current Deferred Tax Liability 0 0 Other Current Liabilities (51) Total Long Term Debt 0 0 Current Deferred Tax Liability 0 0 Other Current Deferred Tax Liability 0 0 Other Current Deferred Tax Liability 0 0 Other Join Term Debt 0 0 Other Join Term	(III thousands, anaddicer)	Year Ended December 31, 2013
Cash & Equivalents \$ 0 Investments 0 Total Receivables - Net 0 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 0 Margin Deposits & Default Fund 0 Total Current Assets 0 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ Liabilities and equity Current liabilities: \$ Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total Long Term Debt 0 Non current Deferred Tax Liability (8)	Assets	
Investments	Current Assets:	
Total Receivables - Net 0 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 0 Margin Deposits & Default Fund 0 Total Current Assets 0 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 151 Liabilities and equity \$ 151 Current liabilities: \$ 151 Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total current Inabilities (51) Total Long Term Debt 0 Non-current Deferred Tax Liability (8) Non-current Defe	Cash & Equivalents	\$ 0
Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 0 Margin Deposits & Default Fund 0 Total Current Assets 0 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ Liabilities and equity *** Current liabilities 0 Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total current Deferred Tax Liability (8) Non-current deferred revenue 0 Other Ilabilities (51) Total Liabilities 0 Common Stock in Treasury Total <td>· · · · · · · · · · · · · · · · · · ·</td> <td>0</td>	· · · · · · · · · · · · · · · · · · ·	0
Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 0 Margin Deposits & Default Fund 0 Total Current Assets 0 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 151 Liabilities and equity *** Current liabilities 0 Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Liabilities (51) Total Current Liabilities (51) Total Current Deferred Tax Liability 0 Non-current Deferred Tax Liability (8) Non-current Deferred Tevenue 0 Other Idabilities (51) Total Liabilities<	Total Receivables - Net	0
Other Current Assets 0 Margin Deposits & Default Fund 0 Total Current Assets 0 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 151 Liabilities and equity Current Liabilities: Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Liabilities (51) Total current Liabilities (51) Total current Iabilities (51) Total current Deferred Tax Liability (8) Non-current Deferred Tax Liability (8) Non-current Deferred Tevenue 0 Other Liabilities (59) Commitments and contingencies (59) EQUITY	Current Deferred Tax	0
Other Current Assets 0 Margin Deposits & Default Fund 0 Total Current Assets 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 151 Liabilities and equity *** Current liabilities: 0 Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Ocurrent Portion of Long Term Debt 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total Long Term Debt 0 Non-current Deferred Tax Liability (8) Non-current Deferred Tax Liability (8) Non-current Deferred Tax Liability (8) Non-current Deferred Tax Liability (9) Commitments and contingencies (9) EQUITY 0	Current Restricted Cash	0
Total Current Assets 0 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 151 Liabilities and equity Current liabilities Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total current Liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other Liabilities (51) Total Liabilities (59) Commitments and contingencies (59) EQUITY 0 Common Stock in Treasury Total 0	Other Current Assets	0
Total Current Assets 0 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ Liabilities and equity \$ Current liabilities: \$ Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities (51) Total Liabilities (59) Common Stock in Treasury Total 0 Common Stock Total 0 Common Stock Total <td>Margin Deposits & Default Fund</td> <td>. 0</td>	Margin Deposits & Default Fund	. 0
Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 151 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other Liabilities (51) Total Liabilities (59) Commitments and contingencies (59) EQUITY 0 Common Stock in Treasury Total 0 Common Stock in Treasury Total 0 Common Stock Total <t< td=""><td>· .</td><td>0</td></t<>	· .	0
Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 151 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total Long Term Debt 0 Non current Deferred Tax Liability (8) Non-current Deferred Tax Liability (8) Non-current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Commitments and contingencies 5 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital	Total Property and Equipment - Net	0
Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 151 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (59) Commitments and contingencies (59) EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529)	• • • • • • • • • • • • • • • • • • • •	0
Non Current Deferred Taxes 151 Long Term Restricted Cash 0 Other Long Term Assets \$ Total Assets \$ Liabilities and equity Liabilities and equity Liabilities and Equity Current liabilities: Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (59) Commitments and contingencies (59) EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retaine	Other Intangibles	0
Other Long Term Assets 0 Total Assets \$ 151 Liabilities and equity Current liabilities: \$ 0 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total current liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred Tax Liability (8) Non-current deferred revenue 0 Other Liabilities 0 Total Liabilities (59) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total Equity 210 Noncontrolling interests 0	•	151
Other Long Term Assets 0 Total Assets \$ 151 Liabilities and equity Current liabilities: Total AP and Accrued Expenses Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 5 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 7 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total Equity 210 <	Long Term Restricted Cash	0
Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 0 SEC 31a Payable to the SEC 0 0 Accrued Personnel Costs 0 0 Deferred Revenue 0 0 Current Portion of Long Term Debt 0 0 Current Deferred Tax Liability 0 0 Other Current Liabilities (51) (51) Total Long Term Debt 0 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 0 Other liabilities 0 0 Total Liabilities (59) (59) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 0 Common Stock Total 0 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) (529) Total Equity 210 Noncontrolling interests 0 Total Equity 210	•	0
Current liabilities: \$ 0 SEC 3 la Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total current liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (59) Commitments and contingencies (59) EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210	Total Assets	\$ 151
SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total current liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (59) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210	Current liabilities:	
Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (51) Total current liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (59) Commitments and contingencies (59) EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210	•	
Deferred Revenue		•
Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities (51) Total current liabilities (51) Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue Other liabilities Other liabilities Other liabilities (59) Commitments and contingencies EQUITY Common Stock in Treasury Total Other liabilities Other liabiliti		
Current Oeferred Tax Liability 0 Other Current Liabilities (51) Total current liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (59) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210		•
Other Current Liabilities (51) Total current liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (59) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210		_
Total current liabilities (51) Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (59) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210	•	·
Total Long Term Debt 0 Non Current Deferred Tax Liability (8) Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (59) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210		
Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings Total stockholders' equity Noncontrolling interests O Total Equity (8) (8) Non-current Deferred Tax Liability 0 Common Stock Total 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0		
Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (59) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 1 Noncontrolling interests 0 Total Equity 210	· ·	·
Other liabilities 0 Total Liabilities (59) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 1 Noncontrolling interests 0 Total Equity 210	•	
Total Liabilities (59) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210		•
Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210	******	
EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210	Total Caponatos	(07)
Common Stock Total 0 Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210		
Additional Paid in Capital 739 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210	Common Stock in Treasury Total	0 .
Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210	Common Stock Total	0
Retained earnings (529) Total stockholders' equity 210 Noncontrolling interests 0 Total Equity 210		739
Total stockholders' equity Noncontrolling interests Total Equity 210 210	Accumulated Other Comprehensive Income/(Loss)	0
Noncontrolling interests 0 Total Equity 210	Retained earnings	`
Total Equity 210	Total stockholders' equity	210
**************************************	Noncontrolling interests	
Total liabilities and equity \$ 151	Total Equity	***************************************
	Total liabilities and equity	\$ 151

Unconsolidated Statement of Income - The Stock Clearing Corporation of Philadelphia

(in mousands, iniaudited)	Year Ended December 31, 2013	_
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	0	_
Total Revenues	0	_
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	_
Total Cost of Revenues	0	_
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	0	-
Operating Expenses		
Compensation and Benefits	0	
Marketing and Advertising	0	
Depreciation and amortization	0	
Professional and contract services	0	
Computer operations and data communications	0	
Occupancy	0	
Regulatory	0	
General, administrative and other	0	
Merger expenses	0	
Total operating expenses	0	
Operating Income	0	
	0	
Interest expense Gain (loss) on sale of strategic initiatives	. 0	
Dividend and investment income	0	
Income from unconsolidated investees, net	. 0	
Impairment Loss	0	
FX Operating	. 0	
Income before income taxes	0	_
Income tax provision	0	
moone as protoion		_
Net Income	0	
Net (income) expense attributable to noncontrolling interests	0	•
Net income attributable to Company	\$ 0	=

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - AB NASDAQ OMX Vilnius (in thousands, unaudited)

	Year Ended December 31, 2013	
Assets		
Current Assets:		2.110
Cash & Equivalents	\$	2,119
Investments		0
Total Receivables - Net		134
Current Deferred Tax		29
Current Restricted Cash		0
Other Current Assets		8
Margin Deposits & Default Fund		0
Total Current Assets		2,290
Total Property and Equipment - Net		26
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		(1)
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	2,315
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$. 83
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		107
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		21
Total current liabilities		211
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		00
Total Liabilities		211
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		3,654
Accumulated Other Comprehensive Income/(Loss)		(485)
Retained earnings		(1,065)
Total stockholders' equity	· · · · · · · · · · · · · · · · · · ·	2,104
Noncontrolling interests		0
Total Equity	***************************************	2,104
Total liabilities and equity	\$	2,315
:	*	-,

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - AB NASDAQ OMX Vilnius

(in monsaids, mannico)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 479
Global Listing Services	471
Global Information Services	106
Corporate Solutions	. 0
Market Technology Revenues	. 0
Other Revenues	863
Total Revenues	1,919
Cost of revenues	
Transaction rebates	0 .
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	1,919
Operating Expenses	
Compensation and Benefits	. 752
-Marketing and Advertising	42
Depreciation and amortization	24
Professional and contract services	140
Computer operations and data communications	353
Occupancy	46
Regulatory	20
General, administrative and other	248
Merger expenses	0
Total operating expenses	1,625
Operating Income	295
Interest income	. 0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	, 0
FX Operating	0
Income before income taxes	295
Income tax provision	50
Net Income	245
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 245

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - AS eCSD Expert (in thousands, unaudited)

(Without and an and an	Year End December 31,		
Assets	• •		
Current Assets:			
Cash & Equivalents		\$	458
Investments		.D	0
Total Receivables - Net			. 0
Current Deferred Tax			0
Current Restricted Cash		•	0
Other Current Assets			19
Margin Deposits & Default Fund			0
Total Current Assets		····	477
Total Property and Equipment - Net			1
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash	J1		0
Other Long Term Assets	,		81
Total Assets		\$	560
i Otal Pissels			
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	95
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			0
Deferred Revenue			80
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			.0
Other Current Liabilities			(125)
Total current liabilities		····	49
Total Long Term Debt			0
Non Current Deferred Tax Liability			0
Non-current deferred revenue			. 0
Other liabilities			Ŏ
Total Liabilities			49
Total Entonates			7/
Commitments and contingencies			
EOUITY			
Common Stock in Treasury Total			0
Common Stock Total			Ö
Additional Paid in Capital			35
Accumulated Other Comprehensive Income/(Loss)			95
Retained earnings			380
Total stockholders' equity		4	510
Noncontrolling interests			0
Total Equity			510
Total liabilities and equity		\$	560
com monnes and others		Ψ	700

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - AS eCSD Expert

Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	3
Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	
Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	03
Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	0
Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	0
Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	Ō
Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	ō
Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	0
Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	03
Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	
Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	0
Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	0
Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	0
Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	
Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	03
Marketing and Advertising Depreciation and amortization Professional and contract services 2 Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses 3	
Depreciation and amortization Professional and contract services 2 Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses 3	2
Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	ŧ
Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	0
Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses	89
Regulatory General, administrative and other Merger expenses Total operating expenses	0
General, administrative and other Merger expenses Total operating expenses	0
Merger expenses Total operating expenses	0
Total operating expenses	9
	0
Operating Income (01.
	98)
Interest income	0
Interest expense	Ó
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0.
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
	98)
Income tax provision	0
Net Income (98)
Net (income) expense attributable to noncontrolling interests	0
	98)

The NASDAQ OMX Group, Inc. Unconsolidated Financial Statements - AS Eesti Väärtpaberikeskus

Note: AS Eesti Väärtpaberikeskus is an inactive entity in 2013 - no balances in 2012.

Unconsolidated Balance Sheet - AS Latvijas Centralais depozitarijs

(in thousands, unaudited)		
		Ended
	December	31, 2013
Assets		
Current Assets:	•	5.051
Cash & Equivalents	\$	5,051
Investments		0
Total Receivables - Net		1,049
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		19
Margin Deposits & Default Fund		00
Total Current Assets		6,118
Total Property and Equipment - Net		583
Goodwill		. 0
Other Intangibles		0
Non Current Deferred Taxes		1
Long Term Restricted Cash		0
Other Long Term Assets		376
Total Assets	\$	7,078
Liabilities and equity		
Current liabilities:		
	\$	3,885
Total AP and Accrued Expenses	4	3,863
SEC 31a Payable to the SEC		120
Accrued Personnel Costs		
Deferred Revenue		8
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		906
Total current liabilities		4,919
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		4,920
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		374
Additional Paid in Capital		0
Accumulated Other Comprehensive Income/(Loss)		(103)
Retained carnings		1,888
•		2,158
Total stockholders' equity		2,136
Noncontrolling interests		~~~~~
Total Equity	\$	2,158
Total liabilities and equity	·P	7,078

Unconsolidated Statement of Income - AS Latvijas Centralais depozitarijs

(in mousands, unaudied)		Year Ended December 31, 2013	
Revenues	\$	2,591	
Market Services Revenues	4	2,391	
Global Listing Services		0	
Global Information Services		-	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		39	
Total Revenues		2,631	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exch fees	ange	2,631	
ices	***************************************	2,001	
Operating Expenses			
Compensation and Benefits		753	
Marketing and Advertising		41	
Depreciation and amortization		101	
Professional and contract services		178	
Computer operations and data communications		82	
Occupancy		71	
Regulatory		30	
General, administrative and other		382	
Merger expenses		0	
Total operating expenses		1,639	
Operating Income	-	993	
Interest income		91	
Interest expense		(0)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		(43)	
Income from unconsolidated investees, net		0.	
Impairment Loss		0	
FX Operating	<u> </u>	0	
Income before income taxes	•	1,040	
Income tax provision		173	
Net Income		867	
Net (income) expense attributable to noncontrolling interests			
Net income attributable to Company	\$	867	
the mount accordance to combany			

Unconsolidated Balance Sheet - AS OMX Registrikeskus

(in thousands, unaddied)		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	0	
Investments		0	
Total Receivables - Net		0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets	***************************************	0	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	0	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	(0)	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		0	
Total current liabilities		0	
Total Long Term Debt		0	
Non Current Deferred Tax Liability	,	0	
Non-current deferred revenue		. 0	
Other liabilities		0	
Total Liabilities		0	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		52	
Accumulated Other Comprehensive Income/(Loss)	•	(52)	
Retained earnings		(0)	
Total stockholders' equity		0	
Noncontrolling interests	,	0	
Total Equity		0	
Total liabilities and equity	\$	0	

Unconsolidated Statement of Income - AS OMX Registrikeskus

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	(0)
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		ő
Other Revenues		Ô
Total Revenues		(0)
Total revolues		(*/
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues	-	0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		(0)
•		
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		(0)
Professional and contract services		(0)
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		(0)
Merger expenses		0
Total operating expenses		(0)
Operating Income	* •	(0)
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		(32)
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		(32)
Income tax provision	***************************************	0
		40.5
Net Income		(32)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	(32)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise Beheer BV (in thousands, unaudited)

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	2	
Investments		0	
Total Receivables - Net		74	
Current Deferred Tax		(165)	
Current Restricted Cash		0	
Other Current Assets		(930)	
Margin Deposits & Default Fund		.0	
Total Current Assets	\ <u>.</u>	(1,018)	
Total Property and Equipment - Net		0 -	
Goodwill		57,519	
Other Intangibles	•	33,465	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		1,066	
Total Assets	\$	91,033	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	4,471	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		52	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		906	
Other Current Liabilities		(524)	
Total current liabilities		4,906	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		7,536	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		12,442	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		76,333	
Accumulated Other Comprehensive Income/(Loss)		8,114	
Retained earnings		(5,857)	
Total stockholders' equity		78,591	
Noncontrolling interests	***	0	
Total Equity		78,591	
Total liabilities and equity	\$	91,033	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Bwise Beheer BV

(in mousands, unaucuted)	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	74	
Other Revenues	. 0	
Total Revenues	74	
Cost of revenues	_	
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	74	
Operating Expenses		
Compensation and Benefits	303	
Marketing and Advertising	0	
Depreciation and amortization	3,516	
Professional and contract services	. 3	
Computer operations and data communications	0	
Occupancy	0	
Regulatory	0	
General, administrative and other	14	
Merger expenses	0	
Total operating expenses	3,836	
Operating Income	(3,762)	
Interest income	0	
Interest expense	(126)	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	(3,888)	
Income tax provision	(860)	
Net Income	(3,028)	
Net (income) expense attributable to noncontrolling interests	(2)	
Net income attributable to Company	\$ (3,029)	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise BV (in thousands, unaudited)

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	318	
Investments		0	
Total Receivables - Net		13,371	
Current Deferred Tax		598	
Current Restricted Cash		195	
Other Current Assets		(559)	
Margin Deposits & Default Fund		0	
Total Current Assets	***************************************	13,923	
Total Property and Equipment - Net		1,271	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		00	
Total Assets	\$	15,194	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	1,829	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		899	
Deferred Revenue		3,815	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		7,008	
Total current liabilities		13,551	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities	***************************************	0	
Total Liabilities		13,551	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		9,547	
Accumulated Other Comprehensive Income/(Loss)		610	
Retained earnings		(8,514)	
Total stockholders' equity		1,643	
Noncontrolling interests		0	
Total Equity		1,643	
Total liabilities and equity	\$	15,194	

Unconsolidated Statement of Income - Bwise BV

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	0
Global Listing Services	•	0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		16,461
Other Revenues		935
Total Revenues		17,396
Cost of revenues		
Transaction rebates		. 0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues	~~~~~	0
Revenues less transaction rebates, brokerage, clearance and excha	inge	
fees		17,396
Operating Expenses		
Compensation and Benefits		9,102
Marketing and Advertising		432
Depreciation and amortization		402
Professional and contract services		2,383
Computer operations and data communications		1,069
Occupancy		637
Regulatory		0
General, administrative and other		3,516
Merger expenses		00
Total operating expenses		17,541
Operating Income		(145)
Interest income		ı
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes	***************************************	(144)
Income tax provision	·	(14)
Net Income		(130)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	(130)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise Development BV

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	18	
investments		0	
Total Receivables - Net		1,116	
Current Deferred Tax		1,241	
Current Restricted Cash		0	
Other Current Assets		8	
Margin Deposits & Default Fund		0	
Total Current Assets		2,383	
Total Property and Equipment - Net		2,503	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets	····	0	
Total Assets	\$	4,887	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	5,432	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		513	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		977	
Total current liabilities		6,923	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities	·	0	
Total Liabilities	`	6,923	
Commitments and contingencies			
EQUITY		•	
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		(2,743) (327)	
Accumulated Other Comprehensive Income/(Loss)			
Retained earnings Tatal standard description		1,033	
Total stockholders' equity		(2,036) 0	
Noncontrolling interests			
Total Equity Total liabilities and equity	\$	(2,036) 4,887	
Total liabilities and equity	3	4,007	

Unconsolidated Statement of Income - Bwise Development BV

Revenues Market Services Revenues \$ 0 Global Listing Services 0 0 Global Information Services 0 0 Corporate Solutions 2,114 Other Revenues 2,114 Other Revenues 6 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Compensation and Benefits (160) Marketing and Advertising 18 Depreciation and autoritation 197 Porfessional and contract services (16) Cocupancy 9 Regulatory 9 General, administrative and other 304 Merger expenses 0 Operating lncome 1,650 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Operating lncome 0 <		Year Ended
Market Services Revenues 0 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 2,114 Other Revenues 6 Total Revenues 6 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 2,120 Operating Expenses (160) Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 0 Operating Income 1,650 Interest income 0 Income from unconsolidated investees, net <		December 31, 2013
Market Services Revenues 0 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 2,114 Other Revenues 6 Total Revenues 6 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 2,120 Operating Expenses (160) Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 0 Operating Income 1,650 Interest income 0 Income from unconsolidated investees, net <		
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Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 2,114 Other Revenues 6 Total Revenues 2,120 Cost of revenues Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income 0 Interest income 0 Income from unconsolidated investees, net 0 Impairment Loss 0		,
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Other Revenues 6 Total Revenues 2,120 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 2,120 Operating Expenses (160) Compensation and Benefits (160) Marketing and Advertising 58 Depercation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 9 General, administrative and other 304 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss	•	. 0
Total Revenues 2,120	Market Technology Revenues	2,114
Cost of revenues Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 2,120 Operating Expenses Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (11) Computer operations and data communications 5 Cocupancy 91 Regulatory 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income 0 Income from unconsolidated investees, net Impairment Loss PX Operating Income taxes 1,650 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net Income 1,650 Income expense 1,650 Income tax provision 0 Net Income 1,650 Net Income 1,650 Income expense 1,650 Income expense 1,650 Income 1,6	Other Revenues	
Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 2,120 Operating Expenses (160) Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income lax provis	Total Revenues	2,120
Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 2,120 Operating Expenses 2 Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income 1,650 Income 1,65	Cost of revenues	
Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 2,120 Operating Expenses 2 Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to nonco		
Revenues less transaction rebates, brokerage, clearance and exchange fees 2,120	Brokerage, Clearance and Exchange Fees	
Gees 2,120 Operating Expenses (160) Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Total Cost of Revenues	. 0
Operating Expenses (160) Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Revenues less transaction rebates, brokerage, clearance and exchange	*
Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	fees	2,120
Compensation and Benefits (160) Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Operating Expenses	*
Marketing and Advertising 58 Depreciation and amortization 172 Professional and contract services (1) Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0		(160)
Depreciation and amortization 172	· · · · · · · · · · · · · · · · · · ·	58
Computer operations and data communications 5 Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0		172
Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Professional and contract services	(1)
Occupancy 91 Regulatory 0 General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Computer operations and data communications	5
General, administrative and other 304 Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0		91
Merger expenses 0 Total operating expenses 470 Operating Income 1,650 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Regulatory	, 0
Total operating expenses 470 Operating Income 1,650 Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	General, administrative and other	304
1,650	Merger expenses	0
Interest income (0) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Total operating expenses	470
Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Operating Income	1,650
Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Interest income	(0)
Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Interest expense	0
Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes Income tax provision Net Income Net Income Net (income) expense attributable to noncontrolling interests 0	Gain (loss) on sale of strategic initiatives	. 0
Impairment Loss 0 FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income Net (income) expense attributable to noncontrolling interests 0	Dividend and investment income	0
FX Operating 0 Income before income taxes 1,650 Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Income from unconsolidated investees, net	0
Income before income taxes Income tax provision Net Income Incom	Impairment Loss	0
Income tax provision 0 Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	FX Operating	0
Net Income 1,650 Net (income) expense attributable to noncontrolling interests 0	Income before income taxes	1,650
Net (income) expense attributable to noncontrolling interests 0	Income tax provision	0
Net (income) expense attributable to noncontrolling interests Net income attributable to Company 1,650	Net Income	1,650
Net income attributable to Company \$ 1,650	Net (income) expense attributable to noncontrolling interests	0
	· · · · ·	\$ 1,650

Unconsolidated Balance Sheet - Bwise Germany GmbH

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	s	58	
Investments	.D	0	
Total Receivables - Net		1,462	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets	(1,125)	
Margin Deposits & Default Fund	`	0	
Total Current Assets		395	
Total Property and Equipment - Net		20	
Goodwill		0	
Other Intangibles		ő	
Non Current Deferred Taxes		Ö	
Long Term Restricted Cash		Õ	
Other Long Term Assets		0	
Total Assets	\$	415	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	5,288)	
SEC 3 ta Payable to the SEC	•	0	
Accrued Personnel Costs	•	59	
Deferred Revenue		998	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		(730)	
Total current liabilities		4,960)	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue	,	0	
Other liabilities		0	
Total Liabilities		(4,960)	
Commitments and continuous			
Commitments and contingencies EQUITY	•		
Common Stock in Treasury Total		0	
Common Stock Total	•	0	
		•	
Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss)		280 61	
Retained earnings			
• · · · · · · · · · · · · · · · · · · ·		5,033	
Total stockholders' equity		5,375 0	
Noncontrolling interests	***************************************		
Total Equity Total liabilities and posity	\$	5,375	
Total liabilities and equity	<u> </u>	415	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Bwise Germany GmbH

Revenues \$ 0 Global Listing Services 0 Global Listing Services 0 Global Listing Services 0 Global Listing Services 0 Corporate Solutions 0 Market Technology Revenues 3,751 Other Revenues (565) 751 Total Revenues 0 5652 Total Revenues 0 6552 Total Revenues 0 0 Brokerage, Clearance and Exchange Fees 0 0 Total Cost of Revenues 0 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 0 Company 0	(in crousings, unaudited)	Year Ended December 31, 2013	
Market Services Revenues 0 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues (565) Other Revenues (565) Total Revenues 0 Brokerage, Clearance and Exchange Fecs 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 3,186 Operating Expenses 9 Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Operating Income 1,752 Operating Income 1,434 Interest expense 0 Operating Income 0 Interest expense 0	Davanua	•	
Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 3,751 Other Revenues (565) Total Revenues 3,186 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 3,186 Operating Expenses 97 Compensation and Benefits 97 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Compancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 <tr< td=""><td></td><td>ė</td><td>^</td></tr<>		ė	^
Global Information Services 0 Corporate Solutions 3,751 Market Technology Revenues 3,751 Other Revenues (565) Total Revenues 3,186 Cost of revenues Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees Compensation and Benefits Operating Expenses Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 46 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest expense 0 Gain (loss) on sale of strategic inititatives 0			-
Corporate Solutions 0 Market Technology Revenues 3,751 Other Revenues (565) Total Revenues 3,186 Cost of revenues "O Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 3,186 Operating Expenses 977 Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Operating Income 1,434 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income	· ·		-
Market Technology Revenues 3,751 Other Revenues (565) Total Revenues 3,186 Cost of revenues			•
Other Revenues (565) Total Revenues 3,186 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 3,186 Operating Expenses 977 Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 O Total operating expenses 0 Total operating expenses 0 Operating Income 1,434 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Income tax provi			•
Total Revenues 3,186 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 3,186 Operating Expenses 977 Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Income tax provision 273 Net Income			
Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 3,186 Operating Expenses 977 Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes			
Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 3,186 Operating Expenses Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income	l otal Revenues	3,18	6
Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 3,186 Operating Expenses 3,186 Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization 60 Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 0 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income			
Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 3,186 Operating Expenses 3,186 Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to	Transaction rebates	!	0
Revenues less transaction rebates, brokerage, clearance and exchange fees 3,186 Operating Expenses 977 Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 0 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0			0
fees 3,186 Operating Expenses Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	Total Cost of Revenues	-	0
Operating Expenses Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	Revenues less transaction rebates, brokerage, clearance and exchange		
Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	fces	3,18	6
Compensation and Benefits 977 Marketing and Advertising 47 Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	Operating Expenses	÷	
Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net Income) expense attributable to noncontrolling interests 0		97	7
Depreciation and amortization (0) Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net Income) expense attributable to noncontrolling interests 0	Marketing and Advertising	4	7
Professional and contract services 173 Computer operations and data communications 17 Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net Income expense attributable to noncontrolling interests 0		(0)
Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	Professional and contract services	17	3
Occupancy 78 Regulatory 0 General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	Computer operations and data communications		7
General, administrative and other 460 Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	Occupancy	7	8
Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	Regulatory		0
Merger expenses 0 Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	General, administrative and other	46	0
Total operating expenses 1,752 Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0			0
Operating Income 1,434 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	The state of the s	1.75	2
Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	. • .		
Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	Interest income	• •	0
Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes I,434 Income tax provision 273 Net Income	Interest expense	*	0
Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes I,434 Income tax provision Net Income Income I,161 Net (income) expense attributable to noncontrolling interests 0	Gain (loss) on sale of strategic initiatives		0
Impairment Loss 0 FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	Dividend and investment income		0
FX Operating 0 Income before income taxes 1,434 Income tax provision 273 Net Income Net (income) expense attributable to noncontrolling interests 0	Income from unconsolidated investees, net		0
Income before income taxes I,434 Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	Impairment Loss		0
Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0	FX Operating		0
Income tax provision 273 Net Income 1,161 Net (income) expense attributable to noncontrolling interests 0		1.43	4
Net (income) expense attributable to noncontrolling interests 0			
Net (income) expense attributable to noncontrolling interests 0	Net Income	1 16	i 1
	The state of the s	•	
	•		<u> </u>

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise Holding BV

(III thousands, whatever,		Year Ended December 31, 2013	
Assets	•		·
Current Assets:			
Cash & Equivalents		\$	0
Investments			0
Total Receivables - Net			140
Current Deferred Tax			6
Current Restricted Cash			0
Other Current Assets			39
Margin Deposits & Default Fund			0
Total Current Assets			186
Total Property and Equipment - Net			0
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			4,389
Total Assets		<u>\$</u>	4,575
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	3,947
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			0
Deferred Revenue			0
Current Portion of Long Term Debt			• 0
Current Deferred Tax Liability			0
Other Current Liabilities			0
Total current liabilities	•		3,947
Total Long Term Debt			0
Non Current Deferred Tax Liability			0
Non-current deferred revenue			0
Other liabilities		***************************************	0
Total Liabilities			3,947
Commitments and contingencies			
EQUITY		•	
Common Stock in Treasury Total			0
Common Stock Total			0
Additional Paid in Capital			1,066
Accumulated Other Comprehensive Inco	me/(Loss)		(522)
Retained earnings			83
Total stockholders' equity			628
Noncontrolling interests			0
Total Equity			628
Total liabilities and equity		\$	4,575

Unconsolidated Statement of Income - Bwise Holding BV

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	0	
Total Revenues	0	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	0	
Operating Expenses		
Compensation and Benefits	0	
Marketing and Advertising	0	
Depreciation and amortization	0	
Professional and contract services	0	
Computer operations and data communications	0	
Occupancy	(6)	
Regulatory	0	
General, administrative and other	(5)	
Merger expenses	0	
Total operating expenses	(11)	
Operating Income	: 11	
Interest income	. 0	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	. 0	
FX Operating	0	
Income before income taxes	11	
Income tax provision	0	
Net Income	11	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ 11	
·		

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise U.K.Ltd

(In modulate, analysis)		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	0	
Investments		0	
Total Receivables - Net		2	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets		2	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets	***************************************	0	
Total Assets	\$	2	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	411	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		. 0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities	<u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>		
Total current liabilities		411	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		. 0	
Other liabilities	***************************************	0	
Total Liabilities		411	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		(381)	
Accumulated Other Comprehensive Income/(Loss)		(29)	
Retained earnings		0	
Total stockholders' equity	***************************************	(410)	
Noncontrolling interests		0	
Total Equity		(410)	
Total liabilities and equity	\$	2	
• •			

Unconsolidated Statement of Income - Bwise U.K.Ltd

,	Year Ended	
	December 31, 20	13
Revenues	_	
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues	· · · · · · · · · · · · · · · · · · ·	
Total Revenues		0
Cost of revenues		^
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0_
Total Cost of Revenues		0
Devenues less transaction rehates brokerage stangangs and evolungs		
Revenues less transaction rebates, brokerage, clearance and exchange fees		0
1003		
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		Ó
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		0
Merger expenses		ō
Total operating expenses		0
Operating Income		0
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating	*	0
Income before income taxes		0
Income tax provision	•	0
and the survey of the survey o		
Net Income		0
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	0

Unconsolidated Balance Sheet - "Central Depository of Armenia" Open Joint Stock Company (in thousands, unaudited)

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	248	
Investments		0	
Total Receivables - Net	•	98	
Current Deferred Tax		3	
Current Restricted Cash		0	
Other Current Assets		34	
Margin Deposits & Default Fund		0	
Total Current Assets		383	
Total Property and Equipment - Net		96	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		17	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	496	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	7	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		16	
Current Portion of Long Term Debt		0.	
Current Deferred Tax Liability		0	
Other Current Liabilities	~ · · · · · · · · · · · · · · · · · · ·	193	
Total current liabilities		216	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities	***************************************	0	
Total Liabilities		216	
Commitments and contingencies			
EQUITY	•		
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		680	
Accumulated Other Comprehensive Income/(Loss)		(434)	
Retained earnings		33	
Total stockholders' equity		280	
Noncontrolling interests	***************************************	0	
Total Equity		280	
Total liabilities and equity	\$	496	

Unconsolidated Statement of Income - "Central Depository of Armenia" Open Joint Stock Cor (in thousands, unaudited)

(in thousands, unaudited)		
	Year Ended	
	December 31, 2013	
		•
Revenues		
Market Services Revenues	\$ 534	
Global Listing Services	1	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	0	
Total Revenues	534	•
· · · · · · · · · · · · · · · · · · ·		
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	_
Total Cost of Revenues	. 0	_
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	534	~
Operating Expenses		
Compensation and Benefits	273	
Marketing and Advertising	0	
Depreciation and amortization	21	
Professional and contract services	18	
Computer operations and data communications	43	٠
Occupancy	31	
Regulatory	3	
General, administrative and other	84	
Merger expenses	0	_
Total operating expenses	474	_
Operating Income	60	
Interest income	(0))
Interest expense	0	
Gain (loss) on sale of strategic initiatives	, 0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	_
Income before income taxes	60	
Income tax provision	40	_
		-
Net Income	20	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ 20	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Clearing Control CC AB (in thousands, unaudited)

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$. 0	
Investments	•	ő	
Total Receivables - Net		0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		16	
Margin Deposits & Default Fund		0	
Total Current Assets	-	16	
Total Property and Equipment - Net		0	
Goodwill		ō	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	16	

Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	· \$	0	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities	•	0	
Total current liabilities		0	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		0	
		,	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total	•	0	
Common Stock Total		0	
Additional Paid in Capital		0	
Accumulated Other Comprehensive Income/(Loss)		2	
Retained earnings		14	
Total stockholders' equity		16	
Noncontrolling interests		0	
Total Equity	<u></u> .	16	
Total liabilities and equity	\$	16	

Unconsolidated Statement of Income - Clearing Control CC AB

(in trousains, minuted)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	. 0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0_
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	. 0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	0
Operating Income	0
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	. 0
Income tax provision	0
Net Income	0
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 0

Unconsolidated Balance Sheet - Egypt for Information Dissemination Company

		Ended
	December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	1
Investments	J.	0
Total Receivables - Net		0
Current Deferred Tax		Ö
Current Restricted Cash		Ö
Other Current Assets		0
Margin Deposits & Default Fund		ŏ
Total Current Assets		
		,
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		. 0
Non Current Deferred Taxes		0
Long Term Restricted Cash		•
Other Long Term Assets	Ф	1,354
Total Assets	\$	1,334
Liabilities and equity		
Current liabilities:	\$	0
Total AP and Accrued Expenses	Ð	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		_
Other Current Liabilities		0
Total current liabilities		•
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		0
Commitments and continuous iss		
Commitments and contingencies		
EQUITY		0
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		
Accumulated Other Comprehensive Income/(Loss)		(266)
Retained earnings		1,621
Total stockholders' equity		1,354
Noncontrolling interests		0
Total Equity	•	1,354
Total liabilities and equity	\$	1,354

Unconsolidated Statement of Income - Egypt for Information Dissemination Company

(In thousands, unauditod)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	0
Operating Expenses	_
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	0 .
Merger expenses	0
Total operating expenses	0
Operating Income	0
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	217
Impairment Loss	0
FX Operating	00
Income before income taxes	217
Income tax provision	0
Net Income	217
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 217

The NASDAQ OMX Group, Inc.
Unconsolidated Balance Sheet - Eignarhaldsfelagid Verdbrefathing hf

	Year En December 3	
Assets		
Current Assets:		
Cash & Equivalents	\$	676
Investments		0
Total Receivables - Net		4,778
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		4,562
Margin Deposits & Default Fund	<u> </u>	0
Total Current Assets		10,016
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		15,520
Total Assets	\$	25,536
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		-0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		62
Total current liabilities		62
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		62
		-
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		Ô
Additional Paid in Capital		15,950
Accumulated Other Comprehensive Income/(Loss)		299
Retained earnings		9,226
Total stockholders' equity	•	25,474
		23,474
Noncontrolling interests	***************************************	
Total Equity Total liabilities and equity	\$	25,474 25,536
rotal naomities and equity	.p	43,330

Unconsolidated Statement of Income - Eignarhaldsfelagid Verdbrefathing hf

(in nousands, unaddred)	Year Ended December 31, 2013
	10000111001 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions .	o o
•	. 0
Market Technology Revenues	0
Other Revenues	
Total Revenues	U
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange fees	0
tees	
Operating Expenses	
Compensation and Benefits	. 0
Marketing and Advertising	. 0
Depreciation and amortization	0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	O
Regulatory	0
General, administrative and other	
Merger expenses	. 0
Total operating expenses	
Operating Income	(1)
Interest income	276
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	19
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	294
Income tax provision	59
manua and have some	
Net Income	236
Net (income) expense attributable to noncontrolling interests	. 0
Net income attributable to Company	\$ 236

The NASDAQ OMX Group, Inc.
Unconsolidated Financial Statements - European Central Counterparty N.V

Note: European Central Counterparty N.V is not a fully owned entity and therefore is not included.

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Findata AB

(in thousands, unaudited)	.,	
	Year Ended	
	December 31, 2013	
Assets		
Current Assets:	S	0
Cash & Equivalents	D .	0
Investments		0
Total Receivables - Net		
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		-
Margin Deposits & Default Fund		-0
Total Current Assets		
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		48
Total Assets	\$	48
Liabilities and equity		
Current liabilities:		_
Total AP and Accrued Expenses	\$	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue	*	0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		0
Total current liabilities		0
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities	<u> </u>	0_
Total Liabilities		0.
Commitments and contingencies		
EQUITY	1	
Common Stock in Treasury Total		0
Common Stock Total	*	0
Additional Paid in Capital		388
Accumulated Other Comprehensive Income/(Loss)		(43)
Retained earnings		(298)
Total stockholders' equity	1	48
Noncontrolling interests		0
Total Equity		48
Total liabilities and equity	\$.	48
· · · · · · · · · · · · · · · · · · ·		

Unconsolidated Statement of Income - Findata AB

	Year Ended December 31, 2013	
Davanas	•	
Revenues Market Carriage Pourrouse	\$ 0	
Market Services Revenues	. 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions Market Technology Poyonyee	0	
Market Technology Revenues Other Revenues	0	
Total Revenues	<u>`</u>	
total Revenues	. •	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	0	
Operating Expenses	•	
Compensation and Benefits	0	
Marketing and Advertising	0	
Depreciation and amortization	0	
Professional and contract services	(5)	
Computer operations and data communications	0	
Occupancy	0	
Regulatory	0	
General, administrative and other	0	
Merger expenses	0	
Total operating expenses	(5)	
Operating Income	5	
Interest income	0	
Interest expense	(0)	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	5	
Income tax provision	<u> </u>	
Net Income	4	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ 4	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - FTEN Europe Ltd (in thousands, unaudited)

Assets	0
Assets	0
	0
Current Assets:	v
Cash & Equivalents \$	
Investments	0
	38
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	0
Margin Deposits & Default Fund	0_
	138
Total Property and Equipment - Net	0
Goodwill	0
Other Intangibles	0
Non Current Deferred Taxes	0
Long Term Restricted Cash	0
Other Long Term Assets	0
Total Assets \$	138
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses \$	98
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	0
Deferred Revenue	0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	Ö
Other Current Liabilities	(0)
Total current liabilities	98
Total Long Term Debt	0
Non Current Deferred Tax Liability	0
Non-current deferred revenue	0
Other liabilities	Ö
Total Liabilities	98
total Planuties	70
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	0
·	244)
Accumulated Other Comprehensive Income/(Loss)	1 72
Retained earnings(188)
Total stockholders' equity	40
Noncontrolling interests	0
Total Equity	40
Total liabilities and equity \$	138

Unconsolidated Statement of Income - FTEN Europe Ltd

Revenues Branch Services Revenues \$ 0 Global Listing Services 0 Global Listing Services 0 Global Listing Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Total Revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees fees 0 Operating Expenses Conpensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 T	(in invitation)	Year Ended	
Market Services Revenues 0 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional, and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Operating Income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0		December 31, 2013	
Market Services Revenues 0 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional, and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Operating Income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0			
Global Listing Services 0 0 0 0 0 0 0 0 0	Revenues		
Corporate Solutions	Market Services Revenues	-	
Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expense 0	Global Listing Services	·	
Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Gain (loss) on sale of strategic initiatives 0<	Global Information Services	•	
Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Gees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Operating Income 102 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net Impairment Loss 0 FX Operating 0 Income before income taxes (Corporate Solutions	*	
Total Revenues O	Market Technology Revenues	0	
Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Total operating expenses 102 Operating Income 0 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0	Other Revenues	0	
Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Operating Expenses 0 Omerating Income 1 Omerating Expenses 10 Omerating and Advertising 0 Occupancy 0 Computer operations and data communications 0 Occupancy 0 General, administrative and other 1002 Merger expenses 0 Occupancy 1002 Operating Income 1002 Interest income 1002 Interest income 1002 Interest expense 1002 Operating Income 1002 Interest expense 1002 Interest expense 1002 Interest expense 1002 Interest income 1003 Interest expense 1003 Income from unconsolidated investees, net 1003 Impairment Loss 1003 FX Operating 1003 Income before income taxes 1002 Income tax provision 1003 Net Income (1002) Net Income (1002) Net (income) expense attributable to noncontrolling interests 1003	Total Revenues	0	
Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Operating and Advertising Operation and amortization Operation and amortization Operation and amortization Occupancy Occupancy Operation and data communications Occupancy Ogeneral, administrative and other Operating expenses Operating expenses Operating fucome Total operating expenses Operating fucome Operating expenses Operating income Operations and investment income Operating expenses Operating income Operating expenses Operating expenses Operating income Operating expenses Operating expenses Operating income Operating expenses Operating operat	Cost of revenues		
Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional, and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Total operating expenses 102 Operating Income (102) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontroll	Transaction rebates	0	
Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional, and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Total operating expenses 102 Operating Income (102) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontroll	Brokerage, Clearance and Exchange Fees	0	
Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Total operating expenses 102 Operating Income (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0		0	
Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Total operating expenses 102 Operating Income (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Revenues less transaction rebates, brokerage, clearance and exchange		
Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Total operating expenses 102 Operating lucome (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0		0	
Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Total operating expenses 102 Operating lucome (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Operating Expenses		
Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 102 Operating Income (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 100 Impairment Loss 0 FX Operating Income taxes (102) Income before income taxes (102) Income tax provision 0 Net Income (102) Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Compensation and Benefits	0	
Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 0 Operating Income (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Marketing and Advertising	0	
Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 102 Operating Income (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Depreciation and amortization	. 0	
Computer Operators and data continuation 0 Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 102 Operating Income (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Professional and contract services	0	
Regulatory 0 General, administrative and other 102 Merger expenses 0 Total operating expenses 102 Operating Income (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Computer operations and data communications	0	
General, administrative and other 102 Merger expenses 0 Total operating expenses 102 Operating Income (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Occupancy	0	
Merger expenses 0 Total operating expenses 102 Operating Income (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Regulatory	•	
Total operating expenses 102 Operating Income (102) Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0	General, administrative and other	102	
Comparison Com	Merger expenses		
Interest income	Total operating expenses	~~~~~	
Interest expense 0	Operating Income	(102)	
Gain (loss) on sale of strategic initiatives 0	Interest income		
Dividend and investment income 0	Interest expense	•	
Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes Income tax provision O Net Income Net (income) expense attributable to noncontrolling interests O Income tax provision O Income (102)	Gain (loss) on sale of strategic initiatives	•	
Impairment Loss 0 FX Operating 0 Income before income taxes (102) Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Dividend and investment income	•	
FX Operating FX Operating Income before income taxes Income tax provision Net Income Net (income) expense attributable to noncontrolling interests O Income (102)	Income from unconsolidated investees, net	0	
Income before income taxes (102) Income tax provision 0 Net Income Net (income) expense attributable to noncontrolling interests 0	Impairment Loss	0	
Income tax provision 0 Net Income (102) Net (income) expense attributable to noncontrolling interests 0	FX Operating		
Net Income (102) Net (income) expense attributable to noncontrolling interests 0	Income before income taxes	(102)	
Net (income) expense attributable to noncontrolling interests 0	Income tax provision	0	
44661	Net Income	(102)	
44661	Net (income) expense attributable to noncontrolling interests	. 0	
		\$ (102)	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Hugin AS

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
A	
Assets	
Current Assets:	\$ 0
Cash & Equivalents	\$ 0
Investments	•
Total Receivables - Net	0
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	0
Margin Deposits & Default Fund	0
Total Current Assets	0
Total Property and Equipment - Net	0
Goodwill	581
Other Intangibles	0
Non Current Deferred Taxes	0
Long Term Restricted Cash	0
Other Long Term Assets	0
Total Assets	\$ 581
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 49
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	0
Deferred Revenue	. 0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	99
Other Current Liabilities	149
Total current liabilities	298
Total Long Term Debt	0
Non Current Deferred Tax Liability	283
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	581
total Liabilities	301
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	0
Additional Paid in Capital	0
Accumulated Other Comprehensive Income/(Loss)	0
Retained earnings	0
Total stockholders' equity	0
Noncontrolling interests	0
Total Equity	0
Total liabilities and equity	\$ 581

Unconsolidated Statement of Income - Hugin AS

	Year Ended	
	December 31, 2013	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	. 0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	0	
Total Revenues	0	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	0	
Operating Expenses		
Compensation and Benefits	0.	
Marketing and Advertising	0	
Depreciation and amortization	0	
Professional and contract services	0	
Computer operations and data communications	0	
Occupancy	0	
Regulatory	0	
General, administrative and other	0	
Merger expenses	0	
Total operating expenses	0	
Operating Income	0	
Interest income	0	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	. 0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	0	
Income tax provision	0	
Net Income	0	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ 0	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Indxis Ltd (in thousands, unaudited)

(in thousands, unaudited)		
	Year Ended December 31, 2013	
	December 3	1, 2013
	•	
Assets		
Current Assets:	•	0
Cash & Equivalents	\$	0
Investments		141
Total Receivables - Net		• • •
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		(1)
Margin Deposits & Default Fund		0
Total Current Assets		140
Total Property and Equipment - Net		376
Goodwill	•	2,282
Other Intangibles		912
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Torm Assets		0
Total Assets	<u>\$</u> .	3,711
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	915
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		19
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		58
Other Current Liabilities		1,011
Total current liabilities	,	2,003
Total Long Term Debt		0
Non Current Deferred Tax Liability		156
Non-current deferred revenue		0
Other liabilities		237
Total Liabilities		2,396
•		• '
Commitments and contingencies		
EQUITY	,	
Common Stock in Treasury Total		.0
Common Stock Total		0
Additional Paid in Capital		2,743
Accumulated Other Comprehensive Income/(Loss)		(8)
Retained earnings		(1,420)
Total stockholders' equity		1,315
Noncontrolling interests		0
Total Equity		1,315
Total liabilities and equity	\$	3,711
	-	

Unconsolidated Statement of Income - Indxis Ltd

Year Ended December 31,	
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	50
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	50
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	50
Operating Expenses	
Compensation and Benefits	299
Marketing and Advertising	0
Depreciation and amortization	222
Professional and contract services	19
Computer operations and data communications	113
Occupancy	195
Regulatory	0
General, administrative and other	170
Merger expenses	484
Total operating expenses	1,502
Operating Income	(1,451)
Interest income	0
Interest expense	(1)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	(1.452)
Income before income taxes	(1,453)
Income tax provision	(51)
Net Income	(1,401)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (1,401)

Unconsolidated Balance Sheet - Lithuanian Central Securities Depositary

	******	Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	0	
Investments		0	
Total Receivables - Net	*	0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets		0	
Total Property and Equipment - Net		0	
Goodwill		0 .	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0_	
Total Assets	<u>\$</u>	0	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC		• . 0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		0	
Total current liabilities		0	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities	•		
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		0	
Accumulated Other Comprehensive Income/(Loss)	*	250	
Retained earnings		(250)	
Total stockholders' equity		0	
Noncontrolling interests	<u> </u>		
Total Equity	_	. 0	
Total liabilities and equity	<u>\$</u>	00	

Unconsolidated Statement of Income - Lithuanian Central Securities Depositary

(in thousands, unaudited)	Year Ended	
	December 31, 2013	
		_
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	0	
Total Revenues	0	-
Cost of revenues		
Transaction rebates	. 0	
Brokerage, Clearance and Exchange Fees	0	_
Total Cost of Revenues	0	_
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	0	_
Operating Expenses		
Compensation and Benefits	. 0	
Marketing and Advertising	0	
Depreciation and amortization	0	
Professional and contract services	0	
Computer operations and data communications	0	
Occupancy	0	
Regulatory	0	
General, administrative and other	. 0	
Merger expenses	0	_
Total operating expenses	0	_
Operating Income	0	
Interest income	0	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	. 0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	0	-
Income tax provision	0	
		-
Net Income	0	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ 0	= .

Unconsolidated Balance Sheet - Mamato Motion AB

(in thousands, unaudited)		Year Ende	
		December 31,	2013
Assets			
Current Assets:			
Cash & Equivalents		\$	0
Investments	•		0
Total Receivables - Net			0
Current Deferred Tax			0
Current Restricted Cash			0
Other Current Assets			0
Margin Deposits & Default Fund			0
Total Current Assets			0
Total Property and Equipment - Net			0
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash		•	0
Other Long Term Assets			0
Total Assets		\$	0
. 100.010		***************************************	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	0
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			0
			0
Deferred Revenue			
Current Portion of Long Term Debt			0
Current Deferred Tax Liability	•		0
Other Current Liabilities			
Total current liabilities			0
Total Long Term Debt			0
Non Current Deferred Tax Liability			0
Non-current deferred revenue			0
Other liabilities			0
Total Liabilities			0
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total			0
Common Stock Total		•	0.
Additional Paid in Capital		•	(11)
Accumulated Other Comprehensive Inco	ome/(Loss)	•	11
Retained earnings	•		(0)
Total stockholders' equity			0
Noncontrolling interests			0
Total Equity	•	· · · · · · · · · · · · · · · · · · ·	0
Total liabilities and equity		\$	0
	•		

Unconsolidated Statement of Income - Mamato Motion AB

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		
Revenues less transaction rebates, brokerage, clearance and exchange fees	v	0
1005	***************************************	
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		0
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		0
Merger expenses		0
Total operating expenses		0
Operating Income		0
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income	•	0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		0
Income tax provision		. 0
Net Income		0
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Nasdaq Canada Inc

(in thousands, unaudited)	V	Vana Cardad	
		Year Ended December 31, 2013	
	Decembe	1 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	0	
Investments		0	
Total Receivables - Net		0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets	(11.11.11.11.11.11.11.11.11.11.11.11.11.	0	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	0	
Total Pasots	*****		
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		ō	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		. 0	
Other Current Liabilities		0	
Total current liabilities		0	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		ō	
Non-current deferred revenue		. 0	
Other liabilities		0	
Total Liabilities		0	
total Endolities		·	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		(0)	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings		0	
Total stockholders' equity		0	
Noncontrolling interests		0	
Total Equity		0	
Total liabilities and equity	\$	0	

Net (income) expense attributable to noncontrolling interests

Net income attributable to Company

Unconsolidated Statement of Income - Nasdaq Canada Inc			
(in thousands, unaudited)		m . d . d	
		Year Ended	
	December	r 31, 2013	
Revenues	,		
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		0	
Total Revenues		0	
I Ottal 100 - dillion	,		
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance an	d exchange		
fees	***************************************	0	
Operating Expenses		0	
Compensation and Benefits		0	
Marketing and Advertising		0	
Depreciation and amortization		0	
Professional and contract services		0	
Computer operations and data communications			
Occupancy		0	
Regulatory		0	
General, administrative and other			
Merger expenses	·	0	
Total operating expenses		0	
Operating Income		0	
Interest income	i		
Interest expense	•	(
Gain (loss) on sale of strategic initiatives		(
Dividend and investment income		(
Income from unconsolidated investees, net		-	
Impairment Loss		(
FX Operating		(
Income before income taxes			
Income tax provision			
		(
Net Income		,	
New Comments attributable to noncontrolling interests		ι	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Nasdaq International Ltd

(in thousands, unaudited)		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	6,098	
Investments		0	
Total Receivables - Net		4,086	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		820,1	
Margin Deposits & Default Fund		. 0	
Total Current Assets		11,242	
Total Property and Equipment - Net		993	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	12,235	
1 7 101 1 10 7 10 10 10 10 10 10 10 10 10 10 10 10 10			
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	3,195	
SEC 31a Payable to the SEC	•	0,.,,	
Accrued Personnel Costs		506	
Deferred Revenue	•	0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		(150)	
Total current liabilities		3,551	
	•	3,331	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities	***************************************	3,551	
Total Liabilities		3,331	
Commitments and soutingonies			
Commitments and contingencies			
EQUITY		0	
Common Stock in Treasury Total		0	
Common Stock Total			
Additional Paid in Capital		0 252	
Accumulated Other Comprehensive Income/(Loss)			
Retained earnings	***************************************	8,433	
Total stockholders' equity		8,684	
Noncontrolling interests		0	
Total Equity		8,684	
Total liabilities and equity	\$	12,235	

Unconsolidated Statement of Income - Nasdaq International Ltd

	Year Ended December 31, 2013	
Y)		
Revenues Market Services Revenues	\$ 0	
	0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	7,598	
Other Revenues	7,598	
Total Revenues	7,396	
Cost of revenues		
Transaction rebates	•	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	7,598	
Operating Expenses		
Compensation and Benefits	4,434	
Marketing and Advertising	543	
Depreciation and amortization	218	
Professional and contract services	118	
Computer operations and data communications	21	
Occupancy	84	
Regulatory	38	
General, administrative and other	1,657	
Merger expenses	0	
Total operating expenses	7,114	
Operating Income	484	
Interest income	19	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	504	
Income tax provision	54_	
Not Income	450	
Net Income	450 0	
Net (income) expense attributable to noncontrolling interests	\$ 450	
Net income attributable to Company	430	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - "NASDAQ OMX Armenia" Open Joint Stock Company

(in thousands, unaudited)	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	. \$	474
Investments	• •	0
Total Receivables - Net		12
Current Deferred Tax		11
Current Restricted Cash		0
Other Current Assets		161
Margin Deposits & Default Fund		0
Total Current Assets		659
Total Property and Equipment - Net		29
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		. 0
Other Long Term Assets		309
Total Assets	\$	997

Liabilities and equity	•	
Current liabilities:		
Total AP and Accrued Expenses	\$	(10)
SEC 31a Payable to the SEC		o o
Accrued Personnel Costs		. 0
Deferred Revenue		57
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		22
Other Current Liabilities		39
Total current liabilities		109
Total Long Term Debt		0
Non Current Deferred Tax Liability	•	0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities	****	109
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		1,388
Accumulated Other Comprehensive Income/(Loss)		(326)
Retained earnings		(174)
Total stockholders' equity		889
Noncontrolling interests		0
Total Equity		889
Total liabilities and equity	\$	997

Unconsolidated Statement of Income - "NASDAQ OMX Armenia" Open Joint Stock Company (in thousands, unaudited)

(in thousands, unaudited)		
	Year Ended	
	December 31, 2013	
Davisson		
Revenues	.	20
Market Services Revenues	\$ 49	
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues	50	00
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	5(00
Operating Expenses		
Compensation and Benefits	2	14
Marketing and Advertising		0
Depreciation and amortization	1	17
Professional and contract services	•	7
Computer operations and data communications		(0)
·		11
Occupancy		2.
Regulatory	,	43
General, administrative and other	•	
Merger expenses	20	93
Total operating expenses		
Operating Income		06
Interest income		(0)
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes	20	06
Income tax provision		46_
Net Income	E	61
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$ 10	61

Unconsolidated Balance Sheet - NASDAQ OMX Australia Holding Pty Ltd

(m crounded, minuted)		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	· \$	0	
Investments		0	
Total Receivables - Net		0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets		0	
Total Property and Equipment - Net		0	
Goodwill		53,646	
Other Intangibles		13,335	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets	·	4,356	
Total Assets	<u>\$</u>	71,337	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		286	
Other Current Liabilities		0	
Total current liabilities		286	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		3,715	
Non-current deferred revenue		0	
Other liabilities		2,062	
Total Liabilities		6,063	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		76,468	
Accumulated Other Comprehensive Income/(Loss)		289	
Retained earnings		(11,483)	
Total stockholders' equity		65,274	
Noncontrolling interests		(5.274	
Total Equity		65,274	
Total liabilities and equity	. \$	71,337	

Unconsolidated Statement of Income - NASDAQ OMX Australia Holding Pty Ltd

(in thousands, unaddiced)	Year Ended	
	December 31, 2013	
	Coccinoci 51, 2015	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	0	
Total Revenues	0	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	0	
Operating Expenses		
Compensation and Benefits	0	
Marketing and Advertising	0	
Depreciation and amortization	1,046	
Professional and contract services	0	
Computer operations and data communications	0	
Occupancy	0	
Regulatory	0	
General, administrative and other	0	
Merger expenses	0	
Total operating expenses	1,046	
Operating Income	(1,046)	
Interest income	0	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	(1,046)	
Income tax provision	(130)	
Net Income	(917)	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ (917)	

Unconsolidated Balance Sheet - NASDAQ OMX Broker Services AB

		Year Ended December 31, 2013	
		<u> December 5</u>	1, 2015
Assets			
Current Assets:	•		
Cash & Equivalents		\$	11,961
Investments			7,687
Total Receivables - Net			2,545
Current Deferred Tax			. 0
Current Restricted Cash			0
Other Current Assets			115
Margin Deposits & Default Fund			0
Total Current Assets		***************************************	22,308
Total Property and Equipment - Net			185
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			(33)
Long Term Restricted Cash			0
Other Long Term Assets			189
Total Assets	•	\$	22,648
Liabilities and equity			
Current liabilities:	•		
Total AP and Accrued Expenses		\$	5,137
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			1,523
Deferred Revenue	,		1,098
Current Portion of Long Term Debt	. ,		0
Current Deferred Tax Liability			35
Other Current Liabilities		***************************************	102
Total current liabilities	F.	•	7,895
Total Long Term Debt			0
Non Current Deferred Tax Liability			0
Non-current deferred revenue	•		0
Other liabilities			36
Total Liabilities			7,931
Commitments and contingencies	•		
EQUITY			^
Common Stock in Treasury Total			0
Common Stock Total			0
Additional Paid in Capital			14,283
Accumulated Other Comprehensive Income/(Loss)			102 332
Retained carnings			14,717
Total stockholders' equity			,
Noncontrolling interests			14,717
Total Equity		\$	
Total liabilities and equity		<u> </u>	22,648

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Broker Services AB

(in thousands, unaudited)	Year En	Year Ended	
	December 3	1,2013	
Revenues			
Market Services Revenues	\$	21,284	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		4	
Total Revenues		21,288	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		Ö	
Total Cost of Revenues		0	
Total Cost of Revenues		<u>~</u>	
Revenues less transaction rebates, brokerage, clearance and exchange	£.		
fees		21,288	
Operating Expenses			
Compensation and Benefits		4,516	
Marketing and Advertising		18	
Depreciation and amortization		87	
Professional and contract services		3,067	
Computer operations and data communications		7,734	
Occupancy		318	
Regulatory		42	
General, administrative and other		976	
Merger expenses	:	. 0	
Total operating expenses		16,759	
Operating Income		4,529	
Interest income	÷	164	
Interest expense		(12)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		(4)	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		4,677	
Income tax provision		1,023	
Net Income		3,654	
		J,UJ4 N	
Net (income) expense attributable to noncontrolling interests	\$	3,654	
Net income attributable to Company	.D	3,034	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Clearing AB

			Year Ended December 31, 2013	
Assets Current Assets:	•			
Cash & Equivalents		\$	203,098	
Investments		Ψ	93,598	
Total Receivables - Net	•		7,516	
Current Deferred Tax			7,510	
Current Restricted Cash			0	
Other Current Assets			8,553	
Margin Deposits & Default Fund			1,961,024	
Total Current Assets			2,273,790	
Total Property and Equipment - Net			4,946	
Goodwill			0	
Other Intangibles			0	
Non Current Deferred Taxes			(212)	
Long Term Restricted Cash			0	
Other Long Term Assets			707,425	
Total Assets		\$	2,985,950	
Liabilities and equity				
Current liabilities:		•		
Total AP and Accrued Expenses		\$	114,002	
SEC 31a Payable to the SEC			0	
Accrued Personnel Costs			937	
Deferred Revenue			(98)	
Current Portion of Long Term Debt			0 197	
Current Deferred Tax Liability				
Other Current Liabilities			1,979,560 2,094,599	
Total current liabilities			2,094,399	
Total Long Term Debt			0	
Non Current Deferred Tax Liability	· ·		0	
Non-current deferred revenue Other liabilities			275	
			2,094,874	
Total Liabilities			2,094,674	
Commitments and contingencies				
EQUITY				
Common Stock in Treasury Total			0	
Common Stock Total			28,069	
Additional Paid in Capital			1,112,909	
Accumulated Other Comprehensive Income/(Loss)			240,022	
Retained earnings			(489,924)	
Total stockholders' equity			891,076	
Noncontrolling interests			0	
Total Equity			891,076	
Total liabilities and equity		\$	2,985,950	

Unconsolidated Statement of Income - NASDAQ OMX Clearing AB

(in thousands, unaudited)	Year Endo December 31,	
Revenues		
Market Services Revenues		03,893
Global Listing Services		20,668
Global Information Services	:	33,881
Corporate Solutions		24
Market Technology Revenues		11,906
Other Revenues		1,294
Total Revenues	11	71,667
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0_
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	[′	71,667
Operating Expenses		
Compensation and Benefits	7	24,229
Marketing and Advertising		859
Depreciation and amortization		473
Professional and contract services		13,285
Computer operations and data communications	;	30,528
Occupancy		1,248
Regulatory		727
General, administrative and other		8,236
Merger expenses		2,776
Total operating expenses		32,360
Operating Income	•	89,307
Interest income		3,566
Interest expense		(483)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		(719)
Income from unconsolidated investees, net		0.
Impairment Loss		0
FX Operating	· · · · · ·	0
Income before income taxes		01,671
Income tax provision		20,266
Net Income		71,405
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	71,405

Unconsolidated Balance Sheet - NASDAQ OMX Copenhagen A/S

Current Assets: Carrent Assets: Cash & Equivalents \$ 948 Investments 0 0 Total Receivables - Net 5,686 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 41,309 Margin Deposits & Default Fund 0 Total Current Assets 47,944 Total Property and Equipment - Net 254 Goodwill 0 0 Other Intangibles 0 0 Other Intangibles 0 0 Other Long Term Assets 113 Long Term Restricted Cash 0 0 Other Long Term Assets 1,198 Liabilities and equity Current Inabilities: 1,198 See 31 a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Current Deferred Tax Liability 0 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Current Deferred Tax Liability 0 0 Other Liabilities 1,295 Total Long Term Debt 0 0 Current Deferred Tax Liability 0 0 Other Liabilities 1,295 Total Long Term Debt 0 0 Other Long Term Debt 0 0 Other Liabilities 1,295 Total Long Term Debt 0 0 Other Long Term Debt 0 0 0 Other Long Term Debt 0 0 0 Other Long Term Debt 0 0 0 0 0 Other Long Term Debt 0 0 0 0 0 0 0 0 0			Year Ended December 31, 2013	
Cash & Equivalents \$ 948 Investments 0 Total Receivables - Net 5,686 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 41,309 Margin Deposits & Default Fund 0 Total Current Assets 47,944 Total Property and Equipment - Net 254 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 113 Long Term Assets 113 Cong Term Assets 1,198 Total Assets 3 Liabilities and equity 3 Current Isabilities 3 Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Poferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Non Current Deferred Tax Liability	Assets			
Investments 0 Total Receivables - Net 5,686 Current Deferred Tax 0 Ottrer Current Restricted Cash 0 Otter Current Assets 41,309 Margin Deposits & Default Fund 0 Total Current Assets 47,944 Total Property and Equipment - Net 254 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 113 Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Verrent liabilities Current liabilities \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Portion of Long Term Debt 0 Other Current Liabilities 1,295 Total current tiabilities 0 Total current tiabilities 0 Total Cong Term Debt 0 <	Current Assets:			
Total Receivables - Net 5,686 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 41,309 Margin Deposits & Default Fund 0 Total Current Assets 47,944 Total Property and Equipment - Net 254 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 113 Long Term Restricted Cash 10 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Current Inabilities: Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 4,250 Total current labilities 4,250 Total Long Term Debt 0 Non-current Deferred Tax Liability 0	Cash & Equivalents		\$	948
Current Deferred Tax 0 Current Restricted Cash 0 Otter Current Assets 41,309 Margin Deposits & Default Fund 0 Total Current Assets 47,944 Total Property and Equipment - Net 254 Goodwill 0 Non Current Deferred Taxes 113 Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity *** Current liabilities: *** Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current Edeferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Common Stock in Treasury Total <td>Investments</td> <td></td> <td></td> <td>0</td>	Investments			0
Current Restricted Cash 0 Other Current Assets 41,309 Margin Deposits & Default Fund 0 Total Current Assets 47,944 Total Property and Equipment - Net 254 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 113 Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 4,250 Total current Beferred Tax Liability 0 Non-current Deferred Tax Liability 0 Vone-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 9,524	Total Receivables - Net			5,686
Otter Current Assets 41,309 Margin Deposits & Default Fund 0 Total Current Assets 47,944 Total Property and Equipment - Net 254 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 113 Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity *** Current liabilities: *** Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Cotal Long Term Debt 0 Non-current deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Cotal Long Term Debt 0 Cotal Liabilities 9,524	Current Deferred Tax			0
Margin Deposits & Default Fund 47,944 Total Current Assets 47,944 Total Property and Equipment - Net 25 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 113 Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Current liabilities Total AP and Accrued Expenses \$ 333 SEC 31 a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current biabilities 1,295 Total Liabilities 9,524 Total Liabilities 1,374 Commitments and contingencies 1,295 EQUIT	Current Restricted Cash			0
Total Current Assets 47,944 Total Property and Equipment - Net 254 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 113 Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current Liabilities 4,250 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 3 Commitments and contingencies 2	Other Current Assets			41,309
Total Property and Equipment - Net 254 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 113 Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Cong Term Debt 0 Non Current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 3,524 Commitments and contingencies 2 EQUITY 0 C	Margin Deposits & Default Fund			- 0
Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 113 Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Common Stock in Treasury Total 0 Common Stock in Treasury Total 0 Common Stock Total 0 Common Stock Total 0 Common Stock Total 0	Total Current Assets			47,944
Other Intangibles 0 Non Current Deferred Taxes 113 Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Non-current beferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,2129 Accumulated Other Comprehensive Income/(Loss) (15,6	Total Property and Equipment - Net			254
Non Current Deferred Taxes 113 Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total courrent Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Other liabilities 9,524 Total Liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies 2 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5 Accumulated Other Comprehensive Income/(Loss) (731	Goodwill			. 0
Long Term Restricted Cash 0 Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other Liabilities 9,524 Total Liabilities 9,524 Total Liabilities 3,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 <td>Other Intangibles</td> <td></td> <td></td> <td>0</td>	Other Intangibles			0
Other Long Term Assets 1,198 Total Assets \$ 49,508 Liabilities and equity Current liabilities: \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 9,524 Total Liabilities 33,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	Non Current Deferred Taxes			113
Total Assets \$ 49,508 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current tiabilities 4,250 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	Long Term Restricted Cash			0
Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	Other Long Term Assets			1,198
Current liabilities: 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current liabilities 4,250 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	Total Assets		\$	49,508
Current liabilities: 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current liabilities 4,250 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	Liabilities and equity			
Total AP and Accrued Expenses \$ 333 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current liabilities 4,250 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	• •			
SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current liabilities 4,250 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	•		\$	333
Accrued Personnel Costs 2,298 Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current bebt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	•			0
Deferred Revenue 325 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current biabilities 4,250 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	<u>-</u> ·			2,298
Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current liabilities 4,250 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734				•
Current Deferred Tax Liability 0 Other Current Liabilities 1,295 Total current liabilities 4,250 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734				0
Other Current Liabilities 1,295 Total current liabilities 4,250 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734				0
Total current liabilities 4,250 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	•			1,295
Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	Total current liabilities			4,250
Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	Total Long Term Debt			0
Non-current deferred revenue 0 Other liabilities 9,524 Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	· ·			0
Total Liabilities 13,774 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	the state of the s			0
Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	Other liabilities			9,524
EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734				·
EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734				,
EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	Commitments and contingencies			
Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734				
Common Stock Total 0 Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	-			0
Additional Paid in Capital 52,129 Accumulated Other Comprehensive Income/(Loss) (731) Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	• •	•		0
Accumulated Other Comprehensive Income/(Loss)(731)Retained earnings(15,664)Total stockholders' equity35,734Noncontrolling interests0Total Equity35,734				52,129
Retained earnings (15,664) Total stockholders' equity 35,734 Noncontrolling interests 0 Total Equity 35,734	•			
Total stockholders' equity35,734Noncontrolling interests0Total Equity35,734	· · · · · · · · · · · · · · · · · · ·			• •
Noncontrolling interests 0 Total Equity 35,734	-			
Total Equity 35,734	, -			•
• •	•			35,734
1 V 1011 A 111 V 111 V 111 V 111 V 111 V 111 V 1	Total liabilities and equity		\$	49,508

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Copenhagen A/S

Revenues	Decen \$	nber 31, 2013
	\$	
	\$	
	3	14 760
Market Services Revenues		14,758
Global Listing Services		14,127
Global Information Services		18,212
Corporate Solutions		l
Market Technology Revenues		0
Other Revenues		4,818
Total Revenues		51,915
, , , , , , , , , , , , , , , , , , ,		
Cost of revenues		•
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	<u> </u>
Revenues less transaction rebates, brokerage, clearance and exchange		
Revenues less transaction repates, brokerage, clearance and exchange	•	51,915
		3,,,,,,
Operating Expenses		
Compensation and Benefits		8,486
Murketing and Advertising		255
Depreciation and amortization		156
Professional and contract services		4,338
Computer operations and data communications		4,566
Occupancy		975
Regulatory		736
General, administrative and other		2,090
Merger expenses		244
Total operating expenses		21,847
Operating Income		30,068
Interest income		305
Interest expense		(1)
Gain (loss) on sale of strategic initiatives		o
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes	-	30,372
Income tax provision		7,648
ensantes and brackets		7,010
Net Income		22,724
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	22,724

The NASDAQ OMX Group, Inc.
Unconsolidated Balance Sheet - NASDAQ OMX Corporate Solutions (India) Private Limited (in thousands, unaudited)

(in thousands, unaudited)		
		ır Ended
	Decemb	per 31, 2013
		•
Assets		44
Current Assets:		
. Cash & Equivalents	\$	696
Investments		0
Total Receivables - Net		111
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		51
Margin Deposits & Default Fund		.0_
Total Current Assets		857
Total Property and Equipment - Net		124
Goodwill	*	1,224
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		Ö
Other Long Term Assets	· i	235
Total Assets	\$	2,439
t Otal Assets	J	2,437
Liabilities and equity	*	
Current liabilities:		
Total AP and Accrued Expenses	\$	1,312
SEC 31a Payable to the SEC		.0
Accrued Personnel Costs		109
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		. 0
Other Current Liabilities		0
Total current liabilities		1,421
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		1,421
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		·. 0
Common Stock Total		0
Additional Paid in Capital		1,498
•		1,470
Accumulated Other Comprehensive Income/(Loss)		•
Retained earnings		(482)
Total stockholders' equity		1,018
Noncontrolling interests		0
Total Equity	·	1,018
Total liabilities and equity	\$	2,439

The NASDAQ OMX Group, Inc.
Unconsolidated Statement of Income - NASDAQ OMX Corporate Solutions (India) Private Li (in thousands, unaudited)

(In mousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	
Total Cost of Revenues	. 0
Revenues less transaction rebates, brokerage, clearance and exchange fees	0
Operating Expenses	
Compensation and Benefits	. 4
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	57
Computer operations and data communications	0
Occupancy	303
Regulatory	0
General, administrative and other	
Merger expenses	0
Total operating expenses	482
Operating Income	(482)
Interest income	0
Interest expense	(0)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	(482)
Income tax provision	0
Net Income	(482)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (482)

Unconsolidated Balance Sheet - NASDAQ OMX Corporate Solutions International Limited

(in thousands, unaudited)			
		Year Ended December 31, 2013	
•	Decembe	r 31, 2013	
Assets			
Current Assets:		5.004	
Cash & Equivalents	\$	5,904	
Investments		0	
Total Receivables - Net		18,793	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		584	
Margin Deposits & Default Fund		0	
Total Current Assets		25,281	
Total Property and Equipment - Net		7,070	
Goodwill		112,211	
Other Intangibles		27,073	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		416	
Total Assets	\$	172,050	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	9,607	
SEC 31a Payable to the SEC	•	0	
Accrued Personnel Costs		2,740	
Deferred Revenue		3,879	
- · · · · · · · · · · · · · · · · · · ·		0	
Current Portion of Long Term Debt		408	
Current Deferred Tax Liability		23,926	
Other Current Liabilities	·	40,560	
Total current liabilities		40,300	
Total Long Term Debt		431	
Non Current Deferred Tax Liability			
Non-current deferred revenue		0	
Other liabilities	<u></u>		
Total Liabilities		40,991	
Commitments and contingencies		•	
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		136,691	
Accumulated Other Comprehensive Income/(Loss)		10,050	
Retained earnings		(15,682)	
Total stockholders' equity		131,059	
Noncontrolling interests		0	
Total Equity		131,059	
Total liabilities and equity	\$	172,050	
• •			

Unconsolidated Statement of Income - NASDAQ OMX Corporate Solutions International Lim (in thousands, unaudited)

		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		41,018	
Market Technology Revenues		0	
Other Revenues		168	
Total Revenues		41,186	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exchange			
fees	`	41,186	
Operating Expenses			
Compensation and Benefits		8,433	
Marketing and Advertising		99	
Depreciation and amortization		2,207	
Professional and contract services		25,984	
Computer operations and data communications		4,851	
Occupancy		1,421	
Regulatory		0	
General, administrative and other		4,454	
Merger expenses		1,467	
Total operating expenses		48,916	
Operating Income		(7,730)	
Interest income		5	
Interest expense		(52)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		(2,704)	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		(10,481)	
Income tax provision		78	
Net Income		(10,559)	
Net (income) expense attributable to noncontrolling interests		0	
	\$	(10,559)	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Derivatives A/S

Current Assets Current Assets Current Assets Current Assets Current Assets Current Deferred Tax Current Deferred Tax Current Restricted Cash Other Current Assets 93	(in mousanus, anatonos)	Year Ended December 31, 2013	
Cash & Equivalents 15 Investments 0 Total Receivables - Net 42 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 93 Margin Deposits & Default Fund 0 Total Current Assets 150 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 3 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity 0 Current liabilities: 0 Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities 15 Total Long Term Debt 0 Mon Current Deferred Tax Liability 0 Non Current Deferred Tax Liability 0 <th>Assets</th> <th></th> <th></th>	Assets		
Investments	Current Assets:		
Total Receivables - Net 42 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 93 Margin Deposits & Default Fund 0 Total Current Assets 150 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 3 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity Current tiabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities 15 Total Long Term Debt 0 Non current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liabili	Cash & Equivalents	\$	15
Current Deferred Tax 0 Current Restricted Cash 9 Other Current Assets 93 Margin Deposits & Default Fund 0 Total Current Assets 150 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 3 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity 0 Current liabilities: 0 Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 15 Total Long Term Debt 0 Non-current Ideferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Common S	Investments		0
Current Restricted Cash 0 Other Current Assets 93 Margin Deposits & Default Fund 0 Total Current Assets 15 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 3 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity Current Liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 662 Total Liabilities 662	Total Receivables - Net		42
Other Current Assets 93	Current Deferred Tax		0
Margin Deposits & Default Fund 0 Total Current Assets 150 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 3 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 662 Common Stock in Treasury Total 0 Common Stock Total	Current Restricted Cash		0
Total Current Assets 150 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 3 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (0) Total current liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non Current deferred revenue 0 Other liabilities 662 Total Liabilities 662 Commitments and contingencies 602 EQUITY 0 Common Stock in Treasury Total 0	Other Current Assets		93
Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 3 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 15 Total current Liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Commitments and contingencies 662 EQUITY 0 Common Stock in Treasury Total 0 Common Stock	Margin Deposits & Default Fund		0
Content Intangibles			150
Other Intangibles 0 Non Current Deferred Taxes 3 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 662 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Tot	Total Property and Equipment - Net		0
Non Current Deferred Taxes 3 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Other Current Liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Other Liabilities 15 Construct Deferred Tax Liability 0 Other Liabilities 5 Commitments and contingencies 662 Total Liabilities 662 Total Liabilities 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 9 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total Equity (523)	Goodwill		0
Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 662 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (3 Retained earnings (1,467) Total Equity (523) <	Other Intangibles	•	0
Other Long Term Assets 0 Total Assets \$ 153 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 15 Total current liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 662 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total Equity (523)	"		3
Total Assets \$ 153 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (0) Total current liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Other liabilities 662 Total Liabilities 662 Total Liabilities 662 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total Equity (523) Noncontrolling interests 0 Total Equity (523)	Long Term Restricted Cash		0
Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (0) Total current Liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 662 Total Liabilities 662 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Other Long Term Assets		0
Current liabilities: 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (0) Total current liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 662 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Total Assets	\$	153
Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (0) Total current liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 676 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Liabilities and equity	·	
SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (0) Total current liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 676 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Current liabilities:		
Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Other Current Liabilities Other Current Liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue Other liabilities Total Common Stock in Treasury Total Total Common Stock in Treasury Total Total Stockholders' equity Total Stockholders' equity Total Equity Total Equity Total Equity Total Stockholders' equity Total Equity Total Equity Total Stockholders' equity Total Equity Total Equity	Total AP and Accrued Expenses	\$	15
Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total current liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue Other liabilities Total Common Stock in Treasury Total Total Stock Total Accumulated Other Comprehensive Income/(Loss) Retained earnings Total stockholders' equity Total stockholders' equity Total Equity Total Equity Total Equity Total Equity Total Stockholders' (523)	SEC 31a Payable to the SEC		0
Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total current liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings Total Stockholders' equity Noncontrolling interests O Total Equity (523) Noncontrolling interests O Total Equity (523)	Accrued Personnel Costs		0
Current Deferred Tax Liability 0 Other Current Liabilities (0) Total current liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 676 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Deferred Revenue		0
Other Current Liabilities (0) Total current liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 676 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Current Portion of Long Term Debt		0
Total current liabilities 15 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 676 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Current Deferred Tax Liability		0
Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 676 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Other Current Liabilities		(0)
Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 676 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)			. 15
Non-current deferred revenue 0 Other liabilities 662 Total Liabilities 676 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Total Long Term Debt		
Other liabilities 662 Total Liabilities 676 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Non Current Deferred Tax Liability		.0
Total Liabilities 676 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Non-current deferred revenue		•
Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Other liabilities	•	
EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Total Liabilities		676
Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Commitments and contingencies		
Common Stock Total 0 Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	•		
Additional Paid in Capital 952 Accumulated Other Comprehensive Income/(Loss) (8) Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	·		•
Accumulated Other Comprehensive Income/(Loss) Retained earnings Total stockholders' equity Noncontrolling interests Total Equity (523)			•
Retained earnings (1,467) Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)			
Total stockholders' equity (523) Noncontrolling interests 0 Total Equity (523)	Accumulated Other Comprehensive Income/(Loss)		• •
Noncontrolling interests 0 Total Equity (523)	Retained earnings		
Total Equity (523)	Total stockholders' equity		
* *			
Total liabilities and equity \$ 153			
The state of the s	Total liabilities and equity	\$	153

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Derivatives A/S

(moroadanas, adalatos)		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services	• D	0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		0	
Total Revenues		0	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exchan	ge		
fees		0	
Operating Expenses			
Compensation and Benefits		0	
Marketing and Advertising		7	
Depreciation and amortization		0	
Professional and contract services		87	
Computer operations and data communications		0	
Occupancy		0	
Regulatory	•	0	
General, administrative and other		68	
Merger expenses	***************************************	0	
Total operating expenses	***************************************	162	
Operating Income		(162)	
Interest income		0	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		(162)	
Income tax provision		(41)	
Net Income		(121)	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	(121)	

Unconsolidated Balance Sheet - NASDAQ OMX Exchange and Clearing Services AB

(in thousands, unaudited)		
	Year Ended	
	Decembe	r 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	(18,575)
Investments	•	0
Total Receivables - Net		5,255
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		(26)
Margin Deposits & Default Fund		0
Total Current Assets		(13,346)
Total Property and Equipment - Net		20,306
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		(99)
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	6,861
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	654
SEC 31a Payable to the SEC	J.	0.04
Accrued Personnel Costs		5,497
		· .
Deferred Revenue		0
Current Portion of Long Term Debt		. 0
Current Deferred Tax Liability		89
Other Current Liabilities	***************************************	(494)
Total current liabilities		5,747
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		5,747
Commitments and contingencies		
EQUITY	*	
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		142
Accumulated Other Comprehensive Income/(Loss)		(796)
Retained earnings		1,768
Total stockholders' equity	44.0	1,114
Noncontrolling interests		0
Total Equity	***************************************	1,114
Total liabilities and equity	\$	6,861
	••	2,001

The NASDAQ OMX Group, Inc.
Unconsolidated Statement of Income - NASDAQ OMX Exchange and Clearing Services AB

(in thousands, tinaudited)	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	(0)
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues	42,3	
Other Revenues	1,9	
Total Revenues	44,2	.89
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0_
Revenues less transaction rebates, brokerage, clearance and exchange	44.0	
fees	44,2	89_
Operating Expenses		
Compensation and Benefits	13,3	
Marketing and Advertising		13
Depreciation and amortization	3,0	
Professional and contract services	2,0	
Computer operations and data communications	23,0	
Occupancy	9	96
Regulatory	_	0
General, administrative and other	5	92
Merger expenses		0_
Total operating expenses	43,1	
Operating Income	1,1	12
Interest income	(0	1
Interest expense	(2	277)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss	•	0
FX Operating		0
Income before income taxes		36
Income tax provision	1	91_
Net Income	. 6	44
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$ 6	44

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Europe Ltd (in thousands, unaudited)

(in mousaitus, unaturieu)		Year Ended December 31, 2013	
Accepto			
Assets			
Current Assets:	ć -	7 200	
Cash & Equivalents	\$	7,390	
Investments		0	
Total Receivables - Net		4,170	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		504	
Margin Deposits & Default Fund	***	0	
Total Current Assets		12,064	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	12,064	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	3,102	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		511	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		(673)	
Total current liabilities		2,939	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		. 0	
Other liabilities		0	
Total Liabilities		2,939	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		38,963	
Accumulated Other Comprehensive Income/(Loss)		595	
Retained earnings		(30,433)	
Total stockholders' equity		9,125	
Noncontrolling interests		0	
Total Equity		9,125	
Total liabilities and equity	\$	12,064	
• •			

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Europe Ltd

(in thousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	5,134
Total Revenues	5,134
Total Reveitues	•,
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	5,134
Operating Expenses	4 2 4 2
Compensation and Benefits	4,342
Marketing and Advertising	108
Depreciation and amortization	0
Professional and contract services	279
Computer operations and data communications	42
Occupancy	70
Regulatory	0
General, administrative and other	1,481
Merger expenses	0
Total operating expenses	6,321
Operating Income	(1,187)
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	(1,187)
Income before income taxes	
Income tax provision	0
Net Income	(1,187)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (1,187)

The NASDAQ OMX Group, Inc.

Unconsolidated Balance Sheet - NASDAQ OMX France SAS

		Year E	ar Ended	
		December	31, 2013	
Assets				
Current Assets:				
Cash & Equivalents		\$	125	
Investments			0	
Total Receivables - Net			846	
Current Deferred Tax			68	
Current Restricted Cash			0	
Other Current Assets			5,563	
Margin Deposits & Default Fund			0	
Total Current Assets			6,602	
Total Property and Equipment - Net			358	
Goodwill			0	
Other Intangibles			(0)	
Non Current Deferred Taxes			1,036	
Long Term Restricted Cash			0	
Other Long Term Assets			0	
Total Assets		\$	7,996	
Liabilities and equity				
Current liabilities:				
Total AP and Accrued Expenses		\$	220	
SEC 31a Payable to the SEC			0	
Accrued Personnel Costs			881	
Deferred Revenue			0	
Current Portion of Long Term Debt		4	0	
Current Deferred Tax Liability			2,157	
Other Current Liabilities	,		1,914	
Total current fiabilities		***************************************	5,171	
Total Long Term Debt			0	
Non Current Deferred Tax Liability			0	
Non-current deferred revenue			0 .	
Other liabilities			0	
Total Liabilities		**************************************	5,171	
			,	
Commitments and contingencies				
EQUITY	•			
Common Stock in Treasury Total			0	
Common Stock Total			0	
Additional Paid in Capital			0	
Accumulated Other Comprehensive Income/(Loss)			107	
Retained earnings			2,718	
Total stockholders' equity		Provide the second	2,824	
Noncontrolling interests			0	
Total Equity		***************************************	2,824	
Total liabilities and equity		\$	7,996	
•				

The NASDAQ OMX Group, Inc.

Unconsolidated Statement of Income - NASDAQ OMX France SAS

	Year Ended December 31, 2013	
	December 51, 2015	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	665	
Market Technology Revenues	. 0	
Other Revenues	3,000	
Total Revenues	3,665	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	3,665	
Operating Expenses		
Compensation and Benefits	2,155	
Marketing and Advertising	3	
Depreciation and amortization	32	
Professional and contract services	115	
Computer operations and data communications	0	
Occupancy	337 🚎	
Regulatory	0	
General, administrative and other	108	
Merger expenses	24_	
Total operating expenses	2,773	
Operating Income	892	
Interest income	0	
Interest expense	(8)	
Gain (loss) on sale of strategic initiatives	. 0	
Dividend and investment income	2,661	
Income from unconsolidated investces, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	3,546	
Income tax provision	828	
Net Income	2,718	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ 2,718	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Germany GmbH

		Year Ended December 31, 2013	
Assets			
Current Assets:	\$	152	
Cash & Equivalents Investments	.	0	
Total Receivables - Net		147	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		1,373	
Margin Deposits & Default Fund		0	
Total Current Assets		1,672	
Total Property and Equipment - Net		62	
Goodwill		566	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		(8)	
Total Assets	\$	2,292	

Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	932	
SEC 31a Payable to the SEC		0 .	
Accrued Personnel Costs		552	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		16	
Total current liabilities		1,501	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		.0	
Non-current deferred revenue		0	
Other liabilities		541	
Total Liabilities		2,042	
Commitments and contingencies			
Commitments and contingencies EQUITY			
Common Stock in Treasury Total	No.	0	
Common Stock Total	•	(I)	
Additional Paid in Capital		316	
Accumulated Other Comprehensive Income/(Loss)		(4)	
Retained earnings		(61)	
Total stockholders' equity	·	251	
Noncontrolling interests		0	
Total Equity		251	
Total liabilities and equity	Š	2,292	
- And the second second second	<u> </u>		

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Germany GmbH

(III III OSSIII III)		ear Ended aber 31, 2013
Revenues		
Market Services Revenues	•	
Global Listing Services	\$	0
		0
Global Information Services		0
Corporate Solutions		. 0
Market Technology Revenues Other Revenues		0
Total Revenues		5,615
total Revenues		5,615
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange	e	
fecs		5,615
Operating Expenses		
Compensation and Benefits		4,060
Marketing and Advertising	•	2
Depreciation and amortization		2
Professional and contract services		183
Computer operations and data communications		374
Occupancy		382
Regulatory		0
General, administrative and other		270
Merger expenses		.5
Total operating expenses		5,279
Operating Income		336
Interest income		0
Interest expense		(2)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		(281)
Income from unconsolidated investees, net		0
Impairment Loss		o O
FX Operating		0
Income before income taxes		53
Income tax provision		114
Net Income		1615
Net (income) expense attributable to noncontrolling interests		(61)
Net income attributable to Company	•	<u>U</u>
the income actionistic to Company	<u>\$</u>	(61)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Helsinki Ltd

	Year Ended December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 3,833
Investments	0
Total Receivables - Net	4,658
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	49,279
Margin Deposits & Default Fund	. 0
Total Current Assets	57,770
Total Property and Equipment - Net	138
Goodwill	0
Other Intangibles	0
Non Current Deferred Taxes	54
Long Term Restricted Cash	0
Other Long Term Assets	8,587
Total Assets	\$ 66,549
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 589
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	1,286
Deferred Revenue	4
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	0
Other Current Liabilities	39,470
Total current liabilities	41,348
Total Long Term Debt	0
Non Current Deferred Tax Liability Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	4: 240
Total Ciapingles	41,348
Commitments and contingencies EQUITY	
Common Stock in Treasury Total	
Common Stock Total	0
Additional Paid in Capital	0 (7.802)
Accumulated Other Comprehensive Income/(Loss)	(7,893)
Retained earnings	(8,400)
Total stockholders' equity	41,429
Noncontrolling interests	25,136
Total Equity	25,201
Total liabilities and equity	
	\$ 66,549

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Heisinki Ltd

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 19,095
Global Listing Services	7,062
Global Information Services	. 15,167
Corporate Solutions	162
Market Technology Revenues	0
Other Revenues	2,216
Total Revenues	43,701
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	43,701
Operating Expenses	
Compensation and Benefits	4,563
Marketing and Advertising	148
Depreciation and amortization	. 44
Professional and contract services	3,016
Computer operations and data communications	4,198
Occupancy	4,198
Regulatory	406
General, administrative and other	2,784
Merger expenses	
Total operating expenses	15,596
Operating Income	
Interest income	28,106
Interest expense	15
Gain (loss) on sale of strategic initiatives	(3)
Dividend and investment income	0
Income from unconsolidated investees, net	(28,544)
	0
Impairment Loss	0
FX Operating	0
Income before income taxes	(427)
Income tax provision	(87)
Net Income	(339)
Net (income) expense attributable to noncontrolling interests	(12)
Net income attributable to Company	\$ (351)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Holding AB (in thousands, unaudited)

Year Ended December 31, 2013 Assets Current Assets: Cash & Equivalents \$ (4,620)Investments Total Receivables - Net 3,500,628 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets (64,803) Margin Deposits & Default Fund **Total Current Assets** 3,431,205 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 19,780 Long Term Restricted Cash Other Long Term Assets (4,157,748)**Total Assets** (706,763) Liabilities and equity Current liabilities: Total AP and Accrued Expenses 20,688 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 703 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 12 Other Current Liabilities 858 Total current liabilities 22,262 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 **Total Liabilities** 22,262 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital (4, 145, 107)Accumulated Other Comprehensive Income/(Loss) 3,794,149 Retained earnings (378,067)Total stockholders' equity (729,025)Noncontrolling interests **Total Equity** (729,025)Total liabilities and equity (706,763)

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Holding AB

		ear Ended aber 31, 2013
	Decen	1001 31, 2013
Revenues		
Market Services Revenues	\$	0
Global Listing Services	•	0
Global Information Services		. 0
Corporate Solutions		0
Market Technology Revenues		. 0
Other Revenues	•	2,844
Total Revenues		
· · · · · · · · · · · · · · · · · · ·		2,844
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		o o
Total Cost of Revenues		<u>~</u>
		
Revenues less transaction rebates, brokerage, clearance and excha	nge	
fees	gc	2,844
		2,044
Operating Expenses		
Compensation and Benefits		2,816
Marketing and Advertising		14
Depreciation and amortization		0
Professional and contract services		667
Computer operations and data communications		121
Occupancy		121
Regulatory		1
General, administrative and other		1,709
Merger expenses		53
Total operating expenses		5,500
Operating Income		(2,656)
Interest income	*	0
Interest expense		(51)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		ő
Income from unconsolidated investees, net		ő
Impairment Loss		ő
FX Operating		n
Income before income taxes		(2,707)
Income tax provision		
		(15,780)
Net Income		13,073
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	13,073

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Holding Denmark A/S

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	340	
Investments	•	0	
Total Receivables - Net		1,062	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		52,771	
Margin Deposits & Default Fund		0	
Total Current Assets	***************************************	54,173	
Total Property and Equipment - Net		0	
Goodwill	•	0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		52,129	
Total Assets	\$	106,302	
Liabilities and equity			
Current liabilities:	·		
Total AP and Accrued Expenses	\$	15	
SEC 31a Payable to the SEC	*	0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt	•	0	
Current Deferred Tax Liability		0	
Other Current Liabilities		176,083	
Total current liabilities		176,099	
Total Long Term Debt		. 0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities	***************************************	176,099	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		(66,045)	
Accumulated Other Comprehensive Income/(Loss)		7,478	
Retained earnings		(11,230)	
Total stockholders' equity	#*************************************	(69,797)	
Noncontrolling interests		0	
Total Equity	· · · · · · · · · · · · · · · · · · ·	(69,797)	
Total liabilities and equity	\$	106,302	

The NASDAQ OMX Group, Inc.
Unconsolidated Statement of Income - NASDAQ OMX Holding Denmark A/S (in thousands, unaudited)

(in thousands, unautheu)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Total Neventes	
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	0
Operating Expenses	۸
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	16
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	17
Operating Income	(17)
Interest income	1,094
Interest expense	(6,411)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	(5,334)
Income tax provision	(1,128)
	(4.004)
Net Income	(4,206)
Net (income) expense attributable to noncontrolling interests	6 (4.204)
Net income attributable to Company	\$ (4,206)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Holding Luxembourg Sarl

			r Ended er 31, 2013
Assets Current Assets:			
Cash & Equivalents		\$	1,026
Investments		Ψ	0
Total Receivables - Net			155
Current Deferred Tax	. *		0
Current Restricted Cash			Ö
Other Current Assets			1,331,480
Margin Deposits & Default Fund			0
Total Current Assets			1,332,661
Total Property and Equipment - Net			0
Goodwill			0
Other Intangibles			o
Non Current Deferred Taxes			0
Long Term Restricted Cash			Ô
Other Long Term Assets	•		3,209,750
Total Assets		\$	4,542,410
A 0 500 - 1000 -			
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	238
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			0
Deferred Revenue		*	0
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			. 0
Other Current Liabilities			1,351
Total current liabilities			1,589
Total Long Term Debt			0
Non Current Deferred Tax Liability	,		0
Non-current deferred revenue			0
Other liabilities			4,712,199
Total Liabilities			4,713,788
Commitments and contingencies		•	
EQUITY			
Common Stock in Treasury Total			0
Common Stock Total			0
Additional Paid in Capital		•	(223,402)
Accumulated Other Comprehensive Income/(Loss)			(121,095)
Retained earnings			173,119
Total stockholders' equity			(171,378)
Noncontrolling interests			0
Total Equity			(171,378)
Total liabilities and equity		\$	4,542,410

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Holding Luxembourg Sart

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Barrana	
Revenues Madest Complete Description	
Market Services Revenues	\$
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	

Revenues less transaction rebates, brokerage, clearance and ex-	change
fees	0
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	o
Depreciation and amortization	0
Professional and contract services	152
Computer operations and data communications	0
Occupancy	16
Regulatory	. 0
General, administrative and other	3
Merger expenses	, 0
Total operating expenses	
Operating Income	171
Interest income	(171)
Interest expense	71,360
Gain (loss) on sale of strategic initiatives	(24)
Dividend and investment income	0
	0
Income from unconsolidated investees, net	. 0
Impairment Loss	0
FX Operating	0
Income before income taxes	71,165
Income tax provision	0
Net Income	71,165
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 71,165

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Iceland hf (in thousands, unaudited)

	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	1,700
Investments		0
Total Receivables - Net		555
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		5,129
Margin Deposits & Default Fund		0
Total Current Assets		7,384
Total Property and Equipment - Net		17
Goodwill		. 0
Other Intangibles		0
Non Current Deferred Taxes		(1)
Long Term Restricted Cash		0
Other Long Term Assets		2
Total Assets	\$	7,402
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	3,277
SEC 31a Payable to the SEC	-	0
Accrued Personnel Costs	,	436
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		14
Other Current Liabilities		160
Total current liabilities		3,887
Total Long Term Debt	•	0
Non Current Deferred Tax Liability	•	0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		3,887
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		. 0
Common Stock Total		ő
Additional Paid in Capital		10,890
Accumulated Other Comprehensive Income/(Loss)		(6,099)
Retained earnings		(1,275)
Total stockholders' equity		3,515
* *		3,313
Noncontrolling interests Total Equity	***************************************	
- ·	\$	3,515
Total liabilities and equity	2	7,402

The NASDAQ OMX Group, Inc.

Unconsolidated Statement of Income - NASDAQ OMX Iceland hf

(sissina), initiality		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	2,534	
Global Listing Services		1,008	
Global Information Services		220	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		183	
Total Revenues		3,944	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and excha	nge		
fces		3,944	
Operating Expenses			
Compensation and Benefits		2,112	
Marketing and Advertising		67	
Depreciation and amortization		0	
Professional and contract services		163	
Computer operations and data communications		815	
Occupancy		198	
Regulatory		36	
General, administrative and other		176	
Merger expenses		0	
Total operating expenses	***************************************	3,568	
Operating Income	<u></u>	377	
Interest income		303	
Interest expense		(0)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		45	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		725	
Income tax provision	***************************************	173	
Net Income		551	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	551	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Korea Ltd

Current Assets Current Assets Current Assets Current Assets Current Assets Current Assets Current Deferred Tax Current Deferred Tax O Current Deferred Tax O Current Restricted Cash O Current Assets 18 Margin Deposits & Default Fund O Total Current Assets S92			Year Ended December 31, 2013	
Current Assets: \$ 254 Investments 320 Total Receivables - Net 320 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 18 Margin Deposits & Default Fund 0 Total Current Assets 592 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets 5 SEC 3 In Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total Long Term Debt 0 Non-current deferred Tax Liability 0 Other Current Deferred Tax Liability 0 Oncurrent Deferred Tax Liability 0 Oncurrent Deferred Tax Liability 0 Oncurrent Deferred Tevenue<	Assets			
Cash & Equivalents \$ 254 Investments 0 Total Receivables - Net 30 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 18 Margin Deposits & Default Fund 0 Total Current Assets 592 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ Specification 0 Uterent I flabilities \$ Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current Deferred revenue	•			
Investments		\$	254	
Total Receivables - Net 320 Current Deferred Tax 0 Current Restricted Cash 18 Other Current Assets 18 Margin Deposits & Default Fund 0 Total Current Assets 592 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets 5 Liabilities and equity 0 Current liabilities: 17 Total AP and Accrued Expenses \$ 17 SEC 3 ta Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non Current Deferred Tax Liability 0 <t< td=""><td>•</td><td>4</td><td></td></t<>	•	4		
Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 18 Margin Deposits & Default Fund 0 Total Current Assets 592 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 592 Liabilities and equity Current liabilities: Current Bayable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitmeuts and contingencies 2 EQUITY	Total Receivables - Net			
Other Current Assets 18 Margin Deposits & Default Fund 0 Total Current Assets 592 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 592 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non Current deferred revenue 0 Other liabilities 264 Total Liabilities 0 Commitments and contingencies 2 EQUITY 0 <td></td> <td></td> <td>0</td>			0	
Margin Deposits & Default Fund 0 Total Current Assets 592 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 592 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 17 SEC 3 Ia Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Common Stock in Treasury Total 0 Common Stock in Treasury Total 0 Common Stock in Treasury Tota	Current Restricted Cash		0	
Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 592 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 264 Total Liabilities 0 Commitments and contingencies 2 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 <	Other Current Assets		18	
Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 592 Liabilities and equity *** Current liabilities: *** Total AP and Accrued Expenses \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total current beferred Tax Liability 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 <td< td=""><td>Margin Deposits & Default Fund</td><td></td><td>0</td></td<>	Margin Deposits & Default Fund		0	
Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 5 Total Assets \$ Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 17 SEC 31a Payable to the SEC 0 2 Accrued Personnel Costs 22 2 Accrued Personnel Costs 22 2 Current Portion of Long Term Debt 0 0 Current Portion of Long Term Debt 0 0 Current Liabilities 90 0 Total current Liabilities 264 Total Long Term Debt 0 0 Non Current Deferred Tax Liability 0 0 Non-current deferred revenue 0 0 Other liabilities 0 0 Total Liabilities 0 0 Commitments and contingencies EQUITY 0 Common Stock in Treasury Total	Total Current Assets	***************************************	592	
Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 592 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total Long Term Debt 0 Non Current Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Total Property and Equipment - Net		0	
Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 592 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total current liabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies 264 Commitments and contingencies 264 Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Goodwill		0	
Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 592 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 264 Total current liabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies 264 Commitments and contingencies 264 Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Other Intangibles		0	
Other Long Term Assets 0 Total Assets \$ 592 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total current liabilities 264 Total Long Term Debt 0 Non-current deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Non Current Deferred Taxes		0	
Total Assets \$ 592 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total current liabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Total Liabilities 264 Commitments and contingencies 264 Commitments and contingencies 264 Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Long Term Restricted Cash		0	
Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total current liabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current deferred Tax Liability 0 Other liabilities 264 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 3 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss)	Other Long Term Assets		00	
Current liabilities: \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total current liabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies 264 Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Total Assets	\$	592	
Current liabilities: \$ 17 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total current liabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies 264 Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Liabilities and equity			
SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue 135 Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities 90 Total current liabilities 264 Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss)	- ·			
Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total current tiabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies 264 Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Total AP and Accrued Expenses	\$	17	
Accrued Personnel Costs 22 Deferred Revenue 135 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 90 Total current tiabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies 264 Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	•		0	
Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities 90 Total current liabilities 264 Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss)	•		22	
Current Deferred Tax Liability 0 Other Current Liabilities 264 Total current liabilities 0 Non Current Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Deferred Revenue		135	
Other Current Liabilities 90 Total current liabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Current Portion of Long Term Debt		0	
Total current liabilities 264 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Current Deferred Tax Liability		0	
Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss)	Other Current Liabilities		90	
Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss)	Total current liabilities		264	
Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 264 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Total Long Term Debt		0	
Other liabilities 0 Total Liabilities 264 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Non Current Deferred Tax Liability		0	
Total Liabilities 264 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Non-current deferred revenue		0	
Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Other liabilities		00	
EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Total Liabilities		264	
EQUITY Common Stock in Treasury Total 0 Common Stock Total 93 Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Commitments and contingencies			
Common Stock Total93Additional Paid in Capital3Accumulated Other Comprehensive Income/(Loss)1				
Additional Paid in Capital 3 Accumulated Other Comprehensive Income/(Loss) 1	Common Stock in Treasury Total		0	
Accumulated Other Comprehensive Income/(Loss)	Common Stock Total	1	93	
	Additional Paid in Capital		3	
Retained earnings 231	Accumulated Other Comprehensive Income/(Loss)		1	
	Retained earnings		231	
Total stockholders' equity 328	Total stockholders' equity	***************************************	328	
Noncontrolling interests 0	Noncontrolling interests		0	
Total Equity 328	Total Equity		328	
Total liabilities and equity \$ 592	Total liabilities and equity	\$	592	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Korea Ltd

(III tropsatos, attatuca)	Year Ended	
	December 31, 2013	
Revenues		
Market Services Revenues	\$	0
Global Listing Services	•	0
Global Information Services		0
Corporate Solutions		350
Market Technology Revenues		0
Other Revenues		96
Total Revenues	***************************************	446
Total Novelland		
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		00
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange	•	
fces		446
Operating Expenses		120
Compensation and Benefits		138
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		13
Computer operations and data communications		0
Occupancy	1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	3
Regulatory		0
General, administrative and other		18
Merger expenses		0
Total operating expenses		173
Operating Income		273
Interest income		0
Interest expense		(1)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		(3)
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		270
Income tax provision		38
Net Income		231
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	231
not meant an intention to company	<u> </u>	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX NLX Ltd (in thousands, unaudited)

Noncontrolling interests
Total Equity
Total liabilities and equity

		car Ended
	Dece	mber 31, 2013

Assets		
Current Assets:		
Cash & Equivalents	\$	9,828
Investments		0
Total Receivables - Net		(1,586)
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		16,677
Margin Deposits & Default Fund		0
Total Current Assets	***************************************	24,919
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	24,919
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	1,530
SEC 31a Payable to the SEC	•	1,550
Accrued Personnel Costs		667
Deferred Revenue		0
Current Portion of Long Term Debt		ő
Current Deferred Tax Liability		o
Other Current Liabilities		1,455
Fotal current liabilities		3,651
Fotal Long Term Debt		0
Non Current Deferred Tax Liability		Õ
Non-current deferred revenue		Ö
Other liabilities		0
Fotal Liabilities	·	3,651
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		(40)
Additional Paid in Capital		53,200
Accumulated Other Comprehensive Income/(Loss)		3,013
Retained earnings		(34,905)
'otal stockholders' equity		21,267
Jonantealine interests		/ ۱٫۵۱ م

21,267 24,919

The NASDAQ OMX Group, Inc.

Unconsolidated Statement of Income - NASDAQ OMX NLX Ltd

(in modulato, anamoreu)		ear Ended nber 31, 2013
Revenues		
Market Services Revenues	s	(7,408)
Global Listing Services	Ψ	(7,400)
Global Information Services		(11)
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		(7,419)
Cost of revenues		· ·
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0 -
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		(7,419)
Operating Expenses		
Compensation and Benefits		2,532
Marketing and Advertising		268
Depreciation and amortization		0
Professional and contract services		7,022
Computer operations and data communications	•	95
Occupancy		72
Regulatory		0
General, administrative and other		9,729
Merger expenses		0
Total operating expenses		19,719
Operating Income		(27,137)
Interest income		59
Interest expense		(10)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		(27,089)
Income tax provision		0
Net Income		(27,089)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	<u>\$</u>	(27,089)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Nordic Ltd.

	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	7,323
Investments		0
Total Receivables - Net	•	6,587
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		68,430
Margin Deposits & Default Fund		0
Total Current Assets		82,339
Total Property and Equipment - Net		216
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		(418)
Long Term Restricted Cash		0
Other Long Term Assets		833,158
Total Assets	\$	915,296
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	14,631
SEC 31a Payable to the SEC		. 0
Accrued Personnel Costs		499
Deferred Revenue		3,223
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		41,101
Total current liabilities		59,454
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		.0
Total Liabilities		59,454
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		42,121
Additional Paid in Capital		1,104,359
Accumulated Other Comprehensive Income/(Loss)		47,363
Retained earnings		(338,288)
Total stockholders' equity		855,554
Noncontrolling interests		288
Total Equity		855,842
Total liabilities and equity	\$	915,296

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Nordic Ltd.

(iii iiioisiinas, amaanoa)	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$ 5	
Global Listing Services	32	
Global Information Services	0	
Corporate Solutions	12,305	
Market Technology Revenues	0	
Other Revenues	2,697	
Total Revenues	15,040	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	. 0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	15,040	
Operating Expenses		
Compensation and Benefits	1,771	
Marketing and Advertising	22	
Depreciation and amortization	154	
Professional and contract services	2,323	
Computer operations and data communications	4,263	
Occupancy	619	
Regulatory	9	
General, administrative and other	1,954	
Merger expenses	0	
Total operating expenses	11,116	
Operating Income	3,924	
Interest income	36	
Interest expense	(7,159)	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	4,267	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	. 0	
Income before income taxes	1,068	
Income tax provision	292	
Net Income	777	
Net (income) expense attributable to noncontrolling interests	(84)	
Net income attributable to Company	\$ 692	

The NASDAQ OMX Group, Inc.
Unconsolidated Balance Sheet - NASDAQ OMX Oslo ASA

(in thousands, unaudited)		_	
		Year Ended	
		Dece	ember 31, 2013
Assets			
Current Assets:			
Cash & Equivalents		\$	1,490
Investments		.0	1,430
Total Receivables - Net			4,681
Current Deferred Tax			4,081
			0
Current Restricted Cash			•
Other Current Assets			25,275
Margin Deposits & Default Fund			0
Total Current Assets			31,446
Total Property and Equipment - Net			865
Goodwill			192,518
Other Intangibles			69,455
Non Current Deferred Taxes			70
Long Term Restricted Cash			0
Other Long Term Assets		***************************************	39,304
Total Assets		\$	333,657
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	437
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			1,614
Deferred Revenue			(3)
Current Portion of Long Term Debt			. 0
Current Deferred Tax Liability			0
Other Current Liabilities			5,087
Total current liabilities			7,134
Total Long Term Debt			0
Non Current Deferred Tax Liability			18,510
Non-current deferred revenue			0,515
Other liabilities	•		40
Total Liabilities		***************************************	25,683
Total Liabilities	•		25,005
Commitments and contingencies			
EOUITY			
• •			۸
Common Stock in Treasury Total			0
Common Stock Total			704.944
Additional Paid in Capital			706,864
Accumulated Other Comprehensive Income/(Loss)			(432,124)
Retained earnings		······	33,233
Total stockholders' equity			307,973
Noncontrolling interests			0
Total Equity			307,973
Total liabilities and equity		\$	333,657

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Oslo ASA

(in monsanus, anaudicu)		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	27,429	
Global Listing Services		0	
Global Information Services		1,800	
Corporate Solutions		0	
Market Technology Revenues		ŧ	
Other Revenues		2,181	
Total Revenues		31,412	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exchange	2		
fees	***************************************	31,412	
Operating Expenses			
Compensation and Benefits		1,729	
Marketing and Advertising		251	
Depreciation and amortization		4,679	
Professional and contract services		2,386	
Computer operations and data communications		4,998	
Occupancy		1,360	
Regulatory		100	
General, administrative and other		917	
Merger expenses		15	
Total operating expenses		16,436	
Operating Income		14,976	
Interest income		309	
Interest expense		(1)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		16 221	
Income before income taxes	•	15,284	
Income tax provision		3,695	
Net Income		11,590	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	11,590	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Riga, AS (in thousands, unaudited)

(in thousands, unaudited)	Year Ended	
	December 31, 2013	
Assets		
Current Assets:	•	
Cash & Equivalents	\$	3,020
Investments		0
Total Receivables - Net		470
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		21
Margin Deposits & Default Fund		0
Total Current Assets		3,511
Total Property and Equipment - Net		48
Goodwill		ı
Other Intangibles		0
Non Current Deferred Taxes		9
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	3,538
1000		
Liabilities and equity	. •	
Current liabilities:		
Total AP and Accrued Expenses	\$	9
SEC 31a Payable to the SEC	U	ó
Accrued Personnel Costs		77
Deferred Revenue		5
Current Portion of Long Term Debt	4	0
Current Deferred Tax Liability		0
Other Current Liabilities		379
Total current liabilities		468
Total Long Term Debt		0
· ·		0
Non Current Deferred Tax Liability Non-current deferred revenue		0
Other liabilities		0
		468
Total Liabilities		400
Committee and acuting acuting		
Commitments and contingencies	•	
EQUITY		0
Common Stock in Treasury Total		-
Common Stock Total		(375)
Additional Paid in Capital		3,962
Accumulated Other Comprehensive Income/(Loss)		41
Retained earnings		(490)
Total stockholders' equity		3,139
Noncontrolling interests		(69)
Total Equity		3,069
Total liabilities and equity	\$	3,538

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Riga, AS (in thousands, unaudited)

Revenues Market Services Revenues \$ 365 Global Listing Services 227 Global Listing Services 27 Global Listing Services 27 Global Listing Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 530 Total Revenues 1,449 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 O Total operating expenses 0	(,,,,,,,	Year Ended December 31, 2013	
Market Services Revenues 365 Global Listing Services 527 Global Information Services 27 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 530 Total Revenues 1,449 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 0 Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 24 General, administrative and other 124 Merger expenses 0 Operating Income 310 Interest income 0 Interest income 0 Gain (foss) on sale of strategic initiatives 0 Dividend and investment income			
Market Services Revenues 365 Global Listing Services 527 Global Information Services 27 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 530 Total Revenues 1,449 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 0 Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 24 General, administrative and other 124 Merger expenses 0 Operating Income 310 Interest income 0 Interest income 0 Gain (foss) on sale of strategic initiatives 0 Dividend and investment income			_
Global Listing Services 27 Global Information Services 27 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 530 Total Revenues 1,449 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 446 Compensation and Benefits 446 Marketing and Adventising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Operating Income 310 Interest income 0 Interest expense 0 Oain (loss) on sale of strategic initiatives 0	Revenues	•	
Corporate Solutions	Market Services Revenues	\$ 365	5
Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 530 Total Revenues 1,449 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 446 Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investe	Global Listing Services	527	7
Onther Revenues 330 Total Revenues 1,449 Cost of revenues 1,449 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 446 Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Operating Income 310 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss	Global Information Services	27	7
Other Revenues 530 Total Revenues 1,449 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 446 Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Operating Income 10 Interest income 0 Interest expense 0 Oain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss	Corporate Solutions	. ()
Total Revenues 1,449 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 446 Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 1,139 Operating income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Income before income taxes 310 Income tax provision 56 Net Income	Market Technology Revenues	()
Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 1,449 Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Total operating expenses 0 Operating Income 310 Interest income 0 Interest expense 0 Opividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income tax provision 56	Other Revenues	530)
Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 446 Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Operating Income 310 Interest income 0 Interest expense 0 Oain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision	Total Revenues	1,449	•
Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 1,449 Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Total operating expenses 1,139 Operating Income 310 Interest income 0 Interest expense 0 Obividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254	Cost of revenues		
Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 1,449 Operating Expenses 2 Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Total operating hecome 310 Interest income 0 Interest expense 0 Obvidend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests	Transaction rebates	()
Revenues less transaction rebates, brokerage, cfearance and exchange fees 1,449	Brokerage, Clearance and Exchange Fees	()
Toperating Expenses Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Total operating expenses 1,139 Operating Income 310 Interest income 0 Interest expense 0 Obvidend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Total Cost of Revenues		<u>)</u>
Operating Expenses 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 1,139 Operating Income 310 Interest income 0 Interest expense 00 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Revenues less transaction rebates, brokerage, clearance and exchange		
Compensation and Benefits 446 Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Total operating expenses 1,139 Operating Income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	fees	1,449)
Marketing and Advertising 20 Depreciation and amortization 7 Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Total operating expenses 1,139 Operating Income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Operating Expenses		
Depreciation and amortization 7	Compensation and Benefits	446	5
Professional and contract services 121 Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Operating Income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Marketing and Advertising	20)
Computer operations and data communications 335 Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 1,139 Operating Income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Depreciation and amortization	7	7
Cocupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 1,139 Operating Income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Professional and contract services	121	i
Occupancy 61 Regulatory 24 General, administrative and other 124 Merger expenses 0 Total operating expenses 0 Operating Income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Computer operations and data communications	335	5
Registratory 124 Merger expenses 0 Total operating expenses 1,139 Operating Income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0		61	Į
Merger expenses 0 Total operating expenses 1,139 Operating Income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Regulatory	24	4
Total operating expenses 1,139 Operating Income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	General, administrative and other	124	4
Operating Income 310 Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Merger expenses		0
Interest income 0 Interest expense (0) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Total operating expenses	1,139)
Interest expense (0)	Operating Income	310	0
Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Interest income	(0
Dividend and investment income Income from unconsolidated investees, net Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes Income tax provision Net Income 254 Net (income) expense attributable to noncontrolling interests D	Interest expense	((0)
Dividend and investment income Income from unconsolidated investees, net Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes Income tax provision Net Income 254 Net (income) expense attributable to noncontrolling interests D	Gain (loss) on sale of strategic initiatives	(ð
Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0		ļ	ì
Impairment Loss 0 FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	Income from unconsolidated investees, net	· · · (0
FX Operating 0 Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0		(0
Income before income taxes 310 Income tax provision 56 Net Income 254 Net (income) expense attributable to noncontrolling interests 0	· · · · · · · · · · · · · · · · · · ·		0
Net Income 254 Net (income) expense attributable to noncontrolling interests 0		310	o -
Net (income) expense attributable to noncontrolling interests 0		56	5_
Net (income) expense attributable to noncontrolling interests 0	Net Income	254	4
		(0
	• • •	\$ 254	4

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX (South East Asia & Pacific) Ptc.Ltd

	•	Year Ended	
		December 31, 2013	
Assets Current Assets:			
	\$	499	
Cash & Equivalents Investments	J)	. 499	
		•	
Total Receivables - Net Current Deferred Tax		1,893	
		0	
Current Restricted Cash		0	
Other Current Assets	•	11,411	
Margin Deposits & Default Fund		12.802	
Total Current Assets		13,803	
Total Property and Equipment - Net		182	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	<u>\$</u>	13,985	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	66	
SEC 31a Payable to the SEC	Ť	0	
Accrued Personnel Costs		563	
Deferred Revenue		1,738	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		205	
Total current liabilities		2,573	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		Ö	
Non-current deferred revenue		ő	
Other liabilities		Ô	
Total Liabilities		2,573	
		2,0.0	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		1,012	
Accumulated Other Comprehensive Income/(Loss)		776	
Retained earnings	_	9,624	
Total stockholders' equity		11,412	
Noncontrolling interests		0	
Total Equity	_	11,412	
Total liabilities and equity	\$	13,985	
			

The NASDAQ OMX Group, Inc.

Unconsolidated Statement of Income - NASDAQ OMX (South East Asia & Pacific) Ptc.Ltd (in thousands, unaudited)

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	6,994
Other Revenues	783
Total Revenues	7,776
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	7,776
iccs	- 7,770
Operating Expenses	
Compensation and Benefits	2,485
Marketing and Advertising	56
Depreciation and amortization	31
Professional and contract services	4,483
Computer operations and data communications	24
Occupancy	208
Regulatory	0
General, administrative and other	173
Merger expenses	63
Total operating expenses	7,523
Operating Income	253
Interest income	19
Interest expense	(5)
Gain (loss) on sale of strategic initiatives	o o
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	268
Income tax provision	(99)
magning and began myon	
Net Income	366
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 366

The NASDAQ OMX Group, Inc.

Unconsolidated Balance Sheet - NASDAQ OMX Stockholm AB

Assets Current Assets: Cash & Equivalents \$ 105,2 Investments 39,5 Total Receivables - Net 23,7 Current Deferred Tax 23,7 Current Deferred Tax 3,0 Margin Deposits & Default Fund 3,0 Total Current Assets 3,0 Margin Deposits & Default Fund 171,5 Total Property and Equipment - Net 5 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes (7 Liabilities and equity 7 Current Liabilities: 3,0 Liabilities and equity 2 Current Liabilities: 3,0 Current Liabilities: 3,0 Current Liabilities 3,0 Current Portion of Long Term Debt 2,0 Current Deferred Taxes 3,0 Current Deferred Taxes		Year Ended December 31, 2013	
Current Assets: Cash & Equivalents \$ 105,2 Investments 39,5 Total Receivables - Net 23,7 Current Deferred Tax 23,7 Current Deferred Tax 3,0 Current Restricted Cash 3,0 Other Current Assets 3,0 Margin Deposits & Default Fund 5 Total Property and Equipment - Net 5 Goodwill 0 Other Intangibles 0 Cong Term Restricted Cash 0 Other Long Term Assets 171,5 Chong Term Restricted Cash 0 Other Long Term Assets 172,0 Charles and equity 172,0 Current Liabilities 172,0 Current Isabilities 39,3 SEC 31 a Payable to the SEC 3 Accrued Personnel Costs 9,1 Deferred Revenue 12,2 Current Deferred Tax Liability 2 Current Deferred Tax Liability 2 Current Deferred Tax Liability 2 Other Current Liabilities 78,5 Total Current Deferred Tax Liability 78,5 Collact Current Deferred Tax Liability 78,5 Collact Current Deferred Tax Liability 78,5 Conditional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) (1,53) Retained earnings 82,09 Total Stockholders' equity 93,53 Total Equity 93,53			11001 31, 2013
Current Assets: Cash & Equivalents \$ 105,2 Investments 39,5 Total Receivables - Net 23,7 Current Deferred Tax Current Restricted Cash Other Current Assets 3,0 Margin Deposits & Default Fund Total Property and Equipment - Net 5 Goodwill Other Intangibles (2 Long Term Restricted Cash Other Long Term Assets (2 Long Term Restricted Cash Other Long Term Assets (3 Congress (4 Congress (5 Congress (5 Congress (6 Congress (7 Congres	Assets		
Cash & Equivalents \$ 105,2 Investments 39,5 Total Receivables - Net 23,7 Current Deferred Tax 3,0 Current Restricted Cash 3,0 Other Current Assets 171,5 Total Deposits & Default Fund 5 Goodwill 5 Other Intangibles 6 Non Current Deferred Taxes 6 Long Term Restricted Cash 6 Other Long Term Assets 5 Total Assets \$ 172,0 Liabilities and equity 5 Current liabilities \$ 39,3 Total Assets \$ 39,3 SEC 31a Payable to the SEC \$ 39,3 Accrued Personnel Costs 9,15 Deferred Revenue 12,2 Current Portion of Long Term Debt 25 Current Deferred Tax Liability 25 Total Current Liabilities 78,54 Total Long Term Debt 78,54 Non-current Deferred Tax Liability 25 Von-current Deferred Tax Liability 78,54 Commitments a			
Investments	_	\$	105,264
Total Receivables - Net 23,7 Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Margin Deposits & Default Fund Total Property and Equipment - Net 5 Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 39,33 SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Portion of Long Term Debt Current Liabilities Total Long Term Debt Non Current Liabilities Total Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue Other liabilities Total Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities Tota	•	Ψ	39,542
Current Deferred Tax			-
Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets Liabilities and equity Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current Deferred Tax Liability No			25,710
Other Current Assets 3,0 Margin Deposits & Default Fund 171,51 Total Property and Equipment - Net 5 Goodwill 5 Other Intangibles (7 Non Current Deferred Taxes (7 Long Term Restricted Cash 5 Other Long Term Assets \$ 172,00 Total Assets \$ 172,00 Liabilities and equity \$ 172,00 Current liabilities \$ 39,3 SEC 31a Payable to the SEC \$ 39,3 Accrued Personnel Costs 9,15 Deferred Revenue 12,24 Current Portion of Long Term Debt 22 Current Deferred Tax Liability 22 Other Current Liabilities 78,54 Total Long Term Debt 3,54 Non Current Deferred Tax Liability 2,54 Non-current deferred revenue 3,54 Other liabilities 78,54 Commitments and contingencies 2,00 EQUITY 2,00 Common Stock in Treasury Total 3,53 Common Stock Total 3,60 Additional Paid in Capital 12,97			ő
Margin Deposits & Default Fund Total Current Assets 171,51 Total Property and Equipment - Net 5 Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets \$ 172,01 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 39,33 SEC 31a Payable to the SEC Accrued Personnel Costs 9,13 Deferred Revenue 12,24 Current Portion of Long Term Debt Current Deferred Tax Liability 22 Current Portion of Long Term Debt Current Liabilities 17,54 Total Long Term Debt Non Current Liabilities 78,54 Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) 11,53 Retained earnings 82,00 Total Stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53			3,066
Total Current Assets 171,50			0,000
Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets Liabilities and equity Current liabilities: Total AP and Accrued Expenses SEC 3 ta Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Liabilities Total Long Term Det Total current Liabilities Total current Liabilities Total Long Term Det Non Current Deferred Tax Liability Non-current deferred revenue Other Habilities Total Liabilities Total Long Term Deberred Text Liability Total Long Ter	- ·		<u>-</u>
Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets S 172,08 Liabilities and equity Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities			511
Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets S 172,00 Liabilities and equity Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total Long Term Debt Non Current Liabilities Total Long Term Debt Non-current deferred Tax Liability Non-current deferred Tax Liability Common Stock in Treasury Total Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings Total Sequence Total Equity 93,53 Noncontrolling interests Total Equity 93,53	• • •		0
Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets S 172,00 Liabilities and equity Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred Tax Liability Non-current deferred Tax Liability Cother liabilities Total Liabilities			0
Long Term Restricted Cash Other Long Term Assets Total Assets S 172,08 Liabilities and equity Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilit			(20)
Other Long Term Assets \$ 172,08 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 39,37 SEC 31a Payable to the SEC \$ 9,13 Accrued Personnel Costs 9,13 Deferred Revenue 12,22 Current Portion of Long Term Debt 25 Current Deferred Tax Liability 25 Other Current Liabilities 78,54 Total Long Term Debt 78,54 Non Current Deferred Tax Liability 8 Non-current deferred revenue 6 Other liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total 8 Common Stock Total 12,97 Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) 11,53 Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests 93,53			• -
Total Assets	•		0
Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 39,37 SEC 31a Payable to the SEC Accrued Personnel Costs 9,13 Deferred Revenue 12,24 Current Portion of Long Term Debt Current Deferred Tax Liability 25 Other Current Liabilities 17,54 Total current liabilities 78,54 Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) 11,53 Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53	-	•	
Current liabilities: Total AP and Accrued Expenses \$ 39,37 SEC 3 ta Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabiliti	i otal Assots	.D	172,081
Current liabilities: Total AP and Accrued Expenses \$ 39,37 SEC 3 ta Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabiliti	I jabiliting and aquity		
Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total current liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total	- ·		
SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total current liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities T		æ	20.270
Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities 17,54 Total current liabilities 78,54 Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Non-current deferred revenue Other liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53	•	. 3	•
Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities 17,54 Total current liabilities 78,54 Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings Total Stockholders' equity Non-controlling interests Total Equity 93,53			0.
Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities 17,54 Total current liabilities 78,54 Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings 82,09 Total Stockholders' equity Non-controlling interests Total Equity 93,53			
Current Deferred Tax Liability Other Current Liabilities 17,54 Total current liabilities 78,54 Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53			
Other Current Liabilities 17,54 Total current liabilities 78,54 Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) (1,53) Retained earnings 82,09 Total Stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53	<u> </u>		0
Total current liabilities 78,54 Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) (1,53) Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53	•		255
Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings Total stockholders' equity Total Equity 93,53			17,547
Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings Stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53			- •
Non-current deferred revenue Other liabilities Total Liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53	•		0
Other liabilities Total Liabili			. 0
Total Liabilities 78,54 Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) (1,53) Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53			0
Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) (1,53 Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53			0
EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) (1,53) Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53	t otal Liabilities		78,542
EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) (1,53) Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53	Commitments and contingencies		
Common Stock in Treasury Total Common Stock Total Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) (1,53 Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53			
Common Stock Total Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) (1,53 Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53			^
Additional Paid in Capital 12,97 Accumulated Other Comprehensive Income/(Loss) (1,53 Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests 93,53 Total Equity 93,53			0
Accumulated Other Comprehensive Income/(Loss) (1,53 Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53			. 0
Retained earnings 82,09 Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53	• • • • • • • • • • • • • • • • • • •		
Total stockholders' equity 93,53 Noncontrolling interests Total Equity 93,53			(1,532)
Noncontrolling interests Total Equity 93,53	<u> </u>	· · · · · · · · · · · · · · · · · · ·	82,098
Total Equity 93,53	• •		93,539
	· · · · · · · · · · · · · · · · · · ·		0
Transfilled Military and a male.			93,539
Total liabilities and equity \$ 172,08	rotal nationnes and equity	\$	172,081

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Stockholm AB

(III thousands, maddied)	Year i	
Revenues		
Market Services Revenues	\$	27,726
Global Listing Services		10,785
Global Information Services		15,930
Corporate Solutions		24
Market Technology Revenues		6,084
Other Revenues		752
Total Revenues		61,301
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		61,301
Operating Expenses		
Compensation and Benefits		8,103
Marketing and Advertising		274
Depreciation and amortization		71
Professional and contract services		3,261
Computer operations and data communications		10,157
Occupancy		496
Regulatory		6
General, administrative and other		2,668
Merger expenses		529
Total operating expenses		25,565
Operating Income		35,736
Interest income		353
Interest expense		(1)
Gain (loss) on sale of strategic initiatives		0 262
Dividend and investment income		202
Income from unconsolidated investees, net	`	0
Impairment Loss		0
FX Operating		36,350
Income before income taxes		-
Income tax provision		7,976
Net Income		28,373
Net (income) expense attributable to noncontrolling interests	***************************************	0
Net income attributable to Company	\$	28,373

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Tallinn AS

		Year E December	
Assets			
Current Assets:			•
Cash & Equivalents		\$	0
Investments			0
Total Receivables - Net			0
Current Deferred Tax			0
Current Restricted Cash			0
Other Current Assets			0
Margin Deposits & Default Fund			0
Total Current Assets			0
Total Property and Equipment - Net			0
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash	•		. 0
Other Long Term Assets			, 0
Total Assets		\$	0
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	0
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			0
Deferred Revenue			0
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			0
Other Current Liabilities			0
Total current liabilities			0
Total Long Term Debt			0 -
Non Current Deferred Tax Liability	•		0
Non-current deferred revenue			0
Other liabilities			0
Total Liabilities			0
Commitments and contingencies	•		
EQUITY			_
Common Stock in Treasury Total			0
Common Stock Total			0,
Additional Paid in Capital	1		2,044
Accumulated Other Comprehensive Income/(Loss)			(2,025)
Retained earnings			(20)
Total stockholders' equity			0
Noncontrolling interests			0
Total Equity			0
Total liabilities and equity		\$	0

The NASDAQ OMX Group, Inc.
Unconsolidated Statement of Income - NASDAQ OMX Tallinn AS

(III III) James, Wanderbay	Year Ended December 31, 2013	3
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0_
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange fees	·	0
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		0
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		0
Merger expenses		0
Total operating expenses		0
Operating Income		0
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		0 .
Income tax provision		0
Net Income		0
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Nord Pool AB

(in thousands, unaudited)	••	
	Year Ended December 31, 2013	
	December	x 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments	•	0
Total Receivables - Net	•	
Current Deferred Tax		0
		0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund	***************************************	
Total Current Assets		0
Total Property and Equipment - Net	•	0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	0
•		
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		0
Total current liabilities	****	0
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		Ö
Total Liabilities	4.2.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4	0
		ŭ
Commitments and contingencies		
EQUITY	k	
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		15
Accumulated Other Comprehensive Income/(Loss)		(13)
Retained earnings		(2)
Total stockholders' equity		0
Noncontrolling interests		0
Total Equity		0
	•	
Total liabilities and equity	\$	0

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Nord Pool AB

(Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	. 0
Total Revenues	0
Total Novelland	
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	. 0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exc	hange
fees	0
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	O_
Operating Income	0
Interest income	0
Interest expense	. 0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	(30)
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	(30)
Income tax provision	0
Net Income	(30)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (30)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NOS Clearing ASA

	Year Ended December 31, 2013	
	Excent	iber 31, 2013
Assets		
Current Assets:	s	41 653
Cash & Equivalents	3	41,652
Investments Total Receivables - Net		0 3
Current Deferred Tax		121
Current Restricted Cash		0
Other Current Assets		1,259
Margin Deposits & Default Fund		0
Total Current Assets		43,035
Total Property and Equipment - Net		139
Goodwill		0
Other Intangibles		1,142
Non Current Deferred Taxes		393
Long Term Restricted Cash	•	0
Other Long Term Assets		0
Total Assets	\$	44,709
F . 1944		
Liabilities and equity		
Current liabilities:	•	200
Total AP and Accrued Expenses	\$	900
SEC 3 ta Payable to the SEC		0
Accrued Personnel Costs		2,120
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		2
Other Current Liabilities		(117)
Total current liabilities		2,905
Total Long Term Debt		0
Non Current Deferred Tax Liability		320
Non-current deferred revenue	•	0
Other liabilities	***************************************	433
Total Liabilities		3,657
	•	
Commitments and contingencies EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		. 0
Additional Paid in Capital		39,298
Accumulated Other Comprehensive Income/(Loss)		(819)
•		, ,
Retained earnings		2,573
Total stockholders' equity		41,052
Noncontrolling interests	***************************************	0
Total Equity	m	41,052
Total liabilities and equity	<u>\$</u>	44,709

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NOS Clearing ASA

(iii iiiodsands, diiaddicely	Year End December 31	
Revenues		
Market Services Revenues	\$	8,732
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		8,732
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		8,732
Operating Expenses		
Compensation and Benefits		4,312
Marketing and Advertising		62
Depreciation and amortization		358
Professional and contract services		1,431
Computer operations and data communications		1,643
Occupancy		768
Regulatory		102
General, administrative and other		987
Merger expenses		992
Total operating expenses		10,654
Operating Income		(1,923)
Interest income		1,245
Interest expense		(793)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		.0
Impairment Loss		0
FX Operating		0
Income before income taxes		(1,470)
Income tax provision		(407)
Net Income		(1,063)
Net (income) expense attributable to noncontrolling interests		0_
Net income attributable to Company	\$	(1,063)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX AB

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ (490,964)
Investments	0
Total Receivables - Net	140,087
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	16,072
Margin Deposits & Default Fund	0
Total Current Assets	(334,806)
Total Property and Equipment - Net	774
Goodwill	4,370
Other Intangibles	0
Non Current Deferred Taxes	1,899
Long Term Restricted Cash	0
Other Long Term Assets	(3,138,834)
Total Assets	\$ (3,466,597)
,	
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 6,902
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	3,284
Deferred Revenue	0
	0
Current Portion of Long Term Debt	223
Current Deferred Tax Liability	
Other Current Liabilities	506,532
Total current liabilities	516,941
Total Long Term Debt	0
Non Current Deferred Tax Liability	2
Non-current deferred revenue	
Other liabilities	4,792
Total Liabilities	521,735
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	15
Additional Paid in Capital	(4,189,844)
Accumulated Other Comprehensive Income/(Loss)	219,377
Retained earnings	(17,880)
Total stockholders' equity	(3,988,332)
Noncontrolling interests	0
Total Equity	(3,988,332)
Total liabilities and equity	\$ (3,466,597)

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX AB

(iii tilousaitus, uitautiteu)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	. 0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	19,477
Total Revenues	19,477
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	10.455
fees	19,477
Operating Expenses	0.303
Compensation and Benefits	9,303
Marketing and Advertising	286
Depreciation and amortization	1,078
Professional and contract services	3,798
Computer operations and data communications	235
Occupancy	11,025
Regulatory	0
General, administrative and other	971
Merger expenses	682
Total operating expenses	27,379
Operating Income	(7,902)
Interest income	267
Interest expense	(14,350)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	(1.521)
Income from unconsolidated investees, net	(1,531)
Impairment Loss	0
FX Operating	(23,499)
Income before income taxes	
Income tax provision	(4,585)
Net Income	(18,914)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (18,914)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Capital Insurance AG

		Year Ended December 31, 2013	
Assets			
Current Assets:	_		
Cash & Equivalents	\$	108,136	
Investments		0	
Total Receivables - Net		0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund	·	0	
Total Current Assets		108,136	
Total Property and Equipment - Net		. 0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0_	
Total Assets	\$	108,136	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	956	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		12,485	
Total current liabilities		13,441	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		13,441	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		. 0	
Common Stock Total	"mag.	0	
Additional Paid in Capital		151,611	
Accumulated Other Comprehensive Income/(Loss)		(15,551)	
Retained earnings		(41,366)	
Total stockholders' equity		94,695	
Noncontrolling interests		0	
Total Equity	····	94,695	
Total liabilities and equity	\$	108,136	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Capital Insurance AG

	Year E December	
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		818
Total Revenues		818
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues	<u></u>	0_
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		818
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		231
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		318
Merger expenses		0
Total operating expenses		549
Operating Income		269
Interest income		816
Interest expense		(26)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		37
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating	 	2
Income before income taxes		1,099
Income tax provision		(4,914)
Net Income		6,013
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	6,013

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Ltd.

		ar Ended	
	Decem	December 31, 2013	
A			
Assets Current Assets:			
Cash & Equivalents	\$	1,220	
Investments	4	0	
Total Receivables - Net		86	
Current Deferred Tax		0	
Current Restricted Cash		Ö	
Other Current Assets		1,939	
Margin Deposits & Default Fund		0	
Total Current Assets		3,245	
Total Property and Equipment - Net		349	
Goodwill		0	
		0	
Other Intangibles Non Current Deferred Taxes		o	
		0	
Long Term Restricted Cash		10	
Other Long Term Assets Total Assets	\$	3,604	
Total Assets	Ψ	3,001	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	299	
	•	0	
SEC 31a Payable to the SEC Accrued Personnel Costs	•	782	
Deferred Revenue	•	0	
Current Portion of Long Term Debt		ŏ	
Current Deferred Tax Liability		ő	
Other Current Liabilities		66	
Total current liabilities		1,147	
Total Long Term Debt	•	0	
Non Current Deferred Tax Liability		ŏ	
Non-current deferred revenue		ő	
Other liabilities		ŏ	
Total Liabilities	***************************************	1,147	
Total Liabilities		1,147	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		· O	
Common Stock Total		. 0	
Additional Paid in Capital		1.470	
Accumulated Other Comprehensive Income/(Loss)		60	
Retained earnings		927	
	•	2,457	
Total stockholders' equity		2,437	
Noncontrolling interests	-	2,457	
Total Equity	\$	3,604	
Total liabilities and equity	.p	2,004	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Ltd.

(in mostand, manager)	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	7,476	
Total Revenues	7,476	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	7,476	
Operating Expenses		
Compensation and Benefits	4,744	
Marketing and Advertising	61	
Depreciation and amortization	8	
Professional and contract services	269	
Computer operations and data communications	12	
Occupancy	816	
Regulatory	0	
General, administrative and other	651	
Merger expenses	409	
Total operating expenses	6,969	
Operating Income	508	
Interest income	i	
Interest expense	(0)	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	. 0	
Income from unconsolidated investees, net	. 0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	508	
Income tax provision	83	
Net Income	425	
Net (income) expense attributable to noncontrolling interests	. 0	
Net income attributable to Company	\$ 425	

Unconsolidated Balance Sheet - OMX Netherlands BV

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	11	
Investments		0	
Total Receivables - Net		1	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets	***************************************	13	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		(5,857)	
Total Assets	\$	(5,844)	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	332	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue	•	0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		0	
Total current liabilities		332	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		Ō	
Total Liabilities	***************************************	332	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		. 0	
Common Stock Total		(0)	
Additional Paid in Capital		(4,620)	
Accumulated Other Comprehensive Income/(Loss)		(1,312)	
Retained earnings		(243)	
Total stockholders' equity	**************************************	(6,176)	
Noncontrolling interests		0	
Total Equity	· · · · · · · · · · · · · · · · · · ·	(6,176)	
Total liabilities and equity	\$	(5,844)	
· · · · · · · · · · · · · · · · · · ·	-		

Unconsolidated Statement of Income - OMX Netherlands BV

(m trousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	0
Operating Expenses	
Compensation and Benefits	16
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	13
Computer operations and data communications	0
Occupancy	9
Regulatory	0
General, administrative and other	(1)
Merger expenses	0
Total operating expenses	37
Operating Income	(37)
Interest income	. 0
Interest expense Gain (loss) on sale of strategic initiatives	(0)
Dividend and investment income	0
Income from unconsolidated investees, net	•
Impairment Loss	.0
FX Operating	0
Income before income taxes	(37)
Income tax provision	` '
moodo un provision	0
Net Income	(37)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (37)

Unconsolidated Balance Sheet - OMX Netherlands Holding BV

· ·	Year Ended December 31, 2013	
	2,000,000,01,201,001	
Assets	•	
Current Assets:		
Cash & Equivalents	\$. 44	
•	0	
Investments Total Receivables - Net	3,291	
Current Deferred Tax	0	
	0	
Current Restricted Cash	520	
Other Current Assets	. 0	
Margin Deposits & Default Fund	3,855	
Total Current Assets		
Total Property and Equipment - Net	0	
Goodwill	0	
Other Intangibles	0	
Non Current Deferred Taxes	0	
Long Term Restricted Cash	. 0	
Other Long Term Assets	149,068	
Total Assets	\$ 152,924	
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$ 6	
SEC 31a Payable to the SEC	0	
Accrued Personnel Costs	.0	
Deferred Revenue	0	
Current Portion of Long Term Debt	0	
Current Deferred Tax Liability	0	
Other Current Liabilities	37,346	
Total current liabilities	37,351	
Total Long Term Debt	0	
Non Current Deferred Tax Liability	0	
Non-current deferred revenue	0	
Other liabilities	12,347	
Total Liabilities	49,699	
Commitments and contingencies		
EQUITY	•	
Common Stock in Treasury Total	0	
Common Stock Total	24	
Additional Paid in Capital	(4,795)	
Accumulated Other Comprehensive Income/(Loss)	76,112	
Retained earnings	31,884	
Total stockholders' equity	103,225	
Noncontrolling interests	. 0	
<u>~</u>	103,225	
Total Equity Total liabilities and equity	\$ 152,924	
rotat naominos and equity	4 104,747	

Unconsolidated Statement of Income - OMX Netherlands Holding BV

	Year Ended December 31, 201	3
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues	•	
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		0
Operating Expenses		
Compensation and Benefits		16
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		33
Computer operations and data communications		0
Occupancy		9
Regulatory		0
General, administrative and other	(2	59)
Merger expenses	(7,9	02)
Total operating expenses	(8,1	04)
Operating Income	8,10	04
Interest income		8
Interest expense	(8:	24)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes	7,2	89
Income tax provision		0
Net Income	7,28	89
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$ 7,21	89

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Pty Ltd

Noncontrolling interests 0 Total Equity 8,389			Year Ended	
Current Assets: \$ 3,199 Cash & Equivalents 0 Investments 0 Total Receivables - Net 4,375 Current Deferred Tax 0 Other Current Assets 673 Margin Deposits & Default Fund 0 Total Current Assets 8,247 Total Property and Equipment - Net 1,522 Goodwill 1,846 Other Intangibles 3,596 Non Current Deferred Taxes 861 Long Term Restricted Cash 0 Other Long Term Assets 0 Other Long Term Assets 0 Other Long Term Assets 0 Total Assets \$ 26,072 Liabilities and equity 0 Current Part Inabilities: 0 Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Deferred Tax Liability 0 Other Current Liabilities 17,684 Total Long Term Debt		Decem	ber 31, 2013	
Current Assets: \$ 3,199 Cash & Equivalents 0 Investments 0 Total Receivables - Net 4,375 Current Deferred Tax 0 Other Current Assets 673 Margin Deposits & Default Fund 0 Total Current Assets 8,247 Total Property and Equipment - Net 1,522 Goodwill 1,846 Other Intangibles 3,596 Non Current Deferred Taxes 861 Long Term Restricted Cash 0 Other Long Term Assets 0 Other Long Term Assets 0 Other Long Term Assets 0 Total Assets \$ 26,072 Liabilities and equity 0 Current Part Inabilities: 0 Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Deferred Tax Liability 0 Other Current Liabilities 17,684 Total Long Term Debt	Ascate			
Cash & Equivalents 0 Investments 0 Total Receivables - Net 4,375 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 673 Margin Deposits & Default Fund 0 Total Current Assets 8,247 Total Property and Equipment - Net 1,522 Goodwill 11,346 Other Intangibles 3,596 Non Current Deferred Taxes 861 Long Term Restricted Cash 0 Other Long Term Assets 0 Other Long Term Assets 2 Current liabilities 3 Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Liabilities 0 Total current Ederred Tax Liability 0 Other Current Jeferred Tax Liability 0 Non-current Deferred Tax Liability 0 N				
Investments 0 Total Receivables - Net 4,375 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 673 Margin Deposits & Default Fund 0 Total Current Assets 8,247 Total Property and Equipment - Net 1,522 Goodwill 11,846 Other Intangibles 3,596 Non Current Deferred Taxes 861 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 26,072 Liabilities and equity V Current liabilities: 0 Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Ibabilities 0 Total current Liabilities 0 Total current Liabilities 0 Total current Ibabilities 0 Total Liabilities		· ·	3 100	
Total Receivables - Net 4,375 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 673 Margin Deposits & Default Fund 0 Total Current Assets 8,247 Total Property and Equipment - Net 1,522 Goodwill 11,846 Other Intangibles 3,596 Non Current Deferred Taxes 861 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 26,072 Liabilities and equity 0 Current liabilities: 0 Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Liabilities 11,985 Total current Liabilities 17,684 Total Long Term Debt 0 Non-current deferred Tax Liability 0 Non-current deferred revenue 0	•	ø		
Current Deferred Tax 0 Current Restricted Cash 673 Other Current Assets 673 Margin Deposits & Default Fund 0 Total Current Assets 8,247 Total Property and Equipment - Net 1,522 Goodwill 11,846 Other Intangibles 3,596 Non Current Deferred Taxes 861 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets 2 Current liabilities 0 Current liabilities 0 Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Von-current Deferred Tax Liability 0 Total Liabilities 0 Comm			=	
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Other Current Assets 673 Margin Deposits & Default Fund 0 Total Current Assets 8,247 Total Property and Equipment - Net 11,346 Other Intangibles 3,596 Non Current Deferred Taxes 861 Long Term Restricted Cash 0 Other Long Term Assets 0 Corrent Intage of Current Intage			=	
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Total Property and Equipment - Net 1,522 Goodwill 11,846 Other Intangibles 3,596 Non Current Deferred Taxes 6 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 26,072 Liabilities and equity Current liabilities Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies 0 EQUITY 0 Commitments and contingencies 1 EQUITY 0 Common Stock in Treasury Total	• •			
Goodwill 11,846 Other Intangibles 3,596 Non Current Deferred Taxes 861 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 26,072 Liabilities and equity *** Current liabilities: *** Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total current Ibefired Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Accumulated Other Comprehensive Income/(Loss)			-	
Other Intangibles 3,596 Non Current Deferred Taxes 861 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 26,072 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total current Ibilities 17,684 Total Long Term Debt 0 Non- Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 0 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Accumulated Other Comprehensive Income/(Loss) 6,695 Cota			•	
Non Current Deferred Taxes 861 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 26,072 Liabilities and equity Current liabilities: \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total current liabilities 17,684 Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 0 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Accumulated Other Comprehensive Income/(Loss) 6695 Cotal stockholders' equity 8,389 Noncont				
Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 26,072 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies 0 EQUITY 17,684 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 0 Accumulated Other Comprehensive Income/(Loss) 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0	· · · · · · · · · · · · · · · · · · ·		•	
Other Long Term Assets 0 Total Assets \$ 26,072 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnet Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total current liabilities 17,684 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) 695 Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity<				
Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total current liabilities 17,684 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Total Liabilities 0 Commitments and contingencies 0 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity <	G			
Liabilities and equity Current liabilities: 625 Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total current liabilities 17,684 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Total Liabilities 17,684 Comminiments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389 <td>•</td> <td>\$</td> <td></td>	•	\$		
Current liabilities: Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total current liabilities 17,684 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies 17,684 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389				
Current liabilities: Total AP and Accrued Expenses \$ 625 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 3,718 Deferred Revenue 1,356 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total current liabilities 17,684 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies 17,684 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	Liabilities and equity			
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Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total current liabilities 17,684 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	Deferred Revenue			
Current Deferred Tax Liability 0 Other Current Liabilities 11,985 Total current liabilities 17,684 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	Current Portion of Long Term Debt		·	
Other Current Liabilities 11,985 Total current liabilities 17,684 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389				
Total current liabilities 17,684 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389			-	
Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	Total current liabilities	***************************************	***************************************	
Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	Total Long Term Debt		•	
Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies *** EQUITY *** Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	. •		_	
Other liabilities 0 Total Liabilities 17,684 Commitments and contingencies *** EQUITY *** Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389			_	
Commitments and contingencies Image: Common Stock in Treasury Total in Common Stock in Treasury Total in Common Stock Total in Capital i	Other liabilities		-	
EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	Total Liabilities		17,684	
Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	Commitments and contingencies			
Common Stock Total 0 Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	EQUITY			
Additional Paid in Capital 1,694 Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	Common Stock in Treasury Total		0	
Accumulated Other Comprehensive Income/(Loss) (695) Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	Common Stock Total		0	
Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	•		1,694	
Retained earnings 7,390 Total stockholders' equity 8,389 Noncontrolling interests 0 Total Equity 8,389	Accumulated Other Comprehensive Income/(Loss)		(695)	
Total stockholders' equity8,389Noncontrolling interests0Total Equity8,389				
Noncontrolling interests 0 Total Equity 8,389	Total stockholders' equity			
	Noncontrolling interests		•	
	Total Equity		8,389	
	Total liabilities and equity	\$		

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Pty Ltd

(In monands, initiation)	Year Ended December 31, 2013
Revenues	•
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	2,708-
Market Technology Revenues	0
Other Revenues	19,319
Total Revenues	22,026
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	22,026
Operating Expenses	
Compensation and Benefits	15,221
Marketing and Advertising	54
Depreciation and amortization	623
Professional and contract services	1,115
Computer operations and data communications	558
Occupancy	1,932
Regulatory	.0
General, administrative and other	1,305
Merger expenses	263
Total operating expenses	21,070
Operating Income	956
Interest income	142
Interest expense	(106)
Gain (loss) on sale of strategic initiatives	. 0
Dividend and investment income	. 0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	992
Income tax provision	213
Net Income	779
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 779

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology AB

*		Year Ended December 31, 201	
Assets			
Current Assets:			
Cash & Equivalents		\$	10 717
Investments		ð	18,717
Total Receivables - Net			59.193
Current Deferred Tax			58,182
Current Restricted Cash			(574)
Other Current Assets			64.201
Margin Deposits & Default Fund			64,381 0
Total Current Assets		· · · · · · · · · · · · · · · · · · ·	140,705
Total Property and Equipment - Net			48,832
Goodwill			40,032
Other Intangibles			0
Non Current Deferred Taxes			•
Long Term Restricted Cash			.12,360
Other Long Term Assets	•		189,226
Total Assets		\$	391,124
		-	391,124
Liabilities and equity			
Current liabilities:	•		
Total AP and Accrued Expenses		\$	7,995
SEC 31a Payable to the SEC	*	Ф	7,993
Accrued Personnel Costs			14,848
Deferred Revenue			44,492
Current Portion of Long Term Debt			44,492
Current Deferred Tax Liability			7,643
Other Current Liabilities			26,691
Total current liabilities		***************************************	101,669
Total Long Term Debt			0
Non Current Deferred Tax Liability	•		(63)
Non-current deferred revenue			70,497
Other liabilities			308
Total Liabilities			172,411
•			172,711
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total			0
Common Stock Total			ő
Additional Paid in Capital			82,786
Accumulated Other Comprehensive Income/(Loss)			35,316
Retained carnings			100,612
Total stockholders' equity		~	218,714
Noncontrolling interests			ω10,714 Λ
Total Equity		*****	218,714
Total liabilities and equity		\$	391,124
			371,144

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology AB

		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	(12)	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		179,683	
Other Revenues		8,964	
Total Revenues		188,635	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and ex	change		
fees		188,635	
Operating Expenses			
Compensation and Benefits	•	49,182	
Marketing and Advertising		687	
Depreciation and amortization		13,801	
Professional and contract services		46,076	
Computer operations and data communications		16,330	
Occupancy		9,822	
Regulatory		0	
General, administrative and other		20,838	
Merger expenses	***************************************	828	
Total operating expenses		157,564	
Operating Income		31,071	
Interest income		259	
Interest expense		(253)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		148	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating	***************************************	0	
Income before income taxes		31,225	
Income tax provision		8,522	
Net Income		22,703	
Net (income) expense attributable to noncontrolling interests		(0)	
Net income attributable to Company	\$	22,702	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology Canada Inc.

(in invisants, manufed)			ar Ended ber 31, 2013	
Assets				
Current Assets:				
Cash & Equivalents		\$	603	
Investments	•		0	
Total Receivables - Net			0	
Current Deferred Tax			0	
Current Restricted Cash			. 0	
Other Current Assets			798	
Margin Deposits & Default Fund		·	0	
Total Current Assets			1,401	
Total Property and Equipment - Net			58	
Goodwill			. 0	
Other Intangibles	•		0	
Non Current Deferred Taxes	•		0	
Long Term Restricted Cash			0	
Other Long Term Assets			5	
Total Assets		\$	1,464	
		**		
Liabilities and equity				
Current liabilities:				
Total AP and Accrued Expenses		. \$	78	
SEC 31a Payable to the SEC		• •	0	
Accrued Personnel Costs			203	
Deferred Revenue			0	
Current Portion of Long Term Debt			ő	
Current Deferred Tax Liability	•		ő	
Other Current Liabilities			(21)	
Total current liabilities			260	
Total Long Term Debt			0	
Non Current Deferred Tax Liability			0	
Non-current deferred revenue			0	
Other liabilities			0	
Total Liabilities			260	
Total Littorities			200	
Commitments and contingencies				
EQUITY				
Common Stock in Treasury Total			0	
Common Stock Total			· -	
Additional Paid in Capital			702	
•			703	
Accumulated Other Comprehensive Income/(Loss)			(56)	
Retained earnings			557	
Total stockholders' equity			1,204	
Noncontrolling interests			0	
Total Equity			1,204	
Total liabilities and equity		\$	1,464	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology Canada Inc.

(in mousands, mandaced)	Year Ende	:d
	December 31,	2013
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		1,703
Total Revenues		1,703
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		00
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		1,703
Oranating Expanses		
Operating Expenses		1 271
Compensation and Benefits		1,271 0
Marketing and Advertising		-
Depreciation and amortization		36
Professional and contract services		43
Computer operations and data communications		122
Occupancy		183
Regulatory		0
General, administrative and other		52
Merger expenses		0_
Total operating expenses		1,708
Operating Income		(4)
Interest income		8
Interest expense		0
Gain (loss) on sale of strategic initiatives	1	0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		Ò
FX Operating		0
Income before income taxes		4
Income tax provision		5
Net Income		(1)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	(1)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology Energy Systems AS

Assets Current Assets: Cash & Equivalents \$ 447 Investments 0 Total Receivables - Net 284 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 15,177 Margin Deposits & Default Fund 0 Total Current Assets 15,908 Total Property and Equipment - Net 99 Goodwill 0 Other Intangibles 357 Non Current Deferred Taxes (2,345) Long Term Restricted Cash 0 Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity Verrent liabilities Current liabilities 2 Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 2 Deferred Revenue 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total Long Term Debt 0 Non-current deferred Ta	(in mousains, manufeu)		Year Ended December 31, 2013	
Current Assets: \$ 447 Investments 0 Total Receivables - Net 284 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 15,177 Margin Deposits & Default Fund 0 Total Current Assets 15,908 Total Property and Equipment - Net 99 Goodwill 0 Other Intangibles 357 Non Current Deferred Taxes (2,345) Long Term Restricted Cash 0 Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity 2 Current Isabilities: \$ 381 Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnet Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Undeferred Tax Liability 1,225 Total Long Term Debt 0 Non Current Deferred Tax Liability 25 Non Current D	Assets			
Cash & Equivalents \$ 447 Investments 0 Total Receivables - Net 284 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 15,177 Margin Deposits & Default Fund 0 Total Current Assets 15,908 Total Property and Equipment - Net 99 Goodwill 0 Other Intangibles 357 Non Current Deferred Taxes (2,345) Long Term Restricted Cash 0 Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity 2 Current liabilities \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Tern Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 0 Total Long Term Debt 0 Non-Current Deferred Tax Liability 256 Non-Current deferred				
Investments		\$	447	
Total Receivables - Net 284 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 15,177 Margin Deposits & Default Fund 0 Total Current Assets 15,908 Total Property and Equipment - Net 99 Goodwill 0 Other Intangibles 357 Non Current Deferred Taxes (2,345) Long Term Restricted Cash 0 Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity 0 Current liabilities 2 Current liabilities 2 Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Deferred Tax Liability 1,225 Other Current Liabilities 3 Total Long Term Debt 0 Onon-current Deferred Tax Liability 2 Non-current Deferred Tax Liability 2 Commo	•	-	0	
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Current Restricted Cash 0 Other Current Assets 15,177 Margin Deposits & Default Fund 0 Total Current Assets 15,908 Total Property and Equipment - Net 99 Goodwill 0 Other Intangibles 357 Non Current Deferred Taxes (2,345) Long Term Restricted Cash 0 Other Long Term Assets 765 Total Assets 14,784 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 33 Total current liabilities 1,235 Total Current Deferred Tax Liability 26 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 2 EQUITY			0	
Other Current Assets 15,177 Margin Deposits & Default Fund 0 Total Current Assets 15,908 Total Property and Equipment - Net 99 Goodwill 0 Other Intangibles 357 Non Current Deferred Taxes (2,345) Long Term Restricted Cash 0 Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity Current liabilities Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total Long Term Debt 0 Non-current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Commitments and contingencies 2 EQUITY 0 Commitments a			0	
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Total Current Assets 15,908 Total Property and Equipment - Net 99 Goodwill 0 Other Intangibles 357 Non Current Deferred Taxes (2,345) Long Term Restricted Cash 0 Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity Current liabilities Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non- Current Deferred Tax Liability 2 Cottal Liabilities 0 Cottal Liabilities 0 </td <td></td> <td></td> <td>•</td>			•	
Total Property and Equipment - Net 99 Goodwill 0 Other Intangibles 357 Non Current Deferred Taxes (2,345) Long Term Restricted Cash 0 Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity Current liabilities Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnet Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 1,235 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non- Current Def	•	<u></u>	15,908	
Goodwill 0 Other Intangibles 357 Non Current Deferred Taxes (2,345) Long Term Restrioted Cash 765 Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 1,922 Total Long Term Debt 0 Non-current Deferred Tax Liability 256 Non-current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 2,178 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital <td></td> <td></td> <td></td>				
Other Intangibles 357 Non Current Deferred Taxes (2,345) Long Term Restricted Cash 0 Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred Tax Liability 256 Non-current deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 2,178 EQUITY 0 Common Stock in Treasury Total 0 Common Stock in Treasury Total 0	• • • • • • • • • • • • • • • • • • • •		0	
Non Current Deferred Taxes (2,345) Long Term Restricted Cash 0 Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total current liabilities 1,922 Total Long Term Debt 0 Non-current Deferred Tax Liability 256 Non-current deferred Tevenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 2,178 EQUITY 0 Common Stock in Treasury Total 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumula			357	
Other Long Term Assets 765 Total Assets \$ 14,784 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnet Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) 2,396 Retained earnings 9,380 Total Equity 12,606 Noncontrolling interests 0 <t< td=""><td>• •</td><td></td><td>(2,345)</td></t<>	• •		(2,345)	
Cotal Assets \$ 14,784 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total Long Term Debt 0 Non-current Deferred Tax Liability 256 Non-current deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) 2,396 Retained earnings 9,380 Total Stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Long Term Restricted Cash	•	0	
Liabilities and equity Current liabilities: 381 Total AP and Accrued Expenses \$ 381 SEC 3 la Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Other Long Term Assets		765	
Current liabilities: 381 Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total current liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 2,178 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Total Assets	\$	14,784	
Current liabilities: 381 Total AP and Accrued Expenses \$ 381 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total current liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606				
Total AP and Accrued Expenses \$ 381 SEC 3 la Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total current liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Liabilities and equity		•	
SEC 3 la Payable to the SEC 0 Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total current liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Current liabilities:			
Accrued Personnel Costs 273 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total current liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies 2,178 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Total AP and Accrued Expenses	\$	381	
Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total current liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	SEC 31a Payable to the SEC		0	
Current Portion of Long Term Debt 0 Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total current liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Accrued Personnel Costs		273	
Current Deferred Tax Liability 1,235 Other Current Liabilities 33 Total current liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Deferred Revenue		0	
Other Current Liabilities 33 Total current liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Current Portion of Long Term Debt		0	
Total current liabilities 1,922 Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Current Deferred Tax Liability		1,235	
Total Long Term Debt 0 Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Other Current Liabilities		33	
Non Current Deferred Tax Liability 256 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Total current liabilities		1,922	
Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies 2,178 EQUITY Very Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Total Long Term Debt		0	
Other liabilities 0 Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Non Current Deferred Tax Liability		256	
Total Liabilities 2,178 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Non-current deferred revenue		0	
Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Other liabilities	·	0	
EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Total Liabilities		2,178	
Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Commitments and contingencies			
Common Stock Total 0 Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	EQUITY	•		
Additional Paid in Capital 5,622 Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Common Stock in Treasury Total		0	
Accumulated Other Comprehensive Income/(Loss) (2,396) Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606	Common Stock Total		•	
Retained earnings 9,380 Total stockholders' equity 12,606 Noncontrolling interests 0 Total Equity 12,606			5,622	
Total stockholders' equity12,606Noncontrolling interests0Total Equity12,606	Accumulated Other Comprehensive Income/(Loss)		(2,396)	
Noncontrolling interests 0 Total Equity 12,606			9,380	
Total Equity 12,606	Total stockholders' equity		12,606	
	Noncontrolling interests		0	
Total liabilities and equity \$ 14,784	Total Equity		12,606	
	Total liabilities and equity	\$	14,784	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology Energy Systems AS

(in moderate)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 34
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	1,898
Total Revenues	1,932
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	<u> </u>
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	1,932
Operating Expenses	
Compensation and Benefits	(284)
Marketing and Advertising	9
Depreciation and amortization	126
Professional and contract services	457
Computer operations and data communications	51
Occupancy	142
Regulatory	. 0
General, administrative and other	67
Merger expenses	. 0
Total operating expenses	566
Operating Income	1,366
Interest income	233
Interest expense	(0)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	(9)
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0_
Income before income taxes	1,590
Income tax provision	442
Net Income	1,148
Net (income) expense attributable to noncontrolling interests	. 0
Net income attributable to Company	\$ 1,148

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology (Ireland) Ltd.

(Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	169	
Investments		0	
Total Receivables - Net		21	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		769	
Margin Deposits & Default Fund		0	
Total Current Assets		960	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	960	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	50	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities	•	523	
Total current liabilities		573	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		. 0	
Other liabilities		0_	
Total Liabilities		573	
Commitments and contingencies			
EQUITY		_	
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		1,257	
Accumulated Other Comprehensive Income/(Loss)		(576)	
Retained earnings		(295)	
Total stockholders' equity		386	
Noncontrolling interests		0	
Total Equity		386	
Total liabilities and equity	\$	960	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology (Ireland) Ltd.

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	. 0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	. 0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and	exchange
fees	0
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	0
Computer operations and data communications	. 0
Occupancy	. 0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	. 0
Operating Income	0
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	0
Income tax provision	0
Net Income	0
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology Italy Srl

		Year Ended	
		Decemb	er 31, 2013
	•		
Assets			
Current Assets:			
Cash & Equivalents		\$	450
Investments			0
Total Receivables - Net			76
Current Deferred Tax			0
Current Restricted Cash			0
Other Current Assets			1,027
Margin Deposits & Default Fund			0
Total Current Assets			1,553
Total Property and Equipment - Net			18
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			0
Total Assets		\$	1,571
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	20
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			495
Deferred Revenue			0
Current Portion of Long Term Debt			. 0
Current Deferred Tax Liability			0
Other Current Liabilities			142
Total current liabilities			657
Total Long Term Debt			0
Non Current Deferred Tax Liability			0
Non-current deferred revenue			0
Other liabilities			0
Total Liabilities			657
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total			0
Common Stock Total			1
Additional Paid in Capital			149
Accumulated Other Comprehensive Income/(Loss)			(58)
Retained earnings			822
Total stockholders' equity			914
Noncontrolling interests			0
Total Equity		~ _	914
Total liabilities and equity		\$	1,571

Unconsolidated Statement of Income - OMX Technology Italy Sri

		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		1,282	
Other Revenues		0	
Total Revenues		1,282	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exchain	ıge		
fees		1,282	
Operating Expenses			
Compensation and Benefits		852	
Marketing and Advertising		29	
Depreciation and amortization		8	
Professional and contract services		50	
Computer operations and data communications		11	
Occupancy		61	
Regulatory		0	
General, administrative and other		128	
Merger expenses		00	
Total operating expenses		1,139	
Operating Income		143	
Interest income		11	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		. 0	
FX Operating		0	
Income before income taxes		154	
Income tax provision		73	
Net Income		. 81	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	81	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology Japan Ltd

•	Year Ended	
	Decemb	per 31, 2013
Assets		
Current Assets:	_	
Cash & Equivalents	\$	379
Investments		. 0
Total Receivables - Net		32
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		651
Margin Deposits & Default Fund		0
Total Current Assets		1,063
Total Property and Equipment - Net		3
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0_
Total Assets	\$	1,066
•	-	
Liabilities and equity		
Current liabilities:	•	
Total AP and Accrued Expenses	· \$	37
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		295
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		(23)
Total current liabilities		309
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		309
Court Charles		
Commitments and contingencies		
EOUITY		
Common Stock in Treasury Total	•	0
Common Stock Total		. 0
Additional Paid in Capital		841
Accumulated Other Comprehensive Income/(Loss)		(149)
Retained carnings		65
Total stockholders' equity		757
,		0
Noncontrolling interests		757
Total Equity	\$	
Total liabilities and equity	.D	1,066

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology Japan Ltd

Total Revenues 2, Cost of revenues Transaction rebates	0 0 0 0 0 0 0 0 12 0 12
Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates	0 0 0 0 0 012 012
Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates	0 0 0 0 0 012 012
Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates	0 0 0 012 012
Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates	0 0 012 012
Market Technology Revenues Other Revenues 2, Total Revenues 2, Cost of revenues Transaction rebates	0 012 012 0
Other Revenues 2, Total Revenues 2, Cost of revenues Transaction rebates	012 012 0 0
Total Revenues 2, Cost of revenues Transaction rebates	0 0
Cost of revenues Transaction rebates	0
Transaction rebates	0
	0
was a constant and a	
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	
Revenues less transaction rebates, brokerage, clearance and exchange	
A	210
Organiting European	
Operating Expenses	
•	591
Marketing and Advertising	54
Depreciation and amortization	2
Professional and contract services	42
Computer operations and data communications	2
•	285
Regulatory	0
	111
Merger expenses	0
Total operating expenses 2,0	086
	(74)
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
	(74)
Income tax provision	<u>t</u>
Net Income	(75)
Net (income) expense attributable to noncontrolling interests	o
	75)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology Ltd.

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	86	
Investments		0	
Total Receivables - Net		2	
Current Deferred Tax		. 0	
Current Restricted Cash		0	
Other Current Assets		4,616	
Margin Deposits & Default Fund		0	
Total Current Assets		4,705	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes	•	0	
Long Term Restricted Cash		. 0	
Other Long Term Assets	**************************************	0	
Total Assets	<u>.s</u>	4,705	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	86	
SEC 31a Payable to the SEC		. 0	
Accrued Personnel Costs		8	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		(1)	
Total current liabilities		92	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		. 0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		92	
Commitments and contingencies			
EQUITY		۸	
Common Stock in Treasury Total		0	
Common Stock Total		(26.407)	
Additional Paid in Capital		(26,407)	
Accumulated Other Comprehensive Income/(Loss)		12,939	
Retained earnings		18,081	
Total stockholders' equity		4,612	
Noncontrolling interests		0	
Total Equity		4,612	
Total liabilities and equity	\$	4,705	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology Ltd.

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	0	
Total Revenues	0	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchange		
fees	0_	
Operating Expenses		
Compensation and Benefits	0	
Marketing and Advertising	0	
Depreciation and amortization	0	
Professional and contract services	0.	
Computer operations and data communications	0	
Occupancy	0	
Regulatory	0	
General, administrative and other	0	
Merger expenses	0	
Total operating expenses	0	
Operating Income	0	
Interest income	13	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	0	
Income before income taxes	13	
Income tax provision	0	
Net Income	13	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	S 13	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology (UK) Ltd.

Assets Current Assets: Cash & Equivalents Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash	0 0 0 0 0 0 0
Current Assets: Cash & Equivalents Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	0 0 0 0 0 0
Cash & Equivalents Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	0 0 0 0 0 0
Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	0 0 0 0 0 0
Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	0 0 0 0 0
Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	0 0 0 0 0
Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	0 0 0 0 0
Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	0 0 0 0 0
Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	0 0 0 0
Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	0 0
Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes	0
Goodwill Other Intangibles Non Current Deferred Taxes	0
Other Intangibles Non Current Deferred Taxes	-
Non Current Deferred Taxes	0
Lang Town Daggeroted Cosh	0
Long Term Restricted Cash	0
Other Long Term Assets	(35,486)
Total Assets \$	(35,486)
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses \$	2
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	0
Deferred Revenue	0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	0
Other Current Liabilities	692
Total current liabilities	694
Total Long Term Debt	0
Non Current Deferred Tax Liability	0
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	694
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	0
Additional Paid in Capital	(29,000)
Accumulated Other Comprehensive Income/(Loss)	(24,090)
Retained earnings	16,910
Total stockholders' equity	(36,180)
Noncontrolling interests	0
Total Equity	(36,180)
Total liabilities and equity \$	(35,486)

Unconsolidated Statement of Income - OMX Technology (UK) Ltd.

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions	•	0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exch	ange	
fces		
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		. 0
Professional and contract services		0
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		0
Merger expenses		0
Total operating expenses		0
Operating Income		0
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes	•	0
Income tax provision		0
Net Income		0
Net (income) expense attributable to noncontrolling interests	***************************************	0
Net income attributable to Company	\$. 0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Treasury AB (in thousands, unaudited)

•	1	ear Ended	
	Dece	December 31, 2013	
Assets			
Current Assets:		202 450	
Cash & Equivalents	\$	202,458	
Investments		20,619	
Total Receivables - Net		6,109	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		184,193	
Margin Deposits & Default Fund		0	
Total Current Assets		413,380	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		(13)	
Long Term Restricted Cash		0	
Other Long Term Assets		926,249	
Total Assets	\$	1,339,616	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	9,463	
SEC 31a Payable to the SEC	•	0	
Accrued Personnel Costs		376	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability	•	32	
Other Current Liabilities		267,639	
Total current liabilities		277,511	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		o	
Non-current deferred revenue		ő	
Other liabilities		58	
Total Liabilities	***************************************	277,569	
Total Elaborates		277,509	
Commitments and contingencies		•	
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		968,173	
Accumulated Other Comprehensive Income/(Loss)		18,845	
Retained earnings		75,029	
Total stockholders' equity		1,062,047	
Noncontrolling interests		0	
Total Equity	***	1,062,047	
Total liabilities and equity	\$	1,339,616	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Treasury AB

	Year Ended
	December 31, 2013
Revenues	•
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	Ô
Market Technology Revenues	0
Other Revenues	372
Total Revenues	372
Total Revenues	5,2
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	270
fees	372
Onagating Evnances	
Operating Expenses Compensation and Benefits	1,403
Marketing and Advertising	4
Depreciation and amortization	0
Professional and contract services	465
Computer operations and data communications	261
Occupancy	100
Regulatory	0
General, administrative and other	537
Merger expenses	495
Total operating expenses	3,265
Operating Income	(2,893)
Interest income	12,976
Interest expense	(7,627)
Gain (loss) on sale of strategic initiatives	8,323
Dividend and investment income	(196)
Income from unconsolidated investees, net	. 0
Impairment Loss	0
FX Operating	9
Income before income taxes	10,592
Income tax provision	1,928
•	
Net Income	8,664
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 8,664

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Treasury Euro AB

		Year Ended December 31, 2013	

Assets			
Current Assets:		400	
Cash & Equivalents	· \$	(2)	
Investments		0	
Total Receivables - Net		0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets	4	682,041	
Margin Deposits & Default Fund	·····	0	
Total Current Assets		682,038	
Total Property and Equipment - Net		0 -	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		5,253	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	<u>\$</u>	687,291	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	29,849	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		29	
Total current liabilities		29,878	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		29,878	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		. 0	
Common Stock Total		0	
Additional Paid in Capital		700,090	
Accumulated Other Comprehensive Income/(Loss)		(76,694)	
Retained earnings		34,017	
Total stockholders' equity		657,412	
Noncontrolling interests		0	
Total Equity		657,412	
Total liabilities and equity	\$	687,291	

Net income attributable to Company

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Treasury Euro AB (in thousands, unaudited)

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	. 0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	, 0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchang	ge
fees	0
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	3
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	(544)
Merger expenses	0
Total operating expenses	(541)
Operating Income	541
Interest income	17,465
Interest expense	(4)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	18,002
Income tax provision .	3,821
Net Income	14,180
Net (income) expense attributable to noncontrolling interests	0
Not income attributable to Commence	£ 14.100

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Treasury Euro Holding AB

	Ye	Year Ended	
	Decem	ber 31, 2013	
Assets			
Current Assets:	•	(521)	
Cash & Equivalents	\$	(531)	
Investments		0 132	
Total Receivables - Net			
Current Deferred Tax		0	
Current Restricted Cash	•	1	
Other Current Assets		0	
Margin Deposits & Default Fund		(398)	
Total Current Assets		(398)	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		. 2	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		700,073	
Other Long Term Assets Total Assets	\$	699,677	
total Assets	J	099,077	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	3	
SEC 31a Payable to the SEC	J	. 0	
Accrued Personnel Costs		0	
Deferred Revenue		. 0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		Ŏ	
Other Current Liabilities		(1)	
Total current liabilities		2	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		ō	
Non-current deferred revenue		ŏ	
Other liabilities		0	
Total Liabilities	,	2	
Commitments and contingencies		7	
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		699,634	
Accumulated Other Comprehensive Income/(Loss)		14	
Retained earnings		27	
Total stockholders' equity		699,675	
Noncontrolling interests		0	
Total Equity		699,675	
Total liabilities and equity	\$	699,677	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Treasury Euro Holding AB

(in mousines, unadaece)		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services	•	0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues	•	0	
Total Revenues		0	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exchang	e		
fees		0	
Operating Expenses			
Compensation and Benefits		0	
Marketing and Advertising		0	
Depreciation and amortization		0	
Professional and contract services		3	
Computer operations and data communications		0	
Occupancy		0	
Regulatory	*	0	
General, administrative and other		0	
Merger expenses	***************************************	0	
Total operating expenses	•	3	
Operating Income		(3)	
Interest income		0	
Interest expense		(7)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		. 0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		(11)	
Income tax provision		(2)	
Net Income		(8)	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	(8)	

Unconsolidated Balance Sheet - Quietus Advice K & W nr 505 AB

			Year Ended December 31, 2013	
Assets				
Current Assets:	_			
Cash & Equivalents		\$	0	
Investments			0	
Total Receivables - Net			0	
Current Deferred Tax			0	
Current Restricted Cash			0	
Other Current Assets			0	
Margin Deposits & Default Fund		-	0	
Total Current Assets			0	
Total Property and Equipment - Net			0	
Goodwill			0	
Other Intangibles			0	
Non Current Deferred Taxes			0	
Long Term Restricted Cash			0	
Other Long Term Assets		***************************************	0	
Total Assets		\$	0	
Liabilities and equity				
Current liabilities:				
Total AP and Accrued Expenses		\$	0	
SEC 31a Payable to the SEC			Ô	
Accrued Personnel Costs			ő	
Deferred Revenue			0	
Current Portion of Long Term Debt			0	
Current Deferred Tax Liability			. 0	
Other Current Liabilities		;	0	
Total current liabilities			0	
Total Long Term Debt			. 0	
Non Current Deferred Tax Liability			0	
Non-current deferred revenue			Ô	
Other liabilities			0	
Total Liabilities			0	
Commitments and contingencies				
EQUITY	•			
Common Stock in Treasury Total			0	
Common Stock Total			(15)	
Additional Paid in Capital			14	
Accumulated Other Comprehensive Income/(Loss)			4	
Retained earnings		•	(3)	
Total stockholders' equity		<u>~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~</u>	0	
Noncontrolling interests			0	
Total Equity		****	0	
Total liabilities and equity		\$	0	
• • •		8 THE RESERVE THE		

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Quietus Advice K & W nr 505 AB

(III III III III III III III III III II	Year Ended December 31, 2013	
	December 51, 201.	<u>, </u>
Revenues		
Market Services Revenues	\$	0
Global Listing Services	•	0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues	· .	0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		0_
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		0
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		0
Merger expenses		0
Total operating expenses		0
Operating Income		0
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		0
Income tax provision		0_
Net Income		0
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	0

Unconsolidated Balance Sheet - Shareholder.com B.V.

(in thousands, unaudited)	Maria Parilad	
	Year Ended December 31, 2013	
	Communication of the Communica	
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments	•	ō
Total Receivables - Net		5,411
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		ō
Margin Deposits & Default Fund		Ŏ
Total Current Assets		5,411
Total Property and Equipment - Net		0,
Goodwill		Ö
Other Intangibles		Ô
Non Current Deferred Taxes		0
		0
Long Term Restricted Cash	•	0
Other Long Term Assets Total Assets	\$	5,411
i Otal Assets	<u> </u>	3,411
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	3,751
SEC 31a Payable to the SEC		0,,,,,
Accrued Personnel Costs		45
Deferred Revenue		22
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		(2)
		3,816
Total current liabilities		0,010
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities	······································	3,816
Total Liabilities		3,010
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		ő
Accumulated Other Comprehensive Income/(Loss)		38
Retained earnings		1,557
		1,596
Total stockholders' equity		0
Noncontrolling interests		1,596
Total Equity	\$	5,411
Total liabilities and equity	J	3,411

Unconsolidated Statement of Income - Shareholder.com B.V.

(in thousands, inhaddrod)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	635
Market Technology Revenues	0
Other Revenues	159
Total Revenues	795
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and ex	rchange
fees	795
Operating Expenses	
Compensation and Benefits	190
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	294
Computer operations and data communications	. 0
Occupancy	12
Regulatory	. 0
General, administrative and other	(3)
Merger expenses	0
Total operating expenses	493
Operating Income	301
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	301
Income tax provision	6
Net Income	295
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 295

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - SMARTS (Asia) Ltd

	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$ 848	
Investments	3 646 0	
Total Receivables - Net	2,170	
Current Deferred Tax	2,170	
Current Restricted Cash	. 0	
Other Current Assets	(12)	
Margin Deposits & Default Fund	0	
Total Current Assets	3,006	
Total Property and Equipment - Net	. 0	
Goodwill	. 0	
Other Intangibles	. 0	
Non Current Deferred Taxes	204	
Long Term Restricted Cash	0	
Other Long Term Assets	0	
Total Assets	\$ 3,210	
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$ 0	
SEC 31a Payable to the SEC	0	
Accrued Personnel Costs	0	
Deferred Revenue	396	
Current Portion of Long Term Debt	0	
Current Deferred Tax Liability	0	
Other Current Liabilities	1,063	
Total current liabilities	1,459	
Total Long Term Debt	0	
Non Current Deferred Tax Liability	0	
Non-current deferred revenue	0	
Other liabilities	0	
Total Liabilities	1,459	
Commitments and contingencies		
EQUITY Common Start in Transport Texts	,	
Common Stock in Treasury Total	0	
Common Stock Total	, , 0	
Additional Paid in Capital	314	
Accumulated Other Comprehensive Income/(Loss)	(121)	
Retained earnings	1,558	
Total stockholders' equity	1,751	
Noncontrolling interests Total Familia	0	
Total Equity Total liabilities and equity	1,751	
Total naominos and equity	\$ 3,210	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - SMARTS (Asia) Ltd

(III thousands, simulation)	Year Ended December 31, 2013
Revenues .	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	4,335
Other Revenues	0
Total Revenues	4,335
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	4,335
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	3,830
Computer operations and data communications	0
Occupancy	0
Regulatory	. 0
General, administrative and other	(353)
Merger expenses	0
Total operating expenses	3,477
Operating Income	858
Interest income	2
Interest expense	(40)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	820
Income tax provision	138
Net Income	682
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 682

Unconsolidated Balance Sheet - SMARTS Broker Compliance Pty Ltd

		ar Ended iber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	3,610
Investments Total Passingths - Not		0
Total Receivables - Net		6,739
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		12,004
Margin Deposits & Default Fund		0
Total Current Assets		22,353
Total Property and Equipment - Net		3,378
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		23
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	25,754
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	2,236
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		1,198
Deferred Revenue		606
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		3,844
Total current liabilities		7,883
Total Long Term Debt		0
Non Current Deferred Tax Liability		174
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		8,057
Commitments and contingencies		•
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		=
Accumulated Other Comprehensive Income/(Loss)		2,487
Retained earnings		(1,864)
Total stockholders' equity		17,073
Noncontrolling interests		17,697
Total Equity	***************************************	0
Total liabilities and equity	\$	17,697
- om normalism ma office,	9	25,754

Unconsolidated Statement of Income - SMARTS Broker Compliance Pty Ltd

	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	. 0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	28,288
Other Revenues	52
Total Revenues	28,340
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and excha	nge
fees	28,340
Operating Expenses	
Compensation and Benefits	5,768
Marketing and Advertising	3
Depreciation and amortization	693
Professional and contract services	1,785
Computer operations and data communications	5,577
Occupancy	419
Regulatory	0
General, administrative and other	(530)
Merger expenses	16_
Total operating expenses	13,731
Operating Income	14,609
Interest income	121
Interest expense	(104)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	280
Income from unconsolidated investees, net	. 0
Impairment Loss	0
FX Operating	0
Income before income taxes	14,906
Income tax provision	4,278
Net Income	10,628
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 10,628

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - SMARTS Group Europe Ltd (in thousands, unaudited)

	**	Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	2	
Investments		0	
Total Receivables - Net		0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets		2	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	2	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		. 0	
Current Deferred Tax Liability		0	
Other Current Liabilities		24	
Total current liabilities		24	
Total Long Term Debt	•	0	
Non Current Deferred Tax Liability		ō	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		24	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		.0	
Common Stock Total		0	
Additional Paid in Capital		300	
Accumulated Other Comprehensive Income/(Loss)		3	
Retained earnings		(324)	
Total stockholders' equity		(21)	
Noncontrolling interests		0	
Total Equity	***************************************	(21)	
• •	\$	<u>-</u>	
Total liabilities and equity	Ф	2	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - SMARTS Group Europe Ltd

		er Ended per 31, 2013
Revenues		
Market Services Revenues	\$	0
Global Listing Services	•	0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		152
Total Revenues		152
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and excha	ange	
fees		152
Operating Expenses		
Compensation and Benefits		120
Marketing and Advertising		1
Depreciation and amortization		32
Professional and contract services		53
Computer operations and data communications	,	0
Occupancy		0
Regulatory		0
General, administrative and other		(77)
Merger expenses		00
Total operating expenses		129
Operating Income		23
Interest income		2
Interest expense		(0)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		. 0
Income from unconsolidated investees, net		0
Impairment Loss		.0
FX Operating	Minimum	0
Income before income taxes		24
Income tax provision		0
Net Income		24
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	24

Unconsolidated Balance Sheet - SMARTS Group Holdings Pty Ltd

Current Assets: Care & Equivalents \$ 0 0 0 0 0 0 0 0 0	(4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-4-	Year Ended December 31, 2013	
Cash & Equivalents 9 Investments 0 Total Receivables - Net 1 Current Deferred Tax 2 Current Restricted Cash 0 Other Current Assets 0 Other Current Assets 0 Total Current Assets 3 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Assets 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity 0 Current liabilities: \$ 9,817 Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Other liabilities 0	Assets		
Cash & Equivalents 9 Investments 0 Total Receivables - Net 1 Current Deferred Tax 2 Current Restricted Cash 0 Other Current Assets 0 Other Current Assets 0 Total Current Assets 3 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Assets 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity 0 Current liabilities: \$ 9,817 Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Other liabilities 0	Current Assets:		
Investments		\$.	0
Current Deferred Tax 2 Current Restricted Cash 0 Other Current Assets 0 Margin Deposits & Default Fund 0 Total Current Assets 3 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity Verrent liabilities Current liabilities 0 Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities (347) Total current Debt 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tevenue 0	•		0
Current Restricted Cash 0 Other Current Assets 0 Margin Deposits & Default Fund 3 Total Current Assets 3 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity 0 Current liabilities: \$ 9,817 Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Commitments and contingencies 0	Total Receivables - Net		i
Other Current Assets 0 Margin Deposits & Default Fund 0 Total Current Assets 3 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity Verrent liabilities: Current liabilities \$ 9,817 Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Commitments and contingencies 0 EQUITY 0 Commitment	Current Deferred Tax		2
Margin Deposits & Default Fund 0 Total Current Assets 3 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity Current liabilities Total AP and Accrued Expenses \$ 257 SEC 3 la Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Commitments and contingencies 0 EQUITY 0 Common Stock Total <t< td=""><td>Current Restricted Cash</td><td></td><td>0</td></t<>	Current Restricted Cash		0
Total Current Assets 3 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity Current liabilities Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Commitments and contingencies (91) EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total	Other Current Assets		0
Total Current Assets 3 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 9,814 Total Assets \$ 9,814 Total Assets \$ 9,817 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non- Current Deferred Tax Liability 0 Commitments and contingencies 0	Margin Deposits & Default Fund		0
Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity *** Current liabilities: *** Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (347) Total current liabilities (91) Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Cother liabilities 0 Total Liabilities 0 Commitments and contingencies EXECUTIV Common Stock in Treasury Total 0 Common Stock Total 0 <t< td=""><td>Total Current Assets</td><td>·</td><td>3</td></t<>	Total Current Assets	·	3
Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total current liabilities (91) Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies Cept EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive I	Total Property and Equipment - Net		0
Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total current liabilities (91) Total Long Term Debt 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182)	Goodwill		0
Long Term Restricted Cash 0 Other Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies CUTY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total Equity 9,908	Other Intangibles		0
Cother Long Term Assets 9,814 Total Assets \$ 9,817 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total Stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908 <	Non Current Deferred Taxes		0
Total Assets \$ 9,817 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908 <td>Long Term Restricted Cash</td> <td>٠</td> <td>0</td>	Long Term Restricted Cash	٠	0
Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (347) Total current liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Total Liabilities (91) Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Other Long Term Assets		
Current liabilities: \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (347) Total current liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Total Assets	\$	9,817
Total AP and Accrued Expenses \$ 257 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (347) Total current liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (91) Commitments and contingencies (91) EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Liabilities and equity		
SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (347) Total current liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	• •		
SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (347) Total current liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies (91) EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Total AP and Accrued Expenses	\$	257
Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (347) Total current liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities 0 Commitments and contingencies (91) EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908			0
Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities (347) Total current liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (91) Commitments and contingencies (91) EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908			0
Current Deferred Tax Liability 0 Other Current Liabilities (347) Total current liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (91) Commitments and contingencies (91) EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Deferred Revenue		0
Other Current Liabilities (347) Total current liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (91) Commitments and contingencies (91) EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Current Portion of Long Term Debt		0
Total current liabilities (91) Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (91) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Current Deferred Tax Liability	,	0 .
Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (91) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Other Current Liabilities		(347)
Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (91) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Total current liabilities		(91)
Non-current deferred revenue 0 Other liabilities 0 Total Liabilities (91) Commitments and contingencies	Total Long Term Debt		0 -
Other liabilities 0 Total Liabilities (91) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Non Current Deferred Tax Liability		0
Total Liabilities (91) Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Non-current deferred revenue		0
Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Other liabilities		0
EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Total Liabilities		(91)
EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Commitments and contingencies		
Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	· · · · · · · · · · · · · · · · · · ·		
Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Common Stock in Treasury Total		. 0
Additional Paid in Capital 10,316 Accumulated Other Comprehensive Income/(Loss) (225) Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908	Common Stock Total		0
Retained earnings (182) Total stockholders' equity 9,908 Noncontrolling interests 0 Total Equity 9,908			10,316
Total stockholders' equity9,908Noncontrolling interests0Total Equity9,908	Accumulated Other Comprehensive Income/(Loss)		(225)
Noncontrolling interests 0 Total Equity 9,908			(182)
Total Equity 9,908	Total stockholders' equity	<u> </u>	9,908
• •	Noncontrolling interests		0
Total liabilities and equity \$ 9.817	Total Equity		9,908
	Total liabilities and equity	\$	9,817

Unconsolidated Statement of Income - SMARTS Group Holdings Pty Ltd

Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Compensation and Exchange Fees Total Cost of Revenues Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest expense	n thousands, unaudited)	V	
Revenues Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Compensation and Exchange Fees Total Cost of Revenues Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Coperating Income Interest expense			
Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Coperating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Coperating Income Interest expense Interest expense		December 31, 2	2013
Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Compensation and Exchange Fees Total Cost of Revenues Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest expense	evenues		
Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Compensation rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest expense	larket Services Revenues	\$	0
Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Coperating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest expense	lobal Listing Services	• * *	0
Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 7 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 0 Merger expenses 0 Total operating expenses 7 Operating Income 0 Interest expense 0	•		0
Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 7 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 0 Merger expenses 0 Total operating expenses 7 Operating Income 0 Interest expense 0	orporate Solutions		0
Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Compuse Iess transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest expense	7		0
Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest expense	ther Revenues	,	0
Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense	Total Revenues		0
Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense	ost of revenues		
Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense	ransaction rebates		0
Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense	rokerage, Clearance and Exchange Fees		0
fees O Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 7 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 0 Merger expenses 0 Total operating expenses 7 Operating Income 0 Interest income 0 Interest expense 0	Total Cost of Revenues		0
Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense	evenues less transaction rebates, brokerage, clearance and e	xchauge	
Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 7 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 0 Merger expenses 0 Total operating expenses 7 Operating Income 0 Interest income 0 Interest expense 0	ecs	-	0
Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 7 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 0 Merger expenses 0 Total operating expenses 7 Operating Income 0 Interest income 0 Interest expense 0	perating Expenses		
Depreciation and amortization 6 Professional and contract services 7 Computer operations and data communications 6 Occupancy 6 Regulatory 6 General, administrative and other 6 Merger expenses 7 Total operating expenses 7 Operating Income 7 Interest income 7 Interest expense 6 Interest expense 7 Interest expense 7 Interest expense 7 Interest expense 7 Interest expense 8 Interest expense 9 In	ompensation and Benefits		0
Professional and contract services 7 Computer operations and data communications 6 Occupancy 6 Regulatory 6 General, administrative and other 6 Merger expenses 7 Total operating expenses 7 Operating Income 7 Interest income 8 Interest expense 6 Interest expense 7	larketing and Advertising		0
Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense	•		0
OccupancyCRegulatoryCGeneral, administrative and otherCMerger expensesCTotal operating expenses7Operating IncomeCInterest incomeCInterest expenseC	rofessional and contract services		7
Regulatory C General, administrative and other C Merger expenses C Total operating expenses T Operating Income C Interest income C Interest expense C Total operating expenses C Total	omputer operations and data communications		0
General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense Output Out	ccupancy		0
Merger expensesCTotal operating expenses7Operating Income(7Interest income0Interest expense0	-		0
Total operating expenses 7 Operating Income (7 Interest income 0 Interest expense 0	•		0
Operating Income (7 Interest income Interest expense (7 Interest e	•		0
Interest income Contract the contract that the contract the contract that the contra	· · · · · · · · · · · · · · · · · · ·	***************************************	
Interest expense	•		(7)
Attended to the second of the			0
Gain (loss) on sale of strategic initiatives	•		0
	· , ,		0
TOTAL CITE ALL STATE AND CALL			0
· · · · · · · · · · · · · · · · · · ·			0
	•		0
			0
·			(7)
Income tax provision0	come tax provision	·	
•			(7)
	· · ·		0
Net income attributable to Company \$ (7)	et income attributable to Company	\$	(7)

Unconsolidated Balance Sheet - SMARTS Market Surveillance Pty Ltd

	Year Ended	
	December 31, 2013	
Assets		
Current Assets:	,	
Cash & Equivalents	\$	2,439
Investments		0
Total Receivables - Net		1,002
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		2,190
Margin Deposits & Default Fund	,	0
Total Current Assets		5,631
Total Property and Equipment - Net		6,029
Goodwill		0
Other Intangibles		0.
Non Current Deferred Taxes		1,467
Long Term Restricted Cash		0
Other Long Term Assets		613
Total Assets	\$	13,741
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	1,266
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		1,823
Deferred Revenue		3,016
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		3,001
Total current liabilities		9,106
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities	_	0
Total Liabilities		9,106
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total	•	0
Additional Paid in Capital		1,367
Accumulated Other Comprehensive Income/(Loss)		(494)
Retained earnings		3,762
Total stockholders' equity	-	4,635
Noncontrolling interests		0
Total Equity	~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	4,635
Total liabilities and equity	\$	13,741

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - SMARTS Market Surveillance Pty Ltd

(iii mousairus, unaucuzu)	Year Ended December 31, 2013
	•
Revenues	. \$ 0
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	. 0
Corporate Solutions	•
Market Technology Revenues	7,404
Other Revenues	4,306
Total Revenues	11,710
Cost of revenues	_
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fccs	11,710
Operating Expenses	
Compensation and Benefits	6,486
Marketing and Advertising	4
Depreciation and amortization	1,184
Professional and contract services	1,207
Computer operations and data communications	676
Occupancy	791
Regulatory	0
General, administrative and other	2,084
Merger expenses	8_
Total operating expenses	12,442
Operating Income	(731)
Interest income	30
Interest expense	(138)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	. 0
Income before income taxes	(840)
Income tax provision	(393)
Net Income	(447)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (447)

The NASDAQ OMX Group, Inc.
Unconsolidated Financial Statements - European Central Counterparty N.V

Note: TOM Broker B.V is not a fully owned entity - indirect ownership through TOM Holding N.V. and therefore not included.

The NASDAQ OMX Group, Inc.
Unconsolidated Financial Statements - European Central Counterparty N.V

Note: TOM B.V is not a fully owned entity - indirect ownership through TOM Holding N.V. and therefore not included.

The NASDAQ OMX Group, Inc.
Unconsolidated Financial Statements - European Central Counterparty N.V

Note: TOM Holding N.V is not a fully owned entity and therefore not included.

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Verdbrefaskraning Islands hf

	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	3,540
Investments		0
Total Receivables - Net		583
Current Deferred Tax		. 0
Current Restricted Cash		0
Other Current Assets		3,171
Margin Deposits & Default Fund		0
Total Current Assets		7,294
Total Property and Equipment - Net		10
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		(1)
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	7,303
f inhilities and equity		,
Liabilities and equity Current liabilities:		
Total AP and Accrued Expenses	\$	1,973
SEC 31a Payable to the SEC	J	1,973
Accrued Personnel Costs		107
Deferred Revenue		0
Current Portion of Long Term Debt		ŏ
Current Deferred Tax Liability		7
Other Current Liabilities		552
Total current liabilities		2,640
Total Long Term Debt		2,540
Non Current Deferred Tax Liability		Ö
Non-current deferred revenue		ŏ
Other liabilities		Ŏ
Total Liabilities		2,640
Commitments and contingencies		
EQUITY		^
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		4,631
Accumulated Other Comprehensive Income/(Loss)		(2,169)
Retained earnings		2,201
Total stockholders' equity		4,662
Noncontrolling interests		1 (62
Total Equity Total liabilities and equity	•	4,662
Total liabilities and equity	. \$	7,303

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Verdbrefaskraning Islands hf

(in thousands, unaudited)	Year Ended	
	December	r 31, 2013
		•
Revenues		
Market Services Revenues	\$	4,765
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		15
Total Revenues		4,780
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		4,780
Orangias Function		
Operating Expenses		931
Compensation and Benefits		3
Marketing and Advertising		6
Depreciation and amortization		258
Professional and contract services		
Computer operations and data communications		309
Occupancy		111
Regulatory		31
General, administrative and other		884
Merger expenses		0
Total operating expenses		2,532
Operating Income		2,248
Interest income		284
Interest expense		(2)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		2,531
Income tax provision		540
Net Income		1,990
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	1,990
		. <u></u>

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Zoom Vision Mamato AB

•	Ye	ar Ended
	Decem	ber 31, 2013
N		
Assets		
Current Assets:	6	0
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		0
Current Deferred Tax	•	0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund	,	0
Total Current Assets		0
Total Property and Equipment - Net		• 0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		(30)
Long Term Restricted Cash		0
Other Long Term Assets		42
Total Assets	<u>\$</u>	12
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	0
SEC 31a Payable to the SEC		. 0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		0
Total current liabilities		0
Total Long Term Debt		0
Non Current Deferred Tax Liability		(0)
Non-current deferred revenue		o o
Other liabilities		0
Total Liabilities		(0)
		(*)
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		(136)
Accumulated Other Comprehensive Income/(Loss)		(38)
Retained carnings		186
Total stockholders' equity		12
Noncontrolling interests		0
Total Equity		12
Total liabilities and equity	\$	12
• •		

Unconsolidated Statement of Income - Zoom Vision Mamato AB

Revenues Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Total Revenues Total Revenues Total Revenues Total Cost of revenues Total Cost of Revenues Total Cost of Revenues Total Cost of Revenues Professes Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest income Interest income Interest income Interest expense Gain (loss) on sale of strategic intitatives Dividend and investment income Interest income Income from unconsolidated investees, net Impairment Loss FX Operating FX Opera	(In mountain, anathrap)	Year Ended		
Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Total Revenues Total Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Coccupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense Income from unconsolidated investees, net impairment Loss FX Operating Income taxprovision Net Income Net Income Net Income License		December 31, 2013		
Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Total Revenues Total Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Coccupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense Income from unconsolidated investees, net impairment Loss FX Operating Income taxprovision Net Income Net Income Net Income License		·		
Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Other Revenues 0 Total Revenues 0 Total Revenues 0 Cost of revenues 0 Total Cost of Revenues 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Operating Expenses 0 Operating and Advertising 0 Depreciation and montration 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 General, administrative and other 0 Merger expenses 0 Total operating expenses 0 Operating Income 0 Interest income 1 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 1 Income from unconsolidated investees, net Impairment Loss 1 FX Operating Income 1 Income tax provision 0 Net Income 1 Net Income 25 Net (income) expense attributable to noncontrolling interests 1 Net (income) expense attributable to noncontrolling interests 1		• 0		
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Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 0 Merger expenses 0 Total operating expenses 0 Operating Income 0 Interest income 0 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 125 Income from unconsolidated investees, net 1 Impairment Loss 0 FX Operating Income taxes 1 Income before income taxes 1 Income tax provision 0 Net Income (25 Net (income) expense attributable to noncontrolling interests 0 Total (income) expense attributabl		_		
Corporate Southers Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Compensation and Exchange Fees Total Cost of Revenues Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory Regu		·		
Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes Income tax provision Net Income expense attributable to noncontrolling interests		-		
Total Revenues Total Revenues Total Revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Operating and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense Gain (loss) on sale of strategic initiatives Dividend and investment income Income from unconsolidated investees, net Impairment Loss FX Operating Income tax provision Net Income Net Income Net Income Net Income Net Income expense attributable to noncontrolling interests Operation of the August Parallel of the Communication of the Communicat				
Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates; brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest income Interest expense Oain (loss) on sale of strategic initiatives Dividend and investment income Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes Income tax provision Net Income Net Income Net Income Net Income Solution Net Income Solution Net Income Net Income Solution Solut				
Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates; brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Marketing and Advertising Opereciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense Gain (loss) on sale of strategic initiatives Dividend and investment income Income from unconsolidated investees, net Impairment Loss FX Operating Income taxes Income tax provision Net Income expense attributable to noncontrolling interests	Total Revenues	V		
Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Other total and Advertising Operciation and amortization Operciation and amortization Operciation and contract services Omputer operations and data communications Occupancy Operciation and other Operciation and data communications Occupancy Operating Expenses Operating expenses Operating expenses Operating expenses Operating facome Interest income Interest income Interest expense Operating on sale of strategic initiatives Opividend and investment income Income from unconsolidated investees, net Impairment Loss FX Operating Income tax provision Net Income expense attributable to noncontrolling interests Operating income Sale of Sale Operating interests Operating operating operating operations operat	Cost of revenues	0		
Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits		· ·		
Revenues less transaction rebates, brokerage, clearance and exchange fees Operating Expenses Compensation and Benefits Omerating and Advertising Opereciation and amortization Operations and contract services Omputer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense Gain (loss) on sale of strategic initiatives Dividend and investment income Impairment Loss FX Operating Income tax provision Net Income expenses attributable to noncontrolling interests	· · · · · · · · · · · · · · · · · · ·			
Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 0 Merger expenses 0 Total operating expenses 0 Operating Income 0 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 25 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 25 Income before income taxes 25 Income 25 Net Income 25 Net (income) expense attributable to noncontrolling interests 0	Total Cost of Revenues	U	•	
Operating Expenses Compensation and Benefits	Revenues less transaction rebates; brokerage, clearance and exchange			
Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense Gain (loss) on sale of strategic initiatives Dividend and investment income Income from unconsolidated investees, net Impairment Loss FX Operating Income taxes Income tax provision Net Income Net Income Net Income Net Income) expense attributable to noncontrolling interests Operating interests Operating Income Interest expense Operating Income Interest expense Operating Income Interest expense Operating Income Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes Income tax provision Net Income Net Income Operating Income attributable to noncontrolling interests Operating Income Incom	fees	0	-	
Compensation and Benefits Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense Gain (loss) on sale of strategic initiatives Dividend and investment income Income from unconsolidated investees, net Impairment Loss FX Operating Income taxes Income tax provision Net Income Net Income Net Income Net Income) expense attributable to noncontrolling interests Operating interests Operating Income Interest expense Operating Income Interest expense Operating Income Interest expense Operating Income Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes Income tax provision Net Income Net Income Operating Income attributable to noncontrolling interests Operating Income Incom	Operating Expenses			
Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense Gain (loss) on sale of strategic initiatives Dividend and investment income Impairment Loss FX Operating Income taxes Income taxes Income taxes Income tax provision Net Income Net Income Net Income Spense Advertising Operation Operations and advertising Operations Operations Operating Income Operating Income Operating Income Operating Income Operating Income Operations				
Professional and contract services 0	Marketing and Advertising	•		
Computer operations and data communications Occupancy Regulatory General, administrative and other Merger expenses Total operating expenses Operating Income Interest income Interest expense Gain (loss) on sale of strategic initiatives Dividend and investment income Impairment Loss FX Operating Income taxes Income taxes Income tax provision Net Income Net Income 25 Net (income) expense attributable to noncontrolling interests 0 0 0 0 0 0 0 0 0 0 0 0 0	Depreciation and amortization	0		
Computer operations and data communications		•		
Occupancy 0 Regulatory 0 General, administrative and other 0 Merger expenses 0 Total operating expenses 0 Operating Income 0 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 25 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 25 Income tax provision 0 Net Income 25 Net (income) expense attributable to noncontrolling interests 0	Computer operations and data communications	•		
Ceneral, administrative and other O	•	•		
Merger expenses	Regulatory			
Total operating expenses 0 Operating Income 0 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 25 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 25 Income tax provision 0 Net Income 25 Net (income) expense attributable to noncontrolling interests 0 26	General, administrative and other			
Operating Income	Merger expenses		_	
Operating Income 0 Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 25 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 25 Income tax provision 0 Net Income 25 Net (income) expense attributable to noncontrolling interests 0	Total operating expenses	0	_	
Interest income 0 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 25 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 25 Income tax provision 0 Net Income 25 Net (income) expense attributable to noncontrolling interests 0		. 0		
Cain (loss) on sale of strategic initiatives 0	Interest income	0		
Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 25 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 25 Income tax provision 0 Net Income 25 Net (income) expense attributable to noncontrolling interests 0	Interest expense	0		
Dividend and investment income 25	Gain (loss) on sale of strategic initiatives			
Impairment Loss FX Operating Income before income taxes Income tax provision Net Income Net (income) expense attributable to noncontrolling interests 0 25 Net (income) expense attributable to noncontrolling interests				
Impairment Loss FX Operating Income before income taxes Income tax provision Net Income Net (income) expense attributable to noncontrolling interests 0 25 Net (income) expense attributable to noncontrolling interests	Income from unconsolidated investees, net	•		
FX Operating Income before income taxes Income tax provision Net Income Net (income) expense attributable to noncontrolling interests 0 25	Impairment Loss	0		
Income before income taxes Income tax provision Net Income Net (income) expense attributable to noncontrolling interests 25 Net (income) expense attributable to noncontrolling interests	•		_	
Net Income tax provision Net Income 25 Net (income) expense attributable to noncontrolling interests 0 26		25		
Net (income) expense attributable to noncontrolling interests 0		0	_	
Net (income) expense attributable to noncontrolling interests	Net Income	25		
		0		
	Net income attributable to Company	\$ 25		

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Zoom Vision Mamato ApS

	_ Do	Year Ended December 31, 2013		
Assets				
Current Assets:		٠.		
Cash & Equivalents	\$		0	
Investments			0	
Total Receivables - Net			0	
Current Deferred Tax			0	
Current Restricted Cash			0	
Other Current Assets			. 0	
Margin Deposits & Default Fund	·		0	
Total Current Assets			0	
Total Property and Equipment - Net			0	
Goodwill			0	
Other Intangibles			0	
Non Current Deferred Taxes			0	
Long Term Restricted Cash			0	
Other Long Term Assets		····	243	
Total Assets	\$	· · · · · · · · · · · · · · · · · · ·	243	
Liabilities and equity				
Current liabilities:				
Total AP and Accrued Expenses	\$		0	
SEC 31a Payable to the SEC			: 0	
Accrued Personnel Costs			0	
Deferred Revenue			0	
Current Portion of Long Term Debt			0	
Current Deferred Tax Liability			0	
Other Current Liabilities	.,		0	
Total current liabilities	•		0	
Total Long Term Debt			0	
Non Current Deferred Tax Liability			0	
Non-current deferred revenue			0	
Other liabilities		· · · · · · · · · · · · · · · · · · ·	-0	
Total Liabilities			0	
Commitments and contingencies				
EQUITY				
Common Stock in Treasury Total			0	
Common Stock Total			0	
Additional Paid in Capital			295	
Accumulated Other Comprehensive Income/(Loss)			184	
Retained earnings			(236)	
Total stockholders' equity			243	
Noncontrolling interests			0	
Total Equity			243	
Total liabilities and equity	\$		243	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Zoom Vision Mamato ApS

(iii mousaius, anauditeu)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	. 0
Market Technology Revenues	0
Other Revenues	0
Fotal Revenues	0
Cost of revenues	•
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchan	
fees	0
Operating Expenses	_
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	. 0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	(1)
Merger expenses	0
Total operating expenses	(1)
Operating Income	1
Interest income	0
Interest expense	(0)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	48
Income from unconsolidated investees, net	0
Impairment Loss	. 0
FX Operating	0
Income before income taxes	
Income tax provision	0
Net Income	49
Net (income) expense attributable to noncontrolling interests	0_
Net income attributable to Company	\$ 49

NASDAQ OMX PHLX LLC

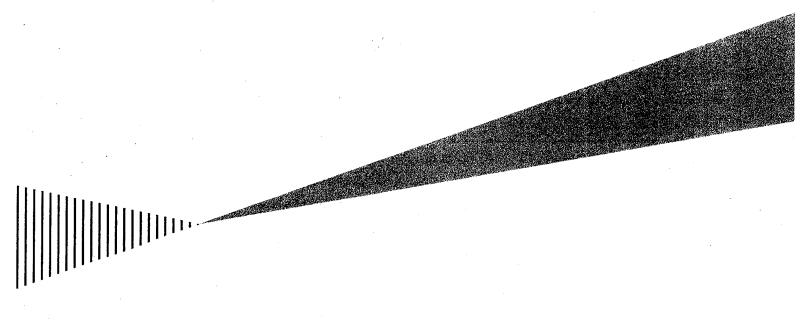
FORM 1 - Amendment of June 27, 2014

EXHIBIT I

CONSOLIDATED FINANCIAL STATEMENTS

NASDAQ OMX PHLX LLC and Subsidiaries Year Ended December 31, 2013 With Report of Independent Auditors

Ernst & Young LLP





Consolidated Financial Statements

Year Ended December 31, 2013

Contents

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Consolidated Statement of Income	3
Consolidated Statement of Comprehensive Income	
Consolidated Statement of Changes in Member's Equity	
Consolidated Statement of Cash Flows	6
Notes to Consolidated Financial Statements	7



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ey.com

Report of Independent Auditors

The Board of Directors and Member NASDAQ OMX PHLX LLC and Subsidiaries

We have audited the accompanying consolidated financial statements of NASDAQ OMX PHLX LLC and subsidiaries, which comprise the consolidated balance sheet as of December 31, 2013, and the related consolidated statements of income, comprehensive income, changes in member's equity, and cash flows for the year then ended, and the related notes to the consolidated financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in conformity with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free of material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the consolidated financial position of NASDAQ OMX PHLX LLC and subsidiaries at December 31, 2013, and the consolidated results of their operations and their cash flows for the year then ended in conformity with U.S. generally accepted accounting principles.

Ernst + Young LLP

June 27, 2014

Consolidated Balance Sheet

December 31, 2013 (In Thousands)

Assets	
Current assets:	
Cash and cash equivalents	\$ 18
Receivables, net of reserve for uncollectible accounts	25,001
Tax receivable	10,867
Deferred tax assets	4,251
Other current assets	 765
Total current assets	 40,902
Long-term assets:	00.000
Property and equipment, net	22,038
Non-current deferred tax assets	16,706
Goodwill	503,610
Intangible assets, net	297,057
Other assets	 957
Total long-term assets	 840,368
Total assets	\$ 881,270
Liabilities and member's equity	
Current liabilities: Accounts payable and accrued expenses Accrued personnel costs Payable to affiliated companies, net Current portion of accrued retirement obligation Section 31 fees payable to the SEC Current deferred tax liability Other accrued liabilities Total current liabilities	\$ 2,336 4,447 412,404 1,566 8,226 4,860 11,949 445,788
Current liabilities: Accounts payable and accrued expenses Accrued personnel costs Payable to affiliated companies, net Current portion of accrued retirement obligation Section 31 fees payable to the SEC Current deferred tax liability Other accrued liabilities Total current liabilities: Non-current deferred tax liability Accrued retirement obligation	 4,447 412,404 1,566 8,226 4,860 11,949 445,788
Current liabilities: Accounts payable and accrued expenses Accrued personnel costs Payable to affiliated companies, net Current portion of accrued retirement obligation Section 31 fees payable to the SEC Current deferred tax liability Other accrued liabilities Total current liabilities: Non-current deferred tax liability Accrued retirement obligation Other liabilities	 4,447 412,404 1,566 8,226 4,860 11,949 445,788 125,235 29,999 9,767
Current liabilities: Accounts payable and accrued expenses Accrued personnel costs Payable to affiliated companies, net Current portion of accrued retirement obligation Section 31 fees payable to the SEC Current deferred tax liability Other accrued liabilities Total current liabilities: Non-current deferred tax liability Accrued retirement obligation Other liabilities Total long-term liabilities	 4,447 412,404 1,566 8,226 4,860 11,949 445,788 125,235 29,999 9,767 165,001
Current liabilities: Accounts payable and accrued expenses Accrued personnel costs Payable to affiliated companies, net Current portion of accrued retirement obligation Section 31 fees payable to the SEC Current deferred tax liability Other accrued liabilities Total current liabilities: Long-term liabilities: Non-current deferred tax liability Accrued retirement obligation Other liabilities Total long-term liabilities Total long-term liabilities Total liabilities Member's equity	\$ 4,447 412,404 1,566 8,226 4,860 11,949 445,788 125,235 29,999 9,767 165,001 610,789
Current liabilities: Accounts payable and accrued expenses Accrued personnel costs Payable to affiliated companies, net Current portion of accrued retirement obligation Section 31 fees payable to the SEC Current deferred tax liability Other accrued liabilities Total current liabilities: Long-term liabilities: Non-current deferred tax liability Accrued retirement obligation Other liabilities Total long-term liabilities Total long-term liabilities Total liabilities	\$ 4,447 412,404 1,566 8,226 4,860 11,949 445,788 125,235 29,999 9,767 165,001

Consolidated Statement of Income

Year Ended December 31, 2013 (In Thousands)

Revenues:	
Transaction services	\$ 284,607
Access services	21,723
Market data	21,013
Other revenue	1,252
Total revenues	328,595
Cost of revenues:	
Transaction rebates	(132,889)
Brokerage, clearance, and exchange fees	(34,073)
Total cost of revenues	(166,962)
Revenues less transaction rebates, brokerage, clearance, and exchange fees	161,633
Operating expenses:	
Compensation and benefits	14,628
Depreciation and amortization	12,919
Regulatory	2,990
Occupancy	1,176
Computer operations and data communications	1,044
Professional and contract services	591
Marketing	589
General, administration, and other	12,041
Total operating expenses	45,978
Operating income	115,655
Interest income	1
Net income before income tax expense	115,656
Provision for income taxes	(46,530)
Net income	\$ 69,126

Consolidated Statement of Comprehensive Income

Year Ended December 31, 2013 (In Thousands)

Net income:	\$ 69,126
Other comprehensive income:	•
Employee benefit plans:	
Employee benefit plan adjustment gains	7,499
Income tax expense	(3,088)
Total other comprehensive income, net of tax	 4,411
Comprehensive income	\$ 73,537

Consolidated Statement of Changes in Member's Equity

Year Ended December 31, 2013 (In Thousands)

	_	Accumulated Other omprehensive Loss, Net	Retained Earnings	Member's Equity	Total Member's Equity
Balance at January 1, 2013 Net income	\$	(12,890)	\$ 81,134 69,126	\$ 128,700	\$ 196,944 69,126
Change in other comprehensive loss, employee benefit plan adjustments, net of taxes of \$3,088		4,411	· 	·	4,411
Balance at December 31, 2013	\$	(8,479)	\$ 150,260	\$ 128,700	\$ 270,481

Consolidated Statement of Cash Flows

Year Ended December 31, 2013 (In Thousands)

Cash flows from operating activities	•
Net income	\$ 69,126
Adjustments to reconcile net income to net cash provided by operating activities:	
Depreciation and amortization	12,919
Loss on disposal of fixed assets	129
Deferred taxes, net	(1,432)
Net change in operating assets and liabilities:	
Receivables, net of reserve for uncollectible accounts	6,885
Other current assets and other assets	645
Accounts payable and accrued expenses	(701)
Section 31 fees payable to the SEC	(7,021)
Payables to affiliated companies, net	(78,539)
Accrued personnel costs	400
Accrued retirement obligation	407
Other liabilities	 1,975
Net cash provided by operating activities	4,793
Cash flows from investing activities	
Purchases of property and equipment	(4,785)
Net cash used in investing activities	(4,785)
Net change in cash and cash equivalents	. 8
Cash and cash equivalents at beginning of period	10
Cash and cash equivalents at end of period	\$ 18

Notes to Consolidated Financial Statements

December 31, 2013

1. Organization and Operations

NASDAQ OMX PHLX LLC (the "Exchange" or "PHLX"), formerly known as NASDAQ OMX PHLX, Inc. and the Philadelphia Stock Exchange, Inc., is a registered national securities exchange in the United States ("U.S.") owned and operated by The NASDAQ OMX Group, Inc. ("NASDAQ OMX" or the "Parent"). The Exchange provides facilities for the trading of equity option, index option, and foreign currency option products for its members. In addition, the Exchange operates PSX, a cash equities market.

The Exchange's wholly owned subsidiaries include the Stock Clearing Corporation of Philadelphia ("SCCP") and NASDAQ OMX Futures, Inc ("NQF") (formerly the Philadelphia Board of Trade, Inc.). Pursuant to a 1997 Securities and Exchange Commission ("SEC") order, the Exchange, SCCP, National Securities Clearing Corporation ("NSCC"), and Depository Trust Company ("DTC") entered into an agreement whereby SCCP provided limited clearing services for its participants. SCCP's limited clearing services were facilitated through an omnibus account with the NSCC and did not include the maintenance or offering of continuous net settlement accounts for its participants. SCCP ceased clearing operations on December 31, 2008. The Exchange and SCCP are subject to regulatory oversight by the SEC. SCCP is currently an inactive entity.

NQF is subject to regulatory oversight by the Commodity Futures Trading Commission ("CFTC"), an independent agency with the mandate to regulate commodity futures and options markets in the U.S. NQF operated as a designated contract market, which allows NQF to list and trade various futures contracts.

Basis of Presentation

The consolidated financial statements, which include the accounts of PHLX and its wholly owned subsidiaries, are prepared in accordance with accounting principles generally accepted in the U.S. ("U.S. GAAP"), as codified in the Accounting Standards Codification ("ASC") and set forth by the Financial Accounting Standards Board ("FASB"). All intercompany accounts and transactions have been eliminated in consolidation. The Exchange's significant accounting policies are as follows.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies

Use of Estimates

The preparation of consolidated financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts and the disclosures of contingent amounts in the consolidated financial statements and accompanying notes. Management believes that the estimates utilized in preparing its consolidated financial statements are reasonable and prudent. Actual results could differ from those estimates.

Cash and Cash Equivalents

Cash and cash equivalents include cash held in banks and all non-restricted highly liquid investments with original maturities of three months or less at the time of purchase. Cash equivalents are carried at cost plus accrued interest, which approximates fair value due to the short term maturities of these investments. At December 31, 2013, the Exchange held no cash equivalents.

Revenue Recognition

Transaction Services

Cash Equity Trading: Cash equity trading revenues are variable, based on individual customer share volumes, and recognized as transactions occur. We charge transaction fees for executing cash equity trades in NASDAQ-listed and other listed securities on PSX as well as on orders that are routed to other market venues for execution.

We record execution revenues from transactions on a gross basis in revenues and record related expenses as cost of revenues. Nasdaq Execution Services, LLC, an SEC registered broker-dealer and wholly owned subsidiary of NASDAQ OMX, operates as PSX's routing broker-dealer for sending orders to other venues for execution in accordance with member order instructions and requirements. Cash equity trading revenues are recorded within transaction services on the Consolidated Statement of Income.

We credit a portion of the per share execution charge to the market participant that provides the liquidity and record these credits as transaction rebates on the Consolidated Statement of Income. These transaction rebates are paid on a monthly basis and the amounts due are included in accounts payable and accrued expenses on the Consolidated Balance Sheet. Transaction rebates related to cash equity trading were \$29.5 million for the year ended December 31, 2013.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Also, we pay Section 31 fees to the SEC for supervision and regulation of securities markets. For equities, the fees are billed on a settlement date basis and collected by the NSCC. We pass these costs along to our customers through our cash equity trading fees. We collect the fees as a pass-through charge from organizations executing eligible trades on the PSX platform and we recognize these amounts in brokerage, clearance, and exchange fees on the Consolidated Statement of Income when incurred. Section 31 fees received are included in Payable to affiliated companies, net on the Consolidated Balance Sheet, at the time of receipt and, as required by law, the amount due to the SEC is remitted semiannually and recorded within Section 31 fees payable to the SEC on the Consolidated Balance Sheet until paid. Since the amount recorded in revenues is equal to the amount recorded in cost of revenues, there is no impact on our revenues less transaction rebates, brokerage, clearance and exchange fees.

Derivative Trading: Derivative trading revenues are variable, based on traded and cleared volumes, and recognized when executed or when contracts are cleared. The principal types of derivative contracts traded on PHLX are equity options and index options. For PHLX, we record derivative trading revenues from transactions on a gross basis in revenues and record related expenses as cost of revenues, as we have certain risk associated with trade execution. Derivative trading revenues are included within transaction services on the Consolidated Statement of Income. For derivative trading, we credit a portion of the per contract transaction charge to the market makers and specialists that execute trades with a specific trading strategy and record these credits as transaction rebates on the Consolidated Statement of Income. PHLX also provides a transaction charge credit to member organizations who send firm business in excess of monthly volume caps. NASDAQ Options Services, LLC, an SEC registered broker-dealer and wholly owned subsidiary of NASDAQ OMX, operates as PHLX's routing broker-dealer for sending exchange-listed option orders from PHLX to other venues for execution in accordance with member order instructions and requirements. These transaction rebates are paid on a monthly basis and the amounts due from equity transaction rebates are included in accounts payable and accrued expenses and the amounts due from options transaction rebates are included as a reduction of receivables, net of reserve for uncollectible accounts on the Consolidated Balance Sheet. Transaction rebates related to derivative trading were \$103.4 million for the year ended December 31, 2013.

Also, we pay Section 31 fees to the SEC for supervision and regulation of securities markets. For options, the fees are billed on a settlement date basis and collected by the Options Clearing Corporation ("OCC"). We pass these costs along to our customers through our derivative trading fees. We collect the fees as a pass-through charge from organizations executing eligible trades on

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

the PHLX platform and we recognize this amount in brokerage, clearance, and exchange fees on the Consolidated Statement of Income when incurred. Section 31 fees received are included in Payable to affiliated companies, net on the Consolidated Balance Sheet, at the time of receipt and, as required by law, the amount due to the SEC is remitted semiannually and recorded as Section 31 fees payable to the SEC on the Consolidated Balance Sheet until paid. Since the amount recorded in revenues is equal to the amount recorded in cost of revenues, there is no impact on our revenues less transaction rebates, brokerage, clearance and exchange fees.

As discussed in Note 10, our Limitation of Liability Rule and procedures apply to both cash equity and derivative trading in the aggregate. Under this rule, we, subject to certain caps, provide compensation for losses directly resulting from the systems' actual failure to correctly process an order, quote, message or other data into our platform.

Access Services

The Exchange generates revenues by providing market participants with several alternatives for accessing our markets for a fee. The type of connectivity is determined by the level of functionality a customer needs. As a result, access services revenues vary depending on the type of connection provided to customers. Access services revenues primarily includes port fees, trading post rental fees, and trading and permit fees. Access services revenues are recognized on a monthly basis as the service is provided, and are included within access services revenues on the Consolidated Statement of Income.

Market Data

Market data revenues include options security price data revenue and equity market data revenue. Security price data revenue includes distributions from the Exchange's participation in the Options Price Reporting Authority. Market data revenue includes revenues earned from the Exchange's participation in the Consolidated Tape A, Tape B, and Tape C plans, as well as NQF's market data revenue from the sale of the Exchange's data associated with the current and closing index spot values and the settlement values for various indices. Market data revenue is recognized on a monthly basis, and is included within market data revenues on the Consolidated Statement of Income.

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Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Other

Other revenues are primarily composed of regulatory fees, which include fines and examination fees from parties that are members of the Exchange. Other revenues also include revenues associated with the licensing of proprietary index option products. Fines are recognized as they are assessed to the member.

Receivables, Net of Reserve for Uncollectible Accounts

The Exchange's receivables are primarily related to monthly transaction fees and member fees. Receivables are shown net of reserves for uncollectible accounts. The reserve for uncollectible accounts is maintained at a level that management believes to be sufficient to absorb estimated losses in the accounts receivable portfolio. The reserve is increased by the provision for bad debts which is charged against operating results and decreased by the amount of charge-offs, net of recoveries. The amount charged against operating results is based on several factors including, but not limited to, a continuous assessment of the collectability of each account, the length of time a receivable is past due and the Exchange's historical experience with the particular customer. In circumstances where a specific customer's inability to meet its financial obligations is known (i.e., bankruptcy filings), PHLX records a specific provision for bad debts against amounts due to reduce the receivable to the amount PHLX reasonably believes will be collected. Due to changing economic, business and market conditions, management reviews the reserve for bad debts monthly and makes changes to the reserve through the provision for bad debts as appropriate. If circumstances change (i.e., higher than expected defaults or an unexpected material adverse change in a major customer's ability to pay), the Exchange's estimates of recoverability could be reduced by a material amount. The total reserve for uncollectible accounts netted against receivables on the Consolidated Balance Sheet was de minimis at December 31, 2013.

Property and Equipment, Net of Accumulated Depreciation

Property and equipment, including leasehold improvements, are carried at cost less accumulated depreciation and amortization. Depreciation of furniture and fixtures is provided using the straight-line method over ten years. Depreciation of acquired software and equipment is provided using the straight-line method over five years. Depreciation of internally developed software is provided using the straight-line method over two to five years. Leasehold improvements are amortized over the lesser of the lease term or ten years.

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Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

We also assess potential impairments to our property and equipment when there is evidence that events or changes in circumstances indicate that the carrying amount of an asset may not be recoverable. The carrying amount of property and equipment is not recoverable if it exceeds the sum of the undiscounted cash flows expected to result from the use and eventual disposition of the asset. Any required impairment loss is measured as the amount by which the carrying amount of a long-lived asset exceeds its fair value and is recorded as a reduction in the carrying amount of the related asset and a charge to operating results.

Recently Adopted Accounting Pronouncements

For the year ended December 31, 2013, we have not adopted any new accounting pronouncements that had a material impact on our consolidated financial statements. In addition, we have reviewed all recently issued, but not yet effective, accounting pronouncements and do not believe the future adoption of any such pronouncements will have a material impact on our financial position or results of our operations.

Goodwill

Goodwill represents the excess of purchase price over the value assigned to the net tangible and identifiable intangible assets of a business acquired. Goodwill is allocated to our reporting units based on the assignment of the fair values of each reporting unit of the acquired company. We are required to test goodwill for impairment at the reporting unit level annually, or in interim periods if certain events occur indicating that the carrying amount may be impaired. We test for impairment during the fourth quarter of our fiscal year using carrying amounts as of October 1.

In conducting the 2013 annual impairment test for goodwill, we first performed a qualitative assessment to determine whether it was more likely than not that the fair value of a reporting unit was less than the carrying amount as a basis for determining whether it was necessary to perform the two-step quantitative goodwill impairment test described in ASC 350. The more-likely-thannot threshold is defined as having a likelihood of more than 50 percent. If, after assessing the totality of events or circumstances, we determine that it is more likely than not that the fair value of a reporting unit is less than its carrying amount, then the two-step quantitative test for goodwill impairment is performed for the appropriate reporting units. Otherwise, we conclude that no impairment is indicated and the two-step quantitative test for goodwill impairment is not performed.

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Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

We utilized the qualitative assessment option for our annual goodwill impairment test performed for fiscal 2013. In conducting the initial qualitative assessment, we analyzed actual and projected growth trends, as well as historical performance versus plan and the results of prior quantitative tests performed. Additionally, we assessed critical areas that may impact the business, including macroeconomic conditions and the related impact, market related exposures, competitive changes, new or discontinued products, changes in key personnel, or any other potential risks to projected financial results.

If required, the quantitative goodwill impairment test is a two-step process performed at the reporting unit level. First, the fair value of each reporting unit is compared to its corresponding carrying amount, including goodwill. The fair value of each reporting unit is estimated using a combination of a discounted cash flow valuation, which incorporates assumptions regarding future growth rates, terminal values, and discount rates, as well as a guideline public company valuation, incorporating relevant trading multiples of comparable companies and other factors.

The estimates and assumptions used consider historical performance and are consistent with the assumptions used in determining future profit plans for each reporting unit, which are approved by management. If the first step results in the carrying amount exceeding the fair value of the reporting unit, then a second step must be completed in order to determine the amount of goodwill impairment that should be recorded, if any. In the second step, the implied fair value of the reporting unit's goodwill is determined by allocating the reporting unit's fair value to all of its assets and liabilities other than goodwill in a manner similar to a purchase price allocation. The implied fair value of the goodwill that results from the application of this second step is then compared to the carrying amount of the goodwill and an impairment charge is recorded for any difference.

No impairment of goodwill was identified for the year ended December 31, 2013. However, events such as economic weakness or unexpected significant declines in operating results of reporting units may result in goodwill impairment charges in the future.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Intangible Assets, Net of Accumulated Amortization

Intangible assets, net of accumulated amortization, primarily include exchange and futures registrations, customer relationships, trade names, and technology. The exchange and futures registrations and trade names have indefinite lives. Intangible assets with finite lives, such as customer relationships and technology, are amortized on a straight-line basis over their average estimated useful lives as follows:

• Customer relationships: 10-30 years

• Technology: 3-5 years

The estimated useful life of customer relationships is determined based on an analysis of the historical attrition rates of customers and an analysis of the legal, regulatory, contractual, competitive, economic, or other factors that limit the useful life of customer relationships.

The estimated useful life of developed and new technology is based on the likely duration of benefits to be derived from the technology. We consider such factors as the migration cycle for re-platforming existing technologies and the development of future generations of technology.

We also give consideration to the pace of the technological changes in the industries in which we sell our products.

For finite-lived intangible assets subject to amortization, impairment is assessed when there is evidence that events or changes in circumstances indicate that the carrying amount of an asset may not be recovered. An impairment loss is recognized when the carrying amount of the long-lived asset exceeds its fair value and is not recoverable. The carrying amount of a long-lived asset is not recoverable if it exceeds the sum of the undiscounted cash flows expected to result from the use and eventual disposition of the asset.

Intangible assets deemed to have indefinite useful lives are not amortized but instead are tested for impairment at least annually and more frequently whenever events or changes in circumstances indicate that the fair value of the asset may be less than its carrying amount. Similar to goodwill impairment testing, we test for impairment of indefinite-lived intangible assets during the fourth quarter of our fiscal year using carrying amounts as of October 1.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

In conducting the 2013 annual impairment test for indefinite lived intangible assets, we first performed a qualitative assessment to determine whether it was more likely than not that the fair value of an indefinite-lived intangible asset was less than the carrying amount as a basis for determining whether it was necessary to perform the quantitative impairment test described in ASC 350. The more-likely-than-not threshold is defined as having a likelihood of more than 50 percent. If, after assessing the totality of events or circumstances, we determine that it is more likely than not that the fair value of an indefinite-lived intangible asset is less than its carrying amount, then the quantitative test for indefinite-lived intangible assets impairment is performed for the appropriate intangible assets. If the carrying amount of the indefinite-lived intangible asset exceeds its fair value, an impairment charge is recorded for the difference.

Income Taxes

The Exchange's operating results are included in the consolidated federal income tax return and certain state income tax returns filed by NASDAQ OMX. The Exchange also files separate tax returns in certain states. For financial reporting purposes, the provision for income taxes for the Exchange is determined as if the Exchange filed separate returns.

The Exchange uses the asset and liability method to provide income taxes on all transactions recorded in the consolidated NASDAQ OMX financial statements. Deferred tax assets and liabilities are determined based on differences between the financial statement carrying amounts and the tax basis of existing assets and liabilities (i.e., temporary differences) and are measured at the enacted rates that will be in effect when these differences are realized. If necessary, a valuation allowance is established to reduce deferred tax assets to the amount that is more likely than not to be realized.

In order to recognize and measure the Exchange's unrecognized tax benefits, management determines whether a tax position is more likely than not to be sustained upon examination, including resolution of any related appeals or litigation processes, based on the technical merits of the position. Once it is determined that a position meets the recognition thresholds, the position is measured to determine the amount of benefit to be recognized on the consolidated financial statements. The Exchange's policy is to recognize interest and/or penalties related to income tax matters in provision for income taxes on the Consolidated Statement of Income.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Share-Based Compensation

The Exchange is part of a share-based compensation program that provides NASDAQ OMX's Board of Directors broad discretion in creating employee equity incentives. As such, share-based compensation is allocated to the Exchange from the Parent. Accounting for share-based compensation requires the measurement and recognition of compensation expense for all equity awards based on estimated fair values. PHLX recognizes compensation expense for equity awards on a straight-line basis over the requisite service period of the award.

Pension Plan and Postretirement Benefit Plan

The Exchange administers a defined benefit pension plan and a postretirement benefit plan covering substantially all employees. The defined benefits are based primarily on years of service and employee's average pay over the employee's career with the Exchange. The Exchange accounts for these plans under ASC 715, Compensation-Retirement Benefits.

3. Goodwill and Intangible Assets

Goodwill

On July 24, 2008, NASDAQ OMX completed the acquisition of PHLX. NASDAQ OMX's cost to acquire PHLX of approximately \$707.5 million (\$652.0 million cash paid plus approximately \$11.8 million of direct acquisition costs and \$43.7 million of working capital adjustments) was subject to certain post-closing adjustments.

PHLX utilized the qualitative assessment option for its annual goodwill impairment test performed for fiscal 2013. In conducting the initial qualitative assessment, PHLX analyzed actual and projected growth trends, as well as historical performance versus plan and the results of prior quantitative tests performed. Additionally, PHLX assessed critical areas that may impact the business, including macroeconomic conditions and the related impact, market related exposures, competitive changes, new or discontinued products, changes in key personnel, or any other potential risks to projected financial results. At December 31, 2013, goodwill relating to the acquisition was \$503.6 million. There were no changes to goodwill during the year ended December 31, 2013.

Notes to Consolidated Financial Statements (continued)

3. Goodwill and Intangible Assets (continued)

The Exchange completed the required annual impairment test, which determined that goodwill was not impaired for the year ended December 31, 2013. However, events such as economic weakness and unexpected significant declines in operating results may result in goodwill impairment charges in the future. A significant impairment charge in the future could have a material adverse effect on our operating results.

Intangible Assets

The following table presents the details of the purchased intangible assets acquired in the PHLX acquisition. All purchased intangible assets with finite lives are amortized using the straight-line method.

	 Gross Carrying Amount	Weighted- Average Useful Life (in Years)
	n Millions)	
Intangible assets:		
Exchange and futures registrations	\$ 207.0	Indefinite
Customer relationships	112.9	21 years
Technology	3.1	5 years
Trade names	 6.4	Indefinite
Total intangible assets	\$ 329.4	

The Exchange completed its annual impairment test during 2013 and determined that its indefinite-lived intangible assets were not impaired. Finite-lived intangible assets are assessed for impairment upon certain "triggering events" and impairment is recognized if the carrying amount is not recoverable and the carrying amount exceeds the fair value of the intangible asset. For the year ended December 31, 2013, no impairment was recorded with respect to finite-lived intangible assets.

Notes to Consolidated Financial Statements (continued)

3. Goodwill and Intangible Assets (continued)

Deferred Tax Liability

A \$5.0 million current deferred tax liability and a \$149.0 million non-current deferred tax liability (total deferred tax liability of \$154.0 million) has been set up against the \$336.8 million value of PHLX's assets using the PHLX U.S. statutory tax rate of 45.72% at the date of acquisition. As of December 31, 2013, the deferred tax liability is \$122.3 million. The deferred tax liability represents the tax effect of the difference between the estimated assigned fair value of the acquired intangible assets (\$329.4 million) less accumulated book amortization (\$32.3 million) through December 31, 2013 and the tax basis (\$0) of such assets, using the U.S. statutory tax rate of 41.18%.

The following table presents details of the Exchange's total purchased intangible assets, both finite- and indefinite-lived:

	December 31, 2013					
		Gross Carrying Amount		umulated ortization	.]	Net Intangible Assets
Finite-lived intangible assets			(In	Millions)	,	
Technology	\$	3.1	\$	(3.1)	\$	
Customer relationships		112.9		(29.2)		83.7
Total finite-lived intangible assets	\$	116.0	\$	(32.3)	\$	83.7
Indefinite-lived intangible assets	•					
Exchange and futures registrations	\$	207.0	\$.	_	\$	207.0
Trade names		6.4				6.4
Total indefinite-lived intangible assets	\$	213.4	\$	_	\$	213.4
Total intangible assets	\$	329.4	\$	(32.3)	\$	297.1

Amortization expense for purchased finite-lived intangible assets was \$5.7 million for the year ended December 31, 2013. The Exchange completed its annual impairment test during 2013 and determined that its indefinite-lived intangible assets were not impaired.

Notes to Consolidated Financial Statements (continued)

3. Goodwill and Intangible Assets (continued)

The estimated future amortization expense of purchased intangible assets as of December 31, 2013, is as follows (in millions):

2014		•		\$	5.4
2015					5.4
2016				,	5.4
2017					5.4
2018	*				5.4
2019 and thereafter					56.7
Total				\$	83.7

4. Property and Equipment, Net of Accumulated Depreciation

The Exchange's property and equipment comprises the following:

	December 31, 2013	
	(In	Millions)
Software, internally developed / acquired Furniture, equipment, and leasehold improvements	\$	41.4 16.8
Talmone, equipment, and reasoned improvements		58.2
Less – accumulated depreciation and amortization Total property and equipment, net of accumulated depreciation	•	(36.2)
rotal property and equipment, her of accumulated depreciation	Ψ	<u> </u>

The Exchange follows the provisions of ASC 350-40, *Internal-Use Software*, which requires entities to capitalize direct internal and external costs that meet certain capitalization criteria. Accordingly, the Exchange capitalized \$3.8 million of costs during the year ended December 31, 2013, included in property and equipment, net of accumulated depreciation on the Consolidated Balance Sheet. At December 31, 2013, unamortized capitalized software development was \$4.1 million.

Notes to Consolidated Financial Statements (continued)

4. Property and Equipment, Net of Accumulated Depreciation (continued)

For the year ended December 31, 2013, capitalized software amortization expense was \$4.0 million, while total depreciation expense relating to all other property and equipment was \$3.2 million. These amounts are included in depreciation and amortization expense on the Consolidated Statement of Income.

5. Payment for Order Flow

As a way to attract orders from brokers, the Exchange pays brokers for routing orders to PHLX, using funds collected from Payment for Order Flow ("PFOF") fees. The Exchange administers the collection and payment of PFOF fees assessed on certain qualifying transactions. PFOF funds are made available to order flow providers at the direction of specialist units and Directed Registered Options Traders. At December 31, 2013, the Parent held total cash in the amount of \$5.2 million and the Exchange held total receivable and payable balances of \$4.7 million and \$9.9 million, respectively, related to its PFOF programs, included in receivables, net of reserve for uncollectible accounts and other accrued liabilities, respectively, on the Consolidated Balance Sheet.

6. Income Taxes

The Exchange's operating results are included in the consolidated federal income tax return and certain state income tax returns filed by NASDAQ OMX. The Exchange also files separate tax returns in certain states. For financial reporting purposes, the provision for income taxes for the Exchange is determined as if the Exchange filed separate returns. On September 1, 2010, the Exchange converted from a Delaware Corporation to a Delaware Limited Liability Company. For periods after this date, the provision for income taxes for the Exchange will continue to be determined as if the Exchange filed separate federal tax returns. However, the state and local tax provision will be determined based on a revised apportionment allocation percentage resulting from such conversion.

Notes to Consolidated Financial Statements (continued)

6. Income Taxes (continued)

The Exchange's income tax provision for the year ended December 31, 2013 consists of the following amounts (in millions):

Current:		
Federal	. \$	36.2
State and local		11.8
Total current income taxes	\$	48.0
Deferred:		
Federal	\$	(2.3)
State and local		0.8
Total deferred income taxes	\$	(1.5)
Total provision for income taxes	\$	46.5

A reconciliation of the U.S. federal statutory rate to the Exchange's effective tax rate for the year ended December 31, 2013, is as follows:

Federal	35.0%
State and local income tax rate, net of federal effect	6.3
Change in deferred taxes due to change in tax rates	0.8
Other	(1.9)
Effective tax rate	40.2%

Notes to Consolidated Financial Statements (continued)

6. Income Taxes (continued)

The temporary differences, which give rise to the Exchange's deferred tax assets and (liabilities) consisted of the following:

	December 31, 2013
	(In Millions)
Deferred tax assets:	
State net operating loss	\$ 0.2
Compensation and benefits	13.3
Tax credits	6.6
Other	0.9
Gross deferred tax assets	21.0
Deferred tax liabilities:	
Software development costs	(7.1)
Acquired intangible assets	(122.3)
Other	(0.7)
Gross deferred tax liabilities	(130.1)
Net deferred tax liabilities before valuation allowance	(109.1)
Less: valuation allowance	
Net deferred tax liabilities	\$ (109.1)

As of December 31, 2013, the Exchange carries a federal tax receivable from the prior year of \$10.9 million related to a 2008 federal net operating loss ("NOL"), generated before the business combination with NASDAQ OMX. The entire NOL was carried back to 2006 and 2007.

As of December 31, 2013, the Exchange had tax credits of approximately \$6.6 million. These tax credits primarily relate to research and development credits. These credits expire in 2018 through 2027.

Notes to Consolidated Financial Statements (continued)

6. Income Taxes (continued)

A reconciliation of the beginning and ending amount of unrecognized tax benefits, as of December 31, 2013, is as follows (in millions):

Beginning balance	\$ 7.9
Additions as a result of tax positions taken in the current period	1.2
Reductions as a result of tax positions taken in prior periods	 (0.6)
Ending balance	\$ 8.5

At December 31, 2013, the Exchange has accrued \$0.2 million in interest and/or penalties related to income tax matters.

The federal income tax return for years 2006 and 2007 are currently under examination by the Internal Revenue Service and the Exchange remains subject to audit for 2008. For the periods after July 24, 2008, the Exchange's operating results are included in the federal income tax return filed by NASDAQ OMX. NASDAQ OMX and its eligible subsidiaries file a consolidated U.S. federal income tax return and applicable state and local income tax returns. NASDAQ OMX's federal income tax returns for the years 2008 through 2010 are currently under audit by the Internal Revenue Service and we are subject to examination for 2011 and 2012. The Exchange remains subject to audit by state and local tax authorities for years 2009–2012.

The Exchange received an income tax refund of \$1.4 million during the year ended December 31, 2013. Federal income tax liabilities of \$35.5 million and state & local income tax liabilities of \$11.8 million were settled through intercompany accounts.

7. Employee Benefits

Pension Plan and Postretirement Benefit Plan

The Exchange participates in a trusteed non-contributory pension plan and a postretirement benefit plan covering substantially all employees of PHLX and its subsidiaries. The Exchange provides defined benefits that are based primarily on years of service and based on an employee's average pay over the employee's career with the Exchange.

Notes to Consolidated Financial Statements (continued)

7. Employee Benefits (continued)

The postretirement benefit plan provides certain health care and life insurance benefits for retired employees. Substantially all of the Exchange's employees may become eligible for those benefits if they reach normal retirement age while employed by the Exchange and fulfill other eligibility requirements as specified by the plan.

Subsequent to the acquisition of the Exchange by NASDAQ OMX on July 24, 2008, the Philadelphia Stock Exchange, Inc. and Stock Clearing Corporation of Philadelphia Employees' Pension Plan (the "PHLX Pension Plan") was "frozen," effective December 31, 2008. As a result, future service and salary for all participants will not count toward an accrual of benefits under the PHLX Pension Plan after December 31, 2008. However the PHLX Pension Plan legally remains in effect and all of the other terms and conditions of the PHLX Pension Plan (including those governing the time and form of benefit payment) continue to apply without any change. Accordingly, the "freeze" did not accelerate the payment of benefits under the PHLX Pension Plan. The "freeze" had no effect on the PHLX Pension Plan but did result in a curtailment gain in the Postretirement Benefits Plan. The PHLX Pension Plan is currently sponsored by the Exchange and funded by the Parent.

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Notes to Consolidated Financial Statements (continued)

7. Employee Benefits (continued)

The funded status of the PHLX defined benefit plan and postretirement benefit plan is as follows:

	Pension Benefits		etirement enefits
	(In A	Aillions,	
Benefit obligation, end of year	\$ 46.3	\$	2.3
Fair value of plan assets, beginning of year	37.2		
Actual return on plan assets	3.8		
Employer contributions	0.5		0.3
Employee contributions			- ·
Benefits paid	(1.6)		(0.3)
Fair value of plan assets, end of year	39.9	•	
Underfunded status, end of year	\$ (6.4)	\$	(2.3)
Amounts recognized in consolidated balance sheet at December 31, 2013:			• *
Current liabilities (anticipated contributions)	\$ 	\$	(0.4)
Non-current liabilities	(6.4)		(1.9)
Net amount recognized in consolidated balance			
sheet	 (6.4)	\$	(2.3)
Amounts recognized in accumulated other comprehensive loss at December 31, 2013:			
Unrecognized actuarial loss/(gain)	\$ 10.7	\$	(7.6)

The postretirement benefit plan provides certain health care and life insurance benefits for retired employees.

The accumulated benefit obligation for the pension plan at December 31, 2013 was \$46.3 million, of which \$6.4 million was underfunded. The underfunded portion of the accumulated benefit obligation for the pension plan is included in the non-current portion of accrued retirement obligation on the Consolidated Balance Sheet. The accumulated postretirement benefit obligation at December 31, 2013 was \$2.3 million and \$0.4 million is included in the current portion of accrued retirement obligation and \$1.9 million is included in the accrued retirement obligation on the Consolidated Balance Sheet.

Notes to Consolidated Financial Statements (continued)

7. Employee Benefits (continued)

	Pension Benefits	Postretirement Benefits
Weighted-average assumptions used to determine	-	
obligations at December 31, 2013:		
Discount rate	4.90%	4.90%
Rate of compensation increase	N/A	N/A
Weighted-average assumptions used to determine net		
periodic benefit cost for year ended December 31,	•	
2013:		
Discount rate	4.00%	4.00%
Expected long-term return on plan assets	7.75%	N/A
Rate of compensation increase	N/A	N/A

The Exchange sets the discount rate assumption annually for its retirement-related benefit plan at the measurement date to reflect the yield of high-quality fixed-income debt instruments.

The Exchange's net periodic pension cost and other postretirement benefits costs include the following components:

	Pension Benefits		irement efits
	(In M	Iillions)	
Service cost	\$ · –	\$	
Interest cost	1.9		0.1
Expected return on plan assets	(2.9)		-
Recognized net actuarial loss	1.0		(0.5)
Net periodic benefit cost	\$ 	\$	(0.4)

The Exchange's expected long-term rate on pension plan assets of 7.75% is based on the aggregate historical returns of the investments that comprise the defined benefit plan portfolio. The investment strategy of the plan is to achieve an asset allocation balance within planned targets to preserve principal while obtaining an average 7.75% annual return for the long-term.

Notes to Consolidated Financial Statements (continued)

7. Employee Benefits (continued)

The Exchange's strategy is to fund its defined benefit pension plan obligations. The need for further contributions will be based on changes in the value of plan assets and the movements of interest rates during the year. The Exchange does not expect to contribute to the pension plan in 2013; however, additional contributions may be made to improve the funded status of the plan. The Exchange's pension plan asset allocation at December 31, 2013, and target allocation for 2014 by category are as follows:

Asset Category	Percentage of Plan Assets 2013	Target Allocation 2014
Equity securities	41%	40%
Fixed income securities Other investment strategies and cash	38% 21%	44% 16%

Asset allocations are reviewed quarterly and adjusted, as appropriate, to remain within target allocations. The investment policy is reviewed on an annual basis, with the advice of an investment consultant, to determine if the policy or asset allocation targets should be changed.

The fair value of the benefit plan assets at December 31, 2013, by asset category and fair value hierarchy, are as follows:

	Plan .	al Benefit Assets as of ember 31, 2013	Lev	el 1	Le	vel 2	Lev	vel 3
	(In N	Iillions)						
Equity securities	. \$.	16.3	\$	_	\$	16.3	\$	· _
Fixed income securities		15.4		_		15.4		
Other investment strategies					,			
and cash		8.3		3.2		_		5.1
Total benefit plan assets	\$	40.0	\$	3.2	\$	31.7	\$	、5.1

Notes to Consolidated Financial Statements (continued)

7. Employee Benefits (continued)

Equity securities include securities held in various classes of domestic, international and emerging market equities. Fixed income securities include investments in U.S. fixed income and emerging markets debt. Other investment strategies and cash include cash, securities held in multi-strategy hedge funds, and securities held in real estate funds. Securities held in multi-strategy hedge funds are held in multiple asset classes and include investments in equity and fixed income securities. Securities held in real estate funds include investments in a real estate exchange traded fund and an open-end commingled fund.

As of December 31, 2012, total benefit plan assets were held entirely in cash and cash equivalents due to a transition between investment managers and classified under Level 1 of the fair value hierarchy.

The change in Level 3 plan assets for the year ended December 31, 2013 is as follows:

	nber 31, 012	Purc	hases	Unre	let ealized ain	nber 31, 013
			(In Mi	llions)		
Other investment strategies						
Real estate investment	\$ 	\$	1.1	\$	-	\$ 1.1
Hedge fund investment			3.5		0.5	4.0
Total benefit plan assets	\$ 	\$	4.6	\$	0.5	\$ 5.1

All Level 3 pension plan assets are valued by our independent third party investment plan manager. The Level 3 plan assets in the real estate investment are valued using various valuation techniques which include the income capitalization approach, the sales comparison approach and the cost approach. The Level 3 plan assets in the hedge fund investment are valued based on the net asset value of the underlying investments.

The expected rate of return on plan assets for the benefit plans represents our long-term assessment of return expectations which may change based on significant shifts in economic and financial market conditions. The long-term rate of return on plan assets is derived from return assumptions determined based on asset classes held and weighted based on the current target allocation for each class. Over the long term, our investments in equity securities are expected to return between 7% and 10%, investments in fixed income securities are expected to return between 2% and 7%, other investment strategies are expected to return between 6% and 7%, and

Notes to Consolidated Financial Statements (continued)

7. Employee Benefits (continued)

cash is expected to return between 1% and 2%. While we considered the benefit plans' recent performance and other economic growth and inflation factors, which are supported by long-term historical data, the return expectations for each of these asset categories represents a long-term prospective return. Based on historical experience, we believe that the plans' asset managers overall will provide a modest (1% per annum) premium to their respective market benchmark indexes.

Asset allocations are reviewed quarterly and adjusted, as appropriate, to remain within target allocations. The investment policy is reviewed on an annual basis, under the advisement of an investment consultant, to determine if the policy or asset allocation targets should be changed.

The following assumed benefit payments, which reflect expected future service, as appropriate, are expected to be paid in the next ten fiscal years as follows:

				Pension Benefits		irement efits
			(In Millions)			
Fiscal year ended:		•				
2014	•		\$	1.7	\$	0.4
2015				1.7		0.3
2016				1.8		0.3
2017				1.8		0.3
2018	•			1.8		0.3
2019-2023				10.6		0.7
			\$	19.4	\$	2.3

Notes to Consolidated Financial Statements (continued)

7. Employee Benefits (continued)

Supplemental Executive Retirement Plan

The Exchange maintains nonqualified Supplemental Executive Retirement Plans ("SERP") for certain key executives. The SERP is unfunded. The funded status of the SERP (in millions) is as follows:

Benefit obligation, end of year	\$ 15.2
Change in plan assets	
Fair value of plan assets, beginning of year	\$ _
Employer contributions	1.2
Benefits paid	 (1.2)
Fair value of plan assets, end of year	\$ _
Funded status (unfunded), end of year	\$ (15.2)
Amounts recognized in accumulated other comprehensive loss: Unrecognized actuarial gain	\$ 0.5

The accumulated benefit obligation for the SERP at December 31, 2013 was \$15.2 million of which \$1.2 million is included in the current portion of accrued retirement obligation and \$14.0 million is included in accrued retirement obligation on the Consolidated Balance Sheet. Weighted-average assumptions used to determine benefit obligations at December 31, 2013:

Discount rate	4.90%
Rate of compensation increase	N/A

Weighted-average assumptions used to determine net periodic benefit cost for year ended December 31, 2013:

Discount rate	4	.00%
Expected long-term return on plan assets	N	I/A
Rate of compensation increase	N	Ī/A

The Exchange sets the discount rate assumption annually for its retirement-related benefit plan at the measurement date to reflect the yield of high-quality fixed-income debt instruments.

Notes to Consolidated Financial Statements (continued)

7. Employee Benefits (continued)

The Exchange's net periodic pension cost includes the following components (in millions):

Interest cost		\$	0.6
Recognized net actuarial loss			1.5
Net periodic benefit cost		\$	2.1

The following assumed benefit payments, which reflect expected future service, as appropriate, are expected to be paid in the next ten fiscal years as follows (in millions):

Fiscal year ended:	
2014	\$ 1.2
2015	1.2
2016	1.2
2017	1.2
2018	1.2
2019–2023	 5.9
	\$ 11.9

As part of the Exchange acquisition on July 24, 2008, the Exchange accrued for future tax liabilities to be incurred by certain senior executives related to future SERP payouts. At December 31, 2013, the Exchange had a remaining payable of \$7.7 million, which is included in accrued retirement obligation on the Consolidated Balance Sheet.

Savings Plan

The Exchange also participates in a voluntary defined contribution 401(k) plan that covers substantially all of the Exchange and its subsidiaries' employees. Employer contributions to this 401(k) plan were \$0.3 million during the year ended December 31, 2013, and are included in compensation and benefit expense on the Consolidated Statement of Income. The Exchange's plan was merged into the 401(k) plan of NASDAQ OMX effective January 1, 2009.

Notes to Consolidated Financial Statements (continued)

8. Share-Based Compensation

PHLX is part of the Parent's share-based compensation program that provides the Parent's Board of Directors broad discretion in creating employee equity incentives. As such, share-based compensation is allocated to PHLX from NASDAQ OMX. Grants of restricted stock, which includes awards and units, are designed to reward employees for their long-term contributions to PHLX and provide incentives for them to remain with PHLX. Restricted stock is generally time-based and vests over three to five-year periods beginning on the date of the grant.

Compensation expense for equity awards totaled \$1.4 million during the year ended December 31, 2013, and is included in compensation and benefits on the Consolidated Statement of Income. At December 31, 2013, \$3.1 million of total unrecognized compensation cost related to restricted stock and PSUs are expected to be recognized over a weighted-average period of 1.0 year.

9. Related-Party Transactions

PHLX engages in related party transactions with the Parent. Third party revenues earned by PHLX are collected on its behalf by the Parent resulting in receivables from affiliated companies. Additionally, expenses incurred by PHLX are settled on its behalf by the Parent or the Parent's other wholly-owned subsidiaries and allocated through intercompany charges resulting in payables to affiliated companies. At December 31, 2013, PHLX owed \$412.4 million to the Parent, representing day to day expenses associated with operating the exchange. This balance is presented as payable to affiliated companies, net on the Consolidated Balance Sheet.

PHLX and its Parent and affiliates share certain services such as accounting, finance, legal, and other administrative support. Expenses related to these shared services are allocated to the Exchange and its affiliates through intercompany charges based on an allocation model. These expenses represent amounts related to the Exchange's proportionate share of each expense incurred. Expenses allocated to the Exchange totaled \$10.6 million for the year ended December 31, 2013, and are included in general, administration, and other on the Consolidated Statement of Income.

Occupancy costs are based on leases and adjusted for allocations to/from NASDAQ OMX based on a corporate allocation model based on usage by entity. The Exchange allocated out \$1.5 million in expenses for the year ended December 31, 2013, which is included in occupancy on the Consolidated Statement of Income.

Notes to Consolidated Financial Statements (continued)

9. Related-Party Transactions (continued)

The Exchange incurs expenses relating to equities and options contracts routed to other external and internal venues on behalf of the Exchange by Nasdaq Execution Services, LLC ("NES") and NASDAQ Options Services, LLC ("NOS"), respectively, routing broker-dealer subsidiaries of the Parent, resulting in payables to affiliated companies. The Exchange also earns transaction and access fees from NES and NOS for equities and options contracts routed to PHLX, resulting in amounts receivable from affiliated companies. PHLX assessed \$0.4 million in transaction and access fees to NOS for options contracts routed to PHLX and \$3.3 million in transaction and access fees to NES for equity shares routed to PHLX.

10. Commitment and Contingencies

A Regulatory Services Agreement exists between PHLX, The NASDAQ Stock Market, LLC, and NASDAQ OMX BX Inc. that allows one Self-Regulatory Organization (SRO) to use the services of another SRO and its staff. Services are provided without cost or expense to the individual SRO.

Under our Limitation of Liability Rule and procedures, we, subject to certain caps, provide compensation for losses directly resulting from the systems' actual failure to correctly process an order, quote, message or other data into our platform. We do not record a liability for any potential claims that may be submitted under the Limitation of Liability Rule unless they meet the provisions required in accordance with U.S. GAAP. As such, losses arising as a result of the rule are accrued and charged to expense only if the loss is probable and estimable. The Limitation of Liability Rule and procedures apply to both cash equity and derivative trading in the aggregate. See discussion of derivative trading in Note 2.

General Litigation and Regulatory Matters

Self- Regulatory Organizations in the securities industry are an essential component of the regulatory scheme of the Securities Exchange Act of 1934 (the "Exchange Act"), for providing fair and orderly markets and protecting investors. The Exchange Act and the rules thereunder impose on the SROs many regulatory and operational responsibilities, including the day-to-day responsibilities for market and broker-dealer oversight. In general, an SRO is responsible for regulating its members through the adoption and enforcement of rules and regulations governing the business conduct of its members. A Regulatory Services Agreement exists between PHLX, The NASDAQ Stock Market, LLC, and NASDAQ OMX BX Inc. that allows one SRO to use the services of another SRO and its staff. Services are provided without cost or expense to the individual SRO.

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Notes to Consolidated Financial Statements (continued)

10. Commitment and Contingencies (continued)

The Exchange is subject to SEC oversight, as prescribed by the Exchange Act, including periodic and special examinations by the SEC. The Exchange is also potentially subject to regulatory or legal action by the SEC or other interested parties at any time in connection with alleged regulatory violations. The Exchange is also subject to Section 17 of the Exchange Act, which imposes record-keeping requirements, including the requirement to make records available to the SEC for examination. We have been subject to a number of routine reviews and inspections by the SEC or other third parties in the ordinary course and in connection with settlements with the SEC. To the extent such actions or reviews and inspections result in regulatory or other changes, we may be required to modify the manner in which we conduct our business, which may adversely affect our business.

FINRA provides regulatory services to the markets operated or regulated by the Exchange, including the regulation of trading activity and surveillance and investigative functions. We have a limited direct regulatory role in conducting real-time market monitoring, options surveillance, rulemaking and some membership functions through the Exchange's MarketWatch department. We refer suspicious trading behavior discovered by our regulatory staff and all other employees of the markets operated or regulated by the Exchange to FINRA for further investigation.

The National Futures Association ("NFA") and OCC provide certain regulatory services to NQF pursuant to regulatory services agreements.

PHLX is named as one of many defendants in a case captioned City of Providence v. BATS Global Markets, Inc., et al., 14 Civ. 2811 (S.D.N.Y.), which was filed on April 18, 2014 in the United States District Court for the Southern District of New York, and five other similar lawsuits. The plaintiff in City of Providence and two of the other cases have named as defendants sixteen national securities exchanges; fourteen of the largest brokerage firms in the United States; and twelve financial-services firms whose primary business allegedly is engaging in high-frequency trading. The plaintiffs allege that the defendants engaged in a scheme to manipulate the securities markets through high-frequency trading; they assert claims against us under Section 10(b) of the Securities Exchange Act of 1934, or the Act, and Rule 10b-5, as well as under Section 6(b) of the Act. The plaintiff in three other cases has alleged breach of contract and related theories in connection with the distribution of market data to firms engaged in high-frequency trading and other market participants. Given the preliminary nature of the proceedings, we are unable to estimate what, if any, liability may result from this litigation. However, we believe the claims to be without merit and intend to litigate them vigorously.

Notes to Consolidated Financial Statements (continued)

10. Commitment and Contingencies (continued)

PHLX may be involved in litigation arising in the normal course of business. Except as disclosed above, PHLX is not a party to any litigation that management believes could have a material adverse effect on PHLX's business, financial condition, or operating results. Consequently, no legal reserve has been recorded or is deemed necessary as of December 31, 2013.

Operating Leases

Rental expense was \$1.8 million for the year ended December 31, 2013 and is reflected in occupancy on the Consolidated Statement of Income. The Exchange has classified amounts totaling \$0.5 million as deferred credits at December 31, 2013. The deferred rent credit represents the tenant improvement allowance paid to the Exchange and will be amortized over the life of the lease.

The Exchange's minimum future annual rental obligations, exclusive of insurance, maintenance, and other costs, applicable to existing non-cancelable operating leases with third parties, are as follows (in millions):

Year ending December 31:		
2014		\$ 2.6
2015		2.6
2016		2.7
2017	•	 2.7
2018		2.7
2019 and thereafter		8.9
		\$ 22.2

11. Risks and Uncertainties

Our market participants operate in a highly regulated industry. The SEC and other regulatory authorities could impose regulatory changes that could adversely impact the ability of our market participants to use our markets. Regulatory changes by the SEC or other regulatory authorities could result in the loss of a significant number of market participants or a reduction in trading activity on the exchange.

Notes to Consolidated Financial Statements (continued)

11. Risks and Uncertainties (continued)

The markets in which we compete are characterized by rapidly changing technology, evolving industry standards, frequent enhancements to existing products and services, the adoption of new services and products and changing customer demands. We may not be able to keep up with rapid technological and other competitive changes affecting our industry. For example, we must continue to enhance our electronic trading platforms to remain competitive, and our business will be negatively affected if our electronic trading platforms fail to function as expected. If we are unable to develop our electronic trading platforms to include other products and markets, or if our electronic trading platforms do not have the required functionality, performance, capacity, reliability and speed required by our business, as well as by our customers, we may not be able to compete successfully. Further, our failure to anticipate or respond adequately to changes in technology and customer preferences, especially in our market technology business, or any significant delays in product development efforts, could have a material adverse effect on our business, financial condition and operating results.

PHLX is exposed to credit risk related to transaction, access, and market data services that are billed to customers on a monthly basis, in arrears. PHLX's potential exposure to credit losses on these transactions is represented in the receivables, net of reserve for uncollectible accounts balance on the Consolidated Balance Sheet. PHLX customers are financial institutions whose ability to satisfy their contractual obligations may be impacted by volatile securities markets. On an ongoing basis, management reviews and evaluates changes in the status of the counterparty's creditworthiness. Credit losses such as those described above could adversely affect PHLX's consolidated financial position and results of operations.

Our business is subject to extensive regulation. Under current U.S. federal securities laws, changes in the rules and operations of our markets, including our pricing structure, must be reviewed and in many cases explicitly approved by the SEC. The SEC may approve, disapprove, or recommend changes to proposals that we submit. In addition, the SEC may delay either the approval process or the initiation of the public comment process. Any delay in approving changes, or the altering of any proposed change, could have an adverse effect on our business, financial condition and operating results. We must compete not only with ATSs, known collectively as dark pools, that are not subject to the same SEC approval process but also with other exchanges that may have lower regulation and surveillance costs than us. There is a risk that trading will shift to exchanges that charge lower fees because, among other reasons, they spend significantly less on regulation.

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Notes to Consolidated Financial Statements (continued)

12. Fair Value of Financial Instruments

The carrying amounts reported on the Consolidated Balance Sheet for cash and cash equivalents approximate fair value based on the on-demand nature. Other assets and liabilities with short and intermediate-term maturities and defined settlement amounts, including receivables, net of reserve for uncollectible accounts, tax receivable, other current assets, other assets, accounts payable and accrued expenses, accrued personnel costs, payables to affiliated companies, net, Section 31 fees payable to the SEC, other accrued liabilities, and other liabilities, are reported at their contractual amounts, which approximate fair value due to their short term nature.

13. Subsequent Events

The Exchange has evaluated subsequent events through the date the consolidated financial statements were available to be issued and has determined that there are no known events that require disclosure in the consolidated financial statements or accompanying notes in accordance with ASC 855, Subsequent Events.

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