

RECEIVED 2014 JUN 30 PM 1: 03 SEC / MR

June 27, 2014

<u>Via Overnight Mail</u>

U.S. Securities and Exchange Commission Division of Trading and Markets Office of Market Supervision 100 F Street, N.E. Washington, DC 20549

Re: Form 1 – Amendment of June 27, 2014

Dear Sir of Madam:

Pursuant to Rule 6a-2 under the Securities Exchange Act of 1934, NASDAQ OMX BX, Inc. is submitting this annual amendment to Form 1. If you have any questions, please call me at 1-301-978-8497 or Jonathan Cayne at 1-301-978-8493.

Sincerely,

John M. Yetter Vice President, Office of General Counsel

NASDAO OMX

John Yetter

Vice President, Office of General Counsel 805 King Farm Boulevard Rockville, MD 20850 P: (301) 978-8400 F: (301) 978-8472

> SEC Mail Processing Section JUN 302014

Wasnington DC 404

NASDAQ OMX BX, INC.

FORM 1 - Amendment of June 27, 2014

RECEIVED 2014 JUN 30 PM 1: 02 SEC / MR

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U.S. Securities and Exchange Commission Division of Trading and Markets Office of Market Supervision 100 F Street, N.E. Washington, DC 20549

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Fo	orm 1	UNITED STATES SECURITIES AND EXCHANGE COMMISSION	Date fil		OFFICIAL USE
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	cution	APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION	BUZ	FIR	
P	age	FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT	9	·/ (
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		ailure to keep this form current and to file accurate supplementary information on a timely basis,	or the fail	ure to	
WA	HNING: Fa	alure to keep this form current and to me accurate supplementally internation of a through a point of a through a books and records or otherwise to comply with the provisions of law applying to the conduct of	the appli	cant	
Kee	Id violate	the federal securities laws and may result in disciplinary, administrative, or criminal action.		SEC	
	INT	ENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLAT	IONS	Proces	sing
			141001.	section	
		NASDAQ OMX BX, Inc.		-	
1.	State the	e name of the applicant:	JUN	3020	1 14
2.	Provide	the applicant's primary street address (Do not use a P.O. Box):	Manin	in a.t.	
		perty Plaza, New York NY 10006		ington	PC
				404	
3.	Provide	the applicant's mailing address (if different):			
	Office	of General Counsel 805 King Farm Blvd., Rockville Md 20850			1
			,		
4.	Provide	the applicant's business telephone and facsimile number:		20	
1				-	1
	Phone	= 301-978-8400 / Fax = 301-978-8472	S	2014 JUN 30	招
		(Telephone) (Facsimile)	Ö	<u>ح</u>	6
5.	Provide	the name, title, and telephone number of a contact employee:		õ	ĥ
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	<u>John Y</u>			PR ;	1
1		(Name) (Title) (Telephone Number)		1: 02	\mathbb{P}
6.	Provide	the name and address of counsel for the applicant:		0	
		d S. Knight 805 King Farm Blvd., Rockville MD 20850		<u>.</u> ~	
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	Durandata	December 31	<u> </u>		
7.	Provide	the date applicant's fiscal year ends:			
8.	Indicate	legal status of applicant: Corporation Sole Proprietorship	Partners	ship	
0.	mulcale	Limited Liability Company D Other (specify):	·		-
	16	than a sole proprietor, indicate the date and place where applicant obtained its legal state	atus (e.a	. state	
	If other	re incorporated, place where partnership agreement was filed or where applicant entity	/ was for	med):	
					-
	(a) Date	e (MM/DD/YY): 12/16/2005 (b) State/Country of formation: Delaware			
					-
ł		ute under which applicant was organized: Delaware Limited Liability Company Act			· ·
EX	ECUTIO	N: The applicant consents that service of any civil action brought by , or notice of any proceeding before, t	ne Securiti	es anu	
Exc	hange Con	mission in connection with the applicant's activities may be given by registered or certified mail or confirm	ed telegral	iret dulv	
app	licant's co	ntact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned	unders in	ned and	
swo	orn, depose	is and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The esent that the information and statements contained herein, including exhibits, schedules, or other docume	nts att ach	ed hereto	ı
app	licant repre	mation filed herewith, all of which are made a part hereof, are current, true, and complete			
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1		This page must always be completed in full with original, manual signature and notation Affix notary stamp or seal where applicable. Montgomery	County: N	aryland	ļ
		Amx notary stamp or seal where applicable. Montgomery	n Expires 1	/29/2016	

NASDAQ OMX BX, INC.

EXHIBITS

Exhibit A Articles of Incorporation, By-Laws, and Rules

The information is available at <u>http://nasdaqomx.cchwallstreet.com</u>. The Exchange certifies that the information available at such location is accurate as of its date.

<u>Exhibit B</u> All Written Rulings, Settled Practices having the effects of Rules and Interpretations.

The information is available at <u>http://nasdaqomx.cchwallstreet.com</u>. The Exchange certifies that the information available at such location is accurate as of its date.

<u>Exhibit C</u> Subsidiaries and Affiliates of the Exchange (as of 4/15/14)

All information required under Exhibit C for the subsidiaries and affiliates of the Exchange is listed in the attachment for Exhibit C and is kept up-to-date and available to the Commission and the public upon request. The Exchange certifies that the information available at such location is accurate as of its date.

<u>Exhibit D</u> Financial Statements for each Subsidiary and Affiliate of the Exchange (as of 4/15/14)

The unconsolidated financial statements for the latest fiscal year that is required under Exhibit D for the subsidiaries and affiliates of the Exchange is listed in the attachment for Exhibit D and is kept up-to-date and available to the Commission and the public upon request.

Exhibit E Operation of Electronic Trading System

Not applicable.

Exhibit F Forms: Membership and Member

The information is available at <u>http://www.nasdaqtrader.com/Trader.aspx?id=nasdaq_bx</u>. The Exchange certifies that the information available at such location is accurate as of its date.

Exhibit G Forms: Financial Statements, Reports, or Questionnaires

This information is kept up-to-date and available to the Commission and the public upon request.

Exhibit H Forms: Company Listings

The information is available at <u>http://nasdaqomx.cchwallstreet.com</u>. The Exchange certifies that the information available at such location is accurate as of its date.

Exhibit I Financial Statement of Exchange

The audited financial statements for NASDAQ OMX BX are attached. The audited financial statements for The Nasdaq Stock Market Educational Foundation, Inc. will be completed shortly and will be filed upon completion. The audited financial statements contained in the Form 10-K for The NASDAQ OMX Group, Inc. for the fiscal year ended December 31, 2013 are attached and are available at http://www.sec.gov/Archives/edgar/data/1120193/00011201931400000 2/ndaq-20131231x10k.htm

Exhibit J List of Officers, Governors, and Members of Standing Committees

This information is kept up-to-date and available to the Commission and the public upon request.

Exhibit K List of Shareholders or Partners of the Exchange

1. Full legal name;

2. Title or Status;

3. Date title or status was acquired;

4. Approximate ownership interest; and

5. Whether the person has control, a term that is defined in the instructions to this Form.

The NASDAQ OMX Group, Inc. is the sole shareholder of NASDAQ OMX BX, Inc., a Delaware corporation. All additional information required under Exhibit K for The NASDAQ OMX Group, Inc. can be found in the Amendments to Form 1 filed separately by The NASDAQ Stock Market, LLC. NASDAQ OMX BX certifies that the information required under Exhibit K is kept up-to-date and is available to the Commission and the public upon request.

Exhibit L Membership Criteria.

The information is available at <u>http://nasdaqomx.cchwallstreet.com</u>. The Exchange certifies that the information available at such location is accurate as of its date.

Exhibit M Membership Information

This information is kept up-to-date and available to the Commission and the public upon request.

Exhibit N Schedule of Securities

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This information is kept up-to-date and available to the Commission and the public upon request.

NASDAQ OMX BX, INC.

FORM 1 - Amendment of June 27, 2014

EXHIBIT C

Subsidiaries and Affiliates of the Exchange¹

U.S. Entities

1. Boston Stock Exchange Clearing Corporation

2. Bwise Internal Control Inc.

3. Consolidated Securities Source LLC

4. Directors Desk, LLC

5. Execution Access, LLC

6. FinQloud LLC

7. FINRA/NASDAQ Trade Reporting Facility LLC

8. FTEN, Inc.

9. GlobeNewswire, Inc.

10. Inet Futures Exchange, LLC

11. Kleos Managed Services Holdings, LLC

12. Kleos Managed Services, L.P.

13. Nasdag Execution Services, LLC

14. NASDAQ Futures, Inc.

15. NASDAQ Global, Inc.

16. Nasdaq International Market Initiatives, Inc.

17. NASDAQ OMX BX Equities LLC

18. NASDAQ OMX BX, Inc.

19. NASDAQ OMX Commodities Clearing Company (merged out of existence in 2014)

20. NASDAQ OMX Commodities Clearing LLC

21. NASDAQ OMX Corporate Solutions, Inc.

22. NASDAQ OMX Corporate Solutions, LLC

23. NASDAQ OMX Event Technologies LLC

24. NASDAQ OMX Information, LLC

25. NASDAQ OMX PHLX LLC

26. NASDAQ Private Market, LLC

27. NASDAQ OMX (San Francisco) Insurance LLC

28. NASDAQ Options Services, LLC

29. Nasdaq Technology Services, LLC

30. Norway Acquisition LLC

31. NPM Securities, LLC

32. OM Technology (US) Inc.

33. OMX (US) Inc.

34. The NASDAQ OMX Group Educational Foundation, Inc.

35. The NASDAQ OMX Group, Inc.

36. The NASDAQ Options Market LLC

37. The Stock Clearing Corporation of Philadelphia

Non-U.S. Entities

1. AB NASDAQ OMX Vilnius

¹ This list does not include branches of any of the subsidiaries or affiliates.

2. AS eCSD Expert

3. AS Eesti Väärtpaberikeskus

4. AS Latvijas Centralais depozitarijs

5. AS OMX Registrikeskus (closed in 2013)

6. Bwise Beheer BV

7. Bwise BV

8. Bwise Development BV

9. Bwise Germany GmbH

10. Bwise Holding BV

11. Bwise U.K. Ltd

12. "Central Depository of Armenia" Open Joint Stock Company

13. Clearing Control CC AB

14. Egypt for Information Dissemination Company

15. Eignarhaldsfelagid Verdbrefathing hf.

16. European Central Counterparty N.V.

17. Findata AB (dissolved in 2013)

18. FTEN Europe Ltd

19. Hugin AS

20. Indxis Ltd

21. Lithuanian Central Securities Depositary

22. Mamato Motion AB (merged out of existence in 2013)

23. Nasdaq Canada Inc.

24. Nasdaq International Ltd

25. "NASDAQ OMX Armenia" Open Joint Stock Company

26. NASDAQ OMX Australia Holding Pty Ltd

27. NASDAQ OMX Broker Services AB

28. NASDAQ OMX Clearing AB

29. NASDAQ OMX Copenhagen A/S

30. NASDAQ OMX Corporate Solutions (India) Private Limited

31. NASDAQ OMX Corporate Solutions International Limited

32. NASDAQ OMX Derivatives A/S

33. NASDAQ OMX Exchange and Clearing Services AB

34. NASDAQ OMX Europe Ltd

35. NASDAQ OMX France SAS

36. NASDAQ OMX Germany GmbH

37. NASDAO OMX Helsinki Ltd

38. NASDAQ OMX Holding AB

39. NASDAQ OMX Holding Denmark A/S

40. NASDAQ OMX Holding Luxembourg Sarl

41. NASDAQ OMX Iceland hf.

42. NASDAQ OMX Korea Ltd.

43. NASDAQ OMX NLX Ltd

44. NASDAQ OMX Nordic Ltd

45. NASDAQ OMX Oslo ASA

46. NASDAQ OMX Riga, AS

47. NASDAQ OMX (South East Asia & Pacific) Pte. Ltd.

48. NASDAQ OMX Stockholm AB

49. NASDAQ OMX Tallinn AS

50. Nord Pool AB (shut down in 2013)

51. NOS Clearing ASA (merged out of existence in 2014)

52. OMX AB

53. OMX Capital Insurance AG

54. OMX Ltd

55. OMX Netherlands BV

56. OMX Netherlands Holding BV

57. OMX Pty Ltd

58. OMX Technology AB

59. OMX Technology Canada Inc.

60. OMX Technology Energy Systems AS

61. OMX Technology (Ireland) Ltd

62. OMX Technology Italy Srl

63. OMX Technology Japan Ltd

64. OMX Technology Ltd

65. OMX Technology (UK) Ltd

66. OMX Treasury AB

67. OMX Treasury Euro AB

68. OMX Treasury Euro Holding AB

69. Quietus Advice K & W nr 505 AB (merged out of existence in 2013)

70. Shareholder.com B.V.

71. SMARTS (Asia) Ltd

72. SMARTS Broker Compliance Pty Ltd

73. SMARTS Group Europe Ltd

74. SMARTS Group Holdings Pty Ltd

75. SMARTS Market Surveillance Pty Ltd

76. TOM Broker B.V.

77. TOM B.V.

78. TOM Holding N.V.

79. Verdbrefaskraning Islands hf.

80. Zoom Vision Mamato AB (merged out of existence in 2013)

81. Zoom Vision Mamato ApS (shut down in 2013)

NASDAQ OMX BX, INC.

FORM 1 - Amendment of June 27, 2014

EXHIBIT D

Unconsolidated Balance Sheet - The NASDAQ Stock Market LLC

Assets Current Assets: 0 Cash & Equivalents 5 587 Investments 0 0 Total Receivables - Net 123,643 Current Deferred Tax 11,350 Current Restricted Cash 0 Other Current Assets 294,016 Margin Deposits & Default Fund 0 Total Restricted Cash 0 Other Current Assets 292,364 Other Current Assets 21,564 Other Current Assets 22,564 Other Current Assets 22,564 Other Current Assets 32,681 Total Assets 32,681 Total Assets 31,384 SEC 31n Payable to the SEC 64,490 Accrued Personnel Costs 35,767 Deferred Revenue 46,376 Current Deferred Tax Liability 2,885 Other Current Liabilities 206,278 Total AP and Accrued Expenses \$ 31,384 SEC 31n Payable to the SEC 64,490 Accrued Personnel Costs 0 Current Deferred Tax Liability 2,885 Other Current Liabilities 206,278 Total AD and contingencies 206,278 Total Labilities 375,164 Common Stock in Treasury Total <th>(in thousands, unaudited)</th> <th></th> <th>te i the deal</th>	(in thousands, unaudited)		te i the deal
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Non-Current Restricted Cash0Other Long Term Restricted Cash32,681Total Assets\$1,562,807Liabilities and equity\$1,384Current liabilities:\$31,384SEC 31a Payable to the SEC64,490Accrued Personnel Costs35,767Deferred Revenue46,376Current Deferred Revenue0Current Deferred Tax Liability2,385Other Long Term Debt0Current Deferred Tax Liability25,376Total Long Term Debt0Non Current Deferred Tax Liability0,622Non-current deferred revenue0Other Liabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Other Intangibles		
Solution restricted cashOther Long Term Assets32,681Total Assets\$ 1,562,807Liabilities and equity\$ 31,384Current liabilities:Total AP and Accrued ExpensesTotal AP and Accrued Expenses\$ 31,384SEC 31a Payable to the SEC64,490Accrued Personnel Costs35,767Deferred Revenue46,376Current Deferred Tax Liability2,885Other Current Liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability90,622Non current Deferred Tax Liability90,622Non-current deferred revenue70,840Other tiabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Equity1,187,643Noncortolling interests0Total Equity1,187,643	Non Current Deferred Taxes		27,670
32,681Total Assets\$ 1,562,807Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 31,384SEC 31a Payable to the SEC64,490Accrued Personnel Costs35,767Deferred Revenue46,376Current Deferred Revenue0Current Deferred Tax Liability2,885Other Current Liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability90,622Non-current deferred revenue70,840Other liabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Long Term Restricted Cash		0
Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 31,384SEC 31a Payable to the SEC64,490Accrued Personnel Costs35,767Deferred Revenue46,376Current Portion of Long Term Debt0Current Deferred Tax Liability2,885Other Current Liabilities20,6278Total current liabilities0Non Current Deferred Tax Liability90,622Non current Deferred Tax Liability90,622Non current deferred revenue70,840Other liabilities375,164Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Equity1,187,643Noncontrolling interests0Total Equity1,187,643	-	_	
Current liabilities:\$ 31,384SEC 31a Payable to the SEC64,490Accrued Personnel Costs35,767Deferred Revenue46,376Current Deferred Tax Liability2,885Other Current Liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability90,622Non-current Deferred Tax Liability90,622Non-current deferred revenue70,840Other liabilities7,424Total Liabilities7,424Total Liabilities0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Total Assets		§ 1,562,807
Current liabilities:\$ 31,384SEC 31a Payable to the SEC64,490Accrued Personnel Costs35,767Deferred Revenue46,376Current Deferred Tax Liability2,885Other Current Liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability90,622Non-current Deferred Tax Liability90,622Non-current deferred revenue70,840Other liabilities7,424Total Liabilities7,424Total Liabilities0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643			
Total AP and Accrued Expenses\$ 31,384SEC 31a Payable to the SEC64,490Accrued Personnel Costs35,767Deferred Revenue46,376Current Portion of Long Term Debt0Current Deferred Tax Liability2,885Other Current Liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability90,622Non-current Deferred Tax Liability90,622Non-current deferred revenue70,840Other liabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643			i.
SEC 31a Payable to the SEC64,490Accrued Personnet Costs35,767Deferred Revenue46,376Current Portion of Long Term Debt0Current Deferred Tax Liability2,885Other Current Liabilities225,376Total current liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability90,622Non-current deferred revenue70,840Other tiabilities7,424Total Liabilities375,164Comminents and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	· · · · · ·		n 21.20 <i>4</i>
Accrued Personnel Costs35,767Deferred Revenue46,376Current Portion of Long Term Debt0Current Deferred Tax Liability2,885Other Current Liabilities25,376Total current liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability90,622Non-current deferred revenue70,840Other tiabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	•		
Interfed Revenue46,376Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability2,885Other Current Liabilities206,278Total current liabilities0Non Current Deferred Tax Liability90,622Non current Deferred Tax Liability90,622Non-current deferred revenue70,840Other tiabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Equity1,187,643Noncontrolling interests0Total Equity1,187,643	•	· •	
Definition for Long Term Debt0Current Deferred Tax Liability2,885Other Current Liabilities25,376Total current liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability90,622Non-current deferred revenue70,840Other Liabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Equity1,187,643Noncontrolling interests0Total Equity1,187,643			•
Current Deferred Tax Liability2,885Other Current Liabilities25,376Total current liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability90,622Non-current deferred revenue70,840Other Liabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Equity1,187,643Noncontrolling interests0Total Equity1,187,643	Deferred Revenue		•
Other Current Liabilities25,376Total current liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability90,622Non-current deferred revenue70,840Other liabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Equity1,187,643Noncontrolling interests0Total Equity1,187,643	Current Portion of Long Term Debt		· · · ·
Total current liabilities206,278Total Long Term Debt0Non Current Deferred Tax Liability90,622Non-current deferred revenue70,840Other liabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Current Deferred Tax Liability		•
Total Long Term Debt0Non Current Deferred Tax Liability90,622Non-current deferred revenue70,840Other liabilities7,424Total Liabilities375,164Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total Stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Other Current Liabilities		and the second
Non Current Deferred Tax Liability90,622Non-current deferred revenue70,840Other liabilities7,424Total Liabilities375,164Commitments and contingencies375,164EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Total current liabilities		206,278
Non-current deferred revenue70,840Other liabilities7,424Total Liabilities375,164Commitments and contingencies375,164EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Total Long Term Debt		0
Total Liabilities7,424Other liabilities375,164Commitments and contingencies375,164EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Non Current Deferred Tax Liability		90,622
Other liabilities7,424Total Liabilities375,164Commitments and contingencies375,164EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Non-current deferred revenue		70,840
Commitments and contingencies EQUITY Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings Total stockholders' equity Noncontrolling interests 0 Total Equity			7,424
EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Total Liabilities		375,164
EQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	Commitments and contingension		
Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital904,491Accumulated Other Comprehensive Income/(Loss)0Retained earnings283,153Total stockholders' equity1,187,643Noncontrolling interests0Total Equity1,187,643	· · · · · · · · · · · · · · · · · · ·		
Common Stock In Treasury Found 0 Common Stock Total 0 Additional Paid in Capital 904,491 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 283,153 Total stockholders' equity 1,187,643 Noncontrolling interests 0 Total Equity 1,187,643	-		n
Additional Paid in Capital 904,491 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 283,153 Total stockholders' equity 1,187,643 Noncontrolling interests 0 Total Equity 1,187,643	· · · · · · · · · · · · · · · · · · ·		-
Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 283,153 Total stockholders' equity 1,187,643 Noncontrolling interests 0 Total Equity 1,187,643			•
Retained carnings 283,153 Total stockholders' equity 1,187,643 Noncontrolling interests 0 Total Equity 1,187,643	•		
Total stockholders' equity 1,187,643 Noncontrolling interests 0 Total Equity 1,187,643	-		
Noncontrolling interests 0 Total Equity 1,187,643	-	· _	
Total Equity			
	-	-	
Total liabilities and equity \$ 1,562,807	• •	•••	······································
	Total liabilities and equity	=	\$ 1,562,807

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - The NASDAQ Stock Market LLC

· · · · · · · · · · · · · · · · · · ·	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 1,231,726
Global Listing Services	171,299
Global Information Services	197,582
Corporate Solutions	13,066
Market Technology Revenues	0
Other Revenues	17,598
Total Revenues	1,631,270
Total Revenues	
Cost of revenues	
Transaction rebates	(814,868)
Brokerage, Clearance and Exchange Fees	(218,336)
Total Cost of Revenues	(1,033,204)
Revenues less transaction rebates, brokerage, clearance and	exchange
fees	598,066
Operating Expenses	110 272
Compensation and Benefits	118,273
Marketing and Advertising	15,985
Depreciation and amortization	32,779
Professional and contract services	17,813
Computer operations and data communications	27,835
Occupancy	18,928
Regulatory	12,331
General, administrative and other	119,187
Merger expenses	3,650
Total operating expenses	366,781
Operating Income	231,286
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	, 0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	231,286
Income tax provision	81,901
Net Income	149,385
Net (income) expense attributable to noncontrolling interests	0
Net (income) expense all indicate to holicomroning interests	\$ 149,385

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Boston Stock Exchange Clearing Corporation

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 53
Investments	0
Total Receivables - Net	0
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	15
Margin Deposits & Default Fund	0
Total Current Assets	68
Total Property and Equipment - Net	. 0
Goodwill	0
Other Intangibles	1,400
Non Current Deferred Taxes	0
Long Term Restricted Cash	0
Other Long Term Assets	10
Total Assets	\$ 1,478
	······································
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 0
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	0
· · · ·	0
Deferred Revenue	ů ·
Current Portion of Long Term Debt	ů 0
Current Deferred Tax Liability	(58)
Other Current Liabilities	(58)
Total current liabilities	
Total Long Term Debt	0
Non Current Deferred Tax Liability	564
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	505
·	
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	0
Additional Paid in Capital	2,204
Accumulated Other Comprehensive Income/(Loss)	. 0
Retained earnings	(1,231)
Total stockholders' equity	973
Noncontrolling interests	00
Total Equity	973
Total liabilities and equity	<u>\$ 1,478</u>

Unconsolidated Statement of Income - Boston Stock Exchange Clearing Corporation

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	
Other Revenues	<u>0</u>
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
	· ·
Revenues less transaction rebates, brokerage, clearance and exch	ange 0
fees	<u>_</u>
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	I
Merger expenses	0
Total operating expenses	1
Operating Income	(1)
Interest income	, 0
Interest expense	0
Gain (loss) on sale of strategic initiatives	. 0
Dividend and investment income	0
Income from unconsolidated investees, net	0
	0
Impairment Loss	. 0
FX Operating	(1)
Income before income taxes	9
Income tax provision	9
Net Income	(10)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (10)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise Internal Control Inc.

(in thousands, unaudited)	Year En December 3	
Assets		
Current Assets:		
Cash & Equivalents	\$	558
Investments		0
Total Receivables - Net		3,351
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		296
Margin Deposits & Default Fund		·0
Total Current Assets		4,205
Total Property and Equipment - Net		(683)
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	3,522
Total Assets	.	
n + . r. + P*a*		
Liabilities and equity		· .
Current liabilities:	\$	4,356
Total AP and Accrued Expenses	Ð	4,550 0
SEC 31a Payable to the SEC		312
Accrued Personnel Costs		2,138
Deferred Revenue		2,136
Current Portion of Long Term Debt		-
Current Deferred Tax Liability	2	0
Other Current Liabilities		342
Total current liabilities		7,148
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		7,148
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital	κ.	(2,315)
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		(1,312)
Total stockholders' equity		(3,626)
Noncontrolling interests		0
Totat Equity		(3,626)
Total liabilities and equity	\$	3,522
totar maximos and oquay		

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Bwise Internal Control Inc.

(in thousands, unaudited)	Maan Fra	المماد	
	Year Ended December 31, 2013		
	Decentioer 3	1, 2013	
Revenues			
Market Services Revenues	\$	0	
	ψ	0 0	
Global Listing Services		0 · ·	
Global Information Services		0	
Corporate Solutions		7,257	
Market Technology Revenues			
Other Revenues		37	
Total Revenues		7,294	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees	. <u></u>	0	
Total Cost of Revenues		0	
	•		
Revenues less transaction rebates, brokerage, clearance and exchange		7 00 4	
fees		7,294	
Operating Expenses			
Compensation and Benefits		4,873	
Marketing and Advertising		356	
Depreciation and amortization		0	
1		353	
Professional and contract services		321	
Computer operations and data communications		438	
Occupancy		438	
Regulatory		•	
General, administrative and other		974	
Merger expenses		0	
Total operating expenses		7,316	
Operating Income		(22)	
Interest income		3	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		(19)	
Income tax provision		4	
Net Income		(23)	
Net (income) expense attributable to noncontrolling interests	,	(25)	
	\$	(23)	
Net income attributable to Company	.џ	(42)	

Unconsolidated Financial Statements - Consolidated Securities Source LLC

Note: Consolidated Securities Source LLC is a new entity in 2014 therefore there is no data available for 2013

Unconsolidated Balance Sheet - Directors Desk, LLC

(in thousands, unaudited)		Year Ei December 1	
Assets			
Current Assets:		\$	0
Cash & Equivalents		3	0
Investments			I,177
Total Receivables - Net			87
Current Deferred Tax			0
Current Restricted Cash			-
Other Current Assets			10,943
Margin Deposits & Default Fund		·····	0
Total Current Assets			12,208
Total Property and Equipment - Net	•		390
Goodwill			6,573
Other Intangibles			614
Non Current Deferred Taxes			27
Long Term Restricted Cash			0
Other Long Term Assets			0
Total Assets		\$	19,812
and a defect of a second second data			
Liabilities and equity			
Current liabilities:		\$	98
Total AP and Accrued Expenses		3	90 0
SEC 3 ta Payable to the SEC		· .	•
Accrued Personnel Costs			66
Deferred Revenue			4,873
Current Portion of Long Term Debt			0
Current Deferred Tax Liability		· · · · · · · · · · · · · · · · · · ·	0
Other Current Liabilities			38
Total current liabilities			5,075
Total Long Term Debt			0
Non Current Deferred Tax Liability			1,133
Non-current deferred revenue			0
Other liabilities			0
Total Liabilities			6,208
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total			0
			0
Common Stock Total Additional Paid in Capital			4,581
Accumulated Other Comprehensive Income/(Loss)			0
			9,023
Retained earnings			13,604
Total stockholders' equity			13,004
Noncontrolling interests			
Total Equity			13,604
Total liabilities and equity		\$	19,812

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Directors Desk, LLC

	Year Ended December 31, 2013
Revenues	· ·
Market Services Revenues	\$ 0
Global Listing Services	(14)
Global Information Services	0
Corporate Solutions	11,346
Market Technology Revenues	0
Other Revenues	0
Total Revenues	11,332
Cost of revenues	
Transaction rebates	. 0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and excha	nge
fccs	11,332
Operating Expenses	·
Compensation and Benefits	621
Marketing and Advertising	257
Depreciation and amortization	159
Professional and contract services	37
Computer operations and data communications	65
Occupancy	253
Regulatory	0
General, administrative and other	881
Merger expenses	0
Total operating expenses	2,274
Operating Income	9,058
Interest income	,,000
	0
Interest expense	ů O
Gain (loss) on sale of strategic initiatives Dividend and investment income	0
	. 0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	9,058
Income before income taxes	· · · · · · · · · · · · · · · · · · ·
Income tax provision	3,813
Mind Marganese	5,245
Net Income	3,243
Net (income) expense attributable to noncontrolling interests	\$ 5,245
Net income attributable to Company	<u>\$ 5,245</u>

Unconsolidated Balance Sheet - Execution Access, LLC

(in thousands, unaudited)

		Year E December	
Assets			
Assets Current Assets:			
Cash & Equivalents		\$	54,449
Investments		-	0
Total Receivables - Net			2,476
Current Deferred Tax			0
Current Restricted Cash			250
Other Current Assets			20,000
Margin Deposits & Default Fund			0
Total Current Assets			77,175
Total Property and Equipment - Net			0
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets		. •	0
Total Assets		\$	77,175
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	351
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			936
Deferred Revenue	•		333
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			0
Other Current Liabilities	•		1,420
Total current liabilities			3,040
Total Long Term Debt			0
Non Current Deferred Tax Liability			0
Non-current deferred revenue			0
Other liabilities			0
Total Liabilities			3,040
Characterized and the manine			•
Commitments and contingencies			
EQUITY			0
Common Stock in Treasury Total			õ
Common Stock Total			50,855
Additional Paid in Capital			0.655
Accumulated Other Comprehensive Income/(Loss)		•	•
Retained earnings		<u> </u>	23,280
Total stockholders' equity			74,135
Noncontrolling interests			74 125
Total Equity			74,135
Total liabilities and equity	2	\$	77,175

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Unconsolidated Statement of Income - Execution Access, LLC

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 28,997
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	28,997
Cost of revenues	•
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	(2,119)
Total Cost of Revenues	(2,119)
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	26,878
1003	
Operating Expenses	
Compensation and Benefits	1,940
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	305
Computer operations and data communications	4.
Occupancy	2
Regulatory	0
General, administrative and other	662
Merger expenses	514
Total operating expenses	3,428
Operating Income	23,450
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
•	0
FX Operating Income before income taxes	23,450
Income tax provision	
income an provision	
Net Income	23,450
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 23,450

The NASDAQ OMX Group, Inc. Unconsolidated Financial Statements - FinQloud LLC

Note: FinQloud LLC (formerly Urban Labs LLC) did not have any acitivity in 2011 - 2013.

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - FINRA/NASDAQ Trade Reporting Facility LLC

		r Ended ber 31, 2013
		•
Assets		
Current Assets:	•	
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		9,074
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		14,717
Margin Deposits & Default Fund		0
Total Current Assets		23,792
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		. 0
Other Long Term Assets		0
Total Assets	\$	23,792
Liabilities and equity		,
Current liabilities:		
Total AP and Accrued Expenses	\$	1,439
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		1,120
Total current liabilities		2,559
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		Ö
Total Liabilities		2,559
Commitments and contingencies		
EOUITY		
Common Stock in Treasury Total		. 0
Common Stock Total		ů.
Additional Paid in Capital		4,000
Accumulated Other Comprehensive Income/(Loss)		4,000
		17,233
Retained earnings		21,233
Total stockholders' equity		0
Noncontrolling interests		21,233
Total Equity	e	23,792
Total liabilities and equity	· • •	43,192

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - FINRA/NASDAQ Trade Reporting Facility LLC (in thousands, unaudited)

(in thousands, unaudited)	Year Ei	adad
	December 3	
	December 3	, 2013
Revenues		
Market Services Revenues	\$	19,055
Global Listing Services	Ŷ	0
Global Information Services		7.137
Corporate Solutions		0
Market Technology Revenues		õ
Other Revenues		Ő
Total Revenues		26,192
10tal Revenues		~~,
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		Ő
Total Cost of Revenues		<u>0</u>
Total Cost of Nevenikos	······	
Revenues less transaction rebates, brokerage, clearance and exchange	e -	
fees	-	26,192
1003		
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		500
Computer operations and data communications		0
Occupancy		0
Regulatory		11,050
General, administrative and other		(353)
Merger expenses		0
Total operating expenses		11,196
Operating Income		14,996
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		Ő
Dividend and investment income		Ũ
Income from unconsolidated investees, net		0
Impairment Loss		ů
FX Operating		Ő
Income before income taxes		14,996
		6,376
Income tax provision	. <u></u>	0,070
Net Income		8,619
Net (income) expense attributable to noncontrolling interests		. 0
	\$	8,619
Net income attributable to Company	<u>Ψ</u>	0,017

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - FTEN, Inc (in thousands, unaudited)

•			Year Ended
		De	cember 31, 2013
			•
Assets	- -		
Current Assets:			
Cash & Equivalents		\$, O
Investments			0
Total Receivables - Net			4,837
Current Deferred Tax		2	443
Current Restricted Cash			0
Other Current Assets			16,337
Margin Deposits & Default Fund			0
Total Current Assets			21,617
Total Property and Equipment - Net	*		4,103
Goodwill			585,556
Other Intangibles			712,956
Non Current Deferred Taxes			1,662
Long Term Restricted Cash			0
Other Long Term Assets		·	52,532
Total Assets			1,378,427
Liabilitics and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	436
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			947
Deferred Revenue			80
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			289
Other Current Liabilities	•		5,971
Total current liabilities			7,723
Total Long Term Debt			0
Non Current Deferred Tax Liability			10,171
Non-current deferred revenue	,		0
Other liabilities			397
Total Liabilities			18,291
Commitments and contingencies			
EQUITY Common Stock in Treasury Total		•	0
•		•	ů
Common Stock Total			1,398,669
Additional Paid in Capital	(1.066)		. 0
Accumulated Other Comprehensive Income/	(2000)		(38,533)
Retained earnings	· .		1,360,136
Total stockholders' equity			1,500,150
Noncontrolling interests			1,360,136
Total Equity		\$	1,378,427
Total liabilities and equity		<u></u>	1,270,427

Year Ended

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - FTEN, Inc

(in thousands, unaudited)	\$ 4 mm 6*	
	Year E	
	December	31,2013
Revenues		
Market Services Revenues	\$	16,796
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		(88)
Total Revenues		16,708
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		
fees		16,708
Operating Expenses		
Compensation and Benefits		7,144
Marketing and Advertising		7
Depreciation and amortization		8,516
Professional and contract services		248
Computer operations and data communications		4,034
Occupancy		1,705
Regulatory		0
General, administrative and other		3,143
Merger expenses		3,672
Total operating expenses		28,470
Operating Income		(11,761)
Interest income		1
Interest expense		(4)
Gain (loss) on sale of strategic initiatives		Ó
Dividend and investment income		0
		0
Income from unconsolidated investees, net		(13,996)
Impairment Loss		(13,770)
FX Operating		(25,760)
Income before income taxes		• • •
Income tax provision		(1,347)
Not Income		(24,414)
Net Income		(,,) A
Net (income) expense attributable to noncontrolling interests	\$	(24,414)
Net income attributable to Company		(29,714)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - GlobeNewswire, Inc

Year Ended December 31, 2013 Assets Current Assets: Cash & Equivalents 0 Investments 0 Total Receivables - Net 0 Current Deferred Tax 207 Current Restricted Cash 0 Other Current Assets 19,970 Margin Deposits & Default Fund 70tal Current Assets Total Property and Equipment - Net Goodwill 12,684 Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets 100 Current tabilities: 11 Current Deferred Taxes Liabilities and equity Current Deferred Tax Liability 0 Other Current Liabilities 1,020 Current Deferred Tax Liability 0 0 Current Deferred Tax Liability 0 0 0 Current Isolitities 1,020 Current Deferred Tax Liability 0 0 <	(in thousands, unaudited)	· · · · · ·
Assets Current Assets: 0 Total Receivables - Net 3,769 Current Deferred Tax 207 Current Restricted Cash 0 Other Current Assets 19,970 Margin Deposits & Default Fund 0 Total Receivables - Net 3,769 Current Assets 19,970 Margin Deposits & Default Fund 0 Total Property and Equipment - Net 37 Goodwill 12,684 Other Intangibles 1,033 Non Current Deferred Taxes 496 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ 38,196 Liabilities and equity 0 Current liabilities: 1,020 Total Assets \$ 221 SEC 31 a Payable to the SEC 0 Accrued Personnel Costs 95 Deferred Revenue 1,020 Current Deferred Tax Liability 0 Other Current Liabilities 4,820 Total Arganete to the SEC 389 Non Current Deferred Tax Liability 0 <tr< th=""><th></th><th></th></tr<>		
Current Assets: \$ 0 Cash & Equivalents 0 0 Total Receivables - Net 3,769 Current Deferred Tax 207 Current Restricted Cash 0 Other Current Assets 19,970 Margin Deposits & Default Fund 0 Total Property and Equipment - Net 37 Goodwill 12,684 Other Intangibles 1,033 Non Current Deferred Taxes 496 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 595 Deferred Revenue 1,020 Current Itabilities 2,885 Total Current Liabilities 2,885 Total Current Itabilities 4,820 Other Current Liabilities 0 Other Current Liabilities 1 Total Assets 0 Other Current Liabilities 2,885 Total Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 O		December 31, 2013
Current Assets: \$ 0 Cash & Equivalents 0 0 Total Receivables - Net 3,769 Current Deferred Tax 207 Current Restricted Cash 0 Other Current Assets 19,970 Margin Deposits & Default Fund 0 Total Property and Equipment - Net 37 Goodwill 12,684 Other Intangibles 1,033 Non Current Deferred Taxes 496 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 595 Deferred Revenue 1,020 Current Itabilities 2,885 Total Current Liabilities 2,885 Total Current Itabilities 4,820 Other Current Liabilities 0 Other Current Liabilities 1 Total Assets 0 Other Current Liabilities 2,885 Total Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 O		
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10tal Property and Equipment - Net 37 Goodwill 12,684 Other Intangibles 1,033 Non Current Deferred Taxes 496 Long Term Restricted Cash 0 Other Long Term Assets 0 Total Assets \$ Liabilities and equity \$ Current liabilities: 0 Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 595 Deferred Revenue 1,020 Current Deferred Tax Liability 0 Other Current Liabilities 4820 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Other Long Term Debt 0 Non current Deferred Tax Liability 0 Other Liabilities 5,210 Cotal Long Term Debt 0 Non-current deferred revenue 0 Other Liabilities 1 Total Liabilities 5,210 Comminments and contingencies 0 EQUITY 0 Common Stock in Treasury Total 0 Common Stock in Treasury Total 0 Common Stock in Treasury Total 0 Common Stock Total 0	Margin Deposits & Default Fund	0
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Goodwill12,684Oher Intangibles1,033Non Current Deferred Taxes496Long Term Restricted Cash0Other Long Term Assets0Total Assets\$ 38,196Liabilities and equity\$ 221Current liabilities:\$ 221SEC 31a Payable to the SEC0Accrued Personnel Costs595Deferred Revenue1,020Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities4,820Total Long Term Debt0Outher Current Liabilities4,820Total Long Term Debt0Outher Intabilities1Total Liabilities1Total Long Term Debt0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital13,653Accmulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total Equity32,987	Total Property and Equipment - Net	37
Other Interent Deferred Taxes496Long Term Restricted Cash0Other Long Term Assets0Total Assets\$ 38,196Liabilities and equity\$ 38,196Current liabilities: Total AP and Accrued Expenses\$ 221SEC 31a Payable to the SEC0Accrued Personnel Costs595Deferred Revenue1,020Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities4,820Total Long Term Debt0Other Current Deferred Tax Liability389Non-current deferred Tax Liability389Non-current deferred revenue0Other liabilities1Total Liabilities5,210Comminments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital13,653Accumulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total Equity32,987		12,684
Non Current Deferred Taxes496Long Term Restricted Cash0Other Long Term Assets0Total Assets0S38,196Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$SEC 31a Payable to the SEC0Accrued Personnel Costs595Deferred Revenue1,020Current Deferred Tax Liability0Other Current Liabilities2,985Total Long Term Debt0Non Current Deferred Tax Liability0Other Current Liabilities4,820Total Long Term Debt0Common Deferred Tax Liability0Other Itabilities1Total Liabilities1Total Liabilities1Commitments and contingencies5,210EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital13,653Accinulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total Stockholders' equity32,987Noncontrolling interests0Total Equity32,987	Other Intangibles	1,033
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Other Long Term Assets0Total Assets\$ 38,196Liabilities and cquityCurrent liabilities:Total AP and Accrued Expenses\$ 221SEC 31a Payable to the SEC0Accrued Personnel Costs595Deferred Revenue1,020Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities2,985Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non Current deferred revenue0Other Itabilities1Total Liabilities1Commitments and contingencies5,210EQUITY0Common Stock Total0Additional Paid in Capital13,653Accumulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total Equity32,987Nonconrolling interests0Total Equity32,987		0
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Current liabilities:\$221SEC 31a Payable to the SEC0Accrued Personnel Costs595Deferred Revenue1,020Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities2,985Total Long Term Debt0Non-current Deferred Tax Liability0Non-current Deferred Tax Liability389Non-current Deferred Tax Liability389Non-current Deferred revenue0Other liabilities1Total Liabilities1Total Liabilities1Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital13,653Accumulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total stockholders' equity32,987Noncontrolling interests0Total Equity32,987		· ·
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Total Long Term Debt0Non Current Deferred Tax Liability389Non-current deferred revenue0Other liabilities1Total Liabilities5,210Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital13,653Accumulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total stockholders' equity32,987Noncontrolling interests0Total Equity32,987		
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Non-current deferred revenue0Other liabilities1Total Liabilities5,210Commitments and contingencies5,210EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital13,653Accumulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total stockholders' equity32,987Noncontrolling interests0Total Equity32,987		
Non-current deteried revenue 1 Other liabilities 1 Total Liabilities 5,210 Commitments and contingencies 5,210 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital 13,653 Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 19,333 Total stockholders' equity 32,987 Noncontrolling interests 0 Total Equity 32,987	•	
Total Liabilities5,210Commitments and contingenciesEQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital13,653Accumulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total stockholders' equity32,987Noncontrolling interests0Total Equity32,987		1
Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings Total stockholders' equity Noncontrolling interests 0 Total Equity		5210
EQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital13,653Accumulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total stockholders' equity32,987Noncontrolling interests0Total Equity32,987	Total Liabilities	5,210
EQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital13,653Accumulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total stockholders' equity32,987Noncontrolling interests0Total Equity32,987	O	
Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital13,653Accumulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total stockholders' equity32,987Noncontrolling interests0Total Equity32,987	-	
Common Stock Total0Additional Paid in Capital13,653Accumulated Other Comprehensive Income/(Loss)0Retained earnings19,333Total stockholders' equity32,987Noncontrolling interests0Total Equity32,987	-	· ·
Additional Paid in Capital 13,653 Accumulated Other Compreheusive Income/(Loss) 0 Retained earnings 19,333 Total stockholders' equity 32,987 Noncontrolling interests 0 Total Equity 32,987	-	
Accumulated Other Comprehensive Income/(Loss) 0 Retained earnings 19,333 Total stockholders' equity 32,987 Noncontrolling interests 0 Total Equity 32,987		•
Retained earnings 19,333 Total stockholders' equity 32,987 Noncontrolling interests 0 Total Equity 32,987		
Total stockholders' equity 32,987 Noncontrolling interests 0 Total Equity 32,987		
Noncontrolling interests 0 Total Equity	•	
Total Equity 32,987		· · · · · · · · · · · · · · · · · · ·
	Noncontrolling interests	
Total liabilities and equity <u>\$ 38,196</u>	Total Equity	
	Total liabilities and equity	\$ 38,196

Unconsolidated Statement of Income - GlobeNewswire, Inc

	Year Ended
•	December 31, 2013
Revenues	\$ 0
Market Services Revenues	•
Global Listing Services	0
Global Information Services	0
Corporate Solutions	22,319
Market Technology Revenues	0
Other Revenues	3,467
Total Revenues	25,786
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and	lexchange
fees	25,786
Operating Expenses	
Compensation and Benefits	1,777
Marketing and Advertising	9
Depreciation and amortization	291
Professional and contract services	1,910
Computer operations and data communications	25
Occupancy	1,026
Regulatory	0
General, administrative and other	2,226
Merger expenses	81
Total operating expenses	7,346
Operating Income	18,440
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
•	. 0
FX Operating Income before income taxes	18,440
	6,954
Income tax provision	0,934
Net Income	11,486
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 11,486

Unconsolidated Financial Statements - Inet Futures Exchange, LLC

Note: Inet Futures Exchange, LLC did not have any acitivity in 2011 - 2013.

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Kleos Managed Services Holdings, LLC

(m mousands, unaumeu)		Year Ended December 31, 2013	
	· .		
Assets			
Current Assets:	\$	0	
Cash & Equivalents	J.	0	
Investments		0	
Total Receivables - Net		. 0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets		0	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets	<u> </u>	0	
Total Assets	3 	0	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$. 0	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue	•	0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		0	
Total current liabilities		0	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		0	
		•	
Commitments and contingencies			
EQUITY		0	
Common Stock in Treasury Total			
Common Stock Total		0	
Additional Paid in Capital			
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings		0	
Total stockholders' equity		0	
Noncontrolling interests		· <u> </u>	
Total Equity		0	
Total liabilities and equity	\$	0	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Kleos Managed Services Holdings, LLC

(in thousands, unaudited)		Ended er 31, 2013
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		.0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues		*
Transaction rebates		· 0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exch	ange	
fees		0
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		. 0
Computer operations and data communications		0
Occupancy	· .	0
Regulatory		. 0
General, administrative and other		0
Merger expenses		0
Total operating expenses		0
Operating Income	······································	0
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		. 0
Income before income taxes		. 0
Income tax provision		0
Net income		0
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Kleos Managed Services, L.P (in thousands, unaudited)

		Year E	nded
		December	31, 2013
Assets			
Current Assets:			
Cash & Equivalents		\$	0
Investments			0
Total Receivables - Net			5,164
Current Deferred Tax			819
Current Restricted Cash	•		0
Other Current Assets			690
Margin Deposits & Default Fund			0
Total Current Assets			6,673
Total Property and Equipment - Net			6,547
Goodwill			0.
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			0
Total Assets		\$	13,219
	•		
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	4,308
SEC 31a Payable to the SEC			0
Accrued Personnel Costs	•		2,015
Deferred Revenue			98
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			0
Other Current Liabilities		<u> </u>	3,892
Total current liabilities			10,313
Total Long Term Debt			0
Non Current Deferred Tax Liability			0
Non-current deferred revenue			0
Other liabilities		<u></u>	
Total Liabilities	•		10,313
Commitments and contineensing			
Commitments and contingencies			
EQUITY Common Stock in Treasury Total			. 0
Common Stock Total			
	4		0
Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss)			1,395 0
Retained earnings			-
Total stockholders' equity			2,906
Noncontrolling interests			2,900 A
-		<u> </u>	2,906
Total Equity Total liabilities and equity		\$	13,219
i otar naomues and equity		Д	13,219

Unconsolidated Statement of Income - Kleos Managed Services, L.P

(in thousands, unautited)		Ended
	Decembe	r 31, 2013
Revenues		
Market Services Revenues	\$	10,872
Global Listing Services	4	.0,0.2
Global Information Services		5,058
Corporate Solutions		5,050
Market Technology Revenues		0
Other Revenues		0
Total Revenues		15,930
l ofai Revenues		15,950
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and	exchange	
fees	·	15,930
· · ·		
Operating Expenses		
Compensation and Benefits		3,582
Marketing and Advertising		26
Depreciation and amortization		470
Professional and contract services		221
Computer operations and data communications		4,717
Occupancy		1,671
Regulatory		0
General, administrative and other	,	2,196
Merger expenses		373
Total operating expenses		13,256
Operating Income		2,674
Interest income		. 0
Interest expense		• 0
Gain (loss) on sale of strategic initiatives	н. На страната на	0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		. 0
FX Operating		0
Income before income taxes		2,674
Income tax provision	<u></u>	1,163
Net Income		1,511
Net (income) expense attributable to noncontrolling interests		1,211
	.\$	1,511
Net income attributable to Company	۸ ۵	1,211

Unconsolidated Balance Sheet - Nasdaq Execution Services, LLC

(Year Ended December 31, 201	<u>3</u>
Assets	•	
Current Assets:		co .
Cash & Equivalents	\$ 17,4	
Investments		63 0
Total Receivables - Net		•
Current Deferred Tax	,	(61)
Current Restricted Cash	. 2	250
Other Current Assets	۶,۶	
Margin Deposits & Default Fund		0
Total Current Assets	21,7	
Total Property and Equipment - Net		0
Goodwill	3,3	69
Other Intangibles		0
Non Current Deferred Taxes		4
Long Term Restricted Cash		0
Other Long Term Assets	÷	10
Total Assets	\$ 27,2	.99
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$ 2,2	236
SEC 3 la Payable to the SEC	• -,-	0
Accrued Personnel Costs	3	30
Deferred Revenue	-	0
Current Portion of Long Term Debt		Õ
Current Deferred Tax Liability		Ő
Other Current Liabilities	3.1	33
Total current liabilities	. 5,7	
Total Long Term Debt		0
Non Current Deferred Tax Liability	(2.3	347)
Non-current deferred revenue	. (4,5	0
Other liabilities		0
Total Liabilities	3.3	152
Commitments and contingencies		
EQUITY		^
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital	23,3	
Accumulated Other Comprehensive Income/(Loss)		0
Retained carnings		539
Total stockholders' equity	. 23,9	
Noncontrolling interests		0
Total Equity	23,9	
Total liabilities and equity	\$ 27,2	:99

Unconsolidated Statement of Income - Nasdaq Execution Services, LLC

		ear Ended aber 31, 2013
Revenues		
Market Services Revenues	\$	38,119
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		38,119
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		(33,923)
Total Cost of Revenues		(33,923)
Revenues less transaction rebates, brokerage, clearance and exchan	ige	
fees		4,196
Operating Expenses		
Compensation and Benefits		1,500
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		8
Computer operations and data communications		0
Occupancy		63
Regulatory		0
General, administrative and other		492
Merger expenses		0
Total operating expenses		2,063
Operating Income		2,133
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		2,133
Income tax provision		734
Net Income		1,400
Net (income) expense attributable to noncontrolling interests		0
	\$	1,400

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ Futures, Inc

		Year Ended December 31, 2013	
Assets			
Current Assets:	•		
Cash & Equivalents		\$	0
Investments		Ψ.	ů
Total Receivables - Net			3,923
Current Deferred Tax			47
Current Restricted Cash			0
Other Current Assets			35
Margin Deposits & Default Fund			. 0
Total Current Assets			4,005
Total Property and Equipment - Net			17
Goodwill			0
Other Intangibles			Ũ
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			8
Total Assets		\$	4,030
Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total current liabilities Total Long Term Debt Non Current Deferred Tax Liability		\$ 	200 0 112 0 0 231 543 0 (175)
Non-current deferred revenue			0
Other liabilities			. 0
Total Liabilities			369
Commitments and contingencies EQUITY Common Stock in Treasury Total	<i>.</i>		0
Common Stock Total			0
Additional Paid in Capital			5,893
Accumulated Other Comprehensive Income/(Loss)			0
Retained earnings			(2,232)
Total stockholders' equity			3,662
Noncontrolling interests			0
Total Equity			3,662
Total liabilities and equity		<u>\$</u>	4,030

Unconsolidated Statement of Income - NASDAQ Fatures, Inc

		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	821	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		0	
fotal Revenues		821	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and excha	nge		
fces		821	
Operating Expenses			
Compensation and Benefits		440	
Marketing and Advertising		56	
Depreciation and amortization		6	
Professional and contract services		78	
Computer operations and data communications		0	
Occupancy		34	
Regulatory		639	
General, administrative and other		(456)	
Merger expenses		0	
Total operating expenses		798	
Operating Income		23	
Interest income		0	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		· 0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		. 0	
Income before income taxes		23	
Income tax provision		7	
Net Income		16	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	16	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ Global, Inc

		Year Ended December 31, 2013	
		· · ·	
Assets			
Current Assets:		•	
Cash & Equivalents	\$	0	
Investments		0	
Total Receivables - Net		220	
Current Deferred Tax		• 0	
Current Restricted Cash		. 0	
Other Current Assets		237,865	
Margin Deposits & Default Fund		0	
Total Current Assets		238,085	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		(159,005)	
Long Term Restricted Cash		0	
Other Long Term Assets	-	5,384,816	
Total Assets		5,463,896	
· · · · · · · ·			
Liabilities and equity			
Current liabilities:		222	
Total AP and Accrued Expenses	\$	332	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		3,613	
Other Current Liabilities	. —	267,870	
Total current liabilities		271,815	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		507	
Total Liabilities		272,322	
Commitments and contingencies	,	· .	
Commitments and contingencies			
EQUITY Common Stock in Treasury Total		0	
•		. 0	
Common Stock Total		4,634,412	
Additional Paid in Capital		4,034,412 230,322	
Accumulated Other Comprehensive Income/(Loss)		,	
Retained earnings		326,840	
Total stockholders' equity		5,191,575	
Noncontrolling interests		<u> </u>	
Total Equity		5,191,575	
Total liabilities and equity	5	5,463,896	

Unconsolidated Statement of Income - NASDAQ Global, Inc

(in thousands, unaudited)	Year Ended	
	December 31, 20)13
Revenues		
Market Services Revenues	\$ `	0
Global Listing Services	'	0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exc	change	
fees	<u>.</u>	0
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		0
Computer operations and data communications		0
Occupancy	•	0
Regulatory		0
General, administrative and other	•	9
Merger expenses		0
Total operating expenses		9
Operating Income		(9)
Interest income		3,964
Interest expense		3,935)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		286
Income from unconsolidated investees, net		0
Impairment Loss		õ
FX Operating		Ď
Income before income taxes	·····	306
Income tax provision	?	1,046
momo na provision		.,
Net Income	(2)	0,740)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$ (2)	0,740)

Unconsolidated Balance Sheet - Nasdaq International Market Initiatives, Inc.

		Year Ended December 31, 2013	
Assets			
Current Assets:	\$	0	
Cash & Equivalents	-D	Ő	
Investments Total Receivables - Net		0	
Current Deferred Tax		14	
Current Restricted Cash		0	
Other Current Assets		100	
Margin Deposits & Default Fund		0	
Total Current Assets	·	114	
Total Property and Equipment - Net		0	
Goodwill		Ő	
Other Intangibles		õ	
Non Current Deferred Taxes		· 0	
Long Term Restricted Cash		. 0	
Other Long Term Assets		0	
Total Assets	\$	114	

Liabilities and equity	,		
Current liabilities:			
Total AP and Accrued Expenses	\$	4	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		91	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		10	
Other Current Liabilities		770	
Total current liabilities		875	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		9	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		884	
Commitments and contingencies	·		
EQUITY		0	
Common Stock in Treasury Total		0	
Common Stock Total		3,642	
Additional Paid in Capital	,	5,042	
Accumulated Other Comprehensive Income/(Loss)		(4,412)	
Retained earnings		(770)	
Total stockholders' equity		(770)	
Noncontrolling interests		(770)	
Total Equity	5	114	
Total liabilities and equity		(1 *	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Nasdaq International Market Initiatives, Inc.

	Year Ended December 31, 2013
	·····
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	. 0
Corporate Solutions	0
Market Technology Revenues	• 0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and excha	inge
fees	0
Operating Expenses	
Compensation and Benefits	222
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	17
Computer operations and data communications	1
Occupancy	0
Regulatory	0
General, administrative and other	111
Merger expenses	25
Total operating expenses	375
Operating Income	(375)
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	. 0
FX Operating	ů
Income before income taxes	(375)
Income tax provision	(131)
Net Income	(244)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (244)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX BX Equities LEC (in thousands, unaudited)

- -

•		Year Ended December 31, 2013	
		·	
Assets			
Current Assets:		· .	
Cash & Equivalents	· \$	0	
Investments		2	
Total Receivables - Net		11,815	
Current Deferred Tax		0	
Current Restricted Cash		0	
• Other Current Assets		84,844	
Margin Deposits & Default Fund		. 0	
Total Current Assets		96,662	
Total Property and Equipment - Net		0	
Goodwill	• •	(229)	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0.	
Other Long Term Assets		0	
Total Assets	\$	96,433	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	1,679	
SEC 31a Payable to the SEC		9,273	
Accrued Personnel Costs		. 0	
Deferred Revenue		300	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		1,123	
Total current liabilities	• .	12,375	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		12,375	
Commitments and continuousies			
Commitments and contingencies			
EQUITY Common Stock in Tractury Total		0	
Common Stock in Treasury Total Common Stock Total	·	0	
		(15,529)	
Additional Paid in Capital		(13,329)	
Accumulated Other Comprehensive Income/(Loss)		-	
Retained earnings	·	99,587	
Total stockholders' equity		84,058	
Noncontrolling interests	·	. 0	
Total Equity		84,058	
Total liabilities and equity	<u> </u>	96,433	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX BX Equities LLC

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 110,484
Global Listing Services	0
Global Information Services	16,736
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	642
Total Revenues	127,861
Cost of revenues	
Transaction rebates	(49,406)
Brokerage, Clearance and Exchange Fees	(29,551)
Total Cost of Revenues	(78,957)
Revenues less transaction rebates, brokerage, clearance and	exchange
fccs	48,904
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	52
Computer operations and data communications	0
Occupancy	0
Regulatory	742
General, administrative and other	2,371
Merger expenses	• 0
Total operating expenses	3,165
Operating Income	45,739
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	45,739
Income tax provision	0
Net Income	45,739
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 45,739

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX BX, Inc.

(in thousands, unaudited)		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents		\$	6
Investments		Ŷ	ŏ
Total Receivables - Net			1,412
Current Deferred Tax			129
Current Restricted Cash			0
Other Current Assets			. 10
Margin Deposits & Default Fund			0
Total Current Assets			1,557
Total Property and Equipment - Net			,, <i>557</i> 0
Goodwill			31,276
Other Intangibles			49,773
Non Current Deferred Taxes			(564)
-			(304)
Long Term Restricted Cash Other Long Term Assets			(4,026)
Total Assets		\$	78,016
i otal Assets		.	78,010
W. F. FARMAN, C. J. A. L. Martin, Martin, C.			,
Liabilities and equity			
Current liabilities:		æ	1.407
Total AP and Accrued Expenses		\$	1,697
SEC 31a Payable to the SEC			147
Accrued Personnel Costs			6
Deferred Revenue			0
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			94
Other Current Liabilities			16,587
Total current liabilities			18,531
Total Long Term Debt			0
Non Current Deferred Tax Liability			20,044
Non-current deferred revenue			0
Other liabilities		-	97
Total Liabilities			38,672
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total			0
Common Stock Total			8 .
Additional Paid in Capital			50,227
Accumulated Other Comprehensive Income/(Loss)			0
Retained earnings			(10,890)
Total stockholders' equity			39,344
Noncontrolling interests			0
Total Equity			39,344
Total liabilities and equity		\$	78,016
			<u>_</u>

Unconsolidated Statement of Income - NASDAQ OMX BX, Inc.

		ar Ended ber 31, 2013
Revenues		
Market Services Revenues	\$	15,675
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		15,675
Cost of revenues		
Transaction rebates		(7,236)
Brokerage, Clearance and Exchange Fees		(920)
Total Cost of Revenues		(8,157)
Revenues less transaction rebates, brokerage, clearance and excha	nge	
fees		7,518
Operating Expenses		
Compensation and Benefits		81
Marketing and Advertising		I
Depreciation and amortization		118
Professional and contract services	54 A	20
Computer operations and data communications		0
Occupancy		217
Regulatory		959
General, administrative and other		(96)
Merger expenses		0
Total operating expenses		1,300
Operating Income		6,218
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		6,218
Income tax provision		12,687
Net Income		(6,468)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	<u> </u>	(6,468)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Commodities Clearing Company

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 0
Investments	ů, ů
Total Receivables - Net	38
Current Deferred Tax	28
Current Restricted Cash	. 0
Other Current Assets	25
Margin Deposits & Default Fund	0
Total Current Assets	
	0
Total Property and Equipment - Net	
Goodwill	75
Other Intangibles	0
Non Current Deferred Taxes	4
Long Term Restricted Cash	3
Other Long Term Assets	99
Total Assets	\$ 272
•••••	
Liabilitics and equity	
Current liabilities:	· · ·
Total AP and Accrued Expenses	\$ 4
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	113
Deferred Revenue	0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	29
Other Current Liabilities	4,663
Total current liabilities	4,809
Total Long Term Debt	0
Non Current Deferred Tax Liability	60
Non-current deferred revenue	. 0
Other liabilities	18
Total Liabilities	4,888
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	0
Additional Paid in Capital	4,891
Accumulated Other Comprehensive Income/(Loss)	0
Retained earnings	(9,506)
Total stockholders' equity	(4,615)
Noncontrolling interests	·
Total Equity	(4,615)
Total liabilities and equity	\$ 272
e and monthly and oden?	φ ΖΙΖ

Unconsolidated Statement of Income - NASDAQ OMX Commodities Clearing Company (in thousands, unaudited)

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Year Ended December 31, 2013 Revenues Market Services Revenues \$ **Global Listing Services Global Information Services** Corporate Solutions Market Technology Revenues Other Revenues Total Revenues **Cost of revenues** Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange fees **Operating Expenses** Compensation and Benefits 2,235 Marketing and Advertising Depreciation and amortization Professional and contract services Computer operations and data communications Occupancy Regulatory General, administrative and other 1,545 Merger expenses Total operating expenses 4,576 **Operating Income** (4,159) Interest income Interest expense Gain (loss) on sale of strategic initiatives Dividend and investment income Income from unconsolidated investees, net Impairment Loss FX Operating Income before income taxes (4,149) Income tax provision (1,763) Net Income (2,386) Net (income) expense attributable to noncontrolling interests (2,386) Net income attributable to Company

Unconsolidated Financial Statements - NASDAQ OMX Commodities Clearing LLC

Note: NASDAQ OMX Commodities Clearing LLC is a new entity in 2014 therefore there is no data available for 2013

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Corporate Solutions, Inc.

Assets Current Assets: Cash & Equivalents \$ 13 Invostments 0 Total Receivables - Net 3,716 Current Deferred Tax 1,463 Current Restricted Cash 0 Other Current Assets 3,037 Margin Deposits & Default Fund 0 Total Current Assets 8,230 Total Property and Equipment - Net 1,582 Goodwill 30,997 Other Intangibles 4,306 Non Current Deferred Taxes 30 Long Term Assets 18,256 Total Assets 5 Current tiabilities: 18,256 Total AP and Accrued Expenses 5 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 4,180 Deferred Revenue (1) Current Deforred Tax Liability 242 Other Current Deforred Tax Liability 242 Other Current Deforred Tax Liability 1951 Non Current Deforred Tax Liability 1951 Non Current Deforred Tax Liability 1951 Non Current Deforred Tax Liability 19		Year Ended December 31, 2013	
Current Assets: \$ 13 Cash & Equivalents \$ 0 Total Receivables - Net 3,716 Current Deferred Tax 1,463 Current Restricted Cash 0 Other Current Assets 3,037 Margin Deposits & Default Fund 0 Total Current Assets 8,230 Total Property and Equipment - Net 1,582 Goodwill 30,997 Other Current Assets 30 Long Term Restricted Cash 0 Other Long Term Assets 18,256 Total Assets \$ 6,053 SEC 31 a Payable to the SEC 0 Accrued Personnel Costs 4,180 Deferred Revenue (1) Current Deferred Tax Liability 242 Other Current Liabilities 12,908 Total Current Deferred Tax Liability 14,876 Other Current Liabilities 17 Total Current Potion of Long Term Debt 0 Non Current Deferred Tax Liability 14			2
Cash & Equivalents\$13Investments0Total Receivables - Net3,716Current Deferred Tax1,463Current Restricted Cash0Other Current Assets3,037Margin Deposits & Default Fund0Total Current Assets8,230Total Property and Equipment - Net1,582Godwill30,997Other Langibles4,306Non Current Deferred Taxes30Long Term Restricted Cash0Other Long Term Assets18,256Total Assets18,256Total Assets5Current tiabilities:18,256Total Assets4,180Deferred Revenue(1)Current tiabilities:12,908Total Ap and Acerued Expenses\$S Cotter Unitabilities2,433Total Current Labilities12,908Total Current Labilities12,908Total Current Deferred Tax Liability242Other Current Labilities12,908Total Current Deferred Tax Liability1951Non-Current Deferred Tax Liability1,951Non-current deferred revenue0Other Labilities17Total Long Term Debt0Common Stock Total0Common Stock Total0Accuruated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equipty48,525	Assets		
Investments0Total Receivables - Net3,716Current Deferred Tax1,463Current Restricted Cash0Other Current Assets3,037Margin Deposits & Default Fund0Total Current Assets8,230Total Poperty and Equipment - Net1,582Godwill30,997Other Intangibles4,306Non Current Deferred Taxes30Long Term Restricted Cash0Other Long Term Assets18,256Total Assets5Godwill30,997Uher Intangibles4,306Non Current Deferred Taxes30Liabilities and equity18,256Current Itabilities:18,256Total AP and Accrued Expenses5Godwill0Deferred Revenue(1)Current Deferred Tax Liability242Other Current Liabilities12,908Total AP and Accrued Expenses12,908Total Current Deferred Tax Liability242Other Grevenue0Current Deferred Tax Liability242Other Current Liabilities17Total Liabilities17Total Liabilities17Total Liabilities17Total Liabilities14,876Commitments and contingencies0EQUITY0Common Stock Total0Accurutated Other Comprehensive Income/(Loss)0Retained earnings(453)Total Stockholders' equity48,525	Current Assets:		
Total Receivables - Net3,716Current Deferred Tax1,463Current Restricted Cash0Other Current Assets3,037Margin Deposits & Default Fund0Total Current Assets8,230Total Property and Equipment - Net1,582Goodwill30,997Other Intangibles4,306Non Current Deferred Taxes30Long Term Restricted Cash0Other Cong Term Assets18,256Total Assets5Current Liabilities12,256Total Assets5Current Inabilities:0Total Assets5Current Inabilities:0Current Inabilities:0Current Inabilities:0Current Inabilities12,208Total AP and Accrued Expenses\$S 6,053SEC 31a Payable to the SECO Accrued Personnel Costs4,180Deferred Revenue(1)Current Liabilities12,208Total Long Term Debt0Outer Current Liabilities12,208Total Long Term Debt0Non-current Deferred Tax Liability1,951Non-current Deferred Tax Liability1,951Non-current Deferred Tax Liability14,876Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury To	Cash & Equivalents	\$	13
Current Deferred Tax1,463Current Restricted Cash0Other Current Assets3,037Margin Deposits & Default Fund0Total Current Assets8,230Total Property and Equipment - Net1,582Goodwill30,997Other Itangibles4,306Non Current Deferred Taxes30Long Term Restricted Cash0Other Long Term Assets18,256Total AP and Accrued Expenses\$S 63,0010Current liabilities:1Total AP and Accrued Expenses\$S 60,053SEC 31a Payable to the SECO Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Liabilities12,908Total Long Term Debt0Non-current Deferred Tax Liability2,433Total Long Term Debt0Non-current Deferred Tax Liability1,951Non-current Deferred Tax Liability0Comminments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Retained earnings(4502)Total stockholders' equity48,525 <td>Investments</td> <td></td> <td>0</td>	Investments		0
Current Restricted Cash0Other Current Assets3,037Margin Deposits & Default Fund0Total Current Assets8,230Total Property and Equipment - Net1,582Goodwill30,997Other Intangibles4,306Non Current Deferred Taxes30Long Term Assets18,256Total Assets\$Statistics\$Gother Long Term Assets18,256Total Assets\$SEC 31a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Liabilities12,908Total Long Term Debt0Current Liabilities12,908Total Long Term Debt0Current Liabilities12,908Total Long Term Debt0Other Current Liabilities12,908Total Long Term Debt0Ourrent Deferred Tax Liability1,951Non-current Ideferred revenue0Other Iabilities17Total Liabilities17Total Liabilities14,876Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Accurued Coher Comprehensive Income/(Loss)0Retained earnings(45.25)Total Stockholders' equity48,525	Total Receivables - Net		3,716
Other Current Assets3,037Margin Deposits & Default Fund0Total Current Assets8,230Total Property and Equipment - Net1,582Goodwill30,997Other Intangibles4,306Non Current Deferred Taxes30Long Term Restricted Cash0Other Long Term Assets18,256Total AP and Accrued Expenses\$ 63,401Liabilities and equity0Current liabilities:0Total AP and Accrued Expenses\$ 6,053SEC 31a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Liabilities12,908Total Current Liabilities12,908Total Current Liabilities12,908Total Current Liabilities1,951Non-current Deferred Tax Liability1,951Non-current Deferred Tax Liability1,951Non-current Deferred revenue0Other Liabilities17Total Liabilities14,876Common Stock in Treasury Total0Common Stock rotal0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equity48,525	Current Deferred Tax		1,463
Margin Deposits & Default Fund0Total Current Assets8,230Total Property and Equipment - Net1,582Goodwill30,997Other Intangibles4,306Non Current Deferred Taxes30Long Term Restricted Cash0Other Long Term Assets18,225Total Assets\$ 63,401Liabilities and equity18,225Current liabilities:0Total AP and Accrued Expenses\$ 6,053SEC 3 La Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue0Current Deferred Tax Liability242Other Current Liabilities12,908Total Long Term Debt0Current beferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities17Total Liabilities17Total Liabilities14,876Common Stock in Treasury Total0Common Stock Total0Addttional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equity48,525	Current Restricted Cash		0
Total Current Assets8,230Total Property and Equipment - Net1,582Goodwill30,997Other Intangibles4,306Non Current Deferred Taxes30Long Term Restricted Cash0Other Long Term Assets18,256Total Assets\$ 63,401Liabilities and equity0Current liabilities:0Total AP and Accrued Expenses\$ 6,053SEC 3 Ia Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Deferred Tax Liability242Other Current Liabilities12,908Total Lung Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other Liabilities17Total Liabilities17Total Liabilities0Common Stock Total0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)0Retained earnings(430)Total Equity48,525	Other Current Assets		3,037
Total Property and Equipment - Net1,582Goodwill30,997Other Intrangibles4,306Non Current Deferred Taxes30Long Term Restricted Cash0Other Long Term Assets[8,256]Total Assets\$ 63,401Liabilities and equityCurrent liabilities:5Total AP and Accrued Expenses\$ 6,053SEC 31a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Dofferred Tax Liability242Other Current Liabilities12,908Total Current Deferred Tax Liability1,951Non-current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities17Total Liabilities14,876Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock rotal0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equity48,525	Margin Deposits & Default Fund		0
Goodwill30,997Other Intangibles4,306Non Current Deferred Taxes30Long Term Restricted Cash0Other Long Term Assets18,256Total Assets\$ 63,401Liabilities\$ 63,401Current liabilities:0Total Assets\$ 6,053SEC 31a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Perfored Tax Liability242Other Current Liabilities12,908Total Long Term Debt0Non-current Iabilities12,908Total Long Term Debt0Non-current deferred Tax Liability1,951Non-current deferred revenue0Other Iabilities17Total Liabilities117Total Liabilities14,876Common Stock in Treasury Total0Common Stock in Treasury Total0Retained earnings(450)Total Stockholders' equity48,525	Total Current Assets		8,230
Other Intangibles4,306Non Current Deferred Taxes30Long Term Restricted Cash0Other Long Term Assets18,256Total Assets\$ 63,401Liabilities and equity\$ 63,401Current liabilities:Total AP and Accrued ExpensesTotal AP and Accrued Expenses\$ 6,053SEC 31a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred Tevenue0Other Liabilities17Total Liabilities14,876Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock in Treasury Total0Retained earnings(450)Total stockholders' equity48,525Noncourcelling interests0Total Equity48,525	Total Property and Equipment - Net		1,582
Non Current Deferred Taxes30Long Term Restricted Cash0Other Long Term Assets18,256Total Assets\$Gurrent Liabilities\$Current Liabilities:0Total AP and Accrued Expenses\$SEC 31a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other Liabilities17Total Liabilities14,876Common Stock in Treasury Total0Common Stock in Treasury Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equity48,525	Goodwill		30,997
Long Term Restricted Cash0Other Long Term Assets18,256Total Assets\$Gurrent labilities\$Total AP and Accrued Expenses\$SEC 31a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Deferred Tax Liability242Other Current Liabilities12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other Itabilities17Total Liabilities17Total Liabilities14,876Commitments and contingencies0EQUITY0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equity48,525Noncontrolling interests0Total Equity48,525	Other Intangibles		4,306
Other Long Term Assets18,256Total Assets\$Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$SEC 31 a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred Tax Liability1,951Non-current deferred Tax Liability1,951Non-current deferred Tax Liability14,876Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Retained earnings(450)Total stockholders' equity48,525Noncourrolling interests0Total Equity48,525	Non Current Deferred Taxes		30
Total Assets\$63,401Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$6,053SEC 31a Payable to the SEC00Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities2,433Total Long Term Debt0Non-Current Deferred Tax Liability1,951Non-current deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Labilities17Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accunulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equity48,525	Long Term Restricted Cash		0
Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 6,053SEC 31 a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities2,433Total current liabilities12,908Total current beferred Tax Liability0Non Current Deferred Tax Liability1,951Non-current deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities17Total Liabilities17Common Stock in Treasury Total0Common Stock in Treasury Total0Accunulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equity48,525	Other Long Term Assets		18,256
Current liabilities:\$6,053SEC 31a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities2,433Total Long Term Debt0Non Current Beferred Tax Liability12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities17Total Liabilities17Common Stock in Treasury Total0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Total Assets	\$	63,401
Current liabilities:\$6,053SEC 31a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities2,433Total Long Term Debt0Non Current Beferred Tax Liability12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities17Total Liabilities17Common Stock in Treasury Total0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525			-
Total AP and Accrued Expenses\$6,053SEC 31a Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities2,433Total Long Term Debt0Non Current Deferred Tax Liability12,908Total Long Term Debt0Non current Deferred Tax Liability1,951Non-current deferred revenue0Other Iiabilities117Total Liabilities14,876Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Liabilities and equity		
SEC 3 la Payable to the SEC0Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities2,433Total current liabilities12,908Total current Deferred Tax Liability1,951Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities17Total Liabilities17Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equity48,525	Current liabilities:		
Accrued Personnel Costs4,180Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities2,433Total current liabilities12,908Total current Deferred Tax Liability1,951Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities17Total Liabilities17Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equity48,525	Total AP and Accrued Expenses	\$	6,053
Deferred Revenue(1)Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities2,433Total current liabilities12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities17Total Liabilities14,876Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Equity48,525Noncontrolling interests0Total Equity48,525	SEC 31a Payable to the SEC		0
Current Portion of Long Term Debt0Current Deferred Tax Liability242Other Current Liabilities2,433Total current liabilities12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities14,876Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Accrued Personnel Costs		4,180
Current Deferred Tax Liability242Other Current Liabilities2,433Total current liabilities12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities14,876Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total Stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Deferred Revenue		(1)
Other Current Liabilities2,433Total current liabilities12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities14,876Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Current Portion of Long Term Debt		0
Total current liabilities12,908Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities14,876Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Current Deferred Tax Liability		242
Total Long Term Debt0Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities17Total Liabilities14,876Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Other Current Liabilities		2,433
Non Current Deferred Tax Liability1,951Non-current deferred revenue0Other liabilities17Total Liabilities14,876Commitments and contingencies14,876EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Total current liabilities		12,908
Non-current deferred revenue0Other liabilities17Total Liabilities14,876Commitments and contingencies14,876EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Total Long Term Debt		0
Other liabilities17Total Liabilities14,876Commitments and contingencies14,876EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Non Current Deferred Tax Liability		1,951
Total Liabilities14,876Commitments and contingencies14,876EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Non-current deferred revenue		0
Commitments and contingenciesEQUITYCommon Stock in Treasury TotalCommon Stock TotalOCommon Stock TotalAdditional Paid in CapitalAdditional Paid in CapitalAccumulated Other Comprehensive Income/(Loss)ORetained earningsTotal stockholders' equity48,525Noncontrolling interestsOTotal Equity48,525	Other liabilities		17
EQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Total Liabilities		14,876
EQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525			
Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525			
Common Stock Total0Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525			
Additional Paid in Capital48,975Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525			0
Accumulated Other Comprehensive Income/(Loss)0Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525	Common Stock Total		0
Retained earnings(450)Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525			48,975
Total stockholders' equity48,525Noncontrolling interests0Total Equity48,525			•
Noncontrolling interests 0 Total Equity 48,525		<u> </u>	
Total Equity 48,525	Total stockholders' equity		48,525
	Noncontrolling interests		
Total liabilities and equity \$ 63.401	Total Equity		48,525
	Total liabilities and equity	\$	63,401

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Corporate Solutions, Inc.

(Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		37,154	
Market Technology Revenues		0	
Other Revenues		10,967	
Total Revenues		48,121	
Cost of revenues			
Transaction rebates		. 0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and excha	nge	•	
fees		48,121	
Operating Expenses			
Compensation and Benefits		27,150	
Marketing and Advertising		200	
Depreciation and amortization		756	
Professional and contract services		16,180	
Computer operations and data communications		253	
Occupancy		1,001	
Regulatory		0	
General, administrative and other		7,890	
Merger expenses		1,081	
Total operating expenses		54,510	
Operating Income		(6,389)	
Interest income		0	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating	·	0	
Income before income taxes		(6,389)	
Income tax provision		(2,424)	
Net Income		(3,965)	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	(3,965)	

Unconsolidated Balance Sheet - NASDAQ OMX Corporate Solutions, LLC

•		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	0	
Investments		0	
Total Receivables - Net		20,819	
Current Deferred Tax		1,706	
Current Restricted Cash		0	
Other Current Assets		1,906	
Margin Deposits & Default Fund		0	
Total Current Assets		24,431	
Total Property and Equipment - Net		6,570	
Goodwill	•	216,754	
Other Intangibles		59,754	
Non Current Deferred Taxes		0	
ong Term Restricted Cash		. 0	
Other Long Term Assets		0	
Total Assets	\$	307,510	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	. \$	25,384	
SEC 31a Payable to the SEC		• 0	
Accrued Personnel Costs		9,549	
Deferred Revenue		9,138	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		. 0	
Other Current Liabilities		2,301	
Total current liabilities		46,371	
Fotal Long Term Debt		0	
Non Current Deferred Tax Liability		4,881	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities	·	51,252	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		252,852	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings	<u></u>	3,406	
Total stockholders' equity		. 256,257	
Noncontrolling interests		0	
Total Equity		256,257	
Total liabilities and equity	\$	307,510	

Unconsolidated Statement of Income - NASDAQ OMX Corporate Solutions, LLC

(in thousands, unaudited)	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	а 0
Global Information Services	· 0
Corporate Solutions	88,324
Market Technology Revenues	90,524 0
Other Revenues	1,866
Total Revenues	90,190
Total Acventes	90,190
Cost of revenues	
fransaction rebates	. 0
Brokerage, Clearance and Exchange Fees	Ő
Total Cost of Revenues	. 0
	·····
Revenues less transaction rebates, brokerage, clearance and exch	ange
fees	90,190
Operating Expenses	
Compensation and Benefits	32,283
Marketing and Advertising	187
Deprectation and amortization	4,307
Professional and contract services	23,652
Computer operations and data communications	5,655
Occupancy	3,628
Regulatory	0
General, administrative and other	4,839
Merger expenses	9,837
Total operating expenses	84,388
Operating Income	5,801
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	. 0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	
Income before income taxes	5,801
Income tax provision	2,396
Net Income	3,406
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 3,406

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Event Technologies LLC (in thousands, unaudited)

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	0	
Investments		ő	
Total Receivables - Net		ů 0	
Current Deferred Tax		31	
Current Restricted Cash		0	
Other Current Assets		583	
Margin Deposits & Default Fund		0	
Total Current Assets	·····	614	
Total Property and Equipment - Net		0	
Goodwill		ŏ	
Other Intangibles	4	1,065	
Non Current Deferred Taxes		1,005	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	1,679	
i otal Assets	3	1,079	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	134	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		76	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		12	
Total current liabilities		222	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		(193)	
Non-current deferred revenue		0	
Other liabilities		.0	
Total Liabilities		29	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		1,557	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings		94	
Total stockholders' equity		1,651	
Noncontrolling interests		1,051	
Total Equity		1,651	
Total liabilities and equity	\$	1,679	
sour months and shurry	· · · · · · · · · · · · · · · · · · ·	1,079	

Unconsolidated Statement of Income - NASDAQ OMX Event Technologies LLC

	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	-
Global Information Services	988
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	Ő
Total Revenues	988
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	Q
Devenues loss transportion unbates, busicessos, also unas and au	
Revenues less transaction rebates, brokerage, clearance and ex fees	enange 988
ices	980
Operating Expenses	
Compensation and Benefits	326
Marketing and Advertising	(26
Depreciation and amortization	355
Professional and contract services	242
Computer operations and data communications	(
Occupancy	21
Regulatory	C
General, administrative and other	8
Merger expenses	(
Total operating expenses	925
Operating Income	62
Interest income	(
Interest expense	(
Gain (loss) on sale of strategic initiatives	· (
Dividend and investment income	(
Income from unconsolidated investees, net	
Impairment Loss	(
FX Operating	
Income before income taxes	62
Income tax provision	3(
Net Income	20
Net (income) expense attributable to noncontrolling interests	
Net income attributable to Company	\$ 20

Unconsolidated Balance Sheet - NASDAQ OMX Information, LLC

		r Ended er 31, 2013
Acroft		
Assets Current Access		
Current Assets: Cash & Equivalents	\$	^
lnvestments	Ф	0
Total Receivables - Net		185
Current Deferred Tax		
Current Restricted Cash		(3)
		0
Other Current Assets		51,904
Margin Deposits & Default Fund		0
Total Current Assets		52,087
Total Property and Equipment - Net		0
Goodwill		· 0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		. 0
Other Long Term Assets		0
Total Assets	<u>\$</u>	52,087
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	2
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		õ
Deferred Revenue		Ő
Current Portion of Long Term Debt		Ő
Current Deferred Tax Liability		Ő
Other Current Liabilities		884
Total current liabilities		886
Total Long Term Debt		000
Non Current Deferred Tax Liability		
Non-current deferred revenue		0 0
Other liabilities		0
Total Liabilities		U 007
TOTAR LADUITIES		886
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		C
Common Stock Total		C
Additional Paid in Capital		1,000
Accumulated Other Comprehensive Income/(Loss)		Ç
Retained earnings		50,200
Total stockholders' equity		51,200
Noncontrolling interests		C
Total Equity		51,200
Total liabilities and equity	\$	52,087

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Information, LLC

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 54
Global Listing Services	0
Global Information Services	47,198
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	61
Total Revenues	47,313
Cost of revenues	
Transaction rebates	. 0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and excl	hange
fees	47,313
Operating Expenses	
Compensation and Benefits	1
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	16
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	2,861
Merger expenses	. 0
Total operating expenses	2,878
Operating Income	44,434
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	44,434
Income tax provision	18,946
Net Income	25,488
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 25,488

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX PHLX LLC

(in thousands, unaudited)

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	18	
Investments		· 1.	
Total Receivables - Net		31,948	
Current Deferred Tax		4,204	
Current Restricted Cash		0	
Other Current Assets		892	
Margin Deposits & Default Fund		0	
Total Current Assets		37,063	
Total Property and Equipment - Net		21,438	
Goodwill		503,610	
Other Intangibles	,	297,057	
Non Current Deferred Taxes		16,845	
Long Term Restricted Cash		0	
Other Long Term Assets		7,581	
Total Assets	\$	883,595	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	2,136	
SEC 31a Payable to the SEC		8,226	
Accrued Personnel Costs		5,435	
Deferred Revenue		125	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		4,860	
Other Current Liabilities		423,481	
Total current liabilities		444,263	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		125,417	
Non-current deferred revenue		0	
Other liabilities		41,089	
Total Liabilities		610,769	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		73,458	
Accumulated Other Comprehensive Income/(Loss)		(1,285)	
Retained earnings		200,653	
Total stockholders' equity		272,826	
Noncontrolling interests		0	
Total Equity		272,826	
Total liabilities and equity	\$	883,595	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX PHLX LLC

(in mousenes, analonea)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 310,884
Global Listing Services	0
Global Information Services	12,177
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	3,717
Total Revenues	326,778
Cost of revenues	
Transaction rebates	(132,889)
Brokerage, Clearance and Exchange Fees	(33,721)
Total Cost of Revenues	(166,610)
Revenues less transaction rebates, brokerage, clearance an	d exchange
fees	160,167
Operating Expenses	
Compensation and Benefits	13,932
Marketing and Advertising	533
Depreciation and amortization	12,913
Professional and contract services	512
Computer operations and data communications	1,044
Occupancy	1,142
Regulatory	2,351
General, administrative and other	11,852
Merger expenses	0
Total operating expenses	44,280
Operating Income	115,888
Interest income	. 1
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	115,889
Income tax provision	42,599
Net Income	73,290
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 73,290

Unconsolidated Balance Sheet - NASDAQ Private Market, LLC

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	(250)	
Investments		0	
Total Receivables - Net		1,668	
Current Deferred Tax		0	
Current Restricted Cash		250	
Other Current Assets		3,017	
Margin Deposits & Default Fund		0	
Total Current Assets		4,685	
Total Property and Equipment - Net		1,068	
Goodwill		0	
Other Intangibles		2,417	
Non Current Deferred Taxes		0	
Long Term Restricted Cash	1	0	
Other Long Term Assets		250	
Total Assets	\$	8,419	
Liabilitics and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	1,396	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		516	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		0	
Total current liabilities		1,912	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		(47)	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		1,865	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		8,858	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings		(2,303)	
Total stockholders' equity		6,554	
Noncontrolling interests	•	0	
. Total Equity		6,554	
Total liabilities and equity	\$	8,419	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ Private Market, LLC

(Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services		
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	0	
Total Revenues	<u>0</u>	
Total Revenues	U	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance a fees	v	
	0	
Operating Expenses		
Compensation and Benefits	1,672	
Marketing and Advertising	113	
Depreciation and amortization	205	
Professional and contract services	1,515	
Computer operations and data communications	86	
Occupancy	185	
Regulatory	29	
General, administrative and other	113	
Merger expenses	0	
Total operating expenses	3,918	
Operating Income	(3,918)	
Interest income	3	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	. 0	
Income before income taxes	(3,915)	
Income tax provision	(1,612	
Mind Free se		
Net Income	(2,303)	
Net (income) expense attributable to noncontrolling interests		
Net income attributable to Company	\$ (2,303)	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX (San Francisco) Insurance LLC

(in thousands, unaudited)		Year Ended	
	Decem	ber 31, 2013	
, A canada			
Assets			
Current Assets:		•	
Cash & Equivalents	\$	0	
Investments		. 0	
Total Receivables - Net		0	
Current Deferred Tax		· (209)	
Current Restricted Cash		0	
Other Current Assets		736	
Margin Deposits & Default Fund		0	
Total Current Assets		527	
Total Property and Equipment - Net		0	
Goodwill		17,336	
Other Intangibles		· 0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	17,863	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC	4 ,	0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
-			
Other Current Liabilities	·	2	
Total current liabilities		-	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		916	
Non-current deferred revenue		0	
Other liabilities		41	
Total Liabilities		960	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		24,713	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings		(7,810)	
Total stockholders' equity		16,903	
Noncontrolling interests		0	
Total Equity	·····	16,903	
Total liabilities and equity	\$	17,863	

Unconsolidated Statement of Income - NASDAQ OMX (San Francisco) Insurance LLC

(at mousands, analoned)		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		Ϋ́Ο	
Other Revenues		0	
Total Revenues		0	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and	d exchange		
fees	u exenunge	0	
1003			
Operating Expenses			
Compensation and Benefits		0	
Marketing and Advertising		0	
Depreciation and amortization		0	
Professional and contract services		0	
Computer operations and data communications		2	
Occupancy		0	
Regulatory		0	
General, administrative and other		1	
Merger expenses		0	
Total operating expenses		3	
Operating income		(3)	
Interest income		0	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		• 0	
(mpairment Loss		0	
FX Operating		0	
Income before income taxes		(3)	
income tax provision		5	
	ι.	(8)	
Net Income		(8)	
Net (income) expense attributable to noncontrolling interests	· •		
Net income attributable to Company	<u>\$</u>	(8)	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ Options Services, LLC

in thousands, unaudited)	Year Ended	
	December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$ 3,756	
Investments	3	
Total Receivables - Net	0	
Current Deferred Tax	5	
Current Restricted Cash	250	
Other Current Assets	1,585	
Margin Deposits & Default Fund	0	
Potal Current Assets	5,600	
fotal Property and Equipment - Net	0,000	
Goodwill	Ő	
Dther Intangibles	ŏ	
Non Current Deferred Taxes	0	
	0	
Long Term Restricted Cash	20	
Other Long Term Assets Fotal Assets	\$ 5,620	
rotal Assets	3 5,020	
Liabilities and equity		
Current liabilities:	\$ 385	
Total AP and Accrued Expenses	a 565 0	
SEC 31a Payable to the SEC	-	
Accrued Personnel Costs	16	
Deferred Revenue	0	
Current Portion of Long Term Debt	0	
Current Deferred Tax Liability	0	
Other Current Liabilities	1,733	
fotal current liabilities	2,134	
fotal Long Term Debt	0	
Non Current Deferred Tax Liability	0	
Non-current deferred revenue	0	
Other liabilities		
l'otal Liabilities	2,134	
Commitments and contingencies		
EQUITY	^	
Common Stock in Treasury Total	. 0	
Common Stock Total	0	
Additional Paid in Capital	3,282	
Accumulated Other Comprehensive Income/(Loss)	0	
Retained earnings	204	
l'otal stockholders' equity	3,486	
Noncontrolling interests	0	
Fotal Equity	3,486	
Fotal liabilities and equity	\$ 5,620	

Unconsolidated Statement of Income - NASDAQ Options Services, LLC

(in mousands, unaddied)	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 8,086
Global Listing Services	C
Global Information Services	·
Corporate Solutions	·
Market Technology Revenues	G
Other Revenues	c
Total Revenues	8,086
Cost of revenues	
Transaction rebates	(
Brokerage, Clearance and Exchange Fees	(7,384
Total Cost of Revenues	(7,384
Revenues less transaction rebates, brokerage, clearance and excl	hange
fees	
Operating Expenses	
Compensation and Benefits	484
Marketing and Advertising	· (
Depreciation and amortization	
Professional and contract services	(
Computer operations and data communications	21
Occupancy	2
Regulatory	
General, administrative and other	90
Merger expenses	
Total operating expenses	610
Operating Income	
Interest income	
Interest expense	
Gain (loss) on sale of strategic initiatives	
Dividend and investment income	(
Income from unconsolidated investees, net	
Impairment Loss	
FX Operating	
Income before income taxes	8
Income tax provision	2
Net Income	6
Net (income) expense attributable to noncontrolling interests	
Net income attributable to Company	\$ 64

Unconsolidated Balance Sheet - Nasdaq Technology Services, LLC

	Year Ended December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 0
Investments	0
Total Receivables - Net	(209)
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	95,771
Margin Deposits & Default Fund	0
Total Current Assets	95,562
Total Property and Equipment - Net	0
Goodwill	0
Other Intangibles	. 0
Non Current Deferred Taxes	0
Long Term Restricted Cash	0
Other Long Term Assets	11,034
Total Assets	\$ 106,596
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 1,136
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	0
Deferred Revenue	0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	0
Other Current Liabilities	590
Total current liabilities	1,726
Total Long Term Debt	0
Non Current Deferred Tax Liability	o
Non-current deferred revenue	0
Other liabilities	3,066
Total Liabilities	4,792
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	0
Additional Paid in Capital	•
Accumulated Other Comprehensive Income/(Loss)	20,000
• • • •	0
Retained earnings	81,804
Total stockholders' equity	101,804
Noncontrolling interests	0
Total Equity	101,804
Total liabilities and equity	<u>\$ 106,596</u>

Unconsolidated Statement of Income - Nasdaq Technology Services, LLC

		Ended	
Revenues			
Market Services Revenues	\$	63,657	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		0	
Total Revenues		63,657	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and excha	1100		
fees	mg.	63,657	
Operating Expenses			
Compensation and Benefits		0	
Marketing and Advertising		57	
Depreciation and amortization		0	
Professional and contract services		131	
Computer operations and data communications		(50)	
Occupancy		23,668	
Regulatory		0	
General, administrative and other		4,660	
Merger expenses	······	0	
Total operating expenses		28,468	
Operating Income		35,189	
Interest income	• •	0	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		. 0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		35,189	
Income tax provision		706	
Net Income		34,484	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	34,484	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Norway Acquisition LLC

	Year Ended December 31, 2013
Assets	
Current Assets:	$\mathcal{L}_{\mathcal{A}} = \mathcal{L}_{\mathcal{A}}$
Cash & Equivalents	· \$ 0
Investments	s 0
Total Receivables - Net	0
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	
	6,356
Margin Deposits & Default Fund	0
Total Current Assets	6,356
Total Property and Equipment - Net	0
Goodwill	0
Other Intangibles	0
Non Current Deferred Taxes	0
Long Term Restricted Cash	. 0
Other Long Term Assets	23,603
Total Assets	\$ 29,959
Liabilities and equity	•
Current liabilities:	
Total AP and Accrued Expenses	\$ 176
SEC 31a Payable to the SEC	
Accrued Personnel Costs	
	. 0
Deferred Revenue	0
Current Portion of Long Term Debt	. 0
Current Deferred Tax Liability	0
Other Current Liabilities	698
Total current liabilities	. 874
Total Long Term Debt	0
Non Current Deferred Tax Liability	6
Non-current deferred revenue	
Other liabilities	C
Total Liabilities	874
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	(
Common Stock Total	, (
Additional Paid in Capital	23,548
Accumulated Other Comprehensive Income/(Loss)	(3)
Retained earnings	5,568
Total stockholders' equity	
	•
Noncontrolling interests	20.09/
Total Equity	29,085
Total liabilities and equity	\$ 29,959

Unconsolidated Statement of Income - Norway Acquisition LLC

	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	. 0
Global Information Services	ů.
Corporate Solutions	Ŭ 0
Market Technology Revenues	2,786
Other Revenues	2,100
Total Revenues	2,786
	2,100
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	. 0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance a	ind exchange
fces	2,786
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	ρ
General, administrative and other	ů, č
Merger expenses	0
Total operating expenses	0
Operating Income	2,786
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	(4)
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0 0
Income before income taxes	2,782
Income tax provision	. 0
.	· · · · · · · · · · · · · · · · · · ·
Net Income	2,782
Net (income) expense attributable to noncontrolling interests	www.www.co.co.co.co.co.co.co.co.co.co.co.co.co.
Net income attributable to Company	\$ 2,782

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NPM Securities, LLC

	Year Endec December 31, 2	
Assets		
Current Assets:		
Cash & Equivalents	\$	250
Investments		200
Total Receivables - Net		Ő
Current Deferred Tax		ŏ
Current Restricted Cash		ő
Other Current Assets		0
Margin Deposits & Default Fund		0
Total Current Assets		250
Total Property and Equipment - Net		250
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets Total Assets	<u></u>	250
Total Assets	\$	2.50
Liabilities and equity	r	
Current liabilities:		
Total AP and Accrued Expenses	\$	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		0
Total current liabilities		0
Total Long Term Debt		0
Non Current Deferred Tax Liability		. 0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		0
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total	•	0
Common Stock Total		0
Additional Paid in Capital		250
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		0
Total stockholders' equity		250
Noncontrolling interests		220
Total Equity		250

Unconsolidated Statement of Income - NPM Securities, LLC

		Year Ended	
		December 3	1,2013
Revenues			
Market Services Revenues		\$	0
Global Listing Services			0
Global Information Services			· 0
Corporate Solutions			0
Market Technology Revenues			0
Other Revenues			0
Total Revenues		-	0
Cost of revenues			
Transaction rebates			0
Brokerage, Clearance and Exchange Fees			0
Total Cost of Revenues		<u></u>	0
		····	
Revenues less transaction rebates, brokerage, clearance	and exchange		
fees			0
l.			
Operating Expenses			
Compensation and Benefits			° 0
Marketing and Advertising			0
Depreciation and amortization			0
Professional and contract services			0
Computer operations and data communications			0
Occupancy			0
Regulatory			0
General, administrative and other			Õ
Merger expenses			Õ
Total operating expenses		·	<u>`</u>
Operating Income			0
Interest income			0
Interest expense			, U . O
Gain (loss) on sale of strategic initiatives			0
Dividend and investment income			
Income from unconsolidated investees, net			• 0
Impairment Loss			0
FX Operating			-
Income before income taxes			0
			0
Income tax provision			0_
Net Income			0
Net (income) expense attributable to noncontrolling interest	s		0
Net income attributable to Company		\$	0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OM Technology (US) Inc.

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	0	
Investments	•• ·	õ	
Total Receivables - Net		Ő	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets	***************************************	0	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		Ő	
Other Long Term Assets		(16,155)	
Total Assets	\$	(16,155)	
,			
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC		. 0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		1.044	
Total current liabilities		1.044	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		1,044	
· ·			
Commitments and contingencies	•		
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		(22,596)	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings		5,397	
Total stockholders' equity		(17,200)	
Noncontrolling interests		0	
Total Equity		(17,200)	
Total liabilities and equity	\$	(16,155)	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OM Technology (US) Inc.

	Year E	
	December	31,2013
Revenues	*	•
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues	<u>, ,</u>	0
Revenues less transaction rebates, brokerage, clearance and exchan	ige	
fees		0
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0 0
Professional and contract services		. 0
Computer operations and data communications		0
Occupancy		0
Regulatory		Ō
General, administrative and other		(5,573)
Merger expenses		0
Total operating expenses		(5,573)
Operating Income	·····	5,573
Interest income		0
Interest expense	•	(7)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		(150)
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes	· · · · · · · · · · · · · · · · ·	5,416
Income tax provision		0
Net Income		5,416
Net (income) expense attributable to noncontrolling interests		0,710
Net income attributable to Company	\$	5,416
The mount attributable to Company		2,410

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX (US) Inc.

(in thousands, unaudited)

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$. 0	
Investments		0	
Total Receivables - Net		(509)	
Current Deferred Tax		558	
Current Restricted Cash		0	
Other Current Assets		1,126	
Margin Deposits & Default Fund		0	
Total Current Assets		1,175	
Total Property and Equipment - Net		24	
Goodwill		24	
Other Intangibles		0	
Non Current Deferred Taxes		1,123	
Long Term Restricted Cash		0	
Other Long Term Assets		ů 0	
Total Assets	\$	2,322	
	*		
Liabilities and equity	· .		
Current liabilities:		•	
Total AP and Accrued Expenses	\$	1,409	
SEC 31a Payable to the SEC	· •	0	
Accrued Personnel Costs		630	
Deferred Revenue		0	
Current Portion of Long Term Debt	*	Ő	
Current Deferred Tax Liability		0	
Other Current Liabilities		1,014	
Total current liabilities		3,053	
Total Long Term Debt		. 0	
Non Current Deferred Tax Liability		Õ	
Non-current deferred revenue		0	
Other liabilities		2,749	
Total Liabilities		5,802	
		0,000	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		· 0	
Common Stock Total		(0)	
Additional Paid in Capital		(16,155)	
Accumulated Other Comprehensive Income/(Loss)		0	
Retained earnings		12,676	
Total stockholders' equity	······	(3,480)	
Noncontrolling interests		(3,400)	
Total Equity	10 may - 1	(3,480)	
Total liabilities and equity	\$	2,322	
· · · · · · · · · · · · · · · · · · ·		<i>c,,726</i>	

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The NASDAQ OMX Group, Inc.

Unconsolidated Statement of Income - OMX (US) Inc.

(in aroused), analogicoj	Year Ended December 31, 2013
Revenues	•
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	. 0
Market Technology Revenues	0
Other Revenues	2,052
Total Revenues	2,052
Cost of revenues	
Transaction rebates	• • •
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exe	change
fees	2,052
Operating Expenses	
Compensation and Benefits	2,668
Marketing and Advertising	40
Depreciation and amortization	7
Professional and contract services	74
Computer operations and data communications	6
Occupancy	1,042
Regulatory	0
General, administrative and other	(25,015)
Merger expenses	0
Total operating expenses	(21,178)
Operating Income	23,230
Interest income	· • •
Interest expense	(1)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	23,230
Income tax provision	(2,340)
N I	
Net Income	25,570
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	<u>\$ 25,570</u>

The NASDAQ OMX Group, Inc.

Unconsolidated Financial Statements - The NASDAQ OMX Group Educational Foundation, Inc.

Note: The Nasdaq Stock Market Educational Foundation, Inc. is not a consolidated entity of The NASDAQ OMX Group, Inc. and therefore is not included.

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - The NASDAQ OMX Group, Inc.

AssetsCurrent Assets:Cash & Equivalents\$ 148,179Investments2Total Receivables - Net80,604Current Deferred Tax(10,275)Current Restricted Cash0Other Current Assets497,101Margin Deposits & Default Fund0Total Receivables - Net37,786Goodwill10,453Other Current Assets715,612Total Property and Equipment - Net37,786Goodwill10,453Other Current Assets117,303Long Term Restricted Cash0Other Long Term Assets7,478,869Total Assets\$ 8,384,315Liabilities and equity29,995Current Iabilities:29,995Deferred Tax Liability8,003Other Current Liabilities29,995Deferred Tax Liability8,003Other Current Liabilities1,074,924Total Assets3,731,931Contrent Liabilities2,943Total Long Term Debt2,288,590Non-Current Liabilities3,731,931Commitments and contingencies2,143EQUITY4,652,003Commitments and contingencies2,143Additional Paid in Capital4,281,003Accurual ted Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Equity4,652,385Total Stockholders' equity4,652,385Total Equity4,652,385Total Comprehensive Income/(Loss)2,214		Year Ended December 31, 2013	
Current Assets:\$148,179Cash & Equivalents\$148,179Investments2Total Receivables - Net80,604Current Deferred Tax(10,275)Current Restricted Cash0Other Current Assets497,101Margin Deposits & Default Fund0Total Property and Equipment - Net37,786Goodwill10,453Other Current Assets137,030Current Deferred Taxes137,030Long Term Restricted Cash0Other Long Term Assets7,478,869Total Assets\$SEC 31a Payable to the SEC0Accrued Personnel Costs29,995Deferred Revenue9,225Current Liabilities10,479,24Total Current Liabilities10,479,24Total Current Deferred Tax Liability8,003Other Current Liabilities1,2788,590Non Current Deferred Tax Liability8,035Non Current Deferred Tax Liability8,035Non Current Liabilities1,2788,590Non Current Deferred Tax Liability8,035Non Current Deferred Tax Liability8,035Non Current Deferred Tax Liability3,731,931Commitments and contingencies59,143EQUITY(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,661)Ratined earnings1,457,360Total Equity4,652,385			
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Other Current Assets497,101Margin Deposits & Default Fund0Total Current Assets715,612Total Property and Equipment - Net37,786Goodwill10,453Other Intangibles4,292Non Current Deferred Taxes137,303Long Term Restricted Cash0Other Long Term Assets7,478,869Total Assets\$ 8,384,315Liabilities and equity0Current liabilities:7Total AP and Accrued Expenses\$ 132,238SEC 31 a Payable to the SEC0Accrued Personnel Costs29,995Deferred Revenue9,225Current Deferred Tax Liability8,003Other Current Liabilities1,074,924Total Long Term Debt2,588,590Non-current Debrerd Tax Liability8,035Non-current Deferred Tax Liability8,035Non-current Deferred Tax Liability3,731,931Commitments and contingencies5EQUITY(1,004,779)Common Stock in Treasury Total(1,004,779)Common Stock in Treasury Total2,143Additional Pai din Capital4,281,051Accurulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Equity4,652,385			• • •
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Non Current Deferred Taxes137,303Long Term Restricted Cash0Other Long Term Assets7,478,869Total Assets\$ 8,384,315Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 132,238SEC 31a Payable to the SEC0Accrued Personnel Costs29,995Deferred Revenue9,225Current Deferred Tax Liability8,003Other Current Liabilities1,074,924Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non current deferred revenue1,238Other liabilities59,143Total Liabilities59,143Total Liabilities2,143Additional Paid in Capital4,281,051Accurulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Equity4,652,385			,
Long Term Restricted Cash0Other Long Term Assets7,478,869Total Assets\$ 8,384,315Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 132,238SEC 31a Payable to the SEC0Accrued Personnel Costs29,995Deferred Revenue9,225Current Portion of Long Term Debt45,000Current Deferred Tax Liabilities1,074,924Total Long Term Debt2,588,590Non Current Liabilities1,074,924Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current deferred Tax Liability8,035Non-current deferred Tax Liability3,731,931Commitments and contingencies59,143EQUITY(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Equity4,652,385	· · · · · · · · · · · · · · · · · · ·		
Other Long Term Assets7,478,869Total Assets\$Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$SEC 31a Payable to the SEC0Accrued Personnel Costs29,995Deferred Revenue9,225Current Dottion of Long Term Debt45,000Current Deferred Tax Liability8,003Other Current Liabilities1,074,924Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current deferred Tax Liability8,035Non-current deferred revenue1,238Other Itabilities3,731,931Commitments and contingencies2,143EQUIFY(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Equity4,652,083			
Total Assets\$8,384,315Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$132,238SEC 31a Payable to the SEC00Accrued Personnel Costs29,995Deferred Revenue9,225Current Portion of Long Term Debt45,000Current Deferred Tax Liability8,003Other Current Liabilities1,074,924Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current deferred Tax Liability8,035Other liabilities3,731,931Commitments and contingencies2,143EQUITY(1,004,770)Common Stock in Treasury Total(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accunulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Equity4,652,033			-
Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 132,238SEC 31a Payable to the SEC0Accrued Personnel Costs29,995Deferred Revenue9,225Current Portion of Long Term Debt45,000Current Deferred Tax Liability8,003Other Current Liabilities1,074,924Total current liabilities1,074,924Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current deferred Tax Liability8,035Other tiabilities3,731,931Commitments and contingencies2,143EQUITY(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Noncontrolling interests291Total Equity4,652,385		\$	
Current liabilities:\$132,238SEC 31a Payable to the SEC0Accrued Personnel Costs29,995Deferred Revenue9,225Current Portion of Long Term Debt45,000Current Deferred Tax Liability8,003Other Current Liabilities850,463Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current Deferred Tax Liability8,035Non-current deferred Tax Liability8,035Non-current Debt2,588,590Non-current deferred revenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies2,143EQUIFY(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385			0,50 ,5 ,5 ,5
Current liabilities:\$132,238SEC 31a Payable to the SEC0Accrued Personnel Costs29,995Deferred Revenue9,225Current Portion of Long Term Debt45,000Current Deferred Tax Liability8,003Other Current Liabilities850,463Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current Deferred Tax Liability8,035Non-current deferred Tax Liability8,035Non-current Debt2,588,590Non-current deferred revenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies2,143EQUIFY(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	Liabilities and equity		
Total AP and Accrued Expenses\$132,238SEC 31a Payable to the SEC0Accrued Personnel Costs29,995Deferred Revenue9,225Current Portion of Long Term Debt45,000Current Deferred Tax Liability8,003Other Current Liabilities1,074,924Total Long Term Debt2,588,590Non-Current Deferred Tax Liability8,035Non-current Deferred Tax Liability8,035Non-current deferred revenue1,238Other liabilities3,731,931Commitments and contingencies2,143EQUITY(1,004,770)Common Stock in Treasury Total(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Equity4,652,093			
SEC 31a Payable to the SEC0Accrued Personnel Costs29,995Deferred Revenue9,225Current Portion of Long Term Debt45,000Current Deferred Tax Liability8,003Other Current Liabilities850,463Total current liabilities1,074,924Total current Deferred Tax Liability8,035Non Current Deferred Tax Liability8,035Non-current deferred revenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies2,143EQUIFY(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385		\$	132.238
Accrued Personnel Costs29,995Deferred Revenue9,225Current Portion of Long Term Debt45,000Current Deferred Tax Liability8,003Other Current Liabilities850,463Total current liabilities1,074,924Total current Deferred Tax Liability8,035Non Current Deferred Tax Liability8,035Non-current deferred revenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies2,143EQUIFFY(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	•	•	
Deferred Revenue9,225Current Portion of Long Term Debt45,000Current Deferred Tax Liability8,003Other Current Liabilities1,074,924Total current liabilities1,074,924Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current deferred Tevenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Equity4,652,093Noncontrolling interests291Total Equity4,652,385	•		29,995
Current Portion of Long Term Debt45,000Current Deferred Tax Liability8,003Other Current Liabilities850,463Total current liabilities1,074,924Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current deferred revenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies2,143EQUIFFY(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total Equity4,652,093Noncontrolling interests291Total Equity4,652,385			
Current Deferred Tax Liability8,003Other Current Liabilities850,463Total current liabilities1,074,924Total cong Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current deferred revenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385			
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Total current liabilities1,074,924Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current deferred revenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	· · · · · · · · · · · · · · · · · · ·		
Total Long Term Debt2,588,590Non Current Deferred Tax Liability8,035Non-current deferred revenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies2,143EQUIFFY(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385			
Non Current Deferred Tax Liability8,035Non-current deferred revenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies3EQUIFFY(1,004,770)Common Stock in Treasury Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385			
Non-current deferred revenue1,238Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies3,731,931EQUIFFY(1,004,770)Common Stock in Treasury Total(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	÷		
Other liabilities59,143Total Liabilities3,731,931Commitments and contingencies3,731,931EQUIFY(1,004,770)Common Stock in Treasury Total(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	· · · · · · · · · · · · · · · · · · ·		
Total Liabilities3,731,931Commitments and contingencies2EQUIFY(1,004,770)Common Stock in Treasury Total(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	Other liabilities		•
Commitments and contingenciesEQUIFYCommon Stock in Treasury Total(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385			
EQUITYCommon Stock in Treasury Total(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385			-,,
EQUITYCommon Stock in Treasury Total(1,004,770)Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	Commitments and contingencies		
Common Stock Total2,143Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	₽		
Additional Paid in Capital4,281,051Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	Common Stock in Treasury Total		(1,004,770)
Accumulated Other Comprehensive Income/(Loss)(83,691)Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	Common Stock Total		2,143
Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	Additional Paid in Capital		4,281,051
Retained earnings1,457,360Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	Accumulated Other Comprehensive Income/(Loss)		(83,691)
Total stockholders' equity4,652,093Noncontrolling interests291Total Equity4,652,385	-		
Noncontrolling interests 291 Total Equity 4,652,385	· · · · · · · · · · · · · · · · · · ·	-	
Total Equity 4,652,385			
• •	•		
i Otal habitules and equity a 0,304,313	Total liabilities and equity	\$	8,384,315

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Iacome - The NASDAQ OMX Group, Inc.

		ar Ended aber 31, 2013
Revenues		
Market Services Revenues	\$	0
Global Listing Services		1,783
Global Information Services		69,608
Corporate Solutions		(0)
Market Technology Revenues		(3,714)
Other Revenues		72,928
Total Revenues		140,604
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and excha	nge	
fees		140,604
Operating Expenses		
Compensation and Benefits		102,262
Marketing and Advertising		6,951
Depreciation and amortization		10,100
Professional and contract services		28,923
Computer operations and data communications		7,064
Occupancy		12,688
Regulatory		0
General, administrative and other		52,391
Merger expenses		1,195
Total operating expenses		221,574
Operating Income		(80,970)
Interest income		383
Interest expense		(108,163)
Gain (loss) on sale of strategic initiatives		21,344
Dividend and investment income		(9,555)
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating	<u></u>	· 0
Income before income taxes		(176,962)
Income tax provision		(10,614)
Net Income		(166,348)
Net (income) expense attributable to noncontrolling interests		1,009
Net income attributable to Company	\$	(165,339)

The NASDAQ OMX Group, Inc.

Unconsolidated Financial Statements - The NASDAQ Options Market LLC

Note: The results of The NASDAQ Options Market LLC are included in the results of NASDAQ Options Services, LLC.

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - The Stock Clearing Corporation of Philadelphia (in thousands, unaudited)

			Ended ar 31, 2013
			101, 2010
Assets	а. А.		
Current Assets:			
Cash & Equivalents		\$	0
Investments			Ő
Total Receivables - Net			Ö
Current Deferred Tax	· .	•	Ő
Current Restricted Cash			0
Other Current Assets			0
Margin Deposits & Default Fund			Ű
Total Current Assets			0
Total Property and Equipment - Net			Ő
Goodwill			Ő
Other Intangibles			0
Non Current Deferred Taxes			151
Long Term Restricted Cash			0
Other Long Term Assets			0
Total Assets		\$	151
	×	<u> </u>	
Liabilities and equity			
Current liabilities:	·		
Total AP and Accrued Expenses		\$	0
SEC 31a Payable to the SEC		J.	0
Accrued Personnel Costs			0
Deferred Revenue			0
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			0
Other Current Liabilities			•
Total current liabilities			(51
			(51
Total Long Term Debt			· 0
Non Current Deferred Tax Liability			(8
Other liabilities			0
Total Liabilities			0
Total Lizonnics			(59)
Commitments and contingencies			
EQUITY			۰.
Common Stock in Treasury Total			^
2			0
Common Stock Total Additional Paid in Capital			0
•			739
Accumulated Other Comprehensive Income/(Loss)			0
Retained earnings			(529
Total stockholders' equity			210
Noncontrolling interests		······	0
Total Equity			210
Total liabilities and equity		<u>\$</u>	151

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - The Stock Clearing Corporation of Philadelphia

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
· · · · · · · · · · · · · · · · · · ·	
Revenues less transaction rebates, brokerage, clearance and exe fees	nange 0
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	. 0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	
Operating Income	0
Interest income	. 0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	.0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	
Income before income taxes	
Income tax provision	0
Net Income	0
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	<u>\$0</u>

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - AB NASDAQ OMX Vilnius

(m mousands, unaudited)	Year Ended December 31, 2013	
Assets		
Current Assets:	`	
Cash & Equivalents	\$	2,119
Investments		• 0
Total Receivables - Net		134
Current Deferred Tax		29
Current Restricted Cash		0
Other Current Assets		8
Margin Deposits & Default Fund		0
Total Current Assets		2,290
Total Property and Equipment - Net		26
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		(1)
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	<u>\$</u>	2,315
Liabilitics and equity		·
Current liabilities:		
Total AP and Accrued Expenses	\$	83
SEC 31a Payable to the SEC	9	0
Accrued Personnel Costs		107
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		
Total current liabilities		21
Total Long Term Debt		
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		211
Commitments and contingencies EQUITY		
Common Stock in Treasury Total		^
		0
Common Stock Total Additional Paid in Capital		0
Accumulated Other Comprehensive Income/(Loss)		3,654
		(485)
Retained carnings		(1,065)
Total stockholders' equity		2,104
Noncontrolling interests	······	0
Total Equity		2,104
Total liabilities and equity	<u>\$</u>	2,315

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - AB NASDAQ OMX Vilnius

	Year Ended	
	December 31, 2013	
· .		
Revenues	. · · · ·	
Market Services Revenues	\$ 479	
Global Listing Services	471	
Global Information Services	106	
Corporate Solutions	0	
Market Technology Revenues	. 0	
Other Revenues	863	
Total Revenues	1,919	
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exch	ange	
fees	1,919	
Anarating Exponent		
Operating Expenses Compensation and Benefits	752	
Marketing and Advertising	42	
Depreciation and amortization	42 24	
Professional and contract services	140	
Computer operations and data communications	353	
Occupancy	46	
Regulatory	20	
General, administrative and other	248	
Merger expenses	0	
Total operating expenses	1,625	
Operating Income Interest income		
	0	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	0	
Impairment Loss	0	
FX Operating	Q	
Income before income taxes	295	
Income tax provision	50	
Net Income	245	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	\$ 245	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - AS eCSD Expert

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	458	
Investments	•	0	
Total Receivables - Net		0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		19	
Margin Deposits & Default Fund		0	
Total Current Assets		477	
Total Property and Equipment - Net		1	
Goodwill		. 0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		81	
Total Assets	\$	560	
Liabilities and equity Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total current liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities	\$	95 0 80 0 (125) 49 0 0 0 0 49	
Commitments and contingencies EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total	•	0	
Additional Paid in Capital		35	
Accumulated Other Comprehensive Income/(Loss)		95	
Retained earnings	·	380	
Total stockholders' equity		510	
Noncontrolling interests		0	
Total Equity		510	
Total liabilities and equity	\$	560	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - AS eCSD Expert

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 103
Global Listing Services	
Global Information Services	ů, o
Corporate Solutions	ů 0
Market Technology Revenues	, °
Other Revenues	ů
Total Revenues	103
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, elearance an	d exchange
fees	103
Operating Expenses	
Compensation and Benefits	2
Marketing and Advertising	· · ·
Depreciation and amortization	0
Professional and contract services	289
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	9
Merger expenses	0
Total operating expenses	301
Operating Income	(198)
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	Ő
Dividend and investment income	ů 0
Income from unconsolidated investees, net	. 0
Impairment Loss	0
FX Operating	ů.
Income before income taxes	(198)
Income tax provision	0
Net Income	(198)
Net (income) expense attributable to noncontrolling interests	(1,0)
Net income attributable to Company	\$ (198)

The NASDAQ OMX Group, Inc.

Unconsolidated Financial Statements - AS Eesti Väärtpaberikeskus

Note: AS Eesti Väärtpaberikeskus is an inactive entity in 2013 - no balances in 2012.

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - AS Latvijas Centralais depozitarijs

		r Ended er 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	5,051
Investments		0
Total Receivables - Net		1,049
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		19
Margin Deposits & Default Fund	·	0
Total Current Assets	,	6,118
Total Property and Equipment - Net		583
Goodwill		0
Other Intangibles	•	0
Non Current Deferred Taxes		1
Long Term Restricted Cash		0
Other Long Term Assets		376
Total Assets	\$	7,078
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	3,885
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		120
Deferred Revenue		8
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		906
Total current liabilities		4,919
Total Long Term Debt		• 0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		4,920
~		
Commitments and contingencies		
EQUITY		. 0
Common Stock in Treasury Total		•
Common Stock Total		374
Additional Paid in Capital		0
Accumulated Other Comprehensive Income/(Loss)		(103)
Retained earnings	·····	1,888
Total stockholders' equity		2,158
Noncontrolling interests		0
Total Equity	-	2,158
Total liabilities and equity	\$	7,078

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - AS Latvijas Centralais depozitarijs

(in thousands, unaudited)		ar Ended ber 31, 2013
Revenues	¢	0.501
Market Services Revenues	\$	2,591
Global Listing Services		l
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		39
Total Revenues		2,631
Cost of revenues		
Transaction rebates	· .	0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and excha-	nge	
fees		2,631
Operating Expenses		762
Compensation and Benefits		753
Marketing and Advertising		41
Depreciation and amortization		101
Professional and contract services		178
Computer operations and data communications		82
Occupancy		71
Regulatory		30
General, administrative and other		382
Merger expenses		0
Total operating expenses		1,639
Operating Income		993
Interest income		91
Interest expense		(0)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		(43)
Income from unconsolidated investees, net	•	0.
Impairment Loss		0
FX Operating		0
Income before income taxes		1,040
Income tax provision		173
Net Income		867
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	867

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - AS OMX Registrikeskus

		r Ended Der 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	0	
Investments		0	
Total Receivables - Net	•	0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets		0	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets	•	0	
Total Assets	\$	0	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	(0)	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities	· .	0	
Total current liabilities		0	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		0	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		ů 0	
Additional Paid in Capital		52	
Accumulated Other Comprehensive Income/(Loss)		(52)	
Retained earnings		(0)	
Total stockholders' equity		0	
Noncontrolling interests		0	
Total Equity		0	
Total liabilities and equity	5	0	
t view manufactor and equility	<u></u>		

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - AS OMX Registrikeskus

(in thousands, unaudited)

(in thousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ (0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	(0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and excl	hange
fees	(0
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	(0
Professional and contract services	(0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	(0
Merger expenses	0
Total operating expenses	(0
Operating Income	(0
Interest income	. 0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	(32
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	(32
Income tax provision	<u>C</u>
Net Income	(32
Net (income) expense attributable to noncontrolling interests	(54) (0
Net income attributable to Company	\$ (32

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise Beheer BV

(Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	2
Investments		õ
Total Receivables - Net		74
Current Deferred Tax		(165)
Current Restricted Cash		0
Other Current Assets	•	(930)
Margin Deposits & Default Fund		0
Total Current Assets		(1,018)
Total Property and Equipment - Net		Ó
Goodwill		57,519
Other Intangibles		33,465
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		1,066
Total Assets	\$	91,033
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	4,471
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		52
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		906
Other Current Liabilities		(524)
Total current liabilities		4,906
Total Long Term Debt		0
Non Current Deferred Tax Liability		7,536
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		12,442
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		76,333
Accumulated Other Comprehensive Income/(Loss)		8,114
Retained earnings		
Total stockholders' equity		(5,857) 78,591
Noncontrolling interests		18,391
Total Equity	*	78,591
Total liabilities and equity	<u>\$</u>	91,033

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Bwise Beheer BV

(in mousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	ů 0
Global Information Services	0
Corporate Solutions .	Ő
Market Technology Revenues	74
Other Revenues	0
Total Revenues	74
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exc	1
fees	74
Operating Expenses	
Compensation and Benefits	303
Marketing and Advertising	0
Depreciation and amortization	3,516
Professional and contract services	3
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	14
Merger expenses	0
Total operating expenses	3,836
Operating Income	(3,762)
Interest income	0
Interest expense	(126)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	(3,888)
Income tax provision	(860)
Net Income	(3,028)
Net (income) expense attributable to noncontrolling interests	(2)
Net income attributable to Company	\$ (3,029)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise BV

(ar biousanus, unadricu)		Year Ended December 31, 2013	
Assets			
Current Assets:		\$	318
Cash & Equivalents		э	0
Investments			13,371
Total Receivables - Net			598
Current Deferred Tax			195
Current Restricted Cash		.•	(559)
Other Current Assets			(339)
Margin Deposits & Default Fund			13,923
Total Current Assets			13,925
Total Property and Equipment - Net			0
Goodwill Other Internet Inc.			õ
Other Intangibles Non Current Deferred Taxes	· .		0
			· Õ
Long Term Restricted Cash			õ
Other Long Term Assets Total Assets		\$	15,194
Total Assets			13,124
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	1,829
SEC 31a Payable to the SEC		Ψ.	0
Accrued Personnel Costs			899
Deferred Revenue			3,815
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			0
Other Current Liabilities			7,008
Total current liabilities		·	13,551
Total Long Term Debt			0
Non Current Deferred Tax Liability			Ő
Non-current deferred revenue			Õ
Other liabilities			õ
Total Liabilities			13,551
i otai Empirites			
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total			0
Common Stock Total			0
Additional Paid in Capital			9,547
Accumulated Other Comprehensive Income/(Loss)			610
Retained carnings			(8,514)
Total stockholders' equity			1,643
Noncontrolling interests			0
Total Equity		<u></u>	1,643
Total liabilities and equity		\$	15,194
. and many me aland			

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Bwise BV

((((((((((Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	. 0
Corporate Solutions	. 0
Market Technology Revenues	16,461
Other Revenues	935
Total Revenues	17,396
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	·0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, elearance and	
fees	17,396
Operating Expenses	
Compensation and Benefits	9,102
Marketing and Advertising	432
Depreciation and amortization	402
Professional and contract services	2,383
Computer operations and data communications	1,069
Occupancy	637
Regulatory	0
General, administrative and other	3,516
Merger expenses	0
Total operating expenses	17,541
Operating Income	(145)
Interest income	1
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	•
Impairment Loss	0
FX Operating	0
Income before income taxes	(144)
Income tax provision	(14)
Net Income	(130)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (130)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise Development BV

(in thousands, unaudited)		r Ended per 31, 2013
Assets		
Current Assets:		•
	\$	18
Cash & Equivalents	. D	
Investments	4	0
Total Receivables - Net		1,116
Current Deferred Tax		1,241
Current Restricted Cash		0
Other Current Assets		8
Margin Deposits & Default Fund		0
Total Current Assets		2,383
Total Property and Equipment - Net		2,503
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		Ó
Other Long Term Assets		0
Total Assets	\$	4,887
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	5,432
SEC 31a Payable to the SEC	+	0
Accrued Personnel Costs		513
Deferred Revenue		0
Current Portion of Long Term Debt		Ő
Current Deferred Tax Liability		õ
Other Current Liabilities		977
Total current liabilities		6,923
		0,923
Total Long Term Debt		0
Non Current Deferred Tax Liability		
Non-current deferred revenue		0
Other liabilities		. ()
Total Liabilities		6,923
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		(2,743)
Accumulated Other Comprehensive Income/(Loss)		(327)
Retained earnings	<u> </u>	1,033
Total stockholders' equity		(2,036)
Noncontrolling interests		0
Total Equity	***********	(2,036)
Total liabilities and equity	\$	4,887

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Bwise Development BV

(in thousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	.s U
Global Information Services	0
Corporate Solutions	0
	2,114
Market Technology Revenues Other Revenues	2,114
Total Revenues	2,120
	_,
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and c	xchange
fees	2,120
Owner Mar David	
Operating Expenses	(170)
Compensation and Benefits	(160) 58
Marketing and Advertising	58 172
Depreciation and amortization	- • -
Professional and contract services	(1)
Computer operations and data communications	. 5
Occupancy	. 91
Regulatory	304
General, administrative and other	
Merger expenses	0470
Total operating expenses	1,650
Operating Income Interest income	,
Interest expense	(0) 0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	. 0
Impairment Loss	0
FX Operating	0
Income before income taxes	1,650
	1,030
Income tax provision	
Net Income	1,650
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 1,650

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise Germany GmbH

		ur Ended ber 31, 2013
A		
Assets Current Assets:		
	. \$	58
Cash & Equivalents	3	0
Investments		-
Total Receivables - Net		1,462
Current Deferred Tax Current Restricted Cash		0
Other Current Assets		(1,125)
Margin Deposits & Default Fund		0
Fotal Current Assets		395
Total Property and Equipment - Net		20
Goodwill		0
Other Intangibles	•	0
Non Current Deferred Taxes		0
.ong Term Restricted Cash		0
Other Long Term Assets	<u></u>	0
Fotal Assets	\$	415
jabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	(5,288)
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		59
Deferred Revenue		998
Current Portion of Long Term Debt		. 0
Current Deferred Tax Liability		0
Other Current Liabilities		(730)
fotal current liabilities		(4,960)
Fotal Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities	,	0
Fotal Liabilities		(4,960)
Commitments and contingencies		
EQUITY	•	
Common Stock in Treasury Total		0
Common Stock Total		- 0
Additional Paid in Capital		280
Accumulated Other Comprehensive Income/(Loss)		61
Retained earnings		5,033
Total stockholders' equity	······	5,375
Noncontrolling interests		0
		5,375
Total Equity Total Liabilities and equity	\$	
Total liabilities and equity	, <u>3</u>	415

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Bwise Germany GmbH

(in thousands, unaudited)		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		3,751	
Other Revenues		(565)	
Total Revenues	·	3,186	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues	·····	0	
Revenues less transaction rebates, brokerage, clearance and exchan	ige .		
fces		3,186	
Operating Expenses		,	
Compensation and Benefits		977	
Marketing and Advertising		47	
Depreciation and amortization		(0)	
Professional and contract services		173	
Computer operations and data communications		17	
Occupancy		78	
Regulatory		0	
General, administrative and other		460	
Merger expenses	-	0	
Total operating expenses		1,752	
Operating Income		1,434	
Interest income		0	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		. 0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating	******	0	
Income before income taxes		1,434	
Income tax provision		273	
Net Income		1,161	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	1,161	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Bwise Holding BV

		Year Ended December 31, 2013	
Assets			
	*		
Current Assets:	\$	0	
Cash & Equivalents Investments	. 9	0	
Total Receivables - Net		140	
Current Deferred Tax		6	
		0	
Current Restricted Cash Other Current Assets		39	
		39 0	
Margin Deposits & Default Fund		186	
Total Current Assets			
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets	<u>,</u>	4,389	
Total Assets	\$	4,575	
Liabilities and equity		,	
Current liabilities:			
Total AP and Accrued Expenses	\$	3,947	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		. 0	
Total current liabilities		3,947	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		· õ	
Other liabilities		· Õ	
Total Liabilities	******	3,947	
Total isabilities	а. - а	2,747	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		1,066	
Accumulated Other Comprehensive Income/(Loss)		(522)	
Retained earnings		83	
Total stockholders' equity		628	
Noncontrolling interests		0	
Total Equity	*************	628	
Total liabilities and equity	\$	4,575	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Bwise Holding BV

(in thousands, unaudited)		
	Year I	
	December	31, 2013
Revenues		
Market Services Revenues	\$	0
Global Listing Services		· 0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		. O .
Total Revenues		0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		
Total Cost of Revenues	· · · · · · · · · · · · · · · · · · ·	
Revenues less transaction rebates, brokerage, clearance and exchan		
fees	ge	0
ices		<u>v</u>
Operating Expenses		0
Compensation and Benefits	A Contractor	0
Marketing and Advertising		•
Depreciation and amortization		0
Professional and contract services		0
Computer operations and data communications		0
Occupancy.		(6)
Regulatory		0
General, administrative and other		(5)
Merger expenses		0
Total operating expenses		(11)
Operating Income		11
Interest income		· 0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		11
Income tax provision		0
moonto un provision		
Net Income		11
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	11
iver income attributable to Company	W	

The NASDAQ OMX Group, Inc.

Unconsolidated Balance Sheet - Bwise U.K.Ltd

	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		2
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund		0
Total Current Assets	·····	2
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	2
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	411
SEC 31a Payable to the SEC	·	0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability	•	0
Other Current Liabilities		. 0
Total current liabilities		411
Total Long Term Debt		0
Non Current Deferred Tax Liability		Ő
Non-current deferred revenue		ŏ
Other liabilities		0
Total Liabilities	******	411
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		(381)
Accumulated Other Comprehensive Income/(Loss)		(29)
Retained earnings		0
Total stockholders' equity		(410)
Noncontrolling interests		. (1.0)
+ TO DO TO		(410)
Total Equity		

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Bwise U.K.Ltd

	Year Ended December 31, 2013
	Concention 51, 2015
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	õ
Corporate Solutions	. 0
Market Technology Revenues	ů 0
Other Revenues	. 0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fces	0
Operating Expenses	·
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	0
Operating Income	-
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives Dividend and investment income	0
	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating Income before income taxes	0
	0
Income tax provision	U U
Net Income	0
Net (income) expense attributable to noncontrolling interests	Ů
Not income attributable to Company	\$ 0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - "Central Depository of Armenia" Open Joint Stock Company (in thousands, unaudited) Voor Endad

	Year Ended December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 248
Investments	0
Total Receivables - Net	98
Current Deferred Tax	3
Current Restricted Cash	0
Other Current Assets	34
Margin Deposits & Default Fund	0_
Total Current Assets	383
Total Property and Equipment - Net	96
Goodwill	0
Other Intangibles	0
Non Current Deferred Taxes	17
Long Term Restricted Cash	0
Other Long Term Assets	0
Total Assets	\$ 496
Liabilities and equity	· ·
Current liabilities:	
Total AP and Accrued Expenses	\$ 7
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	. 0
Deferred Revenue	16
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	0
Other Current Liabilities	193
Total current liabilities	216
Total Long Term Debt	. 0
Non Current Deferred Tax Liability	0
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	216
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	. 0
Common Stock Total	0
Additional Paid in Capital	680
Accumulated Other Comprehensive Income/(Loss)	(434)
Retained earnings	33
Total stockholders' equity	280
Noncontrolling interests	0
Total Equity	280
Total liabilities and equity	\$ 496
• •	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - "Central Depository of Armenia" Open Joint Stock Cor (in thousands, unaudited)

(in thousands, unaudited)			
		Year Ended	
	December :	31,2013	
Revenues			
Market Services Revenues	\$	534	
Global Listing Services	-	1	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		0	
Total Revenues		534	
Cost of revenues	·		
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees	<u></u>	0	
Total Cost of Revenues		· 0	
Revenues less transaction rebates, brokerage, clearance and exchan	190		
fees	-8-	534	
Operating Expenses			
Compensation and Benefits		273	
Marketing and Advertising		0	
Depreciation and amortization		21	
Professional and contract services		18	
Computer operations and data communications		43	
Occupancy		31	
Regulatory		3	
General, administrative and other		84	
Merger expenses		0	
Total operating expenses	.	474	
Operating Income		60	
Interest income		(0)	
Interest expense		Ó	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		. 0	
Income before income taxes		60	
Income tax provision	· ·	40	
Net Income		20	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	20	

The NASDAQ OMX Group, Inc.

Unconsolidated Balance Sheet - Clearing Control CC AB

(in thousands, unaudited)	Year Ended
	December 31, 2013
Assets	· · · · · · ·
Current Assets:	\$ 0
Cash & Equivalents	•
Investments	0
Total Receivables - Net	0
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	16
Margin Deposits & Default Fund	<u> </u>
Total Current Assets	16
Total Property and Equipment - Net	0
Goodwill	0
Other Intangibles	0
Non Current Deferred Taxes	0
Long Term Restricted Cash	0
Other Long Term Assets	0
Total Assets	<u>\$ 16</u>
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 0
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	0
Deferred Revenue	0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	0
Other Current Liabilities	0
Total current liabilities	0
Fotal Long Term Debt	. 0
Non Current Deferred Tax Liability	0
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	0
Commitments and contingencies	
EQUITY	· · ·
Common Stock in Treasury Total	. 0
Common Stock Total	0
Additional Paid in Capital	0
Accumulated Other Comprehensive Income/(Loss)	2
	14
Retained earnings	16
Total stockholders' equity	0
Noncontrolling interests	
Total Equity	\$ 16
Total liabilities and equity	<u> </u>

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Clearing Control CC AB

	Year Ended December 31, 2013
Revenues	· ·
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	. 0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	. 0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and	exchange
fees	0
Operating Expenses	· · ·
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	• 0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	0
Operating Income	0
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	. 0
Income tax provision	0
CLARKER PLATING	
Net Income	. 0
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Egypt for Information Dissemination Company (in thousands, unaudited)

(Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	1	
Investments		0	
Total Receivables - Net	·.	0	
Current Deferred Tax		. 0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets		l	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		1,354	
Total Assets	<u>\$</u>	1,354	
Liabilities and equity		a.	
Current liabilities:			
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		0.	
Total current liabilities		0	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		0	
Commitments and contingencies			
EQUITY		-	
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		0	
Accumulated Other Comprehensive Income/(Loss)		(266)	
Retained earnings		1,621	
Total stockholders' equity		1,354	
Noncontrolling interests		0	
Total Equity		1,354	
Total liabilities and equity	\$	1,354	

Unconsolidated Statement of Income - Egypt for Information Dissemination Company (in thousands, unaudited)

(in thousands, unaudited)		
	Year Ended	
	December 31, 2013	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	0	
Global Information Services	0	
Corporate Solutions	0	
Market Technology Revenues	0	
Other Revenues	0	
Total Revenues	0	
rotat Revenues		
Cost of revenues		
Transaction rebates	0	
Brokerage, Clearance and Exchange Fees	0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and exchang	<i>،</i>	
fees		
· · ·		
Operating Expenses		
Compensation and Benefits	0	
Marketing and Advertising	0	
Depreciation and amortization	0	
Professional and contract services	0	
Computer operations and data communications	0	
Occupancy	0	
Regulatory	0	
General, administrative and other	0	
Merger expenses		
Total operating expenses	0	
Operating Income	0	
Interest income	0	
Interest expense	0	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	217	
Impairment Loss	0	
FX Operating	. 0	
Income before income taxes	217	
Income tax provision	0	
Net Income	217	
	217	
Net (income) expense attributable to noncontrolling interests	\$ 217	
Net income attributable to Company	J 21/	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Eignarhaldsfelagid Verdbrefathing hf

(in mousines, mandided)		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	676	
Investments		0	
Total Receivables - Net		4,778	
Current Deferred Tax		0	
Current Restricted Cash	, ·	0	
Other Current Assets	,	4,562	
Margin Deposits & Default Fund		0	
Total Current Assets	<i></i>	10,016	
Total Property and Equipment - Net		.0,010	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		Ő	
		0	
Long Term Restricted Cash		15,520	
Other Long Term Assets Total Assets	\$	25,536	
TOTAL ASSES		25,550	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC	•	0	
Accrued Personnel Costs	•	0	
Deferred Revenue	•	0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		62	
Total current liabilities		62	
Fotal Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		62	
Commitments and contingencies			
EOUITY			
Common Stock in Treasury Total		0	
Common Stock Total		Õ	
Additional Paid in Capital		15,950	
Accumulated Other Comprehensive Income/(Loss)		299	
		9,226	
Retained earnings		25,474	
Total stockholders' equity		,	
Noncontrolling interests		0	
Fotal Equity	**	25,474	
Total liabilities and equity	\$	25,536	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Eignarhaldsfelagid Verdbrefathing hf

Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Computer operating expenses 0 Operating income (1) Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income form unconsolidated investees, net 0 Ingairment Loss 294 FX Operating 0 PX Operating 0 Income tax provision 59 Net Income 236 Net Income 236	(in thousands, unaudited)		
Revenues \$ 0 Market Services Revenues 0 0 Global Listing Services 0 0 Corporate Solutions 0 0 Market Technology Revenues 0 0 Other Revenues 0 0 Total Revenues 0 0 Transaction rebates 0 0 Brokerage, Clearance and Exchange Frees 0 0 Total Cost of Revenues 0 0 Revenues less transaction rebates, brokerage, clearance and exchange 0 Goperating Expenses 0 0 Compensation and Benefits 0 0 Professional and contract services 0 0 Computer operations and data communications 0 0 Regulatory 0 0 0 Regulatory 0 0 0 Regulatory 0 0 0 Corparing theome 1 0 0 Interest income 1 0 0 Regulatory 0 0 0 General, admi			
Market Services Revenues \$ 0 Global Listing Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Computer operation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Comparts income 1 Merger expenses 0 General, administrative and other 1 Merger expenses 0 Oratil operating expenses 0 Oratin (toss) on sale of strategic inititatives 0		December	31, 2013
Market Services Revenues \$ 0 Global Listing Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Computer operation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Comparts income 1 Merger expenses 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Orati operating expenses 0 <td< td=""><td>Davanuar</td><td></td><td></td></td<>	Davanuar		
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Oncome Dating Solvies 0 Clobal Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Ortat operating income 1 Operating Income 1 Operating income 1 Operating income 1 Operating income 0		Ψ	-
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Corporate Formulas 0 Warket Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Componation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Perfessional and contract services 0 Concupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Operating Income 10 Interest expense 0 Ofain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 294 Income tax provision 39 Net (income)			
Other Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Operating Income (1 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 294 Income tax provision 59 Net Income 236 Net Income 236	•		-
Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues tess transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Operating income 1 Interest income 1 Operating income 1 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income tax provision 59 Net Income 236 Net Income 236	+-		+
Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Operating facome (1) Interest income 1 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income tax provision 59 Net Income 236 Net I			<u>0</u>
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Brokerage, Clearance and Exchange Fees 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Operating Income (1 Interest income 276 Interest expense 0 Oividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 294 FX Operating 0 Income tax provision 39 Net Income 236 Net Income 236	Cost of revenues		
Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Orating Income (1 Interest income 276 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 294 FX Operating 0 Income taxe provision 39 Net Income 236 Net Income 236			. 0
Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Operating flocome 1 Operating income 0 Interest income 276 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 294 FX Operating 0 Income tax provision 59 Net Income 236 Net Income 236			0
Revenues less transaction rebates, brokerage, clearance and exchange 0 fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Operating Income (1) Interest income 12 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 294 Income before income taxes 294 Income tax provision 59 Net Income 236			0
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Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 0 Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 1 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Operating Income (1) Interest income 276 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 294 Income tax provision 59 Net Income 236 Net (income) expense attributable to noncontrolling interests 0	Revenues less transaction rebates, brokerage, clearance and exchange	ange	_
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Computation and objects0Marketing and Advertising0Depreciation and amortization0Professional and contract services0Computer operations and data communications0Occupancy0Regulatory0General, administrative and other1Merger expenses0Total operating expenses0Operating Income(1Interest income276Interest expense0Gain (loss) on sale of strategic initiatives0Dividend and investment income19Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes294Income tax provision59Net Income236Net Income) expense attributable to noncontrolling interests0			0
Marketing and referring0Depreciation and amortization0Professional and contract services0Computer operations and data communications0Occupancy0Regulatory0General, administrative and other1Merger expenses0Total operating expenses1Operating Income(1)Interest income276Interest expense0Gain (loss) on sale of strategic initiatives0Dividend and investment income19Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes294Income tax provision59Net Income236Net (income) expense attributable to noncontrolling interests0	•		•
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Professional and communications0Computer operations and data communications0Occupancy0Regulatory0General, administrative and other1Merger expenses0Total operating expenses1Operating Income(1)Interest income276Interest expense0Gain (loss) on sale of strategic initiatives0Dividend and investment income19Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes294Income tax provision59Net Income236Net (income) expense attributable to noncontrolling interests0	•		-
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General, administrative and other i Merger expenses 0 Total operating expenses 1 Operating Income (ii) Interest income 276 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 294 Income tax provision 59 Net Income 236 Net (income) expense attributable to noncontrolling interests 0			-
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Total operating expenses1Operating Income(1)Interest income276Interest expense0Gain (loss) on sale of strategic initiatives0Dividend and investment income19Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes294Income tax provision59Net Income236Net (income) expense attributable to noncontrolling interests0			•
Operating Income(1)Interest income276Interest expense0Gain (loss) on sale of strategic initiatives0Dividend and investment income19Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes294Income tax provision59Net Income236Net (income) expense attributable to noncontrolling interests0	•		<u> </u>
Interest income 276 Interest income 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 294 Income tax provision 59 Net Income 236 Net (income) expense attributable to noncontrolling interests 0			
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Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 19 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 294 Income tax provision 59 Net Income 236 Net (income) expense attributable to noncontrolling interests 0	Interest income		
Dividend and investment income 19 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 294 Income tax provision 59 Net Income 236 Net (income) expense attributable to noncontrolling interests 0	•		-
Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 294 Income tax provision 59 Net Income 236 Net (income) expense attributable to noncontrolling interests 0	· · ·		-
Impairment Loss 0 Impairment Loss 0 FX Operating 0 Income before income taxes 294 Income tax provision 59 Net Income 236 Net (income) expense attributable to noncontrolling interests 0	Dividend and investment income		
Impairment Loss 0 IFX Operating 0 Income before income taxes 294 Income tax provision 59 Net Income 236 Net (income) expense attributable to noncontrolling interests 0	Income from unconsolidated investees, net		
Income before income taxes 294 Income tax provision 59 Net Income 236 Net (income) expense attributable to noncontrolling interests 0	Impairment Loss		0
Income tax provision 59 Nct Income 236 Net (income) expense attributable to noncontrolling interests 0	FX Operating	<u></u>	0
Net Income 236 Net (income) expense attributable to noncontrolling interests 0	Income before income taxes		294
Net (income) expense attributable to noncontrolling interests 0	Income tax provision	••••••	59
Net (income) expense attributable to noncontrolling interests 0	Nat Ivaama		236
			200 0
Net in some attributable to Company 926	Net (income) expense attributable to noncontrolling incresis Net income attributable to Company	\$	236

Unconsolidated Financial Statements - European Central Counterparty N.V

Note: European Central Counterparty N.V is not a fully owned entity and therefore is not included.

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Findata AB

(in thousands, unaudited)	Stern floring
	Year Ended
	December 31, 2013
Assets	
Current Assets:	\$ 0
Cash & Equivalents	\$ 0 0
Investments	0
Total Receivables - Net	
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	0
Margin Deposits & Default Fund	0
Total Current Assets	0
Total Property and Equipment - Net	0
Goodwill	0
Other Intangibles	0
Non Current Deferred Taxes	0
Long Term Restricted Cash	. 0
Other Long Term Assets	48
Total Assets	\$ 48
Liabilities and equity	-
Current liabilities:	
Total AP and Accrued Expenses	\$. 0
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	0
Deferred Revenue	0
	0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	õ
Other Current Liabilities	<u>0</u>
Total current liabilities	0
Total Long Term Debt	0
Non Current Deferred Tax Liability	0
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	Ū
Commitments and contingencies	
EQUITY	0
Common Stock in Treasury Total	•
Common Stock Total	0 388
Additional Paid in Capital	
Accumulated Other Comprehensive Income/(Loss)	(43)
Retained earnings	(298)
Total stockholders' equity	48
Noncontrolling interests	0
Total Equity	
Total liabilities and equity	<u>\$ 48</u>

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Findata AB

	Year I	Year Ended	
	December	31, 2013	
·			
Revenues	·		
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues .		0	
Total Revenues		0	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exch	ange		
fees		00	
Operating Expenses			
Compensation and Benefits		0	
Marketing and Advertising		0	
Depreciation and amortization		0	
Professional and contract services		(5)	
Computer operations and data communications		0	
Occupancy		0	
Regulatory		0.	
General, administrative and other		0	
Merger expenses		0	
Total operating expenses		(5)	
Operating Income		5	
Interest income		0	
Interest expense		(0)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		5	
Income tax provision		1	
Net Income		4	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	4	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - FTEN Europe Ltd

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents		\$. 0
Investments			0
Total Receivables - Net			138
Current Deferred Tax			0
Current Restricted Cash			0
Other Current Assets			0
Margin Deposits & Default Fund			0
Total Current Assets			138
Total Property and Equipment - Net			0
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			0
Total Assets		\$	138
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	98
SEC 31a Payable to the SEC			0
Accrued Personnel Costs			0
Deferred Revenue			0
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			0
Other Current Liabilities			(0)
Total current liabilities			98
Total Long Term Debt			0
Non Current Deferred Tax Liability			0
Non-current deferred revenue			0
Other liabilities			0
Total Liabilities			98
Commitments and contingencies EQUITY			
Common Stock in Treasury Total			0
Common Stock Total			Ŏ
Additional Paid in Capital			(244)
Accumulated Other Comprehensive Income/(Loss)			472
Retained earnings			(188)
Total stockholders' equity			40
			. 40
Noncontrolling interests		<u>.</u>	40
Total Equity Total liabilities and equity		\$	138
Total liabilities and equity		A	1.10

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - FTEN Europe Ltd

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	ů O
Global Information Services	ů o
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
	0
Total Revenues	Ŭ
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and excha	nge
fees	0
· · · · · · · · · · · · · · · · · · ·	
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	· 0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	. 0
Regulatory	0
General, administrative and other	102
Merger expenses	0
Total operating expenses	102
Operating Income	(102)
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	. 0
Dividend and investment income	. 0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	(102)
Income tax provision	0
Net Income	(102)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (102)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Hugin AS

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents		\$	0
Investments			0
Total Receivables - Net			0
Current Deferred Tax			0
Current Restricted Cash			0
Other Current Assets			0
Margin Deposits & Default Fund			0
Total Current Assets			0
Total Property and Equipment - Net			0
Goodwill			581
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			0
Total Assets		\$	581
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	49
SEC 31a Payable to the SEC		-	0
Accrued Personnel Costs			0
Deferred Revenue			0
Current Portion of Long Term Debt			0
Current Deferred Tax Liability	· .		99
Other Current Liabilities			149
Total current liabilities		· · · · · · · · · · · · · · · · · · ·	298
Total Long Term Debt			0
Non Current Deferred Tax Liability			283
Non-current deferred revenue			0
Other liabilities			0
Total Liabilities			581
~			
Commitments and contingencies			
EQUITY Constraint Testal			0
Common Stock in Treasury Total			Ð
Common Stock Total			0 0
Additional Paid in Capital			. 0
Accumulated Other Comprehensive Income/(Loss)			•
Retained earnings			0
Total stockholders' equity		•	0
Noncontrolling interests			0
Total Equity		·	0
Total liabilities and equity		<u>\$</u>	581

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Hugin AS

(in mousands, unaumen)	Year Ended December 31, 2013
Revenues	_
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	<u> </u>
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchang	ge a
fecs	0
Operating Expenses	0
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	0
Computer operations and data communications	• 0
Occupancy	0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	0
Operating Income	. 0
Interest income	
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	•
Income tax provision	00
Net Income	. 0
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Indxis Ltd

(in thousands, unaudited)	Year Ended	
	December	
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		141
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		. (1)
Margin Deposits & Default Fund		0
Total Current Assets		140
Total Property and Equipment - Net		376
Goodwill		2,282
Other Intangibles		912
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	S	3,711
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	915
SEC 31a Payable to the SEC	-	0
Accrued Personnel Costs		19
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		58
Other Current Liabilities		1,011
Total current liabilities	•••	2,003
Total Long Term Debt		0
Non Current Deferred Tax Liability		156
Non-current deferred revenue		0
Other liabilities		237
Total Liabilities		2,396
total Liabitues		2,570
Commitments and continuous		
Commitments and contingencies		
EQUITY Commence Standa in Tennenum Potel		0
Common Stock in Treasury Total		
Common Stock Total		0
Additional Paid in Capital		2,743 (8)
Accumulated Other Comprehensive Income/(Loss)		
Retained carnings	·	(1,420)
Total stockholders' equity		1,315
Noncontrolling interests		0
Total Equity	<u></u>	1,315
Total liabilities and equity	\$	3,711

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Indxis Ltd

	Year Ended
· .	December 31, 2013
Revenues	•
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	50
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	
Total Revenues	50
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance a	ndexchange
fees	50
Operating Expenses	
Compensation and Benefits	299
Marketing and Advertising	0
Depreciation and amortization	222
Professional and contract services	19
Computer operations and data communications	113
Occupancy	195
Regulatory	0
General, administrative and other	170
Merger expenses	484
Total operating expenses	1,502
Operating Income	(1,451)
Interest income	0
Interest expense	(1)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	(1,453)
Income tax provision	(51)
Net Income	(1,401)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (1,401)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Lithuanian Central Securities Depositary

(in thousands, unaudited)	Voor G	vdad
	Year Ei December 1	
	December .	51, 2015
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		0
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund		Ō
Total Current Assets	******	0
Total Property and Equipment - Net		0
Goodwill		Ö
Other Intangibles		Ő
Non Current Deferred Taxes		0
		0
Long Term Restricted Cash		Ő
Other Long Term Assets Total Assets	\$	0
Total Assets	J	
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		0
Total current liabilities		0
Total Long Term Debt		Ó
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		. 0
Total Endolines		· · ·
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		0
Accumulated Other Comprehensive Income/(Loss)		250
Retained earnings		(250)
Total stockholders' equity		0
Noncontrolling interests		0
Total Equity		0
Total liabilities and equity	\$	0
• •		

Unconsolidated Statement of Income - Lithuanian Central Securities Depositary

(in thousands, unaudited)

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	
Global Information Services	ů
	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	
Total Revenues	U
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange fees	0
1665	······································
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	. 0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	0
Operating Income	0
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	. 0
Income from unconsolidated investees, net	0 -
Impairment Loss	0
FX Operating	0
Income before income taxes	<u>0</u>
Income tax provision	ů
TRAVILA MA MATRICIL	
Net Income	0
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 0

•

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Mamato Motion AB

(m mousands, manufact)		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	0	
Investments		0	
Total Receivables - Net	•	0	
Current Deferred Tax		· 0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets		0	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	0	
	·		
Liabilities and equity			
Current liabilities:	,	^	
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		0	
Total current liabilities		0	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue	i -	0	
Other liabilities		0	
Total Liabilities	a	0	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		(11)	
Accumulated Other Comprehensive Income/(Loss)		11	
Retained carnings		(0)	
Total stockholders' equity	······································	0	
		0	
Noncontrolling interests		0	
Total Equity	\$	0	
Total liabilities and equity	<u>v</u>		

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Mamato Motion AB

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	· 0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	0
Operating Expenses	· ·
Compensation and Benefits	. 0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	0
Computer operations and data communications	. 0
Occupancy	0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	0
Operating Income	0
Interest income	0
Interest expense	. 0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	• 0
Income from unconsolidated investees, net	° 0
Impairment Loss	0
FX Operating	0
Income before income taxes	0
Income tax provision	0
Net Income	0
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 0

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Nasdaq Canada Inc

(in mousands, and doubles)		r Ended per 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$. 0
Investments		0
Total Receivables - Net		0
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund		0
Total Current Assets		0
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	0
Liabilitics and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		. 0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		0
Total current liabilities		0
Total Long Term Debt		0
Non Current Deferred Tax Liability		. 0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		0
Commitments and contingencies		
EQUITY		0
Common Stock in Treasury Total		
Common Stock Total		0
Additional Paid in Capital		(0)
Accumulated Other Comprehensive Income/(Loss)		0
Retained earnings		0
Total stockholders' equity		0
Noncontrolling interests		0
Total Equity		0
Total liabilities and equity	\$. 0

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Nasdaq Canada Inc

		Ended
	Decembe	r 31, 2013
Revenues Madat Samian Dataman	\$	0
Market Services Revenues	· D	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		•
Other Revenues		0
Total Revenues		U
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchanges	ange	0
1005		· · ·
Operating Expenses		•
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		0
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		0
Merger expenses	•	0
Total operating expenses		0
Operating Income		0
Interest income	•	0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		.0
Income tax provision		0
Not Isoomo		. 0
Net Income		-0
Net (income) expense attributable to noncontrolling interests	\$	0
Net income attributable to Company	.0	

Unconsolidated Balance Sheet - Nasdaq International Ltd (in thousands, unaudited)

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	6,098	
Investments		0	
Total Receivables - Net		4,086	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		1,058	
Margin Deposits & Default Fund		0	
Total Current Assets		11,242	
Total Property and Equipment - Net	•	993	
Goodwill		0	
Other Intangibles		Ô	
Non Current Deferred Taxes		Õ	
Long Term Restricted Cash		Õ	
Other Long Term Assets		ő	
Total Assets	\$	12,235	
	<u> </u>		
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	3,195	
SEC 3 la Payable to the SEC	4	0	
Accrued Personnel Costs		506	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		(150)	
Total current liabilities		3,551	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		Õ	
Non-current deferred revenue		ů, ů	
Other liabilities		Ő	
Total Liabilities		3,551	
		-,	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		. 0	
Accumulated Other Comprehensive Income/(Loss)		252	
Retained earnings		8,433	
Total stockholders' equity	***************************************	8,684	
Noncontrolling interests		0	
Total Equity		8,684	
Total liabilities and equity	\$	12,235	
• •			

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The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Nasdaq International Ltd

(in thousands, unaudited)	Year End December 31	
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		7,598
Total Revenues		7,598
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fèes		.0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange	:	
fees		7,598
Operating Expenses		
Compensation and Benefits		4,434
Marketing and Advertising		543
Depreciation and amortization		218
Professional and contract services		118
Computer operations and data communications		21
Occupancy		84
Regulatory		38
General, administrative and other		1,657
Merger expenses		0
Total operating expenses	· · · · · · · · · · · · · · · · · · ·	7,114
Operating Income		484
Interest income		19
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		504
Income tax provision		54
Net Income		450
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	450

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - "NASDAQ OMX Armenia" Open Joint Stock Company (in thousands, unaudited)

(in thousands, unaudited)		
		Ended
	December	31,2013
Assets		
Current Assets:		
Cash & Equivalents	\$	474
Investments	Ψ.	0
Total Receivables - Net		12
Current Deferred Tax		11
Current Restricted Cash		0
Other Current Assets		161
· · · · · · · · · · · · · · · · · · ·		0
Margin Deposits & Default Fund		659
Total Current Assets		29
Total Property and Equipment - Net		29
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		•
Long Term Restricted Cash		0
Other Long Term Assets	<u></u>	309
Total Assets		997
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	(10)
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		57
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		22
Other Current Liabilities	•	39
Total current liabilities		109
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		109
	,	
Commitments and contingencies	· ·	
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		1,388
Accumulated Other Comprehensive Income/(Loss)		(326)
Retained earnings		(174)
Total stockholders' equity		889
Noncontrolling interests		0
Total Equity		889
Total liabilities and equity	\$	997
rotar naonities and equity	J	<i>771</i>

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - "NASDAQ OMX Armenia" Open Joint Stock Company (in thousands, unaudited)

		Ended
	LJecembe	r 31, 2013
Revenues		
Market Services Revenues	\$	499
Global Listing Services	•	0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues	······································	500
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues	*****************	0
		·····
Revenues less transaction rebates, brokerage, clearance and exchange	ange	
fees	0	500
·		
Operating Expenses		
Compensation and Benefits		214
Marketing and Advertising		0
Depreciation and amortization		17
Professional and contract services		. 7
Computer operations and data communications		(0)
Occupancy		11
Regulatory		2
General, administrative and other		43
Merger expenses		0
Total operating expenses		293
Operating Income		206
Interest income		· (0)
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0 0
FX Operating		Õ
Income before income taxes	·	206
Income tax provision		200 46 ⁻
income tax provision		40
Net Income		161
		0
Net (income) expense attributable to noncontrolling interests	\$	161
Net income attributable to Company	Д	101

Unconsolidated Balance Sheet - NASDAQ OMX Australia Holding Pty Ltd

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents		\$	0
Investments			0
Total Receivables - Net			0
Current Deferred Tax			0
Current Restricted Cash			.0
Other Current Assets			0
Margin Deposits & Default Fund			0
Total Current Assets			0
Total Property and Equipment - Net			0
Goodwill			53,646
Other Intangibles			13,335
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			4,356
Total Assets		\$	71,337
			· .
Liabilities and equity			
Current liabilities:		æ	0
Total AP and Accrued Expenses		\$	-
SEC 3 Ia Payable to the SEC			0
Accrued Personnel Costs			•
Deferred Revenue			0
Current Portion of Long Term Debt			-
Current Deferred Tax Liability			286
Other Current Liabilities			0
Total current liabilities			286
Total Long Term Debt			0
Non Current Deferred Tax Liability			3,715
Non-current deferred revenue			0
Other liabilities			2,062
Total Liabilities			6,063
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total	•		0
Common Stock Total			0
Additional Paid in Capital			76,468
Accumulated Other Comprehensive Income/(Loss)			289
Retained earnings			(11,483)
Total stockholders' equity			65,274
Noncontrolling interests			0
Total Equity			65,274
Total liabilities and equity		\$	71,337

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Australia Holding Pty Ltd (in thousands, unaudited)

(in thousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	. 0
Other Revenues	0
Total Revenues	0
Cost of revenues	•
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	. 0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchan	9 gc 0
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	1,046
Professional and contract services	0
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	1,046
Operating Income	(1,046
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	. 0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	. 0
Income before income taxes	(1,046)
Income tax provision	(130)
Net Income	(917)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (917

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Broker Services AB

(in thousands, unaudited)		Ended er 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	£1,961
Investments		7,687
Total Receivables - Net		2,545
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		115
Margin Deposits & Default Fund		0
Total Current Assets		22,308
Total Property and Equipment - Net		185
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		(33)
Long Term Restricted Cash		0
Other Long Term Assets		189
Total Assets	\$	22,648
Liabilities and equity Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total current liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities	\$	5,137 0 1,523 1,098 0 35 102 7,895 0 0 0 0 36 7,931
Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained carnings Total stockholders' equity Nonconstralling interests		0 14,283 102 332 14,717 0
Noncontrolling interests	· ·	14,717
Total Equity	\$	22,648
Total liabilities and equity	.9 	<i>66</i> ,040

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Broker Services AB

Year Ended December 31, 2013 Revenues \$ 21,284 Global Listing Services 0 Global Listing Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 4 Total Revenues 4 Total Revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 21,288 Operating Expenses 0 Compensation and Benefits 4,516 Marketing and Advertising 18 Depreciation and amortization 87 Professional and contract services 3,067 Computer operations and data communications 7,734 Occupancy 318 Regulatory 42 General, administrative and other 976 Merger expenses 0 Total operating expenses 0 Operating lacome 164 Interest income 164 Interest income 164 Interest income	(in thousands, unaudited)			
Revenues \$ 21.284 Market Services Revenues 0 Global Liformation Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 4 Total Revenues 4 Total Revenues 0 Diver Revenues 0 Total Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange 2 fees 21.288 Operating Expenses 2 Compensation and Benefits 4,516 Marketing and Advertising 18 Depreciation and amortization 87 Professional and contract services 0,067 Computer operations and data communications 7,734 Occupancy 318 Regulatory 42 General, administrative and other 976 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Total operatin				
Market Services Revenues \$ 21.284 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 4 Total Revenues 0 Dirkerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange 21,288 Operating Expenses 0 Compensation and Benefits 4,516 Marketing and Advertising 18 Depreciation and amorization 87 Professional and contract services 3,067 Computer operating sexpenses 0 Marketing and Advertising 18 Depreciation and adata communications 7,734 Occupancy 318 Regulatory 42 General, administrative and other 976 Mergent expenses 0 Operating expenses 16,759 Operating income 4,529 Interest income 164 Interest expense 0 </th <th></th> <th>December</th> <th>31,2013</th>		December	31,2013	
Market Services Revenues \$ 21.284 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 4 Total Revenues 0 Dirkerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange 21,288 Operating Expenses 0 Compensation and Benefits 4,516 Marketing and Advertising 18 Depreciation and amorization 87 Professional and contract services 3,067 Computer operating sexpenses 0 Marketing and Advertising 18 Depreciation and adata communications 7,734 Occupancy 318 Regulatory 42 General, administrative and other 976 Mergent expenses 0 Operating expenses 16,759 Operating income 4,529 Interest income 164 Interest expense 0 </td <td></td> <td></td> <td></td>				
Minks 30 (rotation of the second of the s	Revenues		+ -	
Othoba Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 4 Total Revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 21,288 Operating Expenses 0 Compensation and Benefits 4,516 Marketing and Advertising 18 Depreciation and Benefits 7,734 Occupancy 318 Regulatory 42 General, administrative and other 976 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Total operating initiatives 0 Dividend and investment income 164 Interest expense 0 Dividend and investment income 0 Income from unconsolidated investees, nct 0 Impairment Loss 0 FX Operating 0 Income tefo	Market Services Revenues	\$.	-	
Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 21,288 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange 0 fees 21,288 Operating Expenses 0 Compensation and Benefits 4,516 Marketing and Advertising 18 Depreciation and amortization 87 Professional and contract services 3,067 Compares 0 General, administrative and other 976 Merger expenses 0 Total operating expense 0 Total operati	Global Listing Services		•	
Corporate Sources 0 Market Technology Revenues 0 Other Revenues 21,288 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 21,288 Operating Expenses 21,288 Compensation and Benefits 4,516 Market region and contract services 3,067 Computer operations and data communications 7,734 Occupancy 318 Regulatory 42 General, administrative and other 976 Merger expenses 0 Total operating intermet 0 Interest expense 0 Dividend	Olobal Information Services		•	
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Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 21,288 Operating Expenses 21,288 Compensation and Benefits 4,516 Marketing and Advertising 18 Depreciation and amortization 87 Professional and contract services 3,067 Computer operations and data communications 7,734 Occupancy 42 General, administrative and other 976 Merger expenses 0 Total operating expenses 16,759 Operating Income 164 Interest income 164 Interest expense 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Income tax provision 1,023 Net Income 3,654	Transaction rebates		0	
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Professional and contract services3,067Computer operations and data communications7,734Occupancy318Regulatory42General, administrative and other976Merger expenses0Total operating expenses0Total operating expenses16,759Operating Income164Interest income164Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes4,677Income tax provision1,023Net Income3,654Net Income) expense attributable to noncontrolling interests0O1,023	-		87	
Computer operations and data communications7,734Occupancy318Regulatory42General, administrative and other976Merger expenses0Total operating expenses0Total operating expenses16,759Operating income164Interest income164Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes4,677Income tax provision1,023Net Income3,654Net Income) expense attributable to noncontrolling interests0	•		3,067	
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Regulatory42General, administrative and other976Merger expenses0Total operating expenses0Total operating expenses16,759Operating Income164Interest income164Interest expense0Gain (loss) on sale of strategic initiatives0Dividend and investment income4(4)Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income tax provision4,677Income tax provision1,023Net Income3,654Net Income) expense attributable to noncontrolling interests0			318	
General, administrative and other976Merger expenses0Total operating expenses0Operating Income4,529Interest income164Interest expense(12)Gain (loss) on sale of strategic initiatives0Dividend and investment income(4)Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes4,677Income tax provision1,023Net Income3,654Net Income) expense attributable to noncontrolling interests0			42	
Merger expenses0Total operating expenses16,759Operating Income4,529Interest income164Interest expense(12)Gain (loss) on sale of strategic initiatives0Dividend and investment income(4)Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes4,677Income tax provision1,023Net Income3,654Net (income) expense attributable to noncontrolling interests0			976	
Total operating expenses16,759Operating Income4,529Interest income164Interest expense(12)Gain (loss) on sale of strategic initiatives0Dividend and investment income(4)Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes4,677Income tax provision1,023Net Income3,654Net Income) expense attributable to noncontrolling interests0			0	
Operating income 4,529 Interest income 164 Interest income 164 Interest expense (12) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income (4) Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 4,677 Income tax provision 1,023 Net Income 3,654 Net (income) expense attributable to noncontrolling interests 0	•		16,759	
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Interest expense(12)Gain (loss) on sale of strategic initiatives0Dividend and investment income(4)Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes4,677Income tax provision1,023Net Income3,654Net (income) expense attributable to noncontrolling interests0				
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Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 4,677 Income tax provision 1,023 Net Income 3,654 Net (income) expense attributable to noncontrolling interests 0			-	
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FX Operating 0 Income before income taxes 4,677 Income tax provision 1,023 Net Income 3,654 Net (income) expense attributable to noncontrolling interests 0			•	
Income before income taxes 4,677 Income tax provision 1,023 Net Income Appendence Append	•		· ň	
Income tax provision 1,023 Net Income 3,654 Net (income) expense attributable to noncontrolling interests 0			4 677	
Net Income 3,654 Net (income) expense attributable to noncontrolling interests 0			,	
Net (income) expense attributable to noncontrolling interests	income tax provision			
	Net Income		3,654	
	Net (income) expense attributable to noncontrolling interests		0	
ACT HICORIC ALL DALADIC TO CORIDALY	Net income attributable to Company	\$	3,654	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Clearing AB

(in thousands, unaudited)		<i></i>
	Year Ended December 31, 2013	
	Decemb	er 31, 2013
		b
Assets		
Current Assets:		
Cash & Equivalents	5	203,098
Investments		93,598
Total Receivables - Net		7,516
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		8,553
Margin Deposits & Default Fund		1,961,024
Total Current Assets		2,273,790
Total Property and Equipment - Net		4,946
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		(212)
		0
Long Term Restricted Cash		707,425
Other Long Term Assets	\$	2,985,950
Total Assets	J	2,705,750
· · · · · · · · · · · · · · · · · · ·		
Liabilities and equity		
Current liabilities:	<i>•</i>	114 000
Total AP and Accrued Expenses	\$	114,002
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		937
Deferred Revenue		(98)
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		197
Other Current Liabilities		1,979,560
Total current liabilities	•	2,094,599
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		275
Total Liabilities		2,094,874
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		28,069
Additional Paid in Capital		1,112,909
Accumulated Other Comprehensive Income/(Loss)		240,022
Retained earnings		(489,924)
Total stockholders' equity		891,076
		0
Noncontrolling interests		891,076
Total Equity	\$	2,985,950
Total liabilities and equity		2,700,700

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Clearing AB

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 103,893
Global Listing Services	20,668
Global Information Services	33,881
Corporate Solutions	24
Market Technology Revenues	11,906
Other Revenues	1,294
Total Revenues	171,667
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and	exchange
fecs	171,667
Operating Expenses	
Compensation and Benefits	24,229
Marketing and Advertising	859
Depreciation and amortization	473
Professional and contract services	13,285
Computer operations and data communications	30,528
Occupancy	1,248
Regulatory	727
General, administrative and other	8,236
Merger expenses	2,776
Total operating expenses	82,360
Operating Income	89,307
Interest income	3,566
Interest expense	(483)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	(719)
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	91,671
Income tax provision	20,266
Net Income	71,405
Net (income) expense attributable to noncontrolling interests-	0
ther (meane) exhering an inarrance to noncountoring interests.	\$ 71,405

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Copenhagen A/S

(in thousands, unaudited)		
	Year Ended	
	Decem	ber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	948
Investments		0
Total Receivables - Net		5,686
Current Deferred Tax		0
Current Restricted Cash		õ
Other Current Assets		41,309
		0
Margin Deposits & Default Fund		47,944
Total Current Assets		254
Total Property and Equipment - Net		234
Goodwill		•
Other Intangibles		. 0
Non Current Deferred Taxes		113
Long Term Restricted Cash		0
Other Long Term Assets		1,198
Total Assets	\$	49,508
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	333.
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		2,298
Deferred Revenue		325
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		1,295
Total current liabilities	<u></u>	4,250
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		9,524
Total Liabilities		13,774
I dai Liamines		
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock in Medsury Form		õ
		52,129
Additional Paid in Capital		(731)
Accumulated Other Comprehensive Income/(Loss)		• •
Retained earnings		(15,664)
Total stockholders' equity		35,734
Noncontrolling interests		0
Total Equity	¢	35,734
Total liabilities and equity	\$.	49,508

Unconsolidated Statement of Income - NASDAQ OMX Copenhagen A/S

		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	14,758	
Global Listing Services		14,127	
Global Information Services		18,212	
Corporate Solutions		1	
Market Technology Revenues		• 0	
Other Revenues		4,818	
Total Revenues		51,915	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exchan	ıge		
fees	· · · ·	51,915	
Operating Expenses			
Compensation and Benefits		8,486	
Marketing and Advertising		255	
Depreciation and amortization		156	
Professional and contract services		4,338	
Computer operations and data communications		4,566	
Occupancy		975	
Regulatory		736	
General, administrative and other		2,090	
Merger expenses		244	
Total operating expenses		21,847	
Operating Income	~~~~~	30,068	
Interest income		305	
Interest expense		(1)	
Gain (loss) on sale of strategic initiatives		. 0	
Dividend and investment income		. 0	
Income from unconsolidated investees, net		Û	
Impairment Loss		ů 0	
FX Operating		Ő	
Income before income taxes		30,372	
Income tax provision		7,648	
		······	
Net Income		22,724	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	<u>\$</u>	22,724	

Unconsolidated Balance Sheet - NASDAQ OMX Corporate Solutions (India) Private Limited (in thousands, unaudited)

	Yea	Year Ended	
	December 31, 2013		
	•		
Assets			
Current Assets:	^	101	
. Cash & Equivalents	\$	696	
Investments		0	
Total Receivables - Net		111	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		51	
Margin Deposits & Default Fund	·····	0	
Total Current Assets		857	
Total Property and Equipment - Net		124	
Goodwill		1,224	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		235	
Total Assets	<u>\$</u>	2,439	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	1,312	
SEC 3 ta Payable to the SEC		0	
Accrued Personnel Costs		109	
Deferred Revenue	4	0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		0	
Total current liabilities		1,421	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		.0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		1,421	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		1,498	
Accumulated Other Comprehensive Income/(Loss)		i	
Retained earnings		(482)	
Total stockholders' equity		1,018	
Noncontrolling interests		0	
Total Equity		1,018	
Total liabilities and equity	\$	2,439	
• •			

Unconsolidated Statement of Income - NASDAQ OMX Corporate Solutions (India) Private Li (in thousands, unaudited)

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues	•	0
I dai Revenues		
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
	· •	
Revenues less transaction rebates, brokerage, clearance and excha	nge	•
fees		0
One section Experience		
Operating Expenses		4
Compensation and Benefits Marketing and Advertising		0
· ·		ŏ
Depreciation and amortization Professional and contract services		57
		0
Computer operations and data communications		303
Occupancy		0
Regulatory		118
General, administrative and other		0
Merger expenses		482
Total operating expenses	·	(482)
Operating Income		(482)
Interest income		(0)
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		(482)
Income before income taxes		
Income tax provision		0
Net Income		(482)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company.	\$	(482)
		<u>, , , , , , , , , , , , , , , , , , , </u>

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Corporate Solutions International Limited (in thousands, unaudited)

(in thousands, unaudited)			
	Year	Year Ended	
-	Decemb	er 31, 2013	
		······	
Assets			
Current Assets:			
Cash & Equivalents	\$	5,904	
Investments		0	
Total Receivables - Net		18,793	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		584	
Margin Deposits & Default Fund		0	
Total Current Assets		25,281	
Total Property and Equipment - Net		7,070	
Goodwill		112,211	
Other Intangibles		27,073	
Non Current Deferred Taxes		0	
		Ő	
Long Term Restricted Cash		416	
Other Long Term Assets	\$	172,050	
Total Assets	J	172,030	
F to Littlet on which a constant			
Liabilities and equity			
Current liabilities:	\$	9,607	
Total AP and Accrued Expenses	ð	9,007 0	
SEC 31a Payable to the SEC			
Accrucd Personnel Costs		2,740	
Deferred Revenue		3,879	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		408	
Other Current Liabilities		23,926	
Total current liabilities		40,560	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		- 431	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		40,991	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		. 0	
Additional Paid in Capital	•	136,691	
Accumulated Other Comprehensive Income/(Loss)		10,050	
Retained earnings		(15,682)	
Total stockholders' equity		131,059	
Noncontrolling interests		0	
Total Equity		131,059	
Total liabilities and equity	\$	172,050	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Corporate Solutions International Lim (in thousands, unaudited)

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Revenues	A 0
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	. 0
Corporate Solutions	41,018
Market Technology Revenues	0
Other Revenues	168
Total Revenues	41,186
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	1
fees	41,186
Operating Expenses	
Compensation and Benefits	8,433
Marketing and Advertising	99
Depreciation and amortization	2,207
Professional and contract services	25,984
Computer operations and data communications	4,851
Occupancy	[,42]
Regulatory	0
General, administrative and other	4,454
Merger expenses	1,467
Total operating expenses	48,916
Operating Income	(7,730)
Interest income	5
Interest expense	(52)
Gain (loss) on sale of strategic initiatives	Ő
Dividend and investment income	(2,704)
Income from unconsolidated investees, net	0
Impairment Loss	ů.
FX Operating	Ň
Income before income taxes	(10,481)
•	(10,401)
Income tax provision	
Net Income	(10,559)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	<u>\$ (10,559)</u>

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Derivatives A/S (in thousands, unaudited)

(in thousands, unaudited)		Year Ended December 31, 2013	
Assets			
Current Assets:	\$	15	
Cash & Equivalents	۰. ۲		
Investments	·	0	
Total Receivables - Net		42	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		93	
Margin Deposits & Default Fund		0	
Total Current Assets		150	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		3	
Long Term Restricted Cash	•	0	
Other Long Term Assets		0	
Total Assets	\$	153	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	15	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		(0)	
Total current liabilities		15	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		662	
Total Liabilities	· · · ·	676	
Commitments and contingencies			
EQUITY	· ·		
Common Stock in Treasury Total		0	
Common Stock Total		õ	
Additional Paid in Capital		952	
Accumulated Other Comprehensive Income/(Loss)		(8)	
Retained earnings		(1,467)	
Total stockholders' equity		(523)	
		(323)	
Noncontrolling interests		(523)	
Total Equity Total liabilities and equity	\$	153	
rotat naomnes and equity	.	133	

The NASDAQ OMX Group, Iac. Unconsolidated Statement of Income - NASDAQ OMX Derivatives A/S (in thousands, unaudited)

· · · · · · · · · · · · · · · · · · ·		Ended r 31, 2013
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		00
Revenues less transaction rebates, brokerage, clearance and exchan	ge	
fees		0
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		7
Depreciation and amortization		0
Professional and contract services		87
Computer operations and data communications		0
Occupancy	•	0
Regulatory		0
General, administrative and other		68
Merger expenses		<u>0</u>
Total operating expenses		162
Operating Income		(162)
Interest income		0
Interest expense		0
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		(162)
Income tax provision		(41)
Net Income		(121)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	(121)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Exchange and Clearing Services AB (in thousands, unaudited)

(Year Ended December 31, 2013
· ·	
Assets	
Current Assets:	(10 578)
Cash & Equivalents	\$ (18,575)
Investments	0
Total Receivables - Net	5,255
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	(26)
Margin Deposits & Default Fund	0
Total Current Assets	. (13,346)
Total Property and Equipment - Net	20,306
Goodwill	0
Other Intangibles	0
Non Current Deferred Taxes	(99)
Long Term Restricted Cash	. 0
Other Long Term Assets	0
Total Assets	\$ 6,861
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 654
-	3 034 0
SEC 31a Payable to the SEC	5.497
Accrued Personnel Costs	
Deferred Revenue	0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	89
Other Current Liabilities	(494)
Total current liabilities	5,747
Total Long Term Debt	0
Non Current Deferred Tax Liability	0
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	5,747
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	. 0
Common Stock Total	. 0
Additional Paid in Capital	142
Accumulated Other Comprehensive Income/(Loss)	(796)
Retained earnings	1,768
Total stockholders' equity	1,114
Noncontrolling interests	. 0
Total Equity	1,114
Total liabilities and equity	\$ 6,861
town mountos and odants	φ (0,001

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Exchange and Clearing Services AB (in thousands, unaudited) . .

	Yea	ar Ended
	Decem	ber 31, 2013
		•
Revenues		
Market Services Revenues	\$	(0)
Global Listing Services		0
Global Information Services		0
Corporate Solutions		· 0
Market Technology Revenues	100 C	42,305
Other Revenues		1,983
Total Revenues		44,289
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees	· .	0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance	and exchange	
fees		44,289
Operating Expenses		
Compensation and Benefits		13,308
Marketing and Advertising		13
Depreciation and amortization		3,080
Professional and contract services		2,090
Computer operations and data communications	*	23,098
Occupancy		996
Regulatory		0
General, administrative and other		592
Merger expenses		0
Total operating expenses		43,177
Operating Income		1,112
Interest income		1
Interest expense		(277)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating	·	0
Income before income taxes		836
Income tax provision		191
Net Income		644
Net (income) expense attributable to noncontrolling interes	its	0
Net income attributable to Company	\$	644

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Europe Ltd

(in thousands, unaudited)	Year	Ended
	Decembe	er 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	7,390
Investments		.0
Total Receivables - Net		4,170
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		504
Margin Deposits & Default Fund		0
Total Current Assets		12,064
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	12,064
Liabilitics and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	3,102
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		511
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		(673)
Total current liabilities		2,939
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		2,939
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		. 0
Common Stock Total		0
Additional Paid in Capital		38,963
Accumulated Other Comprehensive Income/(Loss)		595
Retained earnings		(30,433)
Total stockholders' equity		9,125
Noncontrolling interests		0
Total Equity		9,125
Total liabilities and equity	\$	12,064

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Europe Ltd

(in thousands, unaudited)	· · ·	Year En December 3	
Revenues		A	~
Market Services Revenues		\$	0
Global Listing Services			0
Global Information Services			0
Corporate Solutions			0
Market Technology Revenues			0
Other Revenues			5,134
Total Revenues			5,134
Cost of revenues			
Transaction rebates			0
Brokerage, Clearance and Exchange Fees			0
Total Cost of Revenues			0
Revenues less transaction rebates, brokerage, clear	ance and exchange		
fccs			5,134
Operating Expenses	•	,	
Compensation and Benefits			4.342
Marketing and Advertising			108
Depreciation and amortization			0
Professional and contract services			279
Computer operations and data communications			42
Occupancy	· · · · ·		70
Regulatory			0
General, administrative and other			1,481
Merger expenses			0
Total operating expenses		,	6,321
Operating Income			(1,187)
Interest income			. 0
Interest expense			0
Gain (loss) on sale of strategic initiatives			0
Dividend and investment income			0
Income from unconsolidated investees, net			0
Impairment Loss			0
FX Operating			0
Income before income taxes			(1,187)
Income tax provision			0
Not Income			(1,187)
Net Income	tamonto		(1,10/) A
Net (income) expense attributable to noncontrolling in		¢	(1.107)
Net income attributable to Company		\$	(1,187)

The NASDAQ OMX Group, Inc.

Unconsolidated Balance Sheet - NASDAQ OMX France SAS

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 125
Investments	0
Total Receivables - Net	846
Current Deferred Tax	68
Current Restricted Cash	0
Other Current Assets	5,563
Margin Deposits & Default Fund	0
Total Current Assets	6,602
Total Property and Equipment - Net	358
Goodwill	0
Other Intangibles	(0)
Non Current Deferred Taxes	1,036
Long Term Restricted Cash	0
Other Long Term Assets	õ
Total Assets	\$ 7,996
I blai Assets	<u> </u>
1 • • • • • • • • • • • • • • • • • • •	
Liabilities and equity	
Current liabilities:	·
Total AP and Accrued Expenses	\$ 220
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	881
Deferred Revenue	0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	2,157
Other Current Liabilities	1,914
Total current liabilities	. 5,171
Total Long Term Debt	0
Non Current Deferred Tax Liability	0
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	-5,171
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	0
Additional Paid in Capital	. 0
Accumulated Other Comprehensive Income/(Loss)	107
Retained carnings	2,718
6	2,824
Total stockholders' equity	2,624
Noncontrolling interests	
Total Equity	2,824
Total liabilities and equity	\$ 7,996

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX France SAS

(In mousands, unaudied)	Year Ended December 31, 2013
D	
Revenues Market Services Revenues	\$ 0
	5 U 0
Global Listing Services	0
Global Information Services	. 665
Corporate Solutions	005
Market Technology Revenues Other Revenues	3,000
Total Revenues	3,665
I otal Revenues	5,005
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exch	ange
fees	3,665
· · · · · · · · · · · · · · · · · · ·	·····
Operating Expenses	
Compensation and Benefits	2,155
Marketing and Advertising	3
Depreciation and amortization	32
Professional and contract services	115
Computer operations and data communications	0
Occupancy	337
Regulatory	0
General, administrative and other	108
Merger expenses	24
Total operating expenses	2,773
Operating Income	892
Interest income	0
Interest expense	(8)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	2,661
Income from unconsolidated investees, net	0.
Impairment Loss	0
FX Operating	0
Income before income taxes	3,546
Income tax provision	
Net Income	2,718
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 2,718
• •	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Germany GmbH

(Year Ended December 31, 2013	
A		
Assets Current Assets:		
	\$ 152	
Cash & Equivalents Investments	0	
Total Receivables - Net	147	
Current Deferred Tax	0	
Current Restricted Cash	Ő	
Other Current Assets	1,373	
Margin Deposits & Default Fund	0	
Total Current Assets	1,672	
Total Property and Equipment - Net	62	
Goodwill	566	
	0	
Other Intangibles Non Current Deferred Taxes	Ő	
Long Term Restricted Cash	0	
Other Long Term Assets	(8)	
Total Assets	\$ 2,292	
1 Oldi Assets		
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$ 932	
SEC 31a Payable to the SEC	0	
Accrued Personnel Costs	552	
Deferred Revenue	0	
Current Portion of Long Term Debt	0	
Current Deferred Tax Liability	0	
Other Current Liabilities	16_	
Total current liabilities	1,501	
Total Long Term Debt	0	
Non Current Deferred Tax Liability	0	
Non-current deferred revenue	0	
Other liabilities	541	
Total Liabilities	2,042	
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total	0	
Common Stock Total	(1)	
Additional Paid in Capital	316	
Accumulated Other Comprehensive Income/(Loss)	(4)	
Retained earnings	(61)	
Total stockholders' equity	251	
Noncontrolling interests	0	
Total Equity	251	
Total liabilities and equity	\$ 2,292	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Germany GmbH

(in thousands, unaudited)	Year Ended December 31, 2013	1
Revenues	\$	0
Market Services Revenues		0
Global Listing Services		0
Global Information Services		
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues	5,61	
Total Revenues	5,61	5
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues	·····	0
Revenues less transaction rebates, brokerage, clearance an	d exchange	
fees	5,61	5
Operating Expenses		
Compensation and Benefits	4,06	<u>م</u>
Marketing and Advertising		2
Depreciation and amortization		2
Professional and contract services	18	
	37	
Computer operations and data communications	38	
Occupancy		0
Regulatory	27	-
General, administrative and other	21	5
Merger expenses		
Total operating expenses	5,27	
Operating Income	33	
Interest income		0
Interest expense	. ((2)
Gain (loss) on sale of strategic initiatives	(0)	0
Dividend and investment income	. (28	
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		33
Income tax provision	11	4
Net Income	(6	51)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$ (6	51)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Helsinki Ltd

(in thousands, unaudited)		Ended r 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	3,833
Investments	v	9,000 0
Total Receivables - Net		4,658
Current Deferred Tax		4,000
Current Restricted Cash		Ő
Other Current Assets		49,279
		47,277
Margin Deposits & Default Fund		57,770
Total Current Assets		138
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		54
Non Current Deferred Taxes		54 0
Long Term Restricted Cash		•
Other Long Term Assets	. <u></u>	8,587
Total Assets	\$	66,549
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$ -	589
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		1,286
Deferred Revenue		4
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		39,470
Total current liabilities		41,348
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		. 0
Other liabilities		0
Total Liabilities		41,348
Commitments and contingencies		
EQUITY		•
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		(7,893)
Accumulated Other Comprehensive Income/(Loss)		(8,400)
Retained earnings		41,429
Total stockholders' equity		25,136
Noncontrolling interests		66
Total Equity		25,201
Total liabilities and equity	\$	66,549

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Heisinki Ltd

	Year Ended December 31, 2013	
Revenues	¢	10.005
Market Services Revenues	\$	19,095
Global Listing Services		7,062
Global Information Services		15,167
Corporate Solutions		162
Market Technology Revenues		0
Other Revenues	· · · ·	2,216
Total Revenues		43,701
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and excl	iange	
fees		43,701
Operating Expenses		
Compensation and Benefits		4,563
Marketing and Advertising		148
Depreciation and amortization		44
Professional and contract services		3,016
Computer operations and data communications	4	4,198
Occupancy		437
Regulatory		406
General, administrative and other		2,784
Merger expenses		0
Total operating expenses		15,596
Operating Income		28,106
Interest income		15
Interest expense		(3)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		(28,544)
Income from unconsolidated investees, net	•	0
Impairment Loss		0
FX Operating		0
Income before income taxes		(427)
Income tax provision	•	(87)
Net Income		(339)
Net (income) expense attributable to noncontrolling interests		(12)
Net income attributable to Company	\$	(351)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Holding AB (in thousands, unaudited)

(in thousands, unaudited)		daan fin daal	
		Year Ended December 31, 2013	
	December 51, 2015		
Assets			
Current Assets:	\$	(4,620)	
Cash & Equivalents	Ð	(4,020)	
Investments		3,500,628	
Total Receivables - Net			
Current Deferred Tax		0	
Current Restricted Cash		•	
Other Current Assets		(64,803)	
Margin Deposits & Default Fund		0	
Total Current Assets		3,431,205	
Total Property and Equipment - Net		0	
Goodwill		<i>.</i> 0	
Other Intangibles		0	
Non Current Deferred Taxes		19,780	
Long Term Restricted Cash		0	
Other Long Term Assets		(4,157,748)	
Total Assets	\$	(706,763)	
Liabilities and equity			
Current liabilities:		00 (00	
Total AP and Accrued Expenses	\$	20,688	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		703	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		12	
Other Current Liabilities	<u></u>	858	
Total current liabilities		22,262	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		00	
Total Liabilities		22,262	
Commitments and contingension			
Commitments and contingencies EQUITY		4	
Common Stock in Treasury Total		0	
Common Stock Total		ů 0	
Additional Paid in Capital		(4,145,107)	
		3,794,149	
Accumulated Other Comprehensive Income/(Loss)		(378,067)	
Retained earnings	·	(729,025)	
Total stockholders' equity		(729,023)	
Noncontrolling interests		(729,025)	
Total Equity	e	(706,763)	
Total liabilities and equity	<u> </u>	(700,703)	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Holding AB

(in thousands, unaudited)	De	Year Ended cember 31, 2013
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		2,844
Total Revenues		2,844
Cost of revenues		
Transaction rebates	•	0
Brokerage, Clearance and Exchange Fees	· .	0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance as	id exchange	
fees		2,844
Operating Expenses		
Compensation and Benefits		2,816
Marketing and Advertising		14
Depreciation and amortization		0
Professional and contract services		667
Computer operations and data communications		121
Occupancy		121
Regulatory		1
General, administrative and other		1,709
Merger expenses	- <u></u>	53
Total operating expenses		5,500
Operating Income		(2,656)
Interest income		0
Interest expense		(51)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income	e	0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		(2,707)
Income tax provision		(15,780)
Net Income		13,073
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	13,073

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Holding Denmark A/S (in thousands, unaudited)

Assets S 340 Current Assets: 0 0 Total Receivables - Net 1,062 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 52,771 Margin Deposits & Default Fund 0 Total Current Assets 54,173 Total Current Assets 54,173 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 52,129 Total Assets 5 106,302 Liabilities and equity 0 Current Iabilities: 0 Total AP and Accrued Expenses \$ 15 SEC 31 a Payable to the SEC 0 Accrued Personnel Costs 0 0 Current Deferred Tax Liability 0 0 Other Current Labilities 176,083 176,083 Total AP and Accrued Expenses 0 0 Current Deferred Tax Liability 0 <td< th=""><th></th><th></th><th>ear Ended aber 31, 2013</th></td<>			ear Ended aber 31, 2013
Current Assets: \$ 340 Cash & Equivalents 0 Total Receivables - Net 1,062 Current Deferred Tax 0 Other Current Assets 52,771 Margin Deposits & Default Fund 0 Total Current Assets 54,173 Total Current Assets 54,173 Total Current Assets 0 Other Current Assets 0 Coodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 52,129 Total Assets 52,129 Current liabilities: 0 Total Assets 5 Deferred Revenue 0 Current Deforred Tax Liability 0 Other Current Liabilities 176,099 Total Current Deferred Tax Liability 0 Non- Current Deferred Tax Liability 0 Non- current L			
Cash & Equivalents\$340Investments0Total Receivables - Net1,062Current Deferred Tax0Current Restricted Cash0Other Current Assets52,771Margin Deposits & Default Fund0Total Current Assets54,173Total Property and Equipment - Net0Goodwill0Other Intrangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Intangibles0Non Current Deferred Taxes0Liabilities and equity52,129Total Assets52,129Total Assets5Total Assets5SEC 31 a Payable to the SEC0Accrued Personnel Costs0Deferred Revenue0Current Deferred Tax Liability0Other Current Liabilities176,099Total Long Term Debt0Current Deferred Tax Liability0Non-current Deferred Tax Liability0Non-current Deferred Tax Liability0Other finabilities176,099Total Long Term Debt0Common Stock in Treasury Total0Common Stock in Treasury Total0Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total stockholders' equity(69,797)Noncontrolling interests0Total Acquity(69,797)Total Stockholders' equity0Total Equity0<			
Define the product of the product o		A	240
Total Receivables - Net 1,062 Current Deferred Tax 0 Other Current Assets 22,771 Margin Deposits & Default Fund 0 Total Current Assets 54,173 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Intangibles 0 Other Intaglibles 0 Other Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 52,129 Total Assets \$ Total AP and Accrued Expenses \$ SEC 31 a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities 176,083 Total Long Term Debt 0 Outher Current Liabilities 176,099 Total Liabilities 0 Total Liabilities 0 Total Liabilities 0	•	3	
Current Deferred Tax0Current Assets\$2,771Margin Deposits & Default Fund0Total Current Assets\$4,173Total Current Assets\$4,173Total Current Assets\$4,173Total Current Assets0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets\$106,302Total Assets\$106,302Liabilities and equity\$106,302Current Ibelfored Taxes0Current Ibelfored Taxes\$106,302Liabilities:\$106,302Total Assets\$106,302Liabilities:\$106,302Total Assets\$106,302Liabilities:\$106,302Current Iiabilities:\$106,302Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities176,083Total Long Term Debt0Current Deferred Tax Liability0Non-current deferred Tax Liability0Other Current Liabilities176,099Total Liabilities0Total Liabilities0Total Liabilities0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accurued Total Liabiling interests0Total stockholders' equity(69,797)Noncontrolling interests0Total Equity(69,797)Total Equity(69,797) </td <td></td> <td></td> <td>•</td>			•
Current Restricted Cash0Other Current Assets\$2,771Margin Deposits & Default Fund0Total Current Assets\$4,173Total Property and Equipment - Net0Goodwill0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets\$2,129Total Assets\$106,302Liabilities and equity\$106,302Current liabilities:0Total AP and Accrued Expenses\$15SEC 31a Payable to the SEC0Accrued Personnel Costs0Deferred Revenue0Current Iabilities176,083Total Long Term Debt0Current Liabilities176,083Total Long Term Debt0Current Deferred Tax Liability0Non-current Liabilities176,099Total Long Term Debt0Other Current Liabilities0Total Long Term Tech0Other Gurrent Deferred Tax Liability0Other Iiabilities176,099Total Liabilities0Total Liabilities0Total Labilities0Total Current Jeferred Tex Liability0Other Habilities0Total Labilities0Total Liabilities0Total Liabilities0Total Long Term Debt0Common Stock in Treasury Total0Common Stock in Total0Additional Paid in Capital(66,			•
Current Assets32,771Margin Deposits & Default Fund0Total Current Assets34,173Total Property and Equipment - Net0Goodwill0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets52,129Total Assets\$10ther Long Term Dett0Current Deferred Tax Liability0Other Current Liabilities176,08310ther Current Liabilities010ther Current Liabilities010ther Inabilities010ther Inabilities010ther Inabilities010ther Inabilities010ther Inabilities010ther Inabilities010ther Inabilities010ther Inabilities010ther Current Liabilities010ther Inabilities010ther Inabilities010ther Current Inabilities010ther Inabilities010ther Inabilities010ther Inabilities010ther Inabilities010ther Inabilities0			
Margin Deposits & Default Fund 0 Total Current Assets 54,173 Total Property and Equipment - Net 0 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 52,129 Total Assets \$ Total Assets \$ Liabilities and equity 0 Current liabilities: 5 Total Assets \$ Deferred Revenue 0 Accrued Personnel Costs 0 Deferred Tax Liability 0 Other Current Liabilities 176,083 Total Long Term Debt 0 Current Deferred Tax Liability 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 176,083 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other liabilities 176,099 Total Liabilities 0			-
Total Current Assets54,173Total Property and Equipment - Net0Coodwill0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets52,129Total Assets\$104 Assets\$204 Assets\$105 Current liabilities:\$Total AP and Accrued Expenses\$SEC 3 la Payable to the SEC0Accrued Personnel Costs0Deferred Revenue0Current Deferred Tax Liability0Other Liabilities176,083Total Labilities176,099Total Labilities0Other Liabilities0Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non-current deferred revenue0Other Liabilities176,099Total Liabilities0Total Liabilities0Common Stock in Treasury Total0Common Stock in Treasury Total0Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Equity(69,797)Noncortrolling interests0Total Equity(69,797)	•		32,111
Total Property and Equipment - Net0Goodwill0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets52,129Total Assets\$Ibilities and equity\$Current liabilities:0Total AP and Accrued Expenses\$SEC 31a Payable to the SEC0Accrued Personnel Costs0Deferred Revenue0Current Portion of Long Term Debt0Current Iabilities176,083Total Long Term Debt0Current Iabilities176,083Total Long Term Debt0Other Current Lability0Onc Current Deferred Tax Liability0Non-current deferred revenue0Other Inabilities176,099Total Liabilities176,099Cotal Liabilities0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Additional Paid in Capital(66,045)Additional Paid in Capital(66,045)Additional Paid in Capital0Total Stockholders' equity(69,797)Noncortrolling interests0Total Equity(69,797)	U		64.172
Total report and chapterial restCoodwill0Other Intangibles0Non Current Deferred Taxes0Cong Term Restricted Cash0Other Long Term Assets\$2,129Total Assets\$106,302Liabilities and equity\$106,302Current liabilities:52,129Total AP and Accrued Expenses\$15SEC 31a Payable to the SEC0Accrued Personnel Costs0Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities176,083Total Long Term Debt0Non-Current Deferred Tax Liability0Non-current deferred revenue0Other tiabilities176,099Total Long Term Debt0Other Liabilities176,099Total Long Term Debt0Other tiabilities0Total Liabilities0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Additional Paid in Capital(66,045)Additional Paid in Capital(66,045)Additional Paid in Capital0Total Stockholders' equity(69,797)Noncontrolling interests0Total Equity(69,797)			
Coordination0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets52,129Total Assets\$Itabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$SEC 31 a Payable to the SEC0Accrued Personnel Costs0Deferred Revenue0Current Deferred Tax Liability0Other Current Liabilities176,083Total Long Term Debt0Outer ent Deferred Tax Liability0Other furent liabilities176,099Total Long Term Debt0Other liabilities0Current Deferred Tax Liability0Non current deferred revenue0Other liabilities0Total Liabilities176,099Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock In Treasury Total0Additional Paid in Capital(66,045)Additional Paid in Capital(66,045)Additional Paid in Capital(11,230)Total Stockholders' equity(69,797)Noncontrolling interests0Total Equity(69,797)			
Other Intagenes 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets \$2,129 Total Assets \$106,302 Liabilities and equity \$106,302 Current liabilities: \$106,302 Total AP and Accrued Expenses \$15 SEC 31 a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 176,083 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non current deferred revenue 0 Other tiabilities 0 Total Long Term Debt 0 Non-current deferred revenue 0 Other tiabilities 0 Total Liabilities 176,099 Common Stock in Treasury Total 0 Common Stock notal 0 Additional Paid in Capital (66,045) Accurulated Other Comprehensive Income/(Loss) 7,478 <t< td=""><td></td><td></td><td></td></t<>			
Interferent Restricted Cash 0 Other Long Term Assets 52,129 Total Assets \$ Itabilities and equity \$ Current liabilities: 0 Total AP and Accrued Expenses \$ SEC 31 a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities 176,083 Total Long Term Debt 0 Outrernt Deferred Tax Liability 0 Other Current Liabilities 176,099 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non current deferred revenue 0 Other Liabilities 176,099 Total Liabilities 0 Total Liabilities 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital (66,045) Acditional Paid in Capital (11,230) Total stockholders' equity (69,797) Noncorrolling interests 0 Total Eq	•		-
Solution to structure CashOther Long Term Assets\$ 106,302Total Assets\$ 106,302Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 15SEC 31a Payable to the SEC0Accrued Personnel Costs0Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities176,083Total Long Term Debt0Non Current Deferred Tax Liability0Other tiabilities176,099Total Long Term Debt0Other tiabilities0Total Long Term Debt0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock Total0Acciual di in Capital(66,045)Acciual det Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Equity(69,797)Noncontrolling interests0Total Equity(69,797)			-
Total Assets \$ 106,302 Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities 176,083 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Other tiabilities 176,099 Total Liabilities 0 Other tiabilities 0 Other Current deferred revenue 0 Other tiabilities 0 Total Liabilities 0 Total Liabilities 0 Common Stock in Treasury Total 0 Common Stock Total 0 Accurulated Other Comprehensive Income/(Loss) 7,478 Retained earnings (11,230) Total Stockholders' equity (69,7	-		•
Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ SEC 3 la Payable to the SEC 0 Accrued Personnel Costs 0 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 176,083 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non Current Deferred Tax Liability 0 Non current Deferred Tax Liability 0 Non current Deferred revenue 0 Other tiabilities 0 Total Liabilities 0 Commitments and contingencies 0 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Accurulated Other Comprehensive Income/(Loss) 7,478 Retained earnings (11,230) Total Stockholders' equity (69,797) Noncontrolling interests 0 Total Equity (69,797)	•		
Current liabilities: Total AP and Accrued Expenses \$ 15 SEC 31a Payable to the SEC 0 0 Accrued Personnel Costs 0 0 Deferred Revenue 0 0 Current Portion of Long Term Debt 0 0 Current Deferred Tax Liability 0 0 Other Current Liabilities 176,083 176,083 Total Long Term Debt 0 0 Non Current Deferred Tax Liability 0 0 Non Current Deferred Tax Liability 0 0 Non current deferred revenue 0 0 Other tiabilities 0 0 Total Liabilities 0 0 Total Liabilities 0 0 Total Liabilities 0 0 Common Stock in Treasury Total 0 0 Common Stock Total 0 0 Additional Paid in Capital (66,045) 7,478 Retained earnings (11,230) 0 Total Stockholders' equity (69,797) 0 Noncontrolling interests 0 0	Total Assets	3	100,302
Total AP and Accrued Expenses\$15SEC 31a Payable to the SEC0Accrued Personnel Costs0Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities176,083Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non current deferred Tax Liability0Non-current deferred revenue0Other fiabilities176,099Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Stockholders' equity(69,797)Noncontrolling interests0Total Equity(69,797)	Liabilities and equity		
SEC 3 la Payable to the SEC0Accrued Personnel Costs0Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities176,083Total current liabilities176,099Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non-current deferred revenue0Other fiabilities176,099Total Liabilities0Non-current deferred revenue0Other fiabilities0Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total stockholders' equity(69,797)Noncontrolling interests0Total Equity(69,797)	Current liabilities:		
Accrued Personnel Costs0Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities176,083Total current liabilities176,099Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non-current deferred revenue0Other tiabilities0Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Equity(69,797)Noncontrolling interests0O0Total Equity(69,797)	Total AP and Accrued Expenses	\$	15
New York ConstructionODeferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities176,083Total current liabilities176,099Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred Tax Liability0Non-current deferred revenue0Other tiabilities0Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Stockholders' equity(69,797)Noncontrolling interests0Total Equity(69,797)	SEC 31a Payable to the SEC		0
Deterted Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities176,083Total current liabilities176,099Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other fiabilities0Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Equity(69,797)Noncontrolling interests0Total Equity(69,797)	Accrued Personnel Costs		
Current Deferred Tax Liability0Other Current Liabilities176,083Total current liabilities176,099Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other tiabilities0Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Equity(69,797)Noncontrolling interests0Total Equity(69,797)	Deferred Revenue		0
Other Current Liabilities176,083Total current liabilities176,099Total Long Term Debt0Non-current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Stockholders' equity0Noncontrolling interests0Total Equity(69,797)	Current Portion of Long Term Debt		0
Total current liabilities176,099Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities176,099Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Stockholders' equity0Noncontrolling interests0Total Equity(69,797)Noncontrolling interests0	Current Deferred Tax Liability		0
Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities176,099Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Stockholders' equity0Noncontrolling interests0Total Equity(69,797)	Other Current Liabilities		176,083
Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities176,099Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total Stockholders' equity0Noncontrolling interests0Total Equity(69,797)	Total current liabilities		176,099
Non-current deferred revenue0Other liabilities0Total Liabilities176,099Commitments and contingencies176,099EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total stockholders' equity0Noncontrolling interests0Total Equity(69,797)	Total Long Term Debt		0
Non-control in deferred revenue 0 Other liabilities 0 Total Liabilities 176,099 Commitments and contingencies 0 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital (66,045) Accumulated Other Comprehensive Income/(Loss) 7,478 Retained earnings (11,230) Total stockholders' equity 0 Noncontrolling interests 0 Total Equity (69,797)	Non Current Deferred Tax Liability		0
Total Liabilities 176,099 Commitments and contingencies 176,099 EQUITY 0 Common Stock in Treasury Total 0 Common Stock Total 0 Additional Paid in Capital (66,045) Accumulated Other Comprehensive Income/(Loss) 7,478 Retained earnings (11,230) Total stockholders' equity 0 Noncontrolling interests 0 Total Equity (69,797)	Non-current deferred revenue		0
Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total O Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Total stockholders' equity Noncontrolling interests O Total Equity	Other liabilities		
EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total stockholders' equity(69,797)Noncontrolling interests0Total Equity(69,797)	Total Liabilities		176,099
EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total stockholders' equity(69,797)Noncontrolling interests0Total Equity(69,797)	Commitments and contingencies		
Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total stockholders' equity(69,797)Noncontrolling interests0Total Equity(69,797)	-		
Common Stock Total0Additional Paid in Capital(66,045)Accumulated Other Comprehensive Income/(Loss)7,478Retained earnings(11,230)Total stockholders' equity(69,797)Noncontrolling interests0Total Equity(69,797)	-		. 0
Accumulated Other Comprehensive Income/(Loss) 7,478 Retained earnings (11,230) Total stockholders' equity (69,797) Noncontrolling interests 0 Total Equity (69,797)			0
Accumulated Other Comprehensive Income/(Loss) 7,478 Retained earnings (11,230) Total stockholders' equity (69,797) Noncontrolling interests 0 Total Equity (69,797)			(66,045)
Retained earnings (11,230) Total stockholders' equity (69,797) Noncontrolling interests 0 Total Equity (69,797)			7,478
Total stockholders' equity (69,797) Noncontrolling interests 0 Total Equity (69,797)			(11,230)
Noncontrolling interests 0 Total Equity (69,797)	•		(69,797)
Total Equity (69,797)			0
		\$	106,302

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Holding Denmark A/S

(Year Ended December 31, 2013
D	
Revenues Market Services Revenues	\$ 0
Global Listing Services	Ĵ Ĵ
Global Information Services	õ
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	, ,
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0_
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and ex	schange
fees	0
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	16
Computer operations and data communications	0
Occupancy	0
Regulatory	0
General, administrative and other	. 0
Merger expenses	0
Total operating expenses	
Operating Income	(17)
Interest income	1,094
Interest expense	(6,411)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0.
Impairment Loss	0
FX Operating	0
Income before income taxes	(5,334)
Income tax provision	(1,128)
Net Income	(4,206)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (4,206)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Holding Luxembourg Sarl (in thousands, unaudited)

(in thousands, unaudited)		
		ear Ended
	Dece	mber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	1,026
Investments		0
Total Receivables - Net		155
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		1,331,480
Margin Deposits & Default Fund		0
Total Current Assets		1,332,661
Total Property and Equipment - Net		0
Goodwill	*	0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		3,209,750
Total Assets	\$	4,542,410
Total Assols	<u> </u>	
N & B 19141		
Liabilities and equity		
Current liabilities:	e	238
Total AP and Accrued Expenses	\$	238
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		•
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability	1	0
Other Current Liabilities		1,351
Total current liabilities		1,589
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		4,712,199
Total Liabilities		4,713,788
Commitments and contingencies		
Commitments and contingencies		
Common Stock in Treasury Total		0
Common Stock Total	•	0
Additional Paid in Capital		(223,402)
Accumulated Other Comprehensive Income/(Loss)		(121,095)
		(121,093) 173,119
Retained earnings		(171,378)
Total stockholders' equity		
Noncontrolling interests		0
Total Equity		(171,378)
Total liabilities and equity	<u>\$</u>	4,542,410

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Holding Luxembourg Sarl (in thousands, unaudited)

Year Ended December 31, 2013 Revenues \$ 0 Global Listing Services 0 Global Listing Services 0 Global Information Services 0 Orporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Compensation and Benefits 0 Market Technology Revenues 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and quartate services 152 Computer operations and data communications 0 Ocenaral, administrative and other 3 Merger expenses 0 Total operating expenses 0 Oratil percenting income (1711) Interest income 71,360 Inth	(in thousands, unaudited)		
Revenues \$ 0 Market Services Revenues 0 0 Global Listing Services 0 0 Corporate Solutions 0 0 Market Technology Revenues 0 0 Other Revenues 0 0 Total Revenues 0 0 Total Revenues 0 0 Total Cost of revenues 0 0 Total Cost of Revenues 0 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Compensation and Benefits 0 0 Marketing and Advertising 0 0 Depreciation and Benefits 0 0 Occupancy 16 16 Regulatory 0 16 Regulatory 0 16 General, administrative and other 3 3 Merger expenses 0 171 Total operating expenses 0 171 Optrating lacome (171) 171 Optrating lacome 0 171 Optrating lacome <td< td=""><td></td><td>Year Ended</td><td></td></td<>		Year Ended	
Market Services Revenues \$ 0 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Total Revenues 0 Total Cost of revenues 0 Total Cost of Revenues 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Morger expenses 0 Total operating expenses 0 Total operating expenses 0 Total operating expenses 0 Total operating expenses 0 Divide	· · · · ·	December 31, 20	13
Market Services Revenues \$ 0 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Total Revenues 0 Total Cost of revenues 0 Total Cost of Revenues 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Morger expenses 0 Total operating expenses 0 Total operating expenses 0 Total operating expenses 0 Total operating expenses 0 Divide			
Anime of the second	Revenues		
Colobal Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and Benefits 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Merger expenses 0 Total operating expense 0 Dividen and investment income 0 Income from unconsolidated in	Market Services Revenues	\$	0
Outon Mitching Solutions 0 Market Technology Revenues 0 Other Revenues 0 Total Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and quartact services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Merger expenses 0 Total operating expenses 171 Optacting expense 0 Total operating expenses 171 Optacting expense 0 Total operating expenses 0 Total operating expenses 0 Total operating expenses<	Global Listing Services		0
Corporate bonding 0 Market Technology Revenues 0 Other Revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Market ring and Advertising 0 Depreciation and amortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Merger expenses 0 Total operating expenses 171 Operating Income (1/71) Interest income 71,360 Interest expense 0 Dividend and investment income 0 Income from unconsolidated investes, net 0 Impairment Loss 71,165 Income tax provision 0 Net Income 71,165	Global Information Services		0
Other Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Componentiation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Perfectiation and amortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Merger expenses 0 Total operating interest 0 Dividend and investruent income 0	Corporate Solutions		0
Other Revenues 0 Total Revenues 0 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and mortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Merger expenses 0 Total operating expenses 0 Total operating income (171) Interest income 71,360 Interest expense 0 Dividend and investneet, income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income tax provision 0 Net Income 71,165 Net (income) expense a	Market Technology Revenues		0
Cost of revenues Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange 0 fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Merger expenses 0 Total operating expenses 171 Operating Income (171) Interest income 71,360 Interest expense 0 Oividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income tax provision 0 Net Income 71,165 Income tax provision 0		•	0
Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Merger expenses 0 Total operating expenses 171 Operating Income (171) Interest expense 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 FX Operating 0 Income from unconsolidated investees, net 0 Impairment Loss 71,165 Income tax provision 0 Net Income 71,165 <td< td=""><td>Total Revenues</td><td></td><td>0.</td></td<>	Total Revenues		0.
Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Merger expenses 0 Total operating expenses 171 Operating Income (171) Interest expense 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 FX Operating 0 Income from unconsolidated investees, net 0 Impairment Loss 71,165 Income tax provision 0 Net Income 71,165 <td< td=""><td></td><td></td><td></td></td<>			
Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Total operating expenses 0 Total operating income (1711) Interest income 71,360 Interest income 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income tax provision 0 Net Income 71,165 Net (income) expense attributable to noncontrolling interests	Cost of revenues		
Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Morger expenses 0 Total operating expenses 0 Total operating expenses 0 Total operating income (1711) Interest income 71,360 Income from unconsolidated investes, net 0 Impairment Loss 0 FX Operating 0 Income tax provision 0 Net Income 71,165 Net (income) expense attributable to noncontrolling interests 0	Transaction rebates		-
Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization 0 Professional and contract services 152 Computer operations and data communications 0 Occupancy 16 Regulatory 0 General, administrative and other 3 Merger expenses 0 Total operating expenses 0 Total operating expenses 0 Interest income 71,360 Interest expense 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 71,165 Income tax provision 0 Net Income 71,165	Brokerage, Clearance and Exchange Fees		
fees0Operating Expenses0Compensation and Benefits0Marketing and Advertising0Depreciation and amortization0Professional and contract services152Computer operations and data communications0Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses171Operating Income(171)Interest income0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income71,165Net (income) expense attributable to noncontrolling interests0	Total Cost of Revenues		0
fees0Operating Expenses0Compensation and Benefits0Marketing and Advertising0Depreciation and amortization0Professional and contract services152Computer operations and data communications0Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses171Operating Income(171)Interest income0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income71,165Net (income) expense attributable to noncontrolling interests0			1
Operating ExpensesCompensation and Benefits0Marketing and Advertising0Depreciation and amortization0Professional and contract services152Computer operations and data communications0Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses171Operating Income(171)Interest income71,360Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income71,165Net Income71,165Net Income71,165Net Income0	Revenues less transaction rebates, brokerage, clearance and exchange		
Compensation and Benefits0Marketing and Advertising0Depreciation and amortization0Professional and contract services152Computer operations and data communications0Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses171Operating Income(171)Interest income71,360Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income tax provision0Net Income71,165Net Income0Net Income <t< td=""><td>fees</td><td></td><td>0</td></t<>	fees		0
Compensation and Benefits0Marketing and Advertising0Depreciation and amortization0Professional and contract services152Computer operations and data communications0Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses171Operating Income(171)Interest income71,360Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income tax provision0Net Income71,165Net Income0Net Income <t< td=""><td></td><td></td><td></td></t<>			
Compensation and eventising0Marketing and Advertising0Depreciation and amortization0Professional and contract services152Computer operations and data communications0Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses(171)Interest income71,360Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income tax provision0Net Income0Net Income0 <td>Operating Expenses</td> <td></td> <td></td>	Operating Expenses		
Interest expense0Operating und victoring0Professional and contract services152Computer operations and data communications0Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Interest income(171)Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income tax provision0Net Income0Net	Compensation and Benefits		0
Depretation and another and an entract services152Professional and contract services16Computer operations and data communications0Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses171Operating Income(171)Interest income71,360Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income0Net Income </td <td>Marketing and Advertising</td> <td></td> <td>0</td>	Marketing and Advertising		0
Trotestorial interpretations0Computer operations and data communications0Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses1171Operating Income(171)Interest income71,360Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income0Net Income	Depreciation and amortization		0
Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses171Operating Income(171)Interest income71,360Interest expense(24)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income0Net Income0	Professional and contract services		152
Occupancy16Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses171Operating Income(171)Interest income71,360Interest expense(24)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income0Net Income0	Computer operations and data communications		0
Regulatory0General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses(171)Interest income71,360Interest expense(24)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income0Net			16
General, administrative and other3Merger expenses0Total operating expenses0Total operating expenses(171)Interest income71,360Interest expense(24)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income71,165Net (income) expense attributable to noncontrolling interests0			0
Merger expenses0Total operating expenses171Operating Income(171)Interest income71,360Interest expense(24)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income0Net Income0Net Income0Net (income) expense attributable to noncontrolling interests0	5 I		3
Total operating expenses171Operating Income(171)Interest income71,360Interest expense(24)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income71,165Net (income) expense attributable to noncontrolling interests0	-		0
Operating Income(171)Interest income71,360Interest expense(24)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Net Income71,165Net (income) expense attributable to noncontrolling interests0	• •		171
Interest income71,360Interest expense(24)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Nct Income71,165Net (income) expense attributable to noncontrolling interests0	• •		(171)
Interest expense(24)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Nct Income71,165Net (income) expense attributable to noncontrolling interests0		71	360
Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes71,165Income tax provision0Nct Income71,165Net (income) expense attributable to noncontrolling interests0			(24)
Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 71,165 Income tax provision 0 Nct Income 71,165 Net (income) expense attributable to noncontrolling interests 0	•		0
Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 71,165 Income tax provision 0 Nct Income 71,165 Net (income) expense attributable to noncontrolling interests 0			0
Impairment Loss 0 FX Operating 0 Income before income taxes 71,165 Income tax provision 0 Net Income 71,165 Net (income) expense attributable to noncontrolling interests 0			0
FX Operating 0 Income before income taxes 71,165 Income tax provision 0 Net Income 71,165 Net (income) expense attributable to noncontrolling interests 0			0
Income before income taxes 71,165 Income tax provision 0 Net Income 71,165 Net (income) expense attributable to noncontrolling interests 0	•		0
Income tax provision 0 Nct Income 71,165 Net (income) expense attributable to noncontrolling interests 0		71	165
Nct Income 71,165 Net (income) expense attributable to noncontrolling interests 0			,
Net (income) expense attributable to noncontrolling interests	Income my highland		
	Net Income	71	,165
	Net (income) expense attributable to noncontrolling interests		0
	· · · ·	\$ 71	,165

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Iceland hf

(in thousands, unaudited)		
		Ended
	Decembe	r 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	1,700
Investments		0
Total Receivables - Net		555
Current Deferred Tax		0
Current Restricted Cash	х. ¹	0
Other Current Assets		5,129
Margin Deposits & Default Fund		0
Total Current Assets		7,384
		17
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		-
Non Current Deferred Taxes		(1)
Long Term Restricted Cash		0
Other Long Term Assets		2
Total Assets	\$	7,402
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	3,277
SEC 31a Payable to the SEC		0
Accrued Personnel Costs	· .	436
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		14
Other Current Liabilities		160
Total current liabilities		3,887
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		3,887
I deal madimines		2,007
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total	· · ·	0
Common Stock Total		ő
Additional Paid in Capital		10,890
Accumulated Other Comprehensive Income/(Loss)		(6,099)
	é	(1,275)
Retained earnings		3,515
Total stockholders' equity		3,313
Noncontrolling interests	······	2.515
Total Equity		3,515
Total liabilities and equity	<u>\$</u>	7,402

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Iceland hf

(in thousands, unaudited)	Year Ended
	December 31, 2013
	1)cccnn/cr 51, 2015
Revenues	
Market Services Revenues	\$ 2,534
Global Listing Services	1,008
Global Information Services	220
Corporate Solutions	. 0
Market Technology Revenues	0
Other Revenues	. 183
Total Revenues	3,944
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
-	
Revenues less transaction rebates, brokerage, clearance and exch	ange 3,944
fccs	
Operating Expenses	
Compensation and Benefits	2,112
Marketing and Advertising	67
Depreciation and amortization	. 0
Professional and contract services	163
Computer operations and data communications	815
Occupancy	198
Regulatory	36
General, administrative and other	176
Merger expenses	0
Total operating expenses	3,568
Operating Income	377
Interest income	303
	(0)
Interest expense Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	45
Income from unconsolidated investees, net	45
Impairment Loss	0
	n n
FX Operating Income before income taxes	725
	173
Income tax provision	
Net Income	551
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 551

The NASDAQ OMX Group, Inc.

Unconsolidated Balance Sheet - NASDAQ OMX Korea Ltd

(in thousands, unaudited)	te m tod
	Year Ended
	December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 254
Investments	.0
Total Receivables - Net	320
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	18
Margin Deposits & Default Fund	0
Total Current Assets	592
Total Property and Equipment - Net	0
Goodwill	0
Other Intangibles	0
Non Current Deferred Taxes	0
Long Term Restricted Cash	0
Other Long Term Assets	D
Total Assets	\$ 592
Total Associ	
I tak 114 an and amitt	
Liabilities and equity	
Current liabilities:	\$ 17
Total AP and Accrued Expenses	., О
SEC 31a Payable to the SEC	22
Accrued Personnel Costs	135
Deferred Revenue	0
Current Portion of Long Term Debt	-
Current Deferred Tax Liability	0
Other Current Liabilities	90
Total current liabilities	264
Total Long Term Debt	0
Non Current Deferred Tax Liability	0
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	264
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	93
Additional Paid in Capital	3
Accumulated Other Comprehensive Income/(Loss)	1
Retained earnings	231
Total stockholders' equity	. 328
Noncontrolling interests	0
Total Equity	328
Total liabilities and equity	\$ 592
· · ·	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Korea Ltd (in thousands, unaudited)

(in thousands, unaudited)		r Ended
	Decemb	er 31, 2013
Revenues	•	<u>^</u>
Market Services Revenues	\$	0
Global Listing Services.		0
Global Information Services		0
Corporate Solutions		350
Market Technology Revenues		0
Other Revenues		96
Total Revenues		446
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		00
Revenues less transaction rebates, brokerage, clearance and excl	hange	
fccs		446
Operating Expenses		
Compensation and Benefits		138
Marketing and Advertising		0
Depreciation and amortization	×	0
Professional and contract services		13
Computer operations and data communications		0
•		3
Occupancy		0
Regulatory		18
General, administrative and other		0
Merger expenses		173
Total operating expenses		273
Operating Income		0
Interest income		(I)
Interest expense		0
Gain (loss) on sale of strategic initiatives		
Dividend and investment income		(3)
Income from unconsolidated investees, net		-
Impairment Loss		0
FX Operating		0
Income before income taxes		270
Income tax provision		38
Net Income		231
Net (income) expense attributable to noncontrolling interests	H	0
Net income attributable to Company	\$	231

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX NLX Ltd (in thousands, unaudited)

(in thousands, unaudited)		
		r Ended
	Decemt	per 31, 2013
Assets	•	
Current Assets:		~ ~ ~ ~ ~
Cash & Equivalents	\$	9,828
Investments		0
Total Receivables - Net		(1,586)
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		16,677
Margin Deposits & Default Fund		0
Total Current Assets	•	24,919
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	24,919
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	1,530
SEC 3 la Payable to the SEC	-	0
Accrued Personnel Costs		667
Deferred Revenue		0
Current Portion of Long Term Debt		Ő
Current Deferred Tax Liability		0
Other Current Liabilities		1,455
Total current liabilities		3,651
		0
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities	·····	
Total Liabilities		3,651
Commitments and contingencies		
EQUITY		^
Common Stock in Treasury Total		0
Common Stock Total		(40)
Additional Paid in Capital		53,200
Accumulated Other Comprehensive Income/(Loss)		3,013
Retained earnings	<u></u>	(34,905)
Total stockholders' equity		21,267
Noncontrolling interests		0
Total Equity		21,267
Total liabilities and equity	\$	24,919

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX NLX Ltd

(in thousands, unaudited)

.

Revenues Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues	\$	$(7,408) \\ (7,408) \\ (11) \\ 0 \\ (11) \\ 0 \\ 0 \\ (7,419) \\ 0 \\ (7,419) \\ (7,4$
Market Services Revenues Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues		0 (11) 0 0 0 (7,419) 0 0 0
Global Listing Services Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues		0 (11) 0 0 0 (7,419) 0 0 0
Global Information Services Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues		(11) 0 0 (7,419) 0 0 0
Corporate Solutions Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues		0 0 (7,419) 0 0 0
Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues		0 0 (7,419) 0 0 0
Market Technology Revenues Other Revenues Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues		0 (7,419) 0 0 0
Total Revenues Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues		(7,419) 0 0 0
Cost of revenues Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues		0 0 0
Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues		0
Transaction rebates Brokerage, Clearance and Exchange Fees Total Cost of Revenues		0
Brokerage, Clearance and Exchange Fees Total Cost of Revenues		0
Total Cost of Revenues		
		(7,419)
D		(7,419)
Revenues less transaction rebates, brokerage, clearance and exchange		(1,412)
fees		
Operating Expenses		
Compensation and Benefits		2,532
Marketing and Advertising		268
Depreciation and amortization		0
Professional and contract services	· 2	7,022
Computer operations and data communications		95
Occupancy		72
Regulatory		• 0
General, administrative and other	•	9,729
Merger expenses		00
Total operating expenses		19,719
Operating Income		(27,137)
Interest income		59
Interest expense		(10)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		(27,089)
Income tax provision		0
Net Income		(27,089)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	(27,089)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Nordic Ltd.

(in thousands, unaudited)		Year Ended December 31, 2013	
	•		
Assets			
Current Assets:			
Cash & Equivalents	\$	7,323	
Investments		0	
Total Receivables - Net		6,587	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		68,430	
Margin Deposits & Default Fund		0	
Total Current Assets		82,339	
l'otal Property and Equipment - Net		216	
Goodwill		0	
Other Intangibles		. 0	
Non Current Deferred Taxes		(418)	
Long Term Restricted Cash		0	
Other Long Term Assets		833,158	
Total Assets	\$	915,296	
		· ·	
Liabilities and equity			
Current liabilities:	•	14 (2)	
Total AP and Accrued Expenses	\$	14,631	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		499	
Deferred Revenue		3,223	
Current Portion of Long Term Debt		. 0	
Current Deferred Tax Liability		0	
Other Current Liabilities		41,101	
l'otal current liabilities		. 59,454	
Fotal Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		59,454	
Commitments and contingencies			
EQUITY		0	
Common Stock in Treasury Total		0	
Common Stock Total		42,121	
Additional Paid in Capital		1,104,359	
Accumulated Other Comprehensive Income/(Loss)		47,363	
Retained earnings		(338,288)	
Total stockholders' equity		855,554	
Noncontrolling interests		288	
Total Equity		855,842	
Total liabilities and equity	\$	915,296	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Nordic Ltd.

(in thousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 5
Clobal Listing Services	32
Global Information Services	0
Corporate Solutions	12,305
Market Technology Revenues	0
Other Revenues	2,697
Total Revenues	15,040
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exc	
fees	15,040
Operating Expenses	
Compensation and Benefits	1,771
Marketing and Advertising	22
Depreciation and amortization	154
Professional and contract services	2,323
Computer operations and data communications	4,263
Occupancy	619
Regulatory	9
General, administrative and other	1,954
Merger expenses	0
Total operating expenses	11,116
Operating Income	3,924
Interest income	36
Interest expense	(7,159)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	4,267
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	. 0
Income before income taxes	1,068
Income tax provision	292
Net Income	777
Net (income) expense attributable to noncontrolling interests	(84)
Net income attributable to Company	\$ 692

The NASDAQ OMX Group, Inc.

Unconsolidated Balance Sheet - NASDAQ OMX Oslo ASA

Year Ended December 31, 2013 Assets Current Assets: Cash & Equivalents \$ 1,490 Investments 0 Total Receivables - Net 4,681 Current Deferred Tax 0 Other Current Assets 25,275 Margin Deposits & Dofault Fund 0 Total Current Assets 31,446 Total Current Assets 31,446 Total Current Assets 31,446 Total Current Assets 31,446 Total Current Assets 70 Cong Term Restricted Cash 0 Other Intangibles 69,455 Non Current Deferred Taxes 70 Long Term Restricted Cash 0 Other Long Term Assets 333,657 Liabilities and equity 333,657 Liabilities and equity 0 Current Portion of Long Term Debt 0 Other Unrent Liabilities 7,134 Total AP and Accrued Expenses \$ 437 SEC 31a Payable to the SEC 0 Outrent Deferred Tax Liability 0 Other Current Liabilities 7,134	(in thousands, unaudited)		
Assets Current Assets: 0 Total Receivables - Net 4,681 Current Deferred Tax 0 Other Current Assets 25,275 Margin Deposits & Dofault Fund 0 Total Current Assets 31,446 Total Current Assets 31,446 Total Current Assets 31,446 Total Property and Equipment - Net 865 Goodwill 192,518 Other Intangibles 69,455 Non Current Deferred Taxes 70 Long Term Restricted Cash 0 Other Long Term Assets 333,657 Liabilities and equity 333,657 Current liabilities: 333,657 Liabilities and equity 0 Current liabilities: 333,657 Total AP and Accrued Expenses \$ 437 SEC 31 a Payable to the SEC 0 Accrued Personnel Costs 1,614 Deferred Revenue 0 Current Labilities 7,134 Total Long Term Debt 0 Non-current tabilities 7,134 Total Long Term Debt 0			
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Total Equity 307,973	A -		· · ·
	Noncontrolling interests		
Total liabilities and equity \$ 333,657	Total Equity	•	
	Total liabilities and equity	\$	333,657

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Oslo ASA

(in thousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 27,429
Global Listing Services	0
Global Information Services	1,800
Corporate Solutions	0
Market Technology Revenues	I
Other Revenues	2,181
Total Revenues	31,412
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and ex	change
fees	31,412
Operating Expenses	
Compensation and Benefits	1,729
Marketing and Advertising	251
Depreciation and amortization	4,679
Professional and contract services	2,386
Computer operations and data communications	4,998
Occupancy	1,360
Regulatory	100
General, administrative and other	917
Merger expenses	15
Total operating expenses	16,436
Operating Income	14,976
Interest income	309
Interest expense	(1)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	15,284
Income tax provision	3,695
Net Income	11,590
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 11,590

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Riga, AS

(in thousands, unaudited)			Year Ended December 31, 2013	
Assets				
Current Assets:				
Cash & Equivalents		\$	3,020	
Investments			0	
Total Receivables - Net			470	
Current Deferred Tax			0	
Current Restricted Cash			0	
Other Current Assets			21	
Margin Deposits & Default Fund			0	
Total Current Assets			3,511	
Total Property and Equipment - Net			18	
Goodwill			l	
Other Intangibles			0	
Non Current Deferred Taxes			9	
Long Term Restricted Cash			0	
Other Long Term Assets			0	
Total Assets	•	\$	3,538	
Liabilities and equity				
Current liabilities:				
Total AP and Accrued Expenses		\$	9	
SEC 31a Payable to the SEC			0	
Accrued Personnel Costs			77	
Deferred Revenue			5	
Current Portion of Long Term Debt			0	
Current Deferred Tax Liability			0	
Other Current Liabilities			379	
Total current liabilities			468	
Total Long Term Debt			0	
Non Current Deferred Tax Liability			0	
Non-current deferred revenue			0	
Other liabilities		·	0	
Total Liabilities			468	
Commitments and contingencies				
EQUITY				
Common Stock in Treasury Total		· ·	0	
Common Stock Total			(375)	
Additional Paid in Capital			3,962	
Accumulated Other Comprehensive Income/(Loss)			41	
Retained earnings	·		(490)	
Total stockholders' equity			3,139	
Noncontrolling interests			(69)	
Fotal Equity			3,069	
Total liabilities and equity		\$	3,538	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Riga, AS

(in thousands, unaudited)	Year Ended	
	Decemb	er 31, 2013
Revenues		
Market Services Revenues	\$	365
Global Listing Services		527
Global Information Services		27
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		530
Total Revenues		1,449
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exch	ange	
fees		1,449
Operating Expenses		
Compensation and Benefits		446
Marketing and Advertising		20
Depreciation and amortization		7
Professional and contract services		121
Computer operations and data communications		335
Occupancy		61
Regulatory		24
General, administrative and other		124
Merger expenses		0
Total operating expenses		1,139
Operating Income		310
Interest income		0
Interest expense		(0)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		1
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes	~ · · · · · · · · · · · · · · · · · · ·	310
Income tax provision		56
		254
Net Income		2J4 ^
Net (income) expense attributable to noncontrolling interests	<u>e</u>	
Net income attributable to Company	\$	254

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX (South East Asia & Pacific) Ptc.Ltd (in thousands, unaudited)

(in thousands, unaudited)		
	Year Ei	
	December 2	31, 2013
Assets		
Current Assets:	¢	400
Cash & Equivalents	\$	499
Investments		0
Total Receivables - Net		1,893
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		11,411
Margin Deposits & Default Fund	· · · · · · · · · · · · · · · · · · ·	0
Total Current Assets		13,803
Total Property and Equipment - Net		182
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	13,985
		•
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	66
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		563
Deferred Revenue		1,738
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		205
Total current liabilities		2,573
Total Long Term Debt		0
Non Current Deferred Tax Liability		· 0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities	•	2,573
Commitments and contingencies		
EQUITY		•
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		1,012
Accumulated Other Comprehensive Income/(Loss)		776
Retained earnings		9,624
Total stockholders' equity		11,412
Noncontrolling interests		0
Total Equity		11,412
Total liabilities and equity	\$	13,985

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX (South East Asia & Pacific) Pte.Ltd (in thousands, unaudited)

Year Ended December 31, 2013 Revenues \$ 0 Global Listing Services 0 Global Listing Services 0 Optimal Services 0 Optimal Services 0 Other Revenues 6,994 Other Revenues 783 Total Revenues 783 Total Revenues 7,776 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,776 Operating Expenses 0 Compensation and Benefits 2,485 Marketing and Advertising 56 Depreciation and anorization 31 Professional and contract services 4,443 Compancy 208 Regulatory 0 General, administrative and other 173 Merger expenses 63 Total operating expenses 63 Total operating expenses 0 Dividend and investinent inc	(in thousands, unaudited)	
Revenues \$ 0 Market Services Revenues 0 0 Global Listing Services 0 0 Global Information Services 0 0 Corporate Solutions 0 0 Market Technology Revenues 5,994 0 Other Revenues 783 7.776 Cost of revenues 783 7.776 Cost of revenues 0 0 Brokerage, Clearance and Exchange Fees 0 0 Total Cost of Revenues 0 0 Revenues less transaction rebates, brokerage, clearance and exchange 7.776 Operating Expenses 0 7.776 Compensation and Benefits 2.485 31 Professional and contract services 4.483 1 Orequiting and Advertising 56 24 Occupancy 208 208 23 Total operations and data communications 24 24 253 Operating Income 173 31 17 13 Merger expenses 63 7,523 20 208 253 10		
Market Services Revenues \$ 0 Global Listing Services 0 Oldbal Information Services 0 Corporate Solutions 0 Market Technology Revenues 6,994 Other Revenues 783 Total Revenues 783 Total Revenues 7,776 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange 7,776 Operating Expenses 0 Compensation and Benefits 2,485 Marketing and Advertising 56 Depreciation and amortization 31 Professional and contract services 4,483 Compancy 208 Regulatory 0 General, administrative and other 173 Merger expenses 63 Total operating expenses 63 Operating Income 253 Interest income 19 Interest exponse 63 Oividend and investment income 0 Inopari		December 31, 2013
Market Services Revenues \$ 0 Global Listing Services 0 Oldbal Information Services 0 Corporate Solutions 0 Market Technology Revenues 6,994 Other Revenues 783 Total Revenues 783 Total Revenues 7,776 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange 7,776 Operating Expenses 0 Compensation and Benefits 2,485 Marketing and Advertising 56 Depreciation and amortization 31 Professional and contract services 4,483 Compancy 208 Regulatory 0 General, administrative and other 173 Merger expenses 63 Total operating expenses 63 Operating Income 253 Interest income 19 Interest exponse 63 Oividend and investment income 0 Inopari		
Initiation for the second s	Revenues	
Otom Listing Generation0Colobal Information Services0Corporate Solutions0Market Technology Revenues783Total Revenues7.776Cost of revenues7.776Transaction rebates0Brokerage, Clearance and Exchange Fees0Total Cost of Revenues0Revenues less transaction rebates, brokerage, elearance and exchangefees0Operating Expenses7.776Compensation and Benefits2.485Marketing and Advertising56Depreciation and amortization31Professional and courtact services4.483Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses7.523Operating Income253Interest income0Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss268therome tax provision208Net Income0Net Income0Net (income) expense attributable to noncontrolling interests06Net (income) expense attributable to noncontrolling interests06Net (income) expense attributable to noncontrolling interests06	Market Services Revenues	•
Otopart Information Softweets 0 Market Technology Revenues 6,994 Other Revenues 783 Total Revenues 783 Total Revenues 7776 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, elearance and exchange fees 0 Compensation and Benefits 2,485 Marketing and Advertising 56 Depreciation and Benefits 2,485 Marketing and Advertising 31 Professional and contract services 4483 Computer operations and data communications 24 Occupancy 208 Regulatory 0 General, administrative and other 173 Merger expenses 63 Total operating expenses 7,523 Operating Income 19 Interest expense 61 Total operating expenses 0 Total operating interest 0 Dividend and investment income 0 Interest expense 0 <t< td=""><td>Global Listing Services</td><td></td></t<>	Global Listing Services	
Corporate Solutions 6,994 Market Technology Revenues 783 Total Revenues 783 Total Revenues 7,776 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,776 Operating Expenses 7,776 Compensation and Benefits 2,485 Marketing and Advertising 56 Depreciation and amortization 31 Professional and contract services 4,483 Computer operations and data communications 24 Occupancy 208 Regulatory 0 General, administrative and other 173 Merger expenses 7,523 Total operating expenses 63 Total operating expenses 0	Global Information Services	
783 783 Total Revenues 7,776 Cost of revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Gerating Expenses 0 Compensation and Benefits 2,485 Marketing and Advertising 56 Depreciation and Benefits 2,483 Computer operations and data communications 24 Occupancy 208 Regulatory 0 General, administrative and other 173 Merger expenses 63 Total operating expenses 63 Total operating expenses 7,253 Operating income 193 Interest income 19 Interest expense 63 Total operating expenses 65 Operating income 19 Interest expense 65 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Impairment Loss 0 FX Operating	Corporate Solutions	•
Total Revenues7,776Cost of revenues0Transaction rebates0Brokerage, Clearance and Exchange Fees0Total Cost of Revenues0Revenues less transaction rebates, brokerage, clearance and exchangefees7,776Operating Expenses2,485Compensation and Benefits2,485Marketing and Advertising56Depreciation and amortization31Professional and contract services4,483Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses63Total operating expenses63Total operating expenses55Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes268Income tax provision(99)Net Income366Net (income) expense attributable to noncontrolling interests0	Market Technology Revenues	
Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 7,776 Operating Expenses 2,485 Marketing and Advertising 56 Depreciation and amortization 31 Professional and contract services 4,483 Computer operations and data communications 24 Occupancy 208 Regulatory 0 General, administrative and other 173 Merger expenses 63 Total operating expenses 63 Total operating expenses 63 Total operating expenses 7,523 Operating Income 19 Interest income 19 Interest income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 FX Operating 0 Income before income taxes 268 Income	Other Revenues	***************************************
Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 7,776 Operating Expenses 2,485 Compensation and Benefits 2,485 Marketing and Advertising 56 Depreciation and amortization 31 Professional and contract services 4,483 Computer operations and data communications 24 Occupancy 208 Regulatory 0 General, administrative and other 173 Merger expenses 7,523 Total operating expenses 7,523 Operating income 19 Interest income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 268 Income before income taxes 268 Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling inte	Total Revenues	7,776
Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Operating Expenses 7,776 Operating Expenses 2,485 Compensation and Benefits 2,485 Marketing and Advertising 56 Depreciation and amortization 31 Professional and contract services 4,483 Computer operations and data communications 24 Occupancy 208 Regulatory 0 General, administrative and other 173 Merger expenses 7,523 Total operating expenses 7,523 Operating income 19 Interest income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 268 Income before income taxes 268 Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling inte		
Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,776 Operating Expenses 7,776 Compensation and Benefits 2,485 Marketing and Advertising 56 Depreciation and amortization 31 Professional and contract services 4,483 Computer operations and data communications 24 Occupancy 0 Regulatory 0 General, administrative and other 173 Merger expenses 63 Total operating expenses 7,523 Operating Income 253 Interest income 19 Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 268 Income tax provision 268 Net Income 366 Net (income) expense attributable to noncontrolling interests 0	Cost of revenues	
Directage, clearance and ExercisesTotal Cost of RevenuesRevenues less transaction rebates, brokerage, clearance and exchange feesfeesOperating ExpensesCompensation and BenefitsMarketing and AdvertisingDepreciation and amortizationProfessional and contract servicesComputer operations and data communicationsComputer operations and data communicationsComputer operations and data communicationsComputer operating expensesComputer operating expensesComparing expensesTotal operating expensesTotal operating expensesTotal operating expensesTotal operating expensesTotal operating expenseComparing IncomeInterest expenseCain (loss) on sale of strategic initiativesDividend and investment incomeIncome from unconsolidated investees, netImpairment LossFX OperatingOperatingComparingOperating <td< td=""><td>Transaction rebates</td><td></td></td<>	Transaction rebates	
Revenues less transaction rebates, brokerage, clearance and exchange fees 7,776 Operating Expenses 2,485 Marketing and Advertising 56 Depreciation and Benefits 31 Professional and contract services 4,483 Computer operations and data communications 24 Occupancy 208 Regulatory 0 General, administrative and other 173 Merger expenses 63 Total operating expenses 7,523 Interest income 19 Interest expense 0 Objected and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income tax provision 268 Income tax provision 268 Net Income 366 Net (income) expense attributable to noncontrolling interests 0	Brokerage, Clearance and Exchange Fees	······
fees7,776Operating Expenses2,485Compensation and Benefits2,485Marketing and Advertising56Depreciation and amortization31Professional and contract services4,483Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses63Total operating expenses7,523Operating Income19Interest income19Interest expense65Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating268Income before income taxes268Income tax provision(99)Net Income366Net (income) expense attributable to noncontrolling interests0	Total Cost of Revenues	0
fees7,776Operating Expenses2,485Compensation and Benefits2,485Marketing and Advertising56Depreciation and amortization31Professional and contract services4,483Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses63Total operating expenses7,523Operating Income19Interest income19Interest expense65Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating268Income before income taxes268Income tax provision(99)Net Income366Net (income) expense attributable to noncontrolling interests0		
Operating Expenses2,485Compensation and Benefits2,485Marketing and Advertising56Depreciation and amortization31Professional and contract services4,483Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses63Total operating expenses7,523Operating Income253Interest expense65Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0FX Operating0Income before income taxes268Income tax provision(99)Net Income366Net (income) expense attributable to noncontrolling interests0	Revenues less transaction rebates, brokerage, clearance and exchange	
Compensation and Benefits2,485Marketing and Advertising56Depreciation and amortization31Professional and contract services4,483Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses63'fotal operating expenses63'fotal operating expenses7,523Operating Income19Interest income19Interest expense63'fotal one form unconsolidated investees, net0Income from unconsolidated investees, net0Impairment Loss0FX Operating0FX Operating0Income tax provision268Income tax provision268Net Income366Net (income) expense attributable to noncontrolling interests366	fees	7,776
Compensation and Benefits2,485Marketing and Advertising56Depreciation and amortization31Professional and contract services4,483Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses63'fotal operating expenses63'fotal operating expenses7,523Operating Income19Interest income19Interest expense63'fotal one form unconsolidated investees, net0Income from unconsolidated investees, net0Impairment Loss0FX Operating0FX Operating0Income tax provision268Income tax provision268Net Income366Net (income) expense attributable to noncontrolling interests366	· · · · · · · · · · · · · · · · · · ·	
Compensation and solution56Depreciation and Advertising51Professional and contract services4,483Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses63Total operating expenses63Total operating expenses7,523Operating Income19Interest income19Interest expense65Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income tax provision268Income tax provision268Net Income366Net (income) expense attributable to noncontrolling interests366	Operating Expenses	
Depreciation and amortization31Professional and contract services4,483Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses63Total operating expenses63Total operating expenses7,523Operating Income19Interest income19Interest expense65Gain (loss) on sale of strategic initiatives0Dividend and investment income0Impairment Loss0FX Operating0FX Operating0Income before income taxes268Income tax provision268Net Income366Net (income) expense attributable to noncontrolling interests0		•
Depretation and units finition4,483Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses63Total operating expenses7,523Operating Income19Interest income19Interest expense65Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes268Income tax provision299)Net Income366Net Income0Net Income0 <td>Marketing and Advertising</td> <td></td>	Marketing and Advertising	
Computer operations and data communications24Occupancy208Regulatory0General, administrative and other173Merger expenses63Total operating expenses7,523Operating Income19Interest income19Interest expense0Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes268Income tax provision268Net Income366Net Income0Net Income <t< td=""><td></td><td></td></t<>		
Compared operations and data communications208Occupancy0Regulatory0General, administrative and other173Merger expenses63Total operating expenses7,523Operating Income19Interest income19Interest expense65Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes268Income tax provision268Net Income366Net Income0Net Income <t< td=""><td>Professional and contract services</td><td>4,483</td></t<>	Professional and contract services	4,483
Regulatory0Regulatory0General, administrative and other173Merger expenses63Total operating expenses7,523Operating Income19Interest income19Interest expense65Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes268Income tax provision999Net Income366Net Income0Net Income <td>Computer operations and data communications</td> <td>24</td>	Computer operations and data communications	24
Reginatory173General, administrative and other173Merger expenses63Total operating expenses7,523Operating Income253Interest income19Interest expense(5)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes268Income tax provision(99)Net Income366Net (income) expense attributable to noncontrolling interests0	Occupancy	208
Merger expenses 63 Total operating expenses 7,523 Operating Income 253 Interest income 19 Interest expense (5) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling interests 0	Regulatory	0
Total operating expenses7,523Operating income253Interest income19Interest expense(5)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes268Income tax provision(99)Net Income366Net (income) expense attributable to noncontrolling interests0	General, administrative and other	173
Operating Income253Interest income19Interest expense(5)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes268Income tax provision(99)Net Income366Net (income) expense attributable to noncontrolling interests0	Merger expenses	63
Operating Income253Interest income19Interest expense(5)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes268Income tax provision(99)Net Income366Net (income) expense attributable to noncontrolling interests0	Total operating expenses	7,523
Interest expense (5) Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling interests 0		253
Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling interests 0	Interest income	19
Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling interests 0	Interest expense	(5)
Dividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling interests 0		0
Impairment Loss 0 Impairment Loss 0 FX Operating 0 Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling interests 0		0
FX Operating 0 Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling interests 0	Income from unconsolidated investees, net	0
FX Operating 0 Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling interests 0	Impairment Loss	0
Income before income taxes 268 Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling interests 0	•	. 0
Income tax provision (99) Net Income 366 Net (income) expense attributable to noncontrolling interests 0	• •	268
Net Income 366 Net (income) expense attributable to noncontrolling interests 0		(99)
Net (income) expense attributable to noncontrolling interests	•	
	Net Income	366
	Net (income) expense attributable to noncontrolling interests	
		\$ 366

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Stockholm AB

(in thousands, unaudited)		ear Ended nber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	105,264
Investments		39,542
Total Receivables - Net		23,710
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		3,066
Margin Deposits & Default Fund		0
Total Current Assets		171,583
Total Property and Equipment - Net		511
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		(20)
Long Term Restricted Cash		0
Other Long Term Assets		8
Total Assets	\$	172,081
Liabilities and equity		
Current liabilities;		
Total AP and Accrued Expenses	\$	39,370
SEC 3 ta Payable to the SEC	•	0
Accrued Personnel Costs		9,130
Deferred Revenue		12,240
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		255
Other Current Liabilities		17,547
Total current liabilities		78,542
Total Long Term Debt		0,5,5
Non Current Deferred Tax Liability		ŏ
Non-current deferred revenue		õ
Other liabilities		0
Total Liabilities		78,542
rour shaonnos		, 0,0 12
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		Ő
Additional Paid in Capital		12,974
Accumulated Other Comprehensive Income/(Loss)		(1,532)
Retained earnings		82,098
Total stockholders' equity		93,539
Noncontrolling interests		0
Total Equity		93,539
Total liabilities and equity	\$	172,081
tour naonnos ana equity	ф.	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Stockholm AB

(in thousands, unaudited)		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	27,726	
Global Listing Services		10,785	
Global Information Services		15,930	
Corporate Solutions		24.	
Market Technology Revenues		6,084	
Other Revenues		752	
Total Revenues		61,301	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and ex	change		
fees		61,301	
Operating Expenses			
Compensation and Benefits		8,103	
Marketing and Advertising		274	
Depreciation and amortization		71	
Professional and contract services		3,261	
Computer operations and data communications		10,157	
Occupancy		496	
Regulatory		6	
General, administrative and other		2,668	
Merger expenses		529	
Total operating expenses		25,565	
Operating Income		35,736	
Interest income		353	
Interest expense		(1)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		262	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		36,350	
Income tax provision		- 7,976	
Net Income		28,373	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	<u>\$</u>	28,373	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NASDAQ OMX Tallinn AS

	Year Ended December 31, 2013
A unata	
Assets	
Current Assets:	\$ 0
Cash & Equivalents Investments	3 U
Total Receivables - Net	0
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	ő
• • • • • • • • • • • • • • • • • • • •	0
Margin Deposits & Default Fund Fotal Current Assets	0
	0
Fotal Property and Equipment - Net	0
Goodwill Other Internetister	0
Other Intangibles	0
Non Current Deferred Taxes	. 0
Long Term Restricted Cash	0
Other Long Term Assets	
l'otal Assets	\$ 0
Liabilities and equity	
Current liabilities:	- -
Total AP and Accrued Expenses	Ś 0
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	0
Deferred Revenue	• 0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	0
Other Current Liabilities	0
l'otal current liabilities	0
Fotal Long Term Debt	0
Von Current Deferred Tax Liability	0
Non-current deferred revenue	0
Other liabilities	0
Fotal Liabilities	0
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	ů 0
Additional Paid in Capital	2,044
Accumulated Other Comprehensive Income/(Loss)	(2,025)
Retained earnings	(2,0,25)
Fotal stockholders' equity	0
Noncontrolling interests	ů O
fotal Equity	0
Fotal liabilities and equity	\$ 0

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NASDAQ OMX Tallinn AS

(in thousands, unaudited)			
		Year Ended December 31, 2013	
	Decembe	r 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services		ŏ	
Global Information Services		Ő	
Corporate Solutions		Ő	
Market Technology Revenues		Ő	
Other Revenues		ő	
Total Revenues		<u> </u>	
Total Revenues		v	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and excl	anao		
fees	unge	0	
Operating Expenses			
Compensation and Benefits		0	
Marketing and Advertising		0	
Depreciation and amortization		0	
Professional and contract services		0	
Computer operations and data communications		0	
Occupancy		0	
Regulatory		0	
General, administrative and other		0	
Merger expenses	·····	0	
Total operating expenses		0	
Operating Income		0	
Interest income		0	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		0	
Income tax provision		0	
Net Income		0	
Net (income) expense attributable to noncontrolling interests		. 0	
	\$	0	
Net income attributable to Company	Ψ	<u> </u>	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Nord Pool AB

(in thousands, unaudited)	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		0
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund		0
Total Current Assets		0
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	0
Liabilities and equity Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total current liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred Tax Liability Other liabilities Total Liabilities	\$	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss) Retained earnings Total stockholders' equity Noncontrolling interests Total Equity Total liabilities and equity	\$	0 0 15 (13) (2) 0 0 0 0 0

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Nord Pool AB

		Year Ended December 31, 2013	
	Decembe	r 31, 2013	
Revenues	æ	0	
Market Services Revenues	\$	0	
Global Listing Services		· 0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		0	
Total Revenues		U	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exch	ange		
fees		0	
Operating Expenses	· ·		
Compensation and Benefits		0	
Marketing and Advertising		0	
Depreciation and amortization		0	
Professional and contract services		0	
Computer operations and data communications		0	
Occupancy		0	
Regulatory		0	
General, administrative and other		0	
Merger expenses		0	
Total operating expenses		0	
Operating Income		0	
Interest income		0	
Interest expense		0.	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		(30)	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes	<u> </u>	(30)	
Income tax provision		0	
		(2.2)	
Net Income		(30)	
Net (income) expense attributable to noncontrolling interests	<u> </u>		
Net income attributable to Company	<u>\$</u>	(30)	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - NOS Clearing ASA

(in thousands, unaudited)

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(in thousands, unaudited)	Vo	ar Ended
		ber 31, 2013
		001 51, 2015
Assets		
Current Assets:		
Cash & Equivalents	\$	41,652
Investments	.9	41,052
Total Receivables - Net		3
Current Deferred Tax		121
Current Deferred Tax Current Restricted Cash		0
		1,259
Other Current Assets		· .
Margin Deposits & Default Fund		42.025
Total Current Assets		43,035
Total Property and Equipment - Net		139
Goodwill		0
Other Intangibles		1,142
Non Current Deferred Taxes		393
Long Term Restricted Cash		. 0
Other Long Term Assets		0
Total Assets	\$	44,709
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	900
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		2,120
Deferred Revenue		0
Current Portion of Long Term Debt		. 0
Current Deferred Tax Liability		2
Other Current Liabilities		(117)
Total current liabilities		2,905
Total Long Term Debt		0
Non Current Deferred Tax Liability		320
Non-current deferred revenue		0
Other liabilities		433
Total Liabilities		3,657
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		39,298
Accumulated Other Comprehensive Income/(Loss)		(819)
Retained earnings		2,573
-		41,052
Total stockholders' equity Noncontrolling interests		41,052
		41,052
Total Equity Total Habilities and equity	\$	41,032
Total liabilities and equity	4	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - NOS Clearing ASA

		ar Ended ber 31, 2013
Revenues	_	
Market Services Revenues	\$	8,732
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		8,732
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exch	ange	
fees		8,732
Operating Expenses		
Compensation and Benefits		4,312
Marketing and Advertising		62
Depreciation and amortization		358
Professional and contract services		1,431
Computer operations and data communications		1,643
Occupancy		768
Regulatory		102
General, administrative and other		987
Merger expenses		992
Total operating expenses		10,654
Operating Income		(1,923)
Interest income		1,245
Interest expense		(793)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		Ő
Impairment Loss		õ
FX Operating		Ő
Income before income taxes		(1,470)
Income tax provision		(407)
Net Income		(1,063)
Net (income) expense attributable to noncontrolling interests	æ	(1.0(2))
Net income attributable to Company	<u> </u>	(1,063)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX AB

(in thousands, unaudited)	Year Ended December 31, 2013
Assets	4 · · · ·
Current Assets:	
Cash & Equivalents	\$ (490,964)
Investments	0
Total Receivables - Net	140,087
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	16,072
Margin Deposits & Default Fund	0
Total Current Assets	(334,806)
Total Property and Equipment - Net	774
Goodwill	4,370
Other Intangibles	0
Non Current Deferred Taxes	1,899
Long Term Restricted Cash	0
Other Long Term Assets	(3,138,834)
Total Assets	\$ (3,466,597)
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 6,902
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	3,284
Deferred Revenue	. 0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	223
Other Current Liabilities	506,532
Total current liabilities	516,941
Total Long Term Debt	. 0
Non Current Deferred Tax Liability	2
Non-current deferred revenue	- 0
Other liabilities	4,792
Total Liabilities	521.735
Total Liabilities	521,755
Commitments and contingencies	
EQUITY	·
•	0
Common Stock in Treasury Total	15
Common Stock Total	(4,189,844)
Additional Paid in Capital	(4,109,044) 219,377
Accumulated Other Comprehensive Income/(Loss)	
Retained earnings	(17,880)
Total stockholders' equity	(3,988,332)
Noncontrolling interests	(2.000.222)
Total Equity	(3,988,332)
Total liabilities and equity	\$ (3,466,597)

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX AB (in thousands, unaudited)

Year Ended December 31, 2013 Revenues 0 Market Services Revenues \$ 0 **Global Listing Services** Global Information Services 0 0 **Corporate Solutions** Market Technology Revenues n 19,477 Other Revenues 19,477 **Total Revenues** Cost of revenues Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 0 Total Cost of Revenues Revenues less transaction rebates, brokerage, clearance and exchange 19,477 fees **Operating Expenses** 9,303 Compensation and Benefits 286 Marketing and Advertising 1,078 Depreciation and amortization Professional and contract services 3,798 235 Computer operations and data communications 11,025 Occupancy 0 Regulatory 971 General, administrative and other 682 Merger expenses Total operating expenses 27,379 (7,902) Operating Income Interest income 267 (14,350) Interest expense Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 17 Income from unconsolidated investees, net (1,531)0 Impairment Loss FX Operating n (23,499) Income before income taxes Income tax provision (4,585) (18,914)Net Income Net (income) expense attributable to noncontrolling interests 0 Net income attributable to Company (18,914)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Capital Insurance AG (in thousands, unaudited)

(in thousands, unaudited)	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$ 108,136	5
Investments	· · · · · · · · · · · · · · · · · · ·	0
Total Receivables - Net	(0
Current Deferred Tax		0
Current Restricted Cash	(0
Other Current Assets		0
Margin Deposits & Default Fund	(0
Total Current Assets	108,136	5
Total Property and Equipment - Net		0
Goodwill	(0
Other Intangibles	(0
Non Current Deferred Taxes	• (0
Long Term Restricted Cash	· · · · · · · · · · · · · · · · · · ·	0
Other Long Term Assets	(0
Total Assets	\$ 108,130	5
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$ 950	6
SEC 31a Payable to the SEC	(0
Accrued Personnel Costs	· (0
Deferred Revenue	. (0
Current Portion of Long Term Debt	(0.
Current Deferred Tax Liability	(0
Other Current Liabilities	12,485	5
Total current liabilities	13,441	_
Total Long Term Debt	(Ó
Non Current Deferred Tax Liability	(0
Non-current deferred revenue	(0
Other liabilities	(0
Total Liabilities	13,44	ī
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0.
Common Stock Total		0
Additional Paid in Capital	151,61	
Accumulated Other Comprehensive Income/(Loss)	(15,55)	1)
Retained earnings	(41,360	6)
Total stockholders' equity	94,693	5
Noncontrolling interests	· (0
Total Equity	94,693	5
Total liabilities and equity	\$ 108,130	6

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Capital Insurance AG

(in thousands, unaudited)		Ended x 31, 2013
	· · · · ·	
Revenues	·	
Market Services Revenues	\$. 0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		818
Total Revenues		818
Cost of revenues	· .	
Transaction rebates		0
Brokerage, Clearance and Exchange Fees	· · · · · · · · · · · · · · · · · · ·	0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and	l exchange	
fees		818
Operating Expenses		
Compensation and Benefits		0
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		231
Computer operations and data communications		0
Occupancy		0
Regulatory		0
General, administrative and other		318
Merger expenses	<u> </u>	0
Total operating expenses		549
Operating Income		269
Interest income		816
Interest expense		(26)
Gain (loss) on sale of strategic initiatives		. 0
Dividend and investment income		37
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		2
Income before income taxes		1,099
Income tax provision		(4,914)
Net Income		6,013
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	6,013

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Ltd.

		ar Ended ber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	1,220
Investments		0
Total Receivables - Net		86
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		1,939
Margin Deposits & Default Fund		0
Total Current Assets	-	3,245
Total Property and Equipment - Net		349
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		10
Total Assets	\$	3,604
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	299
SEC 31a Payable to the SEC	ι.	0
Accrued Personnel Costs		782
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		66
Total current liabilities		1,147
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		1,147
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		1,470
Accumulated Other Comprehensive Income/(Loss)		60
Retained earnings		927
Total stockholders' equity		2,457
Noncontrolling interests		0
Total Equity		2,457
Total liabilities and equity	\$	3,604

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Ltd.

Year Ended December 31, 2013 Revenues 5 Market Services Revenues 0 Global Linformation Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 7,476 Cost of revenues 7,476 Total Revenues 7,476 Total Cost of Revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 0 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amortization 8 Professional and contract services 269 Computer operations and data communications 12 Occupancy 816 Regulatory 0 General, administrative and other 651 Interest income 1 Interest expense 00 Operating lucome 00 Dividend an investment incomme 0 <th>(in thousands, unaudited)</th> <th></th> <th></th>	(in thousands, unaudited)		
Revenues \$ 0 Market Services Revenues 0 0 Global Listing Services 0 0 Corporate Solutions 0 0 Market Technology Revenues 0 0 Other Revenues 7,476 7,476 Cost of revenues 7,476 0 Transaction rebates 0 0 Brokerage, Clearance and Exchange Fees 0 0 Total Cost of Revenues 0 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 0 0 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amortization 8 Professional and contract services 269 Computer operations and data communications 12 Occupancy 0 Regulatory 0 General, administrative and other 651 Market in nuconsolidated investees, net 0 Interest income 1 Interest expense 0 Orividend and investment inc			
Market Services Revenues \$ 0 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 7,476 Total Revenues 7,476 Cost of revenues 7,476 Total Revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 0 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amorization 8 Professional and contract services 269 Company 816 Regulatory 0 General, administrative and other 651 Merger expenses 409 Total operating expenses 600 Operating Income 1 Interest income 1 Interest expense 00 Dividend and investment income 00 Income		December 3	1, 2013
Market Services Revenues \$ 0 Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 7,476 Total Revenues 7,476 Cost of revenues 7,476 Total Revenues 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 0 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amorization 8 Professional and contract services 269 Company 816 Regulatory 0 General, administrative and other 651 Merger expenses 409 Total operating expenses 600 Operating Income 1 Interest income 1 Interest expense 00 Dividend and investment income 00 Income			
Global Listing Services 0 Global Information Services 0 Corporate Solutions 0 Market Technology Revenues 0 Other Revenues 7,476 Total Revenues 7,476 Cost of revenues 7,476 Total Revenues 7,476 Total Cost of Revenues 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 0 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amortization 8 Professional and contract services 269 Coupaney 816 Regulatory 0 Generat, administrative and other 651 Merger expenses 4099 Total operating expenses 0 Operating Income 508 Interest expense 0 Operating income 0 Interest expense 0 Operating come taxes 0 Dividend and investment income 0	Revenues		
Onloss Davies0Clobal Information Services0Outher Revenues7,476Total Revenues7,476Total Revenues7,476Transaction rebates0Brokerage, Clearance and Exchange Fees0Total Cost of Revenues0Revenues less transaction rebates, brokerage, clearance and exchangefees7,476Compensation and Benefits4,744Marketing and Advertising61Depreciation and amortization8Professional and contract services269Compensation and data communications12Coccupancy816Regulatory0General, administrative and other6518Marger expenses4099Total operating expenses6,669Operating income1Interest income1Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Income tax provision83Net Income425Net Income425Net Income425	Market Services Revenues	\$	0
Origin Rubinition for the Carporate Solutions0Market Technology Revenues0Other Revenues7,476Total Revenues7,476Cost of revenues0Brokerage, Clearance and Exchange Fees0Total Cost of Revenues0Revenues less transaction rebates, brokerage, clearance and exchange fees7,476Operating Expenses0Compensation and Benefits4,744Marketing and Advertising61Depreciation and amortization8Professional and contract services269Computer operations and data communications12Occupancy816Regulatory0General, administrative and other651Merger expenses409Total operating expenses00Concurrence1Interest expense00Dividend and investment income0Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss508Income tax provision83Net Income425Net Income425	Global Listing Services		0
Objective Developsion 0 Market Technology Revenues 0 Other Revenues 7,476 Total Revenues 7,476 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 0 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amortization 8 Professional and contract services 269 Computer operations and data communications 12 Occupancy 816 Regulatory 0 General, administrative and other 651 Market expenses 6,969 Operating Income 1 Interest income 1 Interest expense 0 Dividend and investment income 0 Income tos 508 Income tax provision 83 Net Income 425 Net Income 425	Global Information Services		0
Total Revenues 7476 Other Revenues 7,476 Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 0 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amortization 8 Professional and contract services 269 Computer operations and data communications 12 Occupancy 0 General, administrative and other 651 Merger expenses 409 Total operating expenses 6,969 Operating Income 1 Interest income 1 Interest expense 0 Olividend and investment income 0 Income from unconsolidated investes, net 0 Impairment Loss 0 FX Operating 0 Income tax provisio	Corporate Solutions		0 -
Total Revenues7,476Cost of revenues0Brokerage, Clearance and Exchange Fees0Total Cost of Revenues0Revenues less transaction rebates, brokerage, clearance and exchange fees7,476Operating Expenses7,476Compensation and Benefits4,744Marketing and Advertising61Depreciation and amortization8Professional and contract services269Computer operations and data communications12Occupancy816Regulatory0General, administrative and other6511Merger expenses409Total operating expenses6966Operating lancome508Interest income1Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income toron torons taxes508Income toron torons total to to noncontrolling interests0Net Income425Net Income425Net Inc	Market Technology Revenues		0
Cost of revenues 0 Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 7,476 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amortization 8 Professional and contract services 269 Computer operations and data communications 12 Occupancy 816 Regulatory 0 General, administrative and other 6511 Merger expenses 4009 Total operating expenses 6009 Operating income 508 Interest income 1 Interest expense 0 Dividend and investment income 0 Income from unconsolidated investes, net 0 Impairment Loss 0 FX Operating 0 Income taxe provision 83 Net Income 425 Net Income 425	Other Revenues		7,476
Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 7,476 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amortization 8 Professional and contract services 269 Computer operations and data communications 12 Occupancy 816 Regulatory 0 General, administrative and other 651 Merger expenses 409 Total operating expenses 6,969 Operating Income 508 Interest income 1 Interest expense 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Inpairment Loss 0 FX Operating 0 Income tax provision 83 Net Income 425 Net Income 425	Total Revenues		7,476
Transaction rebates 0 Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 7,476 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amortization 8 Professional and contract services 269 Computer operations and data communications 12 Occupancy 816 Regulatory 0 General, administrative and other 651 Merger expenses 409 Total operating expenses 6,969 Operating Income 508 Interest income 1 Interest expense 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Inpairment Loss 0 FX Operating 0 Income tax provision 83 Net Income 425 Net Income 425			
Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 7,476 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amortization 8 Professional and contract services 269 Computer operations and data communications 12 Occupancy 816 Regulatory 0 General, administrative and other 651 Merger expenses 409 Total operating expenses 60 Operating Income 1 Interest income 1 Interest expense 0 Olividend and investment income 0 Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 508 Income tax provision 83 Net Income 425 Net Income 425	Cost of revenues		
Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange fees 7,476 Operating Expenses 7,476 Compensation and Benefits 4,744 Marketing and Advertising 61 Depreciation and amortization 8 Professional and contract services 269 Computer operations and data communications 12 Occupancy 816 Regulatory 0 General, administrative and other 651 Merger expenses 409 Total operating expenses 6,969 Operating Income 508 Interest income 0 Interest expense 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Income before income taxes 508 Income tax provision 83 Net Income 425 Net Income 425	Transaction rebates		0
Total Cost of Revenues0Revenues less transaction rebates, brokerage, clearance and exchange fees7,476Operating Expenses7,476Compensation and Benefits4,744Marketing and Advertising61Depreciation and amortization8Professional and contract services269Computer operations and data communications12Occupancy816Regulatory0General, administrative and other651Merger expenses409Total operating expenses6,969Operating Income508Interest income0Interest expense0Dividend and investment income0Income from unconsolidated investees, net0Income before income taxes508Income tax provision83Net Income425Net Income425Net Income425			0
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Operating Expenses4,744Marketing and Advertising61Depreciation and amortization8Professional and contract services269Computer operations and data communications12Occupancy816Regulatory0General, administrative and other651Merger expenses409Total operating expenses6,960Operating lncome508Interest income1Interest expense0Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income tax provision83Net Income425Net (income) expense attributable to noncontrolling interests0			7,476
Compensation and Benefits4,744Marketing and Advertising61Depreciation and amortization8Professional and contract services269Computer operations and data communications12Occupancy816Regulatory0General, administrative and other651Merger expenses409Total operating expenses6,969Operating Income508Interest income1Interest expense0Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income tax provision83Net Income425Net Income425Net (income) expense attributable to noncontrolling interests0			
Compensation and Benefits4,744Marketing and Advertising61Depreciation and amortization8Professional and contract services269Computer operations and data communications12Occupancy816Regulatory0General, administrative and other651Merger expenses409Total operating expenses6,969Operating Income508Interest income1Interest expense0Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income tax provision83Net Income425Net Income425Net (income) expense attributable to noncontrolling interests0	Operating Expenses		
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Regulatory0General, administrative and other651Merger expenses409Total operating expenses6,969Operating Income508Interest income1Interest expense(0)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes508Income tax provision83Net Income425Net (income) expense attributable to noncontrolling interests0			
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Total operating expenses6,969Operating Income508Interest income1Interest income0Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes508Income tax provision83Net Income425Net (income) expense attributable to noncontrolling interests0	·		
Operating Income508Interest income1Interest income0Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes508Income tax provision83Net Income425Net (income) expense attributable to noncontrolling interests0			
Interest income1Interest income(0)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes508Income tax provision83Net Income425Net (income) expense attributable to noncontrolling interests0			· · · · · ·
Interest expense(0)Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes508Income tax provision83Net Income425Net (income) expense attributable to noncontrolling interests0	• •		
Gain (loss) on sale of strategic initiatives0Dividend and investment income0Income from unconsolidated investees, net0Impairment Loss0FX Operating0Income before income taxes508Income tax provision83Net Income425Net (income) expense attributable to noncontrolling interests0	Interest income		-
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Income from unconsolidated investees, net 0 Impairment Loss 0 FX Operating 0 Income before income taxes 508 Income tax provision 83 Net Income 425 Net (income) expense attributable to noncontrolling interests 0			
Impairment Loss 0 Impairment Loss 0 FX Operating 0 Income before income taxes 508 Income tax provision 83 Net Income 425 Net (income) expense attributable to noncontrolling interests 0	Dividend and investment income		-
FX Operating 0 Income before income taxes 508 Income tax provision 83 Net Income 425 Net (income) expense attributable to noncontrolling interests 0	Income from unconsolidated investees, net		-
Income before income taxes 508 Income tax provision 83 Net Income 425 Net (income) expense attributable to noncontrolling interests 0	Impairment Loss		0
Income tax provision 83 Net Income 425 Net (income) expense attributable to noncontrolling interests 0	FX Operating		0
Net Income 425 Net (income) expense attributable to noncontrolling interests 0	Income before income taxes		508
Net (income) expense attributable to noncontrolling interests 0	Income tax provision		83
Net (income) expense attributable to noncontrolling interests 0	,		
	Net Income		425
	Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company \$ 425	Net income attributable to Company	\$	425

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Netherlands BV

Total Assets \$ (5 Liabilities and equity Current liabilities: 5 Total AP and Accrued Expenses \$ \$ SEC 31a Payable to the SEC Accrued Personnel Costs 5 Deferred Revenue Current Portion of Long Term Debt 5	
Current Assets: \$ Cash & Equivalents \$ Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash (5 Other Long Term Assets (5 Total Assets \$ Liabilities and equity \$ Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	
Cash & Equivalents \$ Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets	
Investments Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Cotal Assets S Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	11
Total Receivables - Net Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets S Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	0
Current Deferred Tax Current Restricted Cash Other Current Assets Margin Deposits & Default Fund Total Current Assets Total Current Assets Total Current Assets Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets (5 Total Assets S Current liabilities: Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	1
Current Restricted Cash Other Current Assets Margin Deposits & Default Fund	0
Other Current Assets	0
Margin Deposits & Default Fund Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	0
Total Current Assets Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	0
Total Property and Equipment - Net Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets Total Assets Liabilities and equity Current liabilities: Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	13
Goodwill Other Intangibles Non Current Deferred Taxes Long Term Restricted Cash Other Long Term Assets (5) Total Assets \$ Liabilities and equity (5) Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	0
Non Current Deferred Taxes (5 Long Term Restricted Cash (5 Other Long Term Assets § Total Assets \$ Liabilities and equity (5 Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	0
Non Current Deferred Taxes (5 Long Term Restricted Cash (5 Other Long Term Assets § Total Assets \$ Liabilities and equity (5 Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	0
Other Long Term Assets (5 Total Assets \$ Liabilities and equity (5 Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC \$ Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt \$	0
Total Assets \$ (5 Liabilities and equity Current liabilities: 5 Total AP and Accrued Expenses \$ \$ SEC 31a Payable to the SEC Accrued Personnel Costs 5 Deferred Revenue Current Portion of Long Term Debt 5	0
Total Assets \$ (5 Liabilities and equity Current liabilities: 5 Total AP and Accrued Expenses \$ \$ SEC 31a Payable to the SEC Accrued Personnel Costs 5 Deferred Revenue Current Portion of Long Term Debt 5	,857)
Current liabilities: Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Expense Current Portion of Long Term Debt Expense	,844)
Current liabilities: Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Expense Current Portion of Long Term Debt Expense	
Current liabilities: Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Expense Current Portion of Long Term Debt Expense	
SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	
SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt	332
Deferred Revenue Current Portion of Long Term Debt	0
Current Portion of Long Term Debt	0
	0
	0
Current Deferred Tax Liability	0
Other Current Liabilities	0
Total current liabilities	332
Total Long Term Debt	0
Non Current Deferred Tax Liability	0
Non-current deferred revenue	0
Other liabilities.	0
Total Liabilities	332
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	(0)
*	,620)
Accumulated Other Comprehensive Income/(Loss) (1	,312)
Retained earnings	(243)
Total stockholders' equity (6	,176)
Noncontrolling interests	0
	,176)
Total liabilities and equity <u>\$ (5</u>	,844)

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Netherlands BV

		Ended r 31, 2013
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exc	hange	
fees		0
Operating Expenses		
Compensation and Benefits		16
Marketing and Advertising		0
Depreciation and amortization		0
Professional and contract services		13
Computer operations and data communications		0
Occupancy		9
Regulatory		0
General, administrative and other		(1)
Merger expenses		0
Total operating expenses		37
Operating Income		(37)
Interest income		0
Interest expense		(0)
Gain (loss) on sale of strategic initiatives		0
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		(37)
Income tax provision		0
Net Income		(37)
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	(37)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Netherlands Holding BV

(in thousands, unaudited)

	December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 44
Investments	0
Total Receivables - Net	3,291
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	520
Margin Deposits & Default Fund	
Fotal Current Assets	3,855
Sotal Property and Equipment - Net	0
Goodwill	Ŭ,
	0
Other Intangibles	0
Non Current Deferred Taxes	and the second
ong Term Restricted Cash	0
Other Long Term Assets	149,068
Total Assets	\$ 152,924
iabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 6
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	ő
Deferred Revenue	ő
	0
Current Portion of Long Term Debt	
Current Deferred Tax Liability	•
Other Current Liabilities	37,346
fotal current liabilities	37,351
fotal Long Term Debt	0
Non Current Deferred Tax Liability	. 0
Non-current deferred revenue	0
Other liabilities	12,347
Total Liabilities	49,699
Commitments and contingencies	
EQUITY .	
Common Stock in Treasury Total	0
Common Stock Total	24
	(4,795)
Additional Paid in Capital	
Accumulated Other Comprehensive Income/(Loss)	76,112
Retained earnings	31,884
l'otal stockholders' equity	103,225
Noncontrolling interests	0
Fotal Equity	103,225
Total liabilities and equity	<u>\$ 152,924</u>

Year Ended

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Netherlands Holding BV

· · · ·	Year Ended
	December 31, 2013
· · ·	
Revenues	4
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	•
fees	0
Operating Expenses	
Compensation and Benefits	16
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	33
Computer operations and data communications	0
Occupancy	9
Regulatory	0
General, administrative and other	(259)
Merger expenses	(7,902)
Total operating expenses	(8,104)
Operating Income	8,104
Interest income	8
Interest expense	(824)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	7,289
Income tax provision	0
	<u></u>
Net Income	7,289
Net (income) expense attributable to noncontrolling interests	. 0
Net income attributable to Company	\$ 7,289

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Pty Ltd

		ear Ended aber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	3,199
Investments	Ψ.	0
Total Receivables - Net		4,375
Current Deferred Tax		0
Current Restricted Cash	•	0
Other Current Assets		673
Margin Deposits & Default Fund		. 0
Total Current Assets		8,247
Total Property and Equipment - Net		1,522
Goodwill		11,846
Other Intangibles		3,596
Non Current Deferred Taxes		861
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	26,072
· · · · · · · · · · · · · · · · · · ·		
Liabilities and equity		
Current liabilities:	A	()(
Total AP and Accrued Expenses	\$	625
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		3,718
Deferred Revenue		1,356
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		11,985
Total current liabilities		17,684
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities Total Liabilities	·	17,684
		1,004
Commitments and contingencies		
EQUITY		0
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		1,694
Accumulated Other Comprehensive Income/(Loss)		(695)
Retained earnings		7,390
Total stockholders' equity		8,389
Noncontrolling interests		0
Total Equity		8,389
Total liabilities and equity	\$	26,072

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Pty Ltd

	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$ 0	
Global Listing Services	. 0	
Global Information Services	0	
Corporate Solutions	2,708	
Market Technology Revenues	0	
Other Revenues	19,319	
Total Revenues	22,026	
Cost of revenues		
Transaction rebates	. 0	
Brokerage, Clearance and Exchange Fees	, 0	
Total Cost of Revenues	0	
Revenues less transaction rebates, brokerage, clearance and	l'avehance	
fees	22,026	
Operating Expenses	· .	
Compensation and Benefits	15,221	
Marketing and Advertising	54	
Depreciation and amortization	623	
Professional and contract services	1,115	
	558	
Computer operations and data communications	1,932	
Occupancy	1,952	
Regulatory	1,305	
General, administrative and other	263	
Merger expenses	21,070	
Total operating expenses	956	
Operating Income Interest income	142	
	. (106)	
Interest expense	. (100)	
Gain (loss) on sale of strategic initiatives	0	
Dividend and investment income	0	
Income from unconsolidated investees, net	. 0	
Impairment Loss	•	
FX Operating	992	
Income before income taxes		
Income tax provision	213	
Net Income	779	
Net (income) expense attributable to noncontrolling interests	0	
Net income attributable to Company	<u>\$ 779</u>	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology AB

(in thousands, unaudited)			~~ • •	
			Year Ended	
· · · · ·		Decen	aber 31, 2013	
Assets				
Current Assets:				
Cash & Equivalents		\$	18,717	
Investments			0	
Total Receivables - Net			58,182	
Current Deferred Tax			(574)	
Current Restricted Cash	•		• 0	
Other Current Assets			64,381	
Margin Deposits & Default Fund			0	
Total Current Assets			140,705	
Total Property and Equipment - Net			48,832	
Goodwill			0	
Other Intangibles			0	
Non Current Deferred Taxes			12,360	
Long Term Restricted Cash			0	
Other Long Term Assets			189,226	
Total Assets		S	391,124	
10ttt 7155015				
t inhibition and annity				
Liabilities and equity				
Current liabilities:		\$	7,995	
Total AP and Accrued Expenses		Э	-	
SEC 31a Payable to the SEC			0	
Accrued Personnel Costs			14,848	
Deferred Revenue			44,492	
Current Portion of Long Term Debt			0	
Current Deferred Tax Liability			7,643	
Other Current Liabilities			26,691	
Total current liabilities			101,669	
Total Long Term Debt			Ò	
Non Current Deferred Tax Liability			. (63)	
Non-current deferred revenue			70,497	
Other liabilities			308	
Total Liabilities			172,411	
Commitments and contingencies				
EQUITY				
Common Stock in Treasury Total	· .		0	
Common Stock Total			0	
Additional Paid in Capital			82,786	
Accumulated Other Comprehensive Income/(Loss)			35,316	
Retained carnings			100,612	
Total stockholders' equity			218,714	
Noncontrolling interests			0	
Total Equity		<u> </u>	218,714	
Total liabilities and equity		\$	391,124	
· ·····				

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology AB

	Year Ended
	December 31, 2013
Revenues	
Market Services Revenues	\$ (12
Global Listing Services	. 0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	179,683
Other Revenues	8,964
Total Revenues	188,635
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	. 0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance an	d exchange
fees	188,635
Operating Expenses	
Compensation and Benefits	49,182
Marketing and Advertising	687
Depreciation and amortization	13,801
Professional and contract services	46,076
Computer operations and data communications	16,330
Occupancy	9,822
Regulatory	• • •
General, administrative and other	20,838
Merger expenses	828
Total operating expenses .	157,564
Operating Income	31,071
Interest income	259
Interest expense	(253
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	148
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	31,225
Income tax provision	8,522
meane av hraisian	· · · · · · · · · · · · · · · · · · ·
Net Income	22,703
Net (income) expense attributable to noncontrolling interests	(0
Net income attributable to Company	<u>\$ 22,702</u>

The NASDAQ OMX Group, Inc.

Unconsolidated Balance Sheet - OMX Technology Canada Inc.

Commer 31, 2013 Assets Current Assets: Cash & Equivalents 0 Total Receivables - Net 0 Current Deferred Tax 0 Other Current Assets 788 Margin Deposits & Default Fund 0 Total Receivables - Net 0 Other Current Assets 788 Margin Deposits & Default Fund 0 Total Current Assets 14401 Total Porperty and Equipment - Net 58 Goodwill 0 Other Current Deferred Taxes 0 Long Term Assets 0 Total Assets 5 Total Assets 5 Total AP and Accrued Expenses 5 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 203 Deferred Revenue 0 Current Liabilities 2609 Total Labilities 2600 Total Current Liabilities 2600 Total Current Liabilities 2600 Total Current Liabilities 2600 Total Current Liabilities 2600 To	(in thousands, unaudited)		Cear Ended
Assets Current Assets: Cash & Equivalents \$ 603 Investments 0 Total Receivables - Net 0 Current Deferred Tax 0 Current Restricted Cash 0 Other Current Assets 798 Margin Deposits & Default Fund 0 Total Current Assets 1,401 Total Current Assets 1,401 Other Current Assets 0 Coodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Assets 5 Total Assets \$ 1,464 Liabilities and equity 0 Current liabilities: 5 Total Assets \$ 1,464 Liabilities and equity 0 Current liabilities: 203 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities 260 Total Argable to the SEC 0 Accrued Personnel Costs 203 Deferred Revenue 0 Current Liabilities			
Current Assets: \$ 603 Investments 0 0 Total Receivables - Net 0 0 Current Deferred Tax 0 0 Other Current Assets 798 798 Margin Deposits & Default Fund 0 0 Total Current Assets 1,401 0 Total Property and Equipment - Net 58 58 Goodwill 0 0 0 Other Current Assets 0 0 0 Current Deferred Taxes 0 0 0 Long Term Restricted Cash 0 0 0 Other Long Term Assets 5 1,464 0 Current liabilities: 5 1,464 0 Current liabilities: 203 0 0 0 0 0 Current Portion of Long Term Debt 0 <th></th> <th></th> <th></th>			
Current Assets: \$ 603 Investments 0 0 Total Receivables - Net 0 0 Current Deferred Tax 0 0 Other Current Assets 798 798 Margin Deposits & Default Fund 0 0 Total Current Assets 1,401 0 Total Property and Equipment - Net 58 58 Goodwill 0 0 0 Other Current Assets 0 0 0 Current Deferred Taxes 0 0 0 Long Term Restricted Cash 0 0 0 Other Long Term Assets 5 1,464 0 Current liabilities: 5 1,464 0 Current liabilities: 203 0 0 0 0 0 Current Portion of Long Term Debt 0 <td>Assats</td> <td></td> <td></td>	Assats		
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Investments0Investments0Current Deferred Tax0Current Restricted Cash0Other Current Assets798Margin Deposits & Default Fund0Total Current Assets1,401Total Property and Equipment - Net58Goodwill0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets5Total Assets5Total Assets5Total Assets5Total Assets5Total Assets5Total Assets203Deferred Revenué0Current Itabilities:0Total AP and Accrued Expenses\$SEC 31 a Payable to the SEC0Accrued Personnel Costs203Deferred Revenué0Current Deferred Tax Liability0Other Current Liabilities260Total Labilities260Corrent Deferred Tax Liability0Other Current Liabilities260Conturn Deferred Tax Liability0Other liabilities260Conturn Deferred Tax Liability0Other Itabilities260Common Stock in Treasury Total0Common Stock in Tre		¢	603
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Current Deferred Tax0Current Assets798Margin Deposits & Default Fund0Total Current Assets1,401Total Current Assets1,401Total Current Assets0Margin Deposits & Default Fund0Total Current Assets0Mon Current Deferred Taxes0Long Term Restricted Cash0Other Intangibles0Other Long Term Assets5Total Assets\$Itabilities and equity\$Current Iabilities:0Total AP and Accrued Expenses\$SEC 31 a Payable to the SEC0Accrued Personnel Costs203Deferred Tax Liability0Current Deferred Tax Liability0Current Ibilities:260Total Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities260Total Long Term Debt0Non-current Deferred Tax Liability0Non-current Deferred Tax Liability0Common Stock in Treasury Total0Common Stock in Treasury Total <td></td> <td></td> <td>-</td>			-
Current Restricted Cash0Other Current Assets798Margin Deposits & Default Fund0Total Current Assets1,401Total Property and Equipment - Net58Goodwill0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets5Total Assets\$1,464Liabilities and equity0Current liabilities:78Total Ap and Accrued Expenses\$78SEC 31 a Payable to the SEC0Accrued Personnel Costs203Deferred Revenué0Current Iabilities260Total Long Term Debt0Current Iabilities260Total Long Term Debt0Current Deferred Tax Liability0Non-current Liabilities260Total Long Term Debt0Non-current deferred revenue0Other Ilabilities260Commitments and contingencies260EQUITY0Common Stock in Treasury Total0Common Stock Totat0Additional Paid in Capital703Accurudited Other Comprehensive Income/(Loss)557Total Equity1,204			-
Other Current Assets798Margin Deposits & Default Fund0Total Current Assets1,401Total Property and Equipment - Net58Goodwill0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets5Total Assets\$1,464Liabilities:0Total AP and Accrued Expenses\$ 78SEC 31 a Payable to the SEC0Accrued Personnel Costs203Deferred Tax Liability0Current Deferred Tax Liability0Other Current Liabilities:260Total Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities260Total Long Term Debt0Current Deferred Tax Liability0Other Isibilities260Total Long Term Debt0Current Deferred Tax Liability0Non-current deferred revenue0Other Isibilities260Total Liabilities260Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock rotat0Additional Paid in Capital703Accumulated Other Comprehensive Incomet(Loss)557Total Equity1,204Total Equity1,204			-
Margin Deposits & Default Fund 0 Total Current Assets 1,401 Total Property and Equipment - Net 58 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 5 Total Assets 5 Total Assets 5 Total Assets 5 Total Assets 203 Deferred Revenue 0 Outrer Unitabilities: 203 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities 2203 Deferred Revenue 0 Current Deferred Tax Liability 0 Other Current Liabilities 260 Total Long Term Debt 0 Non-current deferred Tax Liability 0 Other Habilities 260 Common Stock in Treasury Total 0			-
Total Current Assets1,401Total Property and Equipment - Net38Goedwill0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets5Total Assets3Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$SEC 31a Payable to the SEC0Accrued Personnel Costs203Deferred Revenue0Current Deferred Tax Liability0Other Current Liabilities(21)Total Long Term Debt0Outrent Labilities260Total Long Term Debt0Outrent Deferred Tax Liability0Non-current Labilities0Total Long Term Debt0Other liabilities0Total Long Term Debt0Outer Current Liabilities0Other liabilities0Other liabilities0Other liabilities0Common Stock in Treasury Total0Common Stock rotal0Accumulated Other Comprehensive Income/(Loss)(56)Retained enraings557Total Stockholders' equity1,204			
Total Property and Equipment - Net 58 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 5 Total Ap and Accrued Expenses 5 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 203 Deferred Revenué 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 260 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other Inabilities 260 Common Stock in Treasury Total 0 Common Stock in Total 0 Additional Paid in Capital 703	• •		
Construction0Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets5Total Assets\$11,464Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$SEC 31a Payable to the SEC0Accrued Personnel Costs203Deferred Revenue0Current Personnel Costs203Deferred Revenue0Current Deferred Tax Liabilities(21)Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Non current deferred revenue0Other liabilities260Total Long Term Debt0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total Equity1,204			
Other Intangibles0Non Current Deferred Taxes0Long Term Restricted Cash0Other Long Term Assets5Total Assets\$Itabilities and equityCurrent liabilities:Total Assets\$Total Assets\$SEC 31a Payable to the SEC0Accrued Personnel Costs203Deferred Revenué0Current Portion of Long Term Debt0Current Liabilities(21)Total Long Term Debt0Other Current Liabilities260Total Long Term Debt0Outrert Deferred Tax Liability0Non Current Deferred Tax Liability0Other Iiabilities260Total Long Term Debt0Current beferred Tax Liability0Non current deferred revenue0Other liabilities260Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204			
Non Current Deferred Taxes 0 Long Term Restricted Cash 0 Other Long Term Assets 5 Total Assets \$ Liabilities and equity \$ Current liabilities: 7 Total AP and Accrued Expenses \$ SEC 31a Payable to the SEC 0 Accrued Personnel Costs 203 Deferred Revenue 0 Current Portion of Long Term Debt 0 Current Deferred Tax Liability 0 Other Current Liabilities 260 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other Ilabilities 260 Total Liabilities 0 Other Ilabilities 0 Current Deferred Tax Liability 0 Non-current deferred revenue 0 Other Ilabilities 260 Common Stock in Treasury Total 0 Common Stock in Treasury Total 0 Common Stock in Treasury Total 0 Common Stock In Comprehensive Income/(Loss) 557 Tot			
Long Term Restricted Cash0Other Long Term Assets5Total Assets\$Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$Total AP and Accrued Expenses\$SEC 31a Payable to the SEC0Accrued Personnel Costs203Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities260Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non-current deferred revenue0Other Inabilities260Total Liabilities0Common Stock in Treasury Total0Common Stock totat0Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Nonconrolling interests0Total Equity1,204	- ,		
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Solution Long Commonstance\$1,464Total Assets\$1,464Liabilities and equityCurrent liabilities:0Current liabilities:Total AP and Accrued Expenses\$78SEC 31a Payable to the SEC00Accrued Personnel Costs203Deferred Revenué0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities(21)Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non Current deferred Tax Liability0Non current deferred Tax Liability0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock Totat0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Retained earnings557Total Stockholders' equity1,204	-		
Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$SEC 3 la Payable to the SEC0Accrued Personnel Costs203Deferred Revenué0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities(21)Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non -current deferred revenue0Other Ilabilities0Total Liabilities0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total Equity1,204Noncontrolling interests0	•		
Current liabilities:\$78Total AP and Accrued Expenses\$78SEC 31a Payable to the SEC0Accrued Personnel Costs203Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities(21)Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non current deferred revenue0Other liabilities0Commitments and contingencies260EQUITY0Common Stock in Treasury Total0Common Stock Totat0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	Total Assets	<u> </u>	1,464
Current liabilities:\$78Total AP and Accrued Expenses\$78SEC 31a Payable to the SEC0Accrued Personnel Costs203Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities(21)Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non current deferred revenue0Other liabilities0Commitments and contingencies260EQUITY0Common Stock in Treasury Total0Common Stock Totat0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204		1	
Total AP and Accrued Expenses\$78SEC 31a Payable to the SEC0Accrued Personnel Costs203Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities(21)Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non current deferred revenue0Other Hiabilities0Common Stock in Treasury Total0Common Stock Totat0Accumulated Other Comprehensive Income/(Loss)(56)Retained earnings557Total stockholders' equity1,204	Liabilities and equity		
SEC 3 Ia Payable to the SEC0Accrued Personnel Costs203Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities(21)Total current liabilities260Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	Current liabilities:		
Accrued Personnel Costs203Deferred Revenué0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities(21)Total current Liabilities260Total Long Term Debt0Non-current Deferred Tax Liability0Non-current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	Total AP and Accrued Expenses	\$	78
Deferred Revenue0Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities(21)Total current liabilities260Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	SEC 31a Payable to the SEC		0
Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities(21)Total current liabilities260Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Totat0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	Accrued Personnel Costs		203
Current Deferred Tax Liability0Other Current Liabilities(21)Total current liabilities260Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Other liabilities0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Totat0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	Deferred Revenue		0
Current Deferred Tax Liability0Other Current Liabilities(21)Total current liabilities260Total Long Tern Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Commitments and contingencies260EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	Current Portion of Long Term Debt		0
Total current liabilities260Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities260Commitments and contingencies260EQUITY0Common Stock in Treasury Total0Common Stock Totat0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204			0
Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities260Commitments and contingencies260EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	Other Current Liabilities		(21)
Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities260Commitments and contingencies260EQUITY0Common Stock in Treasury Total0Common Stock Totat0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	Total current liabilities		260
Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities260Commitments and contingencies260EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	Total Long Term Debt		0
Non-current deferred revenue0Other liabilities0Total Liabilities260Commitments and contingencies260EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	-		0
Other liabilities0Total Liabilities260Commitments and contingencies260EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)(56)Retained earnings557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	-		0
Total Liabilities260Commitments and contingenciesEQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)(56)Retained earnings557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204			0
Commitments and contingenciesEQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)(56)Retained earnings557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204			260
EQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)(56)Retained earnings557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204			
EQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)(56)Retained earnings557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	Commitments and contingencies		
Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)(56)Retained earnings557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204			
Common Stock Total0Common Stock Total0Additional Paid in Capital703Accumulated Other Comprehensive Income/(Loss)(56)Retained earnings557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	-		0
Additional Paid in Capital 703 Accumulated Other Comprehensive Income/(Loss) (56) Retained earnings 557 Total stockholders' equity 1,204 Noncontrolling interests 0 Total Equity 1,204	-		•
Accumulated Other Comprehensive Income/(Loss)(56)Retained earnings557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204			-
Retained earnings557Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204			
Total stockholders' equity1,204Noncontrolling interests0Total Equity1,204	-		
Noncontrolling interests 0 Total Equity 1,204			
Total Equity 1,204			
	•	<u></u>	
i otal habilities and equity		ıb.	
	total nabilities and equity	4	1,404

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology Canada Inc.

(in thousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	ů.
Other Revenues	1,703
Total Revenues	1,703
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	00
Total Cost of Revenues	
Revenues less transaction rebates, brokerage, clearance and exc	
fees	1,703
Operating Expenses	<i>i</i> .
Compensation and Benefits	1,271
Marketing and Advertising	0
Depreciation and amortization	36
Professional and contract services	43
Computer operations and data communications	122
Occupancy	183
Regulatory	0
General, administrative and other	52
Merger expenses	0
Total operating expenses	1,708
Operating Income	(4)
Interest income	8
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	4
Income tax provision	5
Net Income	(1)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	· <u>\$ (1)</u>

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology Energy Systems AS

(in thousands, unaudited)	Year Ended December 31, 2013	
Assets		
Current Assets:		
Cash & Equivalents	\$	447
Investments		0
Total Receivables - Net		284
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		15,177
Margin Deposits & Default Fund		0
Total Current Assets		15,908
Total Property and Equipment - Net		99
Goodwill		0
Other Intangibles		357
Non Current Deferred Taxes		(2,345)
Long Term Restricted Cash		0
Other Long Term Assets		765
Total Assets	\$	14,784
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	381
SEC 31a Payable to the SEC	•	0
Accrued Personnel Costs		273
Deferred Revenue		0
Current Portion of Long Term Debt		Ő
Current Deferred Tax Liability		1,235
Other Current Liabilities		33
Total current liabilities		1,922
Total Long Term Debt		0
Non Current Deferred Tax Liability		256
Non-current deferred revenue		0
Other liabilities		õ
Total Liabilities		2,178
forai tradinties		2,170
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		5,622
Accumulated Other Comprehensive Income/(Loss)		(2,396)
Retained earnings		9,380
Total stockholders' equity		12,606
Noncontrolling interests		. 0
Total Equity		12,606
Total liabilities and equity	\$	14,784
	<u></u>	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology Energy Systems AS

(in thousands, unaudited)		Year Ended December 31, 2013	
Deserves			
Revenues	· \$	34	
Market Services Revenues Global Listing Services	۰D	. 0	
Global Information Services		0	
Corporate Solutions		Ő	
		ŷ	
Market Technology Revenues Other Revenues		1,898	
Total Revenues		1,932	
i frankovenites		1,755	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		00	
Revenues less transaction rebates, brokerage, elearance and excl	lange		
fccs		1,932	
Anatoting European			
Operating Expenses Compensation and Benefits	4 - ¹	(284)	
Marketing and Advertising		(201)	
Depreciation and amortization		126	
Professional and contract services		457	
Computer operations and data communications		51	
Occupancy		142	
Regulatory		0	
General, administrative and other		67	
Merger expenses		0	
Total operating expenses		566	
Operating Income	··········	1,366	
Interest income		233	
Interest expense		(0)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		(9)	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		1,590	
Income tax provision		442	
		1 140	
Net Income		1,148	
Net (income) expense attributable to noncontrolling interests	<u>م</u>	1 1/19	
Net income attributable to Company	. <u>\$</u>	1,148	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology (Ireland) Ltd. (in thousands, unaudited)

	r car cine	
	December 3	, 2013
Assets		
Current Assets:	·	
Cash & Equivalents	\$	169
Investments		0
Total Receivables - Net		21
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		769
Margin Deposits & Default Fund		0
Total Current Assets		960
Total Property and Equipment - Net		0
Goodwill		. 0
Other Intangibles		0
Non Current Deferred Taxes	•	0
Long Term Restricted Cash		0
Other Long Term Assets	•	0
Total Assets	\$	960
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	50
SEC 31a Payable to the SEC	Ψ	0
Accrued Personnel Costs		0 0
Deferred Revenue		0
		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		523
Other Current Liabilities		
Total current liabilities		573
Total Long Term Debt		0
Non Current Deferred Tax Liability		• 0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		573
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total	•	0
Common Stock Total		0
Additional Paid in Capital		1,257
Accumulated Other Comprehensive Income/(Loss)		(576)
Retained earnings		(295)
Total stockholders' equity		386
Noncontrolling interests		0
Total Equity		386
Total liabilities and equity	\$	960
·····		

Year Ended

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology (Ireland) Ltd.

(in thousands, unaudited)	Year E	nded
	December	31, 2013
Revenues		
Market Services Revenues	\$	C
Global Listing Services		0
Global Information Services		(
Corporate Solutions		÷ 0
Market Technology Revenues		C
Other Revenues		. 0
Total Revenues	~	
Cost of revenues		
Transaction rebates		C
Brokerage, Clearance and Exchange Fees	т.	c
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearan	ce and exchange	
fees		0
Operating Expenses		
Compensation and Benefits		C
Marketing and Advertising		C
Depreciation and amortization		(
Professional and contract services		í
Computer operations and data communications		í
Occupancy		. (
Regulatory		(
General, administrative and other		(
Merger expenses		(
Total operating expenses	•	····· (
Operating Income	· · · · · · · · · · · · · · · · · · ·	· (
Interest income		(
		(
Interest expense Gain (loss) on sale of strategic initiatives	`	(
Dividend and investment income		
Income from unconsolidated investees, net		· (
		(
Impairment Loss		(
FX Operating		(
Income before income taxes		
Income tax provision		(
Not a Province		
Net Income	·	(
Net (income) expense attributable to noncontrolling inter		(
Net income attributable to Company	\$	0

The NASDAQ OMX Group, Inc.

Unconsolidated Balance Sheet - OMX Technology Italy Srl

(in thousands, unaudited)

(in thousands, unaudited)		Year Ended December 31, 2013	
• .		<u></u>	
Assets			
Current Assets:			
Cash & Equivalents		\$	450
Investments			0
Total Receivables - Net		,	76
Current Deferred Tax			0
Current Restricted Cash			0
Other Current Assets			1,027
Margin Deposits & Default Fund		•	0
Total Current Assets			1,553
Total Property and Equipment - Net			18
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			0
Total Assets		\$	1,571
Liabilities and equity Current liabilities:		\$	20
Total AP and Accrued Expenses		J	20
SEC 31a Payable to the SEC Accrued Personnel Costs			495
Deferred Revenue			0
			0.
Current Portion of Long Term Debt Current Deferred Tax Liability			0
Other Current Liabilities			142
Total current liabilities			657
			057
Total Long Term Debt Non Current Deferred Tax Liability			0
Non-current deferred revenue			0
Other liabilities			0
Total Liabilities			657
i otar Liaonnios			057
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total			0
Common Stock Total			1
Additional Paid in Capital			149
Accumulated Other Comprehensive Income/(Loss)			(58)
Retained earnings			822
Total stockholders' equity			914
Noncontrolling interests			0
Total Equity			914
Total liabilities and equity		\$	1,571
i otal mondoo and oquity			

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The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology Italy Srl

(in thousands, unaudited)	Year Ended December 31, 2013
•	
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	- -
Global Information Services	Ő
Corporate Solutions	Ő
Market Technology Revenues	1,282
Other Revenues	
Total Revenues	1,282
	-,
Cost of revenues	
Transaction rebates	C
Brokerage, Clearance and Exchange Fees	C
Total Cost of Revenues	0
· · · ·	
Revenues less transaction rebates, brokerage, clearance and excha	nge
fees	1,282
Operating Expenses	
Compensation and Benefits	852
Marketing and Advertising	29
Depreciation and amortization	8
Professional and contract services	50
Computer operations and data communications	11
Occupancy	61
Regulatory	
General, administrative and other	128
Merger expenses	
Total operating expenses	1,139
Operating Income	143
Interest income	11
Interest expense	(
Gain (loss) on sale of strategic initiatives	(
Dividend and investment income	(
Income from unconsolidated investees, net	(
Impairment Loss	
FX Operating	·(
Income before income taxes	154
Income tax provision	73
Net Income	81
Net (income) expense attributable to noncontrolling interests	·(
Net income attributable to Company	\$ 81

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology Japan Ltd (in thousands, unaudited)

(in thousands, unaudited)		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	379	
Investments		0	
Total Receivables - Net		32	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		651	
Margin Deposits & Default Fund		0	
Total Current Assets		1,063	
Total Property and Equipment - Net		3	
Goodwill		. 0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		Ő	
Total Assets	\$	1,066	
	<u> </u>	-,	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	· \$	37	
SEC 31a Payable to the SEC	U	0	
Accrued Personnel Costs		295	
Deferred Revenue		293	
		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability Other Current Liabilities		-	
		(23)	
Total current liabilities			
Total Long Term Debt	,	0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		309	
O have the set of the set in the			
Commitments and contingencies			
EQUITY		0	
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		841	
Accumulated Other Comprehensive Income/(Loss)		(149)	
Retained carnings		65	
Total stockholders' equity		757	
Noncontrolling interests		0	
Total Equity		757	
Total liabilities and equity	<u>\$</u>	1,066	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology Japan Ltd

(in thousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	2,012
Total Revenues	2,012
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
	······································
Revenues less transaction rebates, brokerage, clearance and exchan	
fees	2,012
Operating Expenses	
Compensation and Benefits	1,591
Marketing and Advertising	54
Depreciation and amortization	2
Professional and contract services	42
Computer operations and data communications	. 2
Occupancy	285
Regulatory	0
General, administrative and other	111
Merger expenses	0
Total operating expenses	2,086
Operating Income	(74)
Interest income	0
Interest expense	0
Gain (loss) on sale of strategic initiatives	••• 0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	(74)
Income tax provision	<u> </u>
N / 1	
Net Income.	(75)
Net (income) expense attributable to noncontrolling interests	() (75)
Net income attributable to Company	\$ (75)

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology Ltd.

(in thousands, unaudited)

	Yea	Year Ended	
	Decem	ber 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents	\$	86	
Investments		0	
Total Receivables - Net	,	2	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		4,616	
Margin Deposits & Default Fund		00	
Total Current Assets		4,705	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	<u>\$</u>	4,705	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	86	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		8	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability	,	0	
Other Current Liabilities		(1)	
Total current liabilities		92	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		92	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		° 0	
Additional Paid in Capital		(26,407)	
Accumulated Other Comprehensive Income/(Loss)		12,939	
Retained carnings		18,081	
Total stockholders' equity		4,612	
Noncontrolling interests		4,012	
Total Equity		4,612	
Total liabilities and equity	\$	4,705	

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The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Technology Ltd.

	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	. 0
Market Technology Revenues	0
Other Revenues	0
Total Revenues	0
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and	exchange
fees	0
Operating Expenses	
Compensation and Benefits	. 0
Marketing and Advertising	0
Depreciation and amortization	• 0
Professional and contract services	0
Computer operations and data communications	0
Occupancy	. 0
Regulatory	0
General, administrative and other	0
Merger expenses	0
Total operating expenses	0
Operating Income	0
Interest income	13
Interest expense	0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	. 0
Income from unconsolidated investees, net	. 0
Impairment Loss	0
FX Operating	. 0
Income before income taxes	13
Income tax provision	0
Net Income	. 13
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 13

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Technology (UK) Ltd.

(in thousands, unaudited)		ear Ended nber 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$ [']	0
Investments		0
Total Receivables - Net		0
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund		0
Total Current Assets		0
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		(35,486)
Total Assets	\$	(35,486)

Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	2
SEC 3 la Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		692
Total current liabilities		694
Total Long Term Debt		. 0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		694
		0,7,1
Commitments and contingencies		
EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		(29,000)
Accumulated Other Comprehensive Income/(Loss)		(24,090)
Retained earnings		16,910
Total stockholders' equity	************	(36,180)
Noncontrolling interests		(50,100)
Total Equity		(36,180)
Total liabilities and equity	\$	(35,486)

The NASDAQ OMX Group, Inc.

Unconsolidated Statement of Income - OMX Technology (UK) Ltd. (in thousands, unaudited)

Year Ended December 31, 2013 Revenues 0 Market Services Revenues \$ 0 **Global Listing Services Global Information Services** 0 0 Corporate Solutions 0 Market Technology Revenues Other Revenues 0 Total Revenues ٥ Cost of revenues 0 Transaction rebates Brokerage, Clearance and Exchange Fees 0 Total Cost of Revenues 0 Revenues less transaction rebates, brokerage, clearance and exchange 0 fces **Operating Expenses** 0 Compensation and Benefits 0 Marketing and Advertising 0 Depreciation and amortization Professional and contract services ۵ Computer operations and data communications 0 Occupancy 0 Regulatory 0 General, administrative and other 0 Merger expenses 0 Total operating expenses 0 0 **Operating Income** 0 Interest income Interest expense 0 Gain (loss) on sale of strategic initiatives 0 Dividend and investment income 0 Income from unconsolidated investees, net 0 Ð Impairment Loss 0 FX Operating 0 Income before income taxes Income tax provision 0 Net Income 0 Net (income) expense attributable to noncontrolling interests 0 Net income attributable to Company 0 \$

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Treasury AB

(in thousands, unaudited)

		December 31, 2013	
Assets Current Assets:			
Cash & Equivalents		\$	202,458
· · · · · · · · · · · · · · · · · · ·		Ф	202,458
Investments			6,109
Total Receivables - Net			0,109
Current Deferred Tax			0
Current Restricted Cash			-
Other Current Assets			184,193
Margin Deposits & Default Fund			0
Total Current Assets			413,380
Total Property and Equipment - Net			0
Goodwill			0
Other Intangibles	1		0
Non Current Deferred Taxes	н. 1		(13)
Long Term Restricted Cash			0
Other Long Term Assets			926,249
Total Assets		\$	1,339,616
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses		\$	9,463
SEC 31a Payable to the SEC			· 0
Accrued Personnel Costs			376
Deferred Revenue			0
Current Portion of Long Term Debt			0
Current Deferred Tax Liability			32
Other Current Liabilities			267,639
Total current liabilities			277,511
Total Long Term Debt			0
Non Current Deferred Tax Liability			Ő
Non-current deferred revenue			0
			58
Other liabilities		••••••	
Total Liabilities			277,569
Commitments and contingencies			
Commitments and contingencies EQUITY			
Common Stock in Treasury Total			0
Common Stock Total			0
			968,173
Additional Paid in Capital			18,845
Accumulated Other Comprehensive Income/(Loss)			
Retained earnings			75,029
Total stockholders' equity			1,062,047
Noncontrolling interests		••••••	0
Total Equity		<u></u>	1,062,047
Total liabilities and equity		\$	1,339,616

Year Ended

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Treasury AB

(in mousanos, unaugned)		Year Ended December 31, 2013	
. · · · · · · · · · · · · · · · · · · ·			
Revenues De		•	
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		372	
Total Revenues		372	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and exchan	ge		
fccs		372	
Operating Experience			
Operating Expenses Compensation and Benefits		1.402	
Marketing and Advertising		1,403 4	
Depreciation and amortization		4	
Professional and contract services		465	
		465	
Computer operations and data communications		100	
Occupancy			
Regulatory		0	
General, administrative and other		537	
Merger expenses		495	
Total operating expenses	·	3,265	
Operating Income		(2,893)	
Interest income		12,976	
Interest expense		(7,627)	
Gain (loss) on sale of strategic initiatives		8,323	
Dividend and investment income		(196)	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating	·····	9	
Income before income taxes		10,592	
Income tax provision		1,928	
Net Income		8,664	
Net (income) expense attributable to noncontrolling interests		. 0	
Net income attributable to Company	\$	8,664	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - OMX Treasury Euro AB

(in thousands, unaudited)		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents		\$	(2)
Investments	•		0
Total Receivables - Net			0
Current Deferred Tax			0
Current Restricted Cash			0
Other Current Assets			682,041
Margin Deposits & Default Fund			0
Total Current Assets			682,038
Total Property and Equipment - Net			0
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			5,253
Long Term Restricted Cash			0
Other Long Term Assets			0
Total Assets		\$	687,291
· · · · · ·			
Liabilities and equity			
Current liabilities:			.
Total AP and Accrued Expenses		\$	29,849
SEC 31a Payable to the SEC			0
Accrued Personnel Costs	· · · · · ·		0
Deferred Revenue			0
Current Portion of Long Term Debt			0
Current Deferred Tax Liability		~	0
Other Current Liabilities			29
Total current liabilities			29,878
Total Long Term Debt			0
Non Current Deferred Tax Liability			0
Non-current deferred revenue			. 0
Other liabilities			0
Total Liabilities			29,878
Commitments and contingension			
Commitments and contingencies			
EQUITY Common Stock in Transmin Total			0
Common Stock in Treasury Total			•
Common Stock Total			0
Additional Paid in Capital			700,090
Accumulated Other Comprehensive Income/(Loss)			(76,694)
Retained earnings			34,017
Total stockholders' equity			657,412
Noncontrolling interests			0
Total Equity		·	657,412
Total liabilities and equity		\$	687,291

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Treasury Euro AB

		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		0	
Total Revenues		0	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and excha	inge		
fees		0	
Operating Expenses			
Compensation and Benefits		0	
Marketing and Advertising		0	
Depreciation and amortization		0	
Professional and contract services		. 3	
Computer operations and data communications		0	
Occupancy		0	
Regulatory		0	
General, administrative and other		(544)	
Merger expenses		0	
Total operating expenses	· · · · · · · · · · · · · · · · · · ·	(541)	
Operating Income		54 i	
Interest income		17,465	
Interest expense		(4)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		18,002	
Income tax provision		3,821	
Net Income		14,180	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	14,180	

The NASDAQ OMX Group, Inc.

Unconsolidated Balance Sheet - OMX Treasury Euro Holding AB

(in nousands, unaudiced)		Year Ended December 31, 2013
Assets		ډ
Current Assets:		
Cash & Equivalents		\$ (531)
Investments		0
Total Receivables - Net		132
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		1
Margin Deposits & Default Fund		0
Total Current Assets		(398)
Total Property and Equipment - Net		0
Goodwill		. 0
Other Intangibles	*	0
Non Current Deferred Taxes		2
Long Term Restricted Cash		. 0
Other Long Term Assets		700,073
Total Assets		\$ 699,677
Liabilities and equity Current liabilities:		
Total AP and Accrued Expenses		\$ 3
SEC 31a Payable to the SEC		· · · · 0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		0
Current Deferred Tax Liability		0
Other Current Liabilities		(1)
Total current liabilities		2
Total Long Term Debt		0
Non Current Deferred Tax Liability		. 0
Non-current deferred revenue		0
Other liabilities		Ő
Total Liabilities		2
	. 1	-
Commitments and contingencies EQUITY		
Common Stock in Treasury Total		0
Common Stock Total		0
Additional Paid in Capital		699,634
Accumulated Other Comprehensive Income/(Loss)		14
Retained earnings		27
Total stockholders' equity		699,675
Noncontrolling interests		0
Total Equity		699,675
Total liabilities and equity		\$ 699,677

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - OMX Treasury Euro Holding AB

(in thousands, unaudited)		Year Ended December 31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services		0	
Global Information Services		0	
Corporate Solutions		0.	
Market Technology Revenues		0	
Other Revenues		0	
Total Revenues	***********************	0	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance and excha	inge		
fees	· · · · · · · · · · · · · · · · · · ·	. 0	
Operating Expenses		•	
Compensation and Benefits		0	
Marketing and Advertising		0	
Depreciation and amortization		0	
Professional and contract services		3	
Computer operations and data communications		0	
Occupancy		. 0	
Regulatory		. 0	
General, administrative and other		0	
Merger expenses		0	
Total operating expenses		3	
Operating Income		(3)	
Interest income		0	
Interest expense		(7)	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating	<u> </u>	0	
Income before income taxes		(11)	
Income tax provision	<u>, , , , , , , , , , , , , , , , , , , </u>	(2)	
Net Income		(8)	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	(8)	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Quietus Advice K & W nr 505 AB (in thousands, unaudited)

(in thousands, unaudited)	Year Ended	
	December :	31,2013
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		0
Current Deferred Tax		0
Current Restricted Cash		0
Other Current Assets		0
Margin Deposits & Default Fund		0
Total Current Assets		0
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		0
Long Term Restricted Cash		0
Other Long Term Assets		0
Total Assets	\$	0
Liabilities and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	0
SEC 31a Payable to the SEC		0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		. 0
Current Deferred Tax Liability		0
Other Current Liabilities		0
Total current liabilities		0
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		0
Other liabilities		0
Total Liabilities		0
Commitments and contingencies		
EQUITY		
-		0
Common Stock in Treasury Total Common Stock Total		0
		(15)
Additional Paid in Capital		14
Accumulated Other Comprehensive Income/(Loss)		.4
Retained earnings	······	(3)
Total stockholders' equity		0
Noncontrolling interests		0
Total Equity	<u></u>	0
Total liabilities and equity	<u>\$</u>	0

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Quietus Advice K & W nr 505 AB

	Year	Year Ended	
	December	31, 2013	
Revenues			
Market Services Revenues	\$	0	
Global Listing Services	Φ	0	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues		0	
Other Revenues		0	
Total Revenues		0	
rotal Revenites		0	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees			
Total Cost of Revenues		0	
Total Cost of Revenues	<u> </u>	0	
Revenues less transaction rebates, brokerage, clearance and excha	nge		
fees		0	
	<u></u>		
Operating Expenses			
Compensation and Benefits		0	
Marketing and Advertising		0	
Depreciation and amortization		• • 0	
Professional and contract services		0	
Computer operations and data communications		0	
Occupancy		0	
Regulatory		0	
General, administrative and other		0	
Merger expenses		0	
Total operating expenses		0	
Operating Income	·····	0	
Interest income		. 0	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes	·	0	
Income tax provision		0	
Net Income		0	
Net (income) expense attributable to noncontrolling interests		0	
Net income attributable to Company	\$	0	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Shareholder.com B.V.

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents		\$.	0
Investments			0
Total Receivables - Net			5,411
Current Deferred Tax			0
Current Restricted Cash			0
Other Current Assets			0
Margin Deposits & Default Fund			0
Fotal Current Assets			5,411
Fotal Property and Equipment - Net			0
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			0
Total Assets		\$	5,411
Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities Total Liabilities		\$	3,751 0 45 22 0 (2) 3,816 0 0 0 0 3,816
Commitments and contingencies EQUITY Common Stock in Treasury Total Common Stock Total Additional Paid in Capital Accumulated Other Comprehensive Income/(Loss)		• *	0 0 0 38
Retained earnings	* · ·		1,557
Total stockholders' equity			1,596
Noncontrolling interests			0
Total Equity			1,596
Total liabilities and equity	· ·	\$	5,411

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Shareholder.com B.V. (in thousands, unaudited)

(in thousands, inhaudited)	Year Ended December 31, 2013
Revenues	· • •
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	635
Market Technology Revenues	0
Other Revenues	159
Total Revenues	795
Cost of revenues	0
Transaction rebates	0
Brokerage, Clearance and Exchange Fees Total Cost of Revenues	0
Total Cost of Revenues	· · · ·
Revenues less transaction rebates, brokerage, clearance and exchan	av
fees	795
Operating Expenses	
Compensation and Benefits	190
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	294
Computer operations and data communications	0
Occupancy	12
Regulatory	0
General, administrative and other	(3)
Merger expenses	0
Total operating expenses	493
Operating Income	. 301
Interest income	0
Interest expense	• 0
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	301
Income tax provision	6
Net Income	295
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	<u>\$ 295</u>

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - SMARTS (Asia) Ltd (in thousands, unaudited)

		Year Ended December 31, 2013	
Assets	· .		
Current Assets:			
Cash & Equivalents.	. \$	848	
Investments	. •	0	
Total Receivables - Net		2,170	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		(12)	
Margin Deposits & Default Fund		0	
Total Current Assets		3,006	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles	•	0	
Non Current Deferred Taxes		204	
Long Term Restricted Cash		0	
Other Long Term Assets		0	
Total Assets	\$	3,210	
	<u></u>		
Liabilities and equity			
Current liabilities:	\$	0	
Total AP and Accrued Expenses	.Þ	0	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs		396	
Deferred Revenue		390	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		-	
Other Current Liabilities		1,063 1,459	
Total current liabilities		0	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		1;459	
Total Liabilities		1,439	
Commitments and contingencies			
EQUITY		_	
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		314	
Accumulated Other Comprehensive Income/(Loss)		(121)	
Retained carnings	. <u></u>	1,558	
Total stockholders' equity		1,751	
Noncontrolling interests		0	
Total Equity	<u></u>	1,751	
Total liabilities and equity	5	3,210	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - SMARTS (Asia) Ltd

(in thousands, unaudited)	Year Ended December 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions	0
Market Technology Revenues	4,335
Other Revenues	0
Total Revenues	4,335
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	4,335
Operating Expenses	
Compensation and Benefits	0
Marketing and Advertising	0
Depreciation and amortization	0
Professional and contract services	3,830
Computer operations and data communications	. 0
Occupancy	0
Regulatory	0
General, administrative and other	(353)
Merger expenses	0
Total operating expenses	3,477
Operating Income	858
Interest income	2
Interest expense	(40)
Gain (loss) on sale of strategic initiatives	0 ·
Dividend and investment income	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	820
Income tax provision	138
Net Income	682
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ 682

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - SMARTS Broker Compliance Pty Ltd

		Year Ended December 31, 2013	
		001 51, 2015	
Assets			
Current Assets:			
Cash & Equivalents	\$	3,610	
Investments	•	0	
Total Receivables - Net		6,739	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		12,004	
Margin Deposits & Default Fund		0	
Total Current Assets	·	22,353	
Total Property and Equipment - Net		3,378	
Goodwill		0	
Other Intangibles		õ	
Non Current Deferred Taxes		23	
Long Term Restricted Cash		0	
Other Long Term Assets		Ô	
Total Assets	\$	25,754	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	2,236	
SEC 31a Payable to the SEC	Ŷ	2,250	
Accrued Personnel Costs		1,198	
Deferred Revenue		606	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		3,844	
Total current liabilities		7,883	
Total Long Term Debt		0	
Non Current Deferred Tax Liability		174	
Non-current deferred revenue		0 0	
Other liabilities		0	
Total Liabilities		8,057	
		0,007	
Commitments and contingencies			
EQUITY			
Common Stock in Treasury Total		0	
Common Stock Total		0	
Additional Paid in Capital		2,487	
Accumulated Other Comprehensive Income/(Loss)		(1,864)	
Retained earnings		17,073	
Total stockholders' equity		17,697	
Noncontrolling interests		17,097	
Total Equity			
Total liabilities and equity	\$	17,697	
i sun nusminos and oquity	<u>></u>	25,754	

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - SMARTS Broker Compliance Pty Ltd

.

	Year Ended	
	December 31, 2013	
-		
Revenues	æ.	~
Market Services Revenues		0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues	. 28,28	
Other Revenues	5	_
Total Revenues	28,34	0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and fees	u exchange 28,34	
	20,34	<u> </u>
Operating Expenses		
Compensation and Benefits	5,76	8
Marketing and Advertising		3
Depreciation and amortization	69	3
Professional and contract services	. 1,78	5
Computer operations and data communications	5,57	7
Occupancy	41	9
Regulatory		0
General, administrative and other	(53	0)
Merger expenses	l	6
Total operating expenses	13,73	1
Operating Income	14,60	19
Interest income	12	1
Interest expense	(10	4)
Gain (loss) on sale of strategic initiatives	``	0
Dividend and investment income	. 28	0
Income from unconsolidated investees, net		0
Impairment Loss		õ
FX Operating		Õ
Income before income taxes	14,90	
Income tax provision	4,27	
medite tax provision	4,27	0
Net Income	10,62	8
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$ 10,62	8

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - SMARTS Group Europe Ltd

		Year Ended December 31, 2013	
Assets			
Current Assets:			
Cash & Equivalents		\$	2
Investments			0
Total Receivables - Net			0
Current Deferred Tax			0
Current Restricted Cash			. 0
Other Current Assets			0
Margin Deposits & Default Fund			0
Total Current Assets			2
Total Property and Equipment - Net			0
Goodwill			0
Other Intangibles			0
Non Current Deferred Taxes			0
Long Term Restricted Cash			0
Other Long Term Assets			0
Total Assets		\$	2
Total AP and Accrued Expenses SEC 31a Payable to the SEC Accrued Personnel Costs Deferred Revenue Current Portion of Long Term Debt Current Deferred Tax Liability Other Current Liabilities Total current liabilities Total Long Term Debt Non Current Deferred Tax Liability Non-current deferred revenue Other liabilities Total Liabilities	•	\$	0 0 0 0 0 24 24 0 0 0 0 0 0 24
Commitments and contingencies		• .	24
EQUITY			•
Common Stock in Treasury Total			0
Common Stock Total			0
Additional Paid in Capital			300
Accumulated Other Comprehensive Income/(Loss)			3
Retained earnings			(324)
Total stockholders' equity			(21)
Noncontrolling interests		·····	0
Total Equity			(21)
Total liabilities and equity		\$	2

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - SMARTS Group Europe Ltd

(in thousands, unaudited)	Year Ended December 31, 2013	
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		152
Total Revenues		152
Cost of revenues	a.	
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues	· · · · · ·	0
Revenues less transaction rebates, brokerage, clearance and exchang	e	
fees		152
Occurting Exponence		
Operating Expenses		120
Compensation and Benefits		120
Marketing and Advertising		32
Depreciation and amortization		53
Professional and contract services		55 0
Computer operations and data communications		0
Occupancy		0
Regulatory		•
General, administrative and other		(77) 0
Merger expenses		129
Total operating expenses Operating Income	<u> </u>	23
		23
Interest income		(0)
Interest expense Gain (loss) on sale of strategic initiatives		(0)
Dividend and investment income		0
Income from unconsolidated investees, net		0
Impairment Loss		0
FX Operating		0
Income before income taxes		
Income tax provision	•	24 0
Net Income		24
Net (income) expense attributable to noncontrolling interests		. 0
Net income attributable to Company	\$	24

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - SMARTS Group Holdings Pty Ltd (in thousands, unaudited)

(in thousands, unaudited)	Year	Ended
	Decembe	er 31, 2013
Assets		
Current Assets:		
Cash & Equivalents	\$	0
Investments		0
Total Receivables - Net		1
Current Deferred Tax		2
Current Restricted Cash		• 0
Other Current Assets		0
Margin Deposits & Default Fund		0
Total Current Assets		3
Total Property and Equipment - Net		0
Goodwill		0
Other Intangibles		0
Non Current Deferred Taxes		. 0
Long Term Restricted Cash		0
Other Long Term Assets		9,814
Total Assets	\$	9,817
Liabilitics and equity		
Current liabilities:		
Total AP and Accrued Expenses	\$	257
SEC 3 ta Payable to the SEC	•• •	0
Accrued Personnel Costs		0
Deferred Revenue		0
Current Portion of Long Term Debt		Ő
Current Deferred Tax Liability		Ő
Other Current Liabilities		(347)
Total current liabilities		(91)
Total Long Term Debt		0
Non Current Deferred Tax Liability		0
Non-current deferred revenue		Ő
Other liabilities		ő
Total Liabilities		(91)
Total Liaonnes		(71)
Commitments and continuencies		
Commitments and contingencies		
EQUITY		0
Common Stock in Treasury Total		•
Common Stock Total		0
Additional Paid in Capital		10,316
Accumulated Other Comprehensive Income/(Loss)		(225)
Retained earnings		(182)
Total stockholders' equity		9,908
Noncontrolling interests		0
Total Equity		9,908
Total liabilities and equity	\$	9,817

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - SMARTS Group Holdings Pty Ltd

	Year I	Year Ended	
	December	31, 2013	
Revenues			
Market Services Revenues	\$	0	
	ð	0	
Global Listing Services		v	
Global Information Services		0	
Corporate Solutions		0	
Market Technology Revenues Other Revenues		•	
Total Revenues	· · · · · · · · · · · · · · · · · · ·	00	
total Revenues		0	
Cost of revenues			
Transaction rebates		0	
Brokerage, Clearance and Exchange Fees		0	
Total Cost of Revenues		0	
Revenues less transaction rebates, brokerage, clearance an	at exchange		
fees	w exclange	. 0	
Operating Expenses			
Compensation and Benefits		0	
Marketing and Advertising		0	
Depreciation and amortization		0	
Professional and contract services		7	
Computer operations and data communications		0.	
Occupancy		0	
Regulatory		0	
General, administrative and other		0	
Merger expenses		0	
Total operating expenses		7	
Operating Income		(7)	
Interest income		0	
Interest expense		0	
Gain (loss) on sale of strategic initiatives		0	
Dividend and investment income		0	
Income from unconsolidated investees, net		0	
Impairment Loss		0	
FX Operating		0	
Income before income taxes		(7)	
Income tax provision	*****************	0	
Net Income		. (7)	
Net (income) expense attributable to noncontrolling interests	•	. (7)	
Net income attributable to Company	\$	(7)	
the meanic attributable to Company	<u>.</u>	<u> (/)</u>	

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - SMARTS Market Surveillance Pty Ltd

Assets Current Assets: Cash & Equivalents \$ 2,439 Investments 0 Total Receivables - Net 1,002 Current Deferred Tax 0 Other Current Assets 2,190 Margin Deposits & Default Fund 0 Total Current Assets 5,631 Total Property and Equipment - Net 6,029 Goodwill 0 Other Intagibles 0 Non Current Deferred Taxes 1,467 Long Term Assets 613 Total Assets 5 Other Langibles 0 Non Current Deferred Taxes 1,467 Long Term Assets 613 Total Assets 5 S 1,2741 Current liabilities: 5 Total Assets 5 Deferred Revenue 3,016 Current Portion of Long Term Debt 0 Current Liabilities 3,001 Total Current Teabilities 9,106 Total Current Liabilities 0 Total Current Liabilities 0 Total Current Liabilit		•	Year Ended December 31, 20	
Cash & Equivalents\$2,439Investments0Total Receivables - Net1,002Current Deferred Tax0Other Current Assets2,190Margin Deposits & Default Fund0Total Current Assets5,631Total Current Assets5,631Total Current Assets5,631Total Current Deferred Taxes0Other Itangibles0Non Current Deferred Taxes1,467Long Term Assets613Total Assets5Itabilities:5Total Assets5Itabilities:3,016Current Itabilities:3,016Current Deferred Tax Liability0Other Current Labilities3,016Current Deferred Tax Liability0Other Current Liabilities3,001Total Ap and Accrued Expenses51,8230Deferred Revenue0Current Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Commut meths and contingencies9,106EQUITY0Commut Stock in Treasury Total0Common Stock Total0Accuruel Comprehensive Income/(Loss)4,635Total Lapuly4,635	Assets			
Investments0Total Receivables - Net1,002Current Deferred Tax0Current Restricted Cash0Other Current Assets2,190Margin Deposits & Default Fund0Total Current Assets5,631Total Property and Equipment - Net6,029Godwill0Other Intangibles0Non Current Deferred Taxes1,467Long Term Restricted Cash0Other Long Term Assets613Total Assets51,3741Liabilities and equityCurrent Ibilities:Total Assets51,426Deferred Revenue3,016Current Deferred Tax Liability0Other Current Deferred Tax LiabilitiesTotal Assets3,010Total Areued Personnel Costs1,823Deferred Revenue0Current Deferred Tax Liability0Other Current Liabilities9,106Total Labilities0Total Current Deferred Tax Liability0Other Liabilities0Total Labilities0Total Liabilities0Total Liabilities0Total Liabilities0Total As and contingencies0EQUITY0Common Stock In Treasury Total0Common Stock In Treasury Tot	Current Assets:			
Total Receivables - Net1,002Current Deferred Tax0Ourrent Restricted Cash0Other Current Assets2,190Margin Deposits & Default Fund0Total Current Assets5,631Total Property and Equipment - Net6,029Goodwill0Other Intangibles0Non Current Deferred Taxes1,467Long Term Assets613Total Assets5Itabilities613Total Assets5Itabilities:5Total Assets5Itabilities:1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Tax Liability0Other Current Tiabilities:3,016Current Inductives3,001Total AP and Accrued Expenses\$S 1,2663,001Current Deferred Tax Liability0Other Current Deferred Tax Liability0Other Current Itabilities9,106Total Long Term Debt0Non-current Deferred Tax Liability0Non-current Deferred Tax Liability0Non-current Deferred Tax Liability0Non-current Ideferred Tax Liability0Non-current Deferred Tax Liability0Non-current Deferred Tax Liability0Non-current Deferred Tax Liability0Non-current Ideferred Tax Liability0Non-current Ideferred Tax Liability0Non-current Ideferred Tax Liability0Othe	Cash & Equivalents		\$	2,439
Current Deferred Tax0Current Restricted Cash0Other Current Assets2,190Margin Deposits & Default Fund0Total Current Assets5,631Total Current Assets5,631Total Property and Equipment - Net6,029Goodwill0Other Intangibles0Non Current Deferred Taxes1,467Long Term Restricted Cash0Other Long Term Assets613Total Assets3Total Assets3Total Assets3Deferred Revenue3,016Current Deferred Tax Liability0Other Current Deferred Tax Liability0Other Current Deferred Tax Liability0Other Current Liabilities9,106Total AP and Accrued Expenses\$S 1,266\$EC 31a Payable to the SECAccrued Personnel Costs1,823Deferred Revenue0Current Detron of Long Term Debt0Current Detron of Long Term Debt0Non-current Liabilities9,106Total Long Term Debt0Non-current Deferred Tax Liability0Non-current deferred revenue0Other Liabilities9,106Commitments and contingencies9,106EQUITIY0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock in Treasury Total0Comm	Investments			0
Current Restricted Cash0Other Current Assets2,190Margin Deposits & Default Fund0Total Current Assets5,631Total Property and Equipment - Net6,029Goodwill0Other Intangibles0Non Current Deferred Taxes1,467Long Term Restricted Cash0Other Long Term Assets6113Total Assets\$ 13,741Liabilities and equity0Current liabilities:7Total Ap and Accrued Expenses\$ 1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Deferred Tax Liability0Other Current Liabilities:9,106Total Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Other Current Liabilities9,106Total Long Term Toetx0Non-current deferred Tax Liability0Non-current deferred revenue0Other liabilities9,106Commitments and contingencies9,106Common Stock in Treasury Total0Common Stock In Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accurudated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total Equity4,635	Total Receivables - Net			1,002
Other Current Assets2,190Margin Deposits & Default Fund0Total Current Assets5,631Total Property and Equipment - Net6,029Goodwill0Other Intangibles0Non Current Deferred Taxes1,467Long Term Restricted Cash0Other Long Term Assets613Total Assets\$Total Assets\$Total Assets\$Total AP and Accrued Expenses\$SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Liabilities9,106Total Long Term Debt0Current Ubilities3,001Total Current Liabilities9,106Total Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Current Deferred Tax Liability0Non-current deferred revenue0Other Inabilities9,106Total Liabilities9,106Common Stock in Treasury Total0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total Equity4,635	Current Deferred Tax			0
Margin Deposits & Default Fund 0 Total Current Assets 5,631 Total Property and Equipment - Net 6,029 Goodwill 0 Other Intangibles 0 Non Current Deferred Taxes 1,467 Long Term Restricted Cash 0 Other Long Term Assets 613 Total Assets \$ 13,741 Liabilities and equity \$ 13,741 Current liabilities: 0 Total AP and Accrued Expenses \$ 1,266 SEC 31a Payable to the SEC 0 Accrued Personnel Costs 1,823 Deferred Revenue 3,016 Current Deferred Tax Liability 0 Other Current Liabilities 9,106 Total Long Term Debt 0 Non Current Deferred Tax Liability 0 Non Current Deferred Tax Liability 0 Non current beferred Tax Liability 0 Non-current beferred Tax Liability 0 Non current beferred Tax Liability 0 Non-current beferred Tax Liability 0 Other liabilities 9,106 Common Stock in Treasury Total	Current Restricted Cash			0
Total Current Assets5,631Total Property and Equipment - Net6,029Goodwill0Other Intangibles0Non Current Deferred Taxes1,467Long Term Restricted Cash0Other Long Term Assets6113Total Assets\$ 13,741Liabilities and equity\$ 13,741Current liabilities:\$ 1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Deferred Tax Liability0Other Liabilities9,106Total Long Term Debt0Current Deferred Tax Liability0Other Liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non Current deferred revenue0Other liabilities9,106Total Liabilities0Total Liabilities0Other liabilities0Other liabilities0Other liabilities0Other liabilities0Other liabilities0Other liabilities0Other liabilities0Other liabilities0Common Stock in Treasury Total0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total Equity4,635	Other Current Assets			2,190
Total Property and Equipment - Net6,029Goodwill0Other Intangibles0Non Current Deferred Taxes1,467Long Term Restricted Cash0Other Long Term Assets613Total Assets\$ 13,741Liabilities and equityCurrent liabilities:0Total AP and Accrued Expenses\$ 1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities9,106Total Log Term Debt0Non Current Deferred Tax Liability0Other Current Liabilities9,106Total Log Term Debt0Non current deferred rax Liability0Non-current deferred rax Liability0Other Liabilities9,106Total Log Term Debt0Other Liabilities0Other Liabilities9,106Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)4,635Total Equity4,635	Margin Deposits & Default Fund			0
Goodwill0Other Intangibles0Non Current Deferred Taxes1,467Long Term Restricted Cash0Other Long Term Assets613Total Assets\$ 13,741Liabilities and equity\$ 13,741Current liabilities:Total AssetsTotal AP and Accrued Expenses\$ 1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Portion of Long Term Debt0Current Deferred Tax Liabilities9,106Total Long Term Debt0Non-current Liabilities9,106Total Long Term Debt0Non-current deferred rax Liability0Non-current deferred rax Liability0Non-current deferred rax Liability0Non-current deferred revenue0Other Liabilities9,106Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total Equity4,635	Total Current Assets			5,631
Other Intangibles0Non Current Deferred Taxes1,467Long Term Restricted Cash0Other Long Term Assets613Total Assets\$ 13,741Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Common Stock in Treasury Total0Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock In Treasury Total0Additional Paid in Capital1,367Accurulated Other Comprehensive Income/(Loss)4,635Total Equity4,635	Total Property and Equipment - Net			6,029
Non Current Deferred Taxes1,467Long Term Restricted Cash0Other Long Term Assets613Total Assets\$ 13,741Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Outher the SEC0Non current deferred Tax Liability0Other Current liabilities9,106Total Long Term Debt0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock in Treasury Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)4,635Total Equity4,635	Goodwill			0
Long Term Restricted Cash0Other Long Term Assets613Total Assets\$ 13,741Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Outrert Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non Current deferred revenue0Other Liabilities9,106Common Stock in Treasury Total0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)3,762Total Stockholders' equity4,635Noncorrolling interests0Total Equity4,635	Other Intangibles			0
Other Long Term Assets613Total Assets\$ 13,741Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities3,001Total Long Term Debt0Other Current Liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non current deferred revenue0Other liabilities9,106Total Liabilities0Total Liabilities0Common Stock in Treasury Total0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)4,635Noncourrolling interests0Total Equity4,635	Non Current Deferred Taxes			1,467
Total Assets\$13,741Liabilities and equity Current liabilities: Total AP and Accrued Expenses SEC 31a Payable to the SEC 	Long Term Restricted Cash			0
Liabilities and equityCurrent liabilities:Total AP and Accrued Expenses\$ 1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Other Current liabilities9,106Total Long Term Debt0Non current deferred Tax Liability0Non current deferred Tax Liability0Non-current deferred Tax Liability0Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Accunulated Other Comprehensive Income/(Loss)3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Other Long Term Assets			613
Current liabilities:Total AP and Accrued Expenses\$1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities3,001Total current liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non current deferred rax Liability0Non-current deferred revenue0Other liabilities9,106Total Liabilities0Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total Stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Total Assets		\$	13,741
Total AP and Accrued Expenses\$1,266SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities3,001Total current liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities9,106Total Liabilities0Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total Stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Liabilities and equity			
SEC 31a Payable to the SEC0Accrued Personnel Costs1,823Deferred Revenue3,016Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities3,001Total current liabilities9,106Total current Deferred Tax Liability0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities9,106Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)4,635Noncontrolling interests0Total Equity4,635	Current liabilities:			
Accrued Personnel Costs1,823Deferred Revenue3,016Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities3,001Total current liabilities9,106Total current Deferred Tax Liability0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Non-current deferred revenue0Other liabilities9,106Commitments and contingencies0EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Total AP and Accrued Expenses		\$	1,266
Deferred Revenue3,016Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities3,001Total current liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Other liabilities0Other liabilities0Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total Stockholders' equity4,635Noncontrolling interests0Total Equity4,635	SEC 31a Payable to the SEC			0
Current Portion of Long Term Debt0Current Deferred Tax Liability0Other Current Liabilities3,001Total current liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Non-current deferred revenue0Other liabilities0Total Liabilities0Total Liabilities0Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(4944)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Accrued Personnel Costs			1,823
Current Deferred Tax Liability0Other Current Liabilities3,001Total current liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Total Liabilities0Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)4,635Noncontrolling interests0Total Equity4,635	Deferred Revenue			3,016
Current Deferred Tax Liability0Other Current Liabilities3,001Total current liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Total Liabilities0Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)4,635Noncontrolling interests0Total Equity4,635	Current Portion of Long Term Debt			0
Total current liabilities9,106Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities0Total Liabilities9,106Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Current Deferred Tax Liability			0
Total Long Term Debt0Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities9,106Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Other Current Liabilities			3,001
Non Current Deferred Tax Liability0Non-current deferred revenue0Other liabilities0Total Liabilities9,106Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Total current liabilities			9,106
Non-current deferred revenue0Other liabilities0Total Liabilities9,106Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Total Long Term Debt			0
Other liabilities0Total Liabilities9,106Commitments and contingencies9,106EQUITY0Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Non Current Deferred Tax Liability			0
Total Liabilities9,106Commitments and contingenciesEQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Non-current deferred revenue			0
Commitments and contingenciesEQUITYCommon Stock in Treasury TotalCommon Stock TotalOCommon Stock TotalAdditional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity	Other liabilities			0
EQUITYCommon Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Total Liabilities			9,106
Common Stock in Treasury Total0Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Commitments and contingencies			
Common Stock Total0Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	EQUITY			
Additional Paid in Capital1,367Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Common Stock in Treasury Total			0.
Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Common Stock Total		•	0
Accumulated Other Comprehensive Income/(Loss)(494)Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635	Additional Paid in Capital			1,367
Retained earnings3,762Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635				(494)
Total stockholders' equity4,635Noncontrolling interests0Total Equity4,635				3,762
Total Equity 4,635	Total stockholders' equity			
	Noncontrolling interests			0
Total liabilities and equity \$ 13,741	Total Equity			4,635
	Total liabilities and equity		\$	13,741

Unconsolidated Statement of Income - SMARTS Market Surveillance Pty Ltd

Year Ended

	December 31, 2013
	000011001 31, 2013
Revenues	
Market Services Revenues	\$ 0
Global Listing Services	0
Global Information Services	0
Corporate Solutions.	0
Market Technology Revenues	7,404
Other Revenues	4,306
Total Revenues	11,710
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	0
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	11,710
Operating Expenses	(19(
Compensation and Benefits	6,486 4
Marketing and Advertising	
Depreciation and amortization	1,184
Professional and contract services	1,207 676
Computer operations and data communications	
Occupancy	791
Regulatory	0.004
General, administrative and other	2,084
Merger expenses	8
Total operating expenses	(731)
Operating Income	30
Interest income	(138)
Interest expense	(138)
Gain (loss) on sale of strategic initiatives Dividend and investment income	. 0
	0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	(840)
Income before income taxes	
Income tax provision	(393)
Net Income	(447)
Net (income) expense attributable to noncontrolling interests	0
Net income attributable to Company	\$ (447)
•	

Unconsolidated Financial Statements - European Central Counterparty N.V

Note: TOM Broker B.V is not a fully owned entity - indirect ownership through TOM Holding N.V. and therefore not included.

Unconsolidated Financial Statements - European Central Counterparty N.V

Note: TOM B.V is not a fully owned entity - indirect ownership through TOM Holding N.V. and therefore not included.

Unconsolidated Financial Statements - European Central Counterparty N.V

Note: TOM Holding N,V is not a fully owned entity and therefore not included.

Unconsolidated Balance Sheet - Verdbrefaskraning Islands hf (in thousands, unaudited)

(in thousands, unaudited)				
	Year Ended			
	December :	31, 2013		
Assets				
Current Assets:				
Cash & Equivalents	\$	3,540		
Investments		0		
Total Receivables - Net		583		
Current Deferred Tax		0		
Current Restricted Cash		0		
Other Current Assets		3,171		
Margin Deposits & Default Fund		0		
Total Current Assets		7,294		
Total Property and Equipment - Net		10		
Goodwill		0		
Other Intangibles		0		
Non Current Deferred Taxes		(1)		
Long Term Restricted Cash		0		
Other Long Term Assets		0		
Total Assets	\$	7,303		
Liabilities and equity				
Current liabilities:				
Total AP and Accrued Expenses	\$	1,973		
SEC 31a Payable to the SEC		0		
Accrued Personnel Costs		107		
Deferred Revenue		0		
Current Portion of Long Term Debt		0		
Current Deferred Tax Liability		. 7		
Other Current Liabilities		552		
Total current liabilities		2,640		
Total Long Term Debt		0		
Non Current Deferred Tax Liability		Õ		
Non-current deferred revenue		Õ		
Other liabilities		ů		
Total Liabilities		2,640		
foral Liabilities		2,010		
Commitments and contingencies				
EQUITY				
-	7	0		
Common Stock in Treasury Total		0		
Common Stock Total		4,631		
Additional Paid in Capital		(2,169)		
Accumulated Other Comprehensive Income/(Loss)				
Retained earnings	<u></u>	2,201		
Total stockholders' equity		4,662		
Noncontrolling interests		0		
Total Equity	<u></u>	4,662		
Total liabilities and equity	<u>\$</u>	7,303		

Unconsolidated Statement of Income - Verdbrefaskraning Islands hf

	December 31, 2013
	December 31, 2013
	· · ·
Revenues	
Market Services Revenues	\$ 4,765
Global Listing Services	0
Global Information Services	· 0
Corporate Solutions	0
Market Technology Revenues	0
Other Revenues	15
Total Revenues	4,780
Cost of revenues	
Transaction rebates	0
Brokerage, Clearance and Exchange Fees	0
Total Cost of Revenues	
Revenues less transaction rebates, brokerage, clearance and exchange	
fees	4,780
Operating Expenses	931
Compensation and Benefits	3
Marketing and Advertising	5
Depreciation and amortization	258
Professional and contract services	309
Computer operations and data communications	111
Occupancy	
Regulatory	31
General, administrative and other	884
Merger expenses	0
Total operating expenses	2,532
Operating Income	2,248
Interest income	284
Interest expense	(2)
Gain (loss) on sale of strategic initiatives	0
Dividend and investment income	. 0
Income from unconsolidated investees, net	0
Impairment Loss	0
FX Operating	0
Income before income taxes	2,531
Income tax provision	540
Net Income	1,990
Net (income) expense attributable to noncontrolling interests	<u> </u>
Net income attributable to Company	\$ 1,990

The NASDAQ OMX Group, Inc. Unconsolidated Balance Sheet - Zoom Vision Mamato AB

(in thousands, unaudited)	
	Year Ended
	December 31, 2013
Assets	
Current Assets:	
Cash & Equivalents	\$ 0
Investments	0
Total Receivables - Net	0
Current Deferred Tax	0
Current Restricted Cash	0
Other Current Assets	0
Margin Deposits & Default Fund	0
Total Current Assets	• 0
Total Property and Equipment - Net	0
Goodwill	0
Other Intangibles	• 0
Non Current Deferred Taxes	(30)
Long Term Restricted Cash	0
Other Long Term Assets	42
Total Assets	\$ 12
Liabilities and equity	
Current liabilities:	
Total AP and Accrued Expenses	\$ 0
SEC 31a Payable to the SEC	0
Accrued Personnel Costs	0
Deferred Revenue	0
Current Portion of Long Term Debt	0
Current Deferred Tax Liability	0
Other Current Liabilities	0
Total current liabilities	0
Total Long Term Debt	0
Non Current Deferred Tax Liability	(0)
Non-current deferred revenue	0
Other liabilities	0
Total Liabilities	(0)
Commitments and contingencies	
EQUITY	
Common Stock in Treasury Total	0
Common Stock Total	Ö
Additional Paid in Capital	(136)
Accumulated Other Comprehensive Income/(Loss)	(38)
Retained earnings	186
	12
Total stockholders' equity	
Noncontrolling interests	0
Total Equity Total liabilities and equity	
rotar natifities and equity	<u>\$ 12</u>

The NASDAQ OMX Group, Inc. Unconsolidated Statement of Income - Zoom Vision Mamato AB (in thousands, unaudited)

	December 31, 2013	
Revenues		
Market Services Revenues	\$	0
Global Listing Services		0
Global Information Services		0
Corporate Solutions		0
Market Technology Revenues		0
Other Revenues		0
Total Revenues		0
Cost of revenues		
Transaction rebates		0
Brokerage, Clearance and Exchange Fees		0
Total Cost of Revenues		0
Revenues less transaction rebates, brokerage, clearance and exchange		· •
fees		0
O		
Operating Expenses		0
Compensation and Benefits		Ő
Marketing and Advertising		ů
Depreciation and amortization		ů
Professional and contract services		ŏ
Computer operations and data communications		õ
Occupancy		ő
Regulatory		ő
General, administrative and other		Ő
Merger expenses		0
Total operating expenses		0
Operating Income		õ
Interest income		õ
Interest expense		õ
Gain (loss) on sale of strategic initiatives		25
Dividend and investment income		0
Income from unconsolidated investees, net		-Õ
Impairment Loss	÷	Õ
FX Operating	·	25
Income before income taxes		0
Income tax provision	·····	
Net Income		25
Net (income) expense attributable to noncontrolling interests		0
Net income attributable to Company	\$	25
······································		

Year Ended

Unconsolidated Balance Sheet - Zoom Vision Mamato ApS (in thousands, unaudited)

		Year Ended December 31, 2013	
	December	51,2015	
Assets			
Current Assets:			
Cash & Equivalents	, \$	0	
Investments		0	
Total Receivables - Net		0	
Current Deferred Tax		0	
Current Restricted Cash		0	
Other Current Assets		0	
Margin Deposits & Default Fund		0	
Total Current Assets	• ,	0	
Total Property and Equipment - Net		0	
Goodwill		0	
Other Intangibles		0	
Non Current Deferred Taxes		0	
Long Term Restricted Cash		0	
Other Long Term Assets		243	
Total Assets	<u>\$</u>	243	
Liabilities and equity			
Current liabilities:			
Total AP and Accrued Expenses	\$	0	
SEC 31a Payable to the SEC		0	
Accrued Personnel Costs	7	. 0	
Deferred Revenue		0	
Current Portion of Long Term Debt		0	
Current Deferred Tax Liability		0	
Other Current Liabilities		0	
Total current liabilities		0	
Total Long Term Debt		. 0	
Non Current Deferred Tax Liability		0	
Non-current deferred revenue		0	
Other liabilities		0	
Total Liabilities		0	
Commitments and contingencies			
EQUITY		<i>.</i>	
Common Stock in Treasury Total		0	
Common Stock Total	•	0	
Additional Paid in Capital		295	
Accumulated Other Comprehensive Income/(Loss)		184	
Retained earnings		(236)	
Total stockholders' equity		243	
Noncontrolling interests		0	
Total Equity	<u></u>	243	
Total liabilities and equity	<u></u>	243	

Year Ended

Unconsolidated Statement of Income - Zoom Vision Mamato ApS

(in thousands, unaudited)	Year Ended December 31, 2013			
Revenues				
Market Services Revenues	\$	0		
Global Listing Services		0		
Global Information Services		0		
Corporate Solutions		0		
Market Technology Revenues		0		
Other Revenues		0		
Total Revenues		0		
Cost of revenues				
Transaction rebates		0		
Brokerage, Clearance and Exchange Fees		0		
Total Cost of Revenues		0		
	4000			
Revenues less transaction rebates, brokerage, clearance and exchange	çe			
fees		0		
Operating Expenses				
Compensation and Benefits		0		
Marketing and Advertising		0		
Depreciation and amortization		0		
Professional and contract services		0		
Computer operations and data communications		0		
Occupancy		0		
Regulatory		0		
General, administrative and other		(1)		
Merger expenses		0		
Total operating expenses		(1)		
Operating Income		1		
Interest income		0		
Interest expense		(0)		
Gain (loss) on sale of strategic initiatives		0		
Dividend and investment income		48		
Income from unconsolidated investees, net		0		
Impairment Loss		0		
FX Operating		0		
Income before income taxes		49		
Income tax provision		0		
Net Income		49		
Net (income) expense attributable to noncontrolling interests		0		
Net income attributable to Company	\$	49		

NASDAQ OMX BX, INC.

FORM 1 - Amendment of June 27, 2014

EXHIBIT I

CONSOLIDATED FINANCIAL STATEMENTS

NASDAQ OMX BX, Inc. and Subsidiaries Year Ended December 31, 2013 With Report of Independent Auditors

Ernst & Young LLP



Consolidated Financial Statements

Year Ended December 31, 2013

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Ernst & Young LLP 5 Times Square New York, NY 10036-6530 Tel: +1 212 773 3000 Fax: +1 212 773 6350 ev.com

Report of Independent Auditors

The Board of Directors and Stockholder NASDAQ OMX BX, Inc. and Subsidiaries

We have audited the accompanying consolidated financial statements of NASDAQ OMX BX, Inc. and subsidiaries, which comprise the consolidated balance sheet as of December 31, 2013, and the related consolidated statement of income, changes in stockholder's equity, and cash flows for the year then ended, and the related notes to the consolidated financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in conformity with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free of material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the consolidated financial position of NASDAQ OMX BX Inc. and subsidiaries at December 31, 2013, and the consolidated results of their operations and their cash flows for the year then ended in conformity with U.S. generally accepted accounting principles.

Ernst + Young LLP

June 27, 2014

1406-1277540

Consolidated Balance Sheet (In Thousands)

December 31, 2013

Assets		
Current assets:	¢	50
Cash and cash equivalents	\$	59
Receivables, net of reserve for uncollectible accounts		13,375
Receivables from affiliated parties, net		68,425
Tax receivable		279
Deferred tax assets, net		129
Other current assets		42
Total current assets		82,309
Long term assets:		
Goodwill		31,048
Intangible assets, net		51,173
Non-current deferred tax asset		471
Other assets		2,020
Total long term assets		84,712
Total assets	\$	167,021
Liabilities and stockholder's equity		
Current liabilities:		
Accounts payable and accrued expenses	\$	3,374
Section 31 fees payable to the SEC		9,421
Deferred revenue		300
Current deferred tax liability		94
Other accrued liabilities		1,018
Total current liabilities	·	14,207
Long term liabilities: Non-current deferred tax liabilities		21,642
Non-current other liabilities		97
Total long term liabilities		21,739
Total liabilities		35,946
		,-
Equity:		
BX stockholder's equity:		
Retained earnings		21,542
Additional paid-in-capital		36,380
Total BX stockholder's equity		57,922
Non-controlling interest		73,153
Total equity		131,075
Total liabilities and stockholder's equity	\$	167,021

See accompanying notes to these consolidated financial statements.

Consolidated Statement of Income (In Thousands)

Year Ended December 31, 2013

Revenues: Transaction services	\$	115,771
Access services		10,393
Market data		17,519
Total revenues		143,683
Cost of revenues:		
Transaction rebates		(56,642)
		(30,471)
Brokerage, clearance, and exchange fees		1
Total cost of revenues		(87,113)
Revenues less transaction rebates, brokerage, clearance, and exchange fees		56,570
Operating expenses:		
Compensation and benefits		81 ·
Amortization of intangible assets		118
Professional and contract services		72
Occupancy		217
Regulatory fees		1,702
General, administration, and other		2,278
Total operating expenses		4,468
roun of ormer orbourses		·····
Net income before income tax expense		52,102
Provision for income taxes	. ·	(12,667)
Net income	\$	39,435
Less Not income attributelle to non controlling interest	¢	21,470
Less: Net income attributable to non-controlling interest		
Net income attributable to BX (Note 1)	\$	17,965

See accompanying notes to these consolidated financial statements.

Consolidated Statement of Changes in Stockholder's Equity (In Thousands)

Year Ended December 31, 2013

• • • • • • • • • • • • • • • • • • •	J	Retained Earnings (Deficit)	 Additional Paid-In Capital	С	Non- ontrolling Interest	St	Total ockholder's Equity
Balance at January 1, 2013 Net income	\$	3,577 17,965	\$ 36,380	\$	51,683 21,470	\$	91,640 39,435
Balance at December 31, 2013	\$	21,542	\$ 36,380	\$	73,153	\$	131,075

See accompanying notes to these consolidated financial statements.

Consolidated Statement of Cash Flows (In Thousands)

Year Ended December 31, 2013

Operating activities	
Net income	\$ 39,435
Adjustments to reconcile net income to net cash provided by	
operating activities:	
Amortization of intangible assets	118
Deferred taxes, net	382
Provision for bad debts	33
Net change in operating assets and liabilities:	
Receivables, net of reserve for uncollectible accounts	1,257
Receivables from affiliated parties, net	(38,512)
Tax receivable	(279)
Other current assets and other assets	(72)
Accounts payable and accrued expenses	(1,952)
Section 31 fees payable to the SEC	(934)
Other accrued liabilities	530
Deferred revenue	 (6)
Net cash used in operating activities	_
Net change in cash and cash equivalents	_
Cash and cash equivalents at beginning of period	59
Cash and cash equivalents at end of period	\$ 59

See accompanying notes to these consolidated financial statements.

Notes to Consolidated Financial Statements

December 31, 2013

1. Nature of Operations

Established in 1834, NASDAQ OMX BX, Inc. (BX or the Exchange) is a wholly-owned subsidiary of The NASDAQ OMX Group, Inc. (NASDAQ OMX or the Parent). As of December 31, 2013, BX is the parent of its wholly-owned subsidiary, Boston Stock Exchange Clearing Corporation (BSECC or the Clearing Corporation). In addition, BX holds a majority ownership of approximately 53% in NASDAQ OMX BX Equities, LLC (BSXL), with the remaining ownership interest of approximately 47% held by the Parent.

The Exchange oversees and regulates all trading activities of BSXL, whose primary business includes operating the equities trading facilities. As a Self-Regulatory Organization (SRO), BX is committed to advancing market integrity through the enforcement of the Securities and Exchange Commission's (SEC) Federal Securities Laws and Exchange Rules.

BSECC is a registered clearing agency but has no current existing operations or plans for operations. BX, BSECC, and BSXL are subject to regulatory oversight by the SEC.

2. Summary of Significant Accounting Policies

Basis of Presentation

The consolidated financial statements, which include the accounts of BX, its wholly-owned subsidiaries and other entities in which BX has a controlling financial interest, are prepared in accordance with accounting principles generally accepted in the United States (U.S. GAAP), as codified in the Accounting Standards Codification (ASC) and set forth by the Financial Accounting Standards Board (FASB). All significant intercompany accounts and transactions have been eliminated in consolidation. The Exchange's significant accounting policies are as follows.

Use of Estimates

The preparation of the consolidated financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts and the disclosures of contingent amounts in the consolidated financial statements and accompanying notes. Management believes that the estimates utilized in preparing its consolidated financial statements are reasonable and prudent. Actual results could differ from those estimates.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Recently Adopted Accounting Pronouncements

For the year ended December 31, 2013, we have not adopted any new accounting pronouncements that had a material impact on our consolidated financial statements. In addition, we have reviewed all recently issued, but not yet effective, accounting pronouncements and do not believe the future adoption of any such pronouncements will have a material impact on our financial position or results of our operations.

Cash and Cash Equivalents

Cash and cash equivalents include cash held in banks and all non-restricted highly liquid investments with original maturities of three months or less at the time of purchase. Cash equivalents are carried at cost plus accrued interest, which approximates fair value due to the short term maturities of these investments. At December 31, 2013, the Exchange held no cash equivalents.

Revenue Recognition

Transaction Services

Cash Equity Trading: Cash equity trading revenues are variable, based on individual customer share volumes, and recognized as transactions occur. We charge transaction fees for executing cash equity trades in NASDAQ-listed and other listed securities on BX as well as on orders that are routed to other market venues for execution.

We record execution revenues from transactions on a gross basis in revenues and record related expenses as cost of revenues. Nasdaq Execution Services, LLC, an SEC registered broker-dealer and wholly owned subsidiary of NASDAQ OMX, operates as BX's routing broker-dealer for sending orders to other venues for execution in accordance with member order instructions and requirements. As noted above, BX holds a majority ownership of approximately 53% in NASDAQ OMX BX Equities, LLC, with the remaining ownership interest of approximately 47% held by the Parent. Cash equity trading revenues are recorded within transaction services on the Consolidated Statement of Income. The net income related to NASDAQ OMX BX Equities, LLC, as reported by BX, is the basis for calculating the non-controlling interest. The net income attributable to non-controlling interest as reported on the Consolidated Statement of Income from the net income NASDAQ OMX BX Equities, LLC and does not include income from other business lines.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

We credit a portion of the per share execution charge to the market participant that takes the liquidity and record these credits within transaction rebates on the Consolidated Statement of Income. These transaction rebates are paid on a monthly basis and the amounts due are included in accounts payable and accrued expenses on the Consolidated Balance Sheet. Transaction rebates related to cash equity trading were \$49.4 million for the year ended December 31, 2013.

Also, we pay Section 31 fees to the SEC for supervision and regulation of securities markets. For equities, the fees are billed on a settlement date basis and collected by the National Securities Clearing Corporation. We pass these costs along to our customers through our cash equity trading fees. We collect the fees as a pass-through charge from organizations executing eligible trades on the BX platform and we recognize these amounts in brokerage, clearance, and exchange fees on the Consolidated Statement of Income when incurred. Section 31 fees received are included in Payable to affiliated companies, net on the Consolidated Balance Sheet, at the time of receipt and, as required by law, the amount due to the SEC is remitted semiannually and recorded within Section 31 fees payable to the SEC on the Consolidated Balance Sheet until paid. Since the amount recorded in revenues is equal to the amount recorded in cost of revenues, there is no impact on our revenues less transaction rebates, brokerage, clearance and exchange fees.

Derivative Trading: Derivative trading revenues are variable, based on traded and cleared volumes, and recognized when executed or when contracts are cleared. The principal types of derivative contracts traded on BX Options are equity options and index options. For BX Options, we record derivative trading revenues from transactions on a gross basis in revenues and record related expenses as cost of revenues, as we have certain risk associated with trade execution. Derivative trading revenues are recorded within transaction services on the Consolidated Statement of Income.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

For derivative trading, we credit a portion of the per contract transaction charge to the market makers and specialists that execute trades with a specific trading strategy and record these credits as transaction rebates on the Consolidated Statement of Income. NASDAQ Options Services, LLC, an SEC registered broker-dealer and wholly owned subsidiary of NASDAQ OMX, operates as BX's routing broker-dealer for sending exchange-listed option orders from BX to other venues for execution in accordance with member order instructions and requirements. These transaction rebates are paid on a monthly basis and the amounts due from equity transaction rebates are included in accounts payable and accrued expenses and the amounts due from options transaction rebates are included as a reduction of receivables, net of reserve for uncollectible accounts on the Consolidated Balance Sheet. Transaction rebates related to derivative trading were \$7.2 million for the year ended December 31, 2013.

Also, we pay Section 31 fees to the SEC for supervision and regulation of securities markets. For options, the fees are billed on a settlement date basis and collected by the Options Clearing Corporation (OCC). We pass these costs along to our customers through our derivative trading fees. We collect the fees as a pass-through charge from organizations executing eligible trades the BX Options platform and we recognize this amount in brokerage, clearance, and exchange fees on the Consolidated Statement of Income when incurred. Section 31 fees received are included in Payable to affiliated companies, net on the Consolidated Balance Sheet, at the time of receipt and, as required by law, the amount due to the SEC is remitted semiannually and recorded as Section 31 fees payable to the SEC on the Consolidated Balance Sheet until paid. Since the amount recorded in revenues is equal to the amount recorded in cost of revenues, there is no impact on our revenues less transaction rebates, brokerage, clearance and exchange fees.

As discussed in Note 6, our Limitation of Liability Rule and procedures apply to both cash equity and derivative trading in the aggregate. Under this rule, we, subject to certain caps, provide compensation for losses directly resulting from the systems' actual failure to correctly process an order, quote, message or other data into our platform.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Access Services

The Exchange generates revenues by providing market participants with several alternatives for accessing the Exchange's markets for a fee. The type of connectivity is determined by the level of functionality a customer needs. As a result, access revenues vary depending on the type of connection provided to customers. The Exchange also earns revenues from annual and monthly exchange membership and registration fees. Revenues from providing access to BX's markets and revenues from exchange membership and registration fees are recognized on a monthly basis as the service is provided. Revenues from annual fees for exchange membership and registration fees are recognized on a monthly basis as the service is provided. Revenues from annual fees for exchange membership and registration fees are recognized on a monthly basis as the service is provided. Revenues from annual fees for exchange membership and registration fees are recognized on a monthly basis.

Market Data

Market data revenues include revenues earned from the Exchange's participation in the Consolidated Tape A and B as well as the Unlisted Trading Privileges (UTP) revenue sharing plan (UTP Plan). The NASDAQ Stock Market, an affiliate of the Exchange, operates as the exclusive Securities Information Processor of the UTP Plan for the collection and dissemination of best bid and offer information and last transaction information from markets that quote and trade in NASDAQ-listed securities. The Exchange is one of many participants in the UTP Plan and shares in the net distribution of revenue according to the UTP Plan on the same terms as the other plan participants.

BX also collects, processes, and creates information and earns revenues as a distributor of its own data, as well as select third-party content. BX provides varying levels of quote and trade information to market participants and to data distributors, who in turn sell subscriptions for this information to the public. BX earns revenues primarily based on the number of data subscribers and distributors of its data. U.S. market data revenues are recognized on a monthly basis in market data revenues on the Consolidated Statement of Income. These revenues, which are subscription based, are recorded net of amounts due under revenue sharing arrangements with market participants.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Receivables, Net of Reserve for Uncollectible Accounts

The Exchange's receivables are primarily related to monthly transaction fees. Receivables are shown net of reserves for uncollectible accounts. The reserve for uncollectible accounts is maintained at a level that management believes to be sufficient to absorb estimated losses in the accounts receivable portfolio. The reserve is increased by the provision for bad debts which is charged against operating results and decreased by the amount of charge-offs, net of recoveries.

The amount charged against operating results is based on several factors including, but not limited to, a continuous assessment of the collectability of each account, the length of time a receivable is past due and the Exchange's historical experience with the particular customer. In circumstances where a specific customer's inability to meet its financial obligations is known (i.e., bankruptcy filings), we record a specific provision for bad debts against amounts due to reduce the receivable to the amount we reasonably believe will be collected. Due to changing economic, business and market conditions, the Exchange reviews the allowance for uncollectible accounts monthly and makes changes to the reserve through the provision for bad debts as appropriate. If circumstances change (i.e., higher than expected defaults or an unexpected material adverse change in a major customer's ability to pay), the Exchange's estimates of recoverability could be reduced by a material amount. The total allowance netted against receivables on the Consolidated Balance Sheet was a de minimis amount at December 31, 2013.

Deferred Revenue

Deferred revenue represents cash payments received for services not yet rendered and are yet to be recognized as revenue. Deferred revenue relates to membership dues and fees that are collected annually and amortized into income on a monthly basis over the applicable future year. The fees related to future periods are included in deferred revenue on the Consolidated Balance Sheet.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Goodwill

Goodwill represents the excess of purchase price and related costs over the value assigned to the net tangible and identifiable intangible assets of a business acquired. Goodwill is allocated to the reporting units based on the assignment of the fair values of each reporting unit of the acquired company. The Exchange is required to test goodwill for impairment at the reporting unit level annually, or in interim periods if certain events occur indicating that the carrying value may be impaired. The Exchange tests for impairment during the fourth quarter of the fiscal year using carrying amounts as of October 1st. In conducting the 2013 annual impairment test for goodwill, the Exchange first performed a qualitative assessment to determine whether it was more likely than not that the fair value of a reporting unit was less than the carrying amount as a basis for determining whether it was necessary to perform the two-step quantitative goodwill impairment test described in ASC 350. The more-likely-than-not threshold is defined as having a likelihood of more than 50 percent.

If, after assessing the totality of events or circumstances, the Exchange determines that it is more likely than not that the fair value of a reporting unit is less than its carrying amount, then the two-step quantitative test for goodwill impairment is performed for the appropriate reporting units. Otherwise, the Exchange concludes that no impairment is indicated and the two-step quantitative test for goodwill impairment is not performed.

The Exchange utilized the qualitative assessment option for our annual goodwill impairment test performed for fiscal 2013. In conducting the initial qualitative assessment, the Exchange analyzed actual and projected growth trends, as well as historical performance versus plan and the results of prior quantitative tests performed. Additionally, the Exchange assessed critical areas that may impact the business, including macroeconomic conditions and the related impact, market related exposures, competitive changes, new or discontinued products, changes in key personnel, or any other potential risks to projected financial results.

If required, the quantitative goodwill impairment test is a two-step process performed at the reporting unit level. First, the fair value of each reporting unit is compared to its corresponding carrying amount, including goodwill. The fair value of each reporting unit is estimated using a combination of a discounted cash flow valuation, which incorporates assumptions regarding future growth rates, terminal values, and discount rates, as well as a guideline public company valuation, incorporating relevant trading multiples of comparable companies and other factors.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

The estimates and assumptions used consider historical performance and are consistent with the assumptions used in determining future profit plans for each reporting unit, which are approved by BX's board of directors. If the first step results in the carrying amount exceeding the fair value of the reporting unit, then a second step must be completed in order to determine the amount of goodwill impairment that should be recorded, if any. In the second step, the implied fair value of the reporting unit's goodwill is determined by allocating the reporting unit's fair value to all of its assets and liabilities other than goodwill in a manner similar to a purchase price allocation. The implied fair value of the goodwill that results from the application of this second step is then compared to the carrying amount of the goodwill and an impairment charge is recorded for any difference.

No impairment of goodwill was identified for the year ended December 31, 2013. However, events such as economic weakness or unexpected significant declines in operating results of reporting units may result in goodwill impairment charges in the future.

Intangible Assets, Net of Accumulated Amortization

Intangible assets, net of accumulated amortization, primarily include customer relationships, an SRO license, and a clearing license. The SRO and clearing licenses have indefinite lives.

Intangible assets with finite lives, such as customer relationships, are amortized on a straight-line basis over their average estimated useful lives as follows:

• Customer relationships: 10–30 years

The estimated useful life of customer relationships is determined based on an analysis of the historical attrition rates of customers and an analysis of the legal, regulatory, contractual, competitive, economic, or other factors that limit the useful life of customer relationships.

For finite-lived intangible assets subject to amortization, impairment is assessed when there is evidence that events or changes in circumstances indicate that the carrying amount of an asset may not be recovered. An impairment loss is recognized when the carrying amount of the long-lived asset exceeds its fair value and is not recoverable. The carrying amount of a long-lived asset is not recoverable if it exceeds the sum of the undiscounted cash flows expected to result from the use and eventual disposition of the asset.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

Intangible assets deemed to have indefinite useful lives are not amortized but instead are tested for impairment at least annually and more frequently whenever events or changes in circumstances indicate that the fair value of the asset may be less than its carrying amount. Similar to goodwill impairment testing, we test for impairment of indefinite-lived intangible assets during the fourth quarter of our fiscal year using carrying amounts as of October 1.

In conducting the 2013 annual impairment test for indefinite lived intangible assets, we first performed a qualitative assessment to determine whether it was more likely than not that the fair value of an indefinite-lived intangible asset was less than the carrying amount as a basis for determining whether it was necessary to perform the quantitative impairment test described in ASC 350. The more-likely-than-not threshold is defined as having a likelihood of more than 50 percent. If, after assessing the totality of events or circumstances, we determine that it is more likely than not that the fair value of an indefinite-lived intangible asset is less than its carrying amount, then the quantitative test for indefinite-lived intangible assets impairment is performed for the appropriate intangible assets. If the carrying amount of the indefinite-lived intangible asset exceeds its fair value, an impairment charge is recorded for the difference.

Income Taxes

The Exchange's operating results are included in the consolidated federal income tax return and certain state income tax returns filed by NASDAQ OMX. The Exchange also files separate tax returns in certain states. For financial reporting purposes, the provision for income taxes for the Exchange is determined as if the Exchange filed separate returns.

The Exchange uses the asset and liability method to provide income taxes on all transactions recorded in the consolidated NASDAQ OMX financial statements. Deferred tax assets and liabilities are determined based on differences between the financial statement carrying amounts and the tax basis of existing assets and liabilities (i.e., temporary differences) and are measured at the enacted rates that will be in effect when these differences are realized. If necessary, a valuation allowance is established to reduce deferred tax assets to the amount that is more likely than not to be realized.

Notes to Consolidated Financial Statements (continued)

2. Summary of Significant Accounting Policies (continued)

In order to recognize and measure the Exchange's unrecognized tax benefits, management determines whether a tax position is more likely than not to be sustained upon examination, including resolution of any related appeals or litigation processes, based on the technical merits of the position. Once it is determined that a position meets the recognized on thresholds, the position is measured to determine the amount of benefit to be recognized on the consolidated financial statements. The Exchange's policy is to recognize interest and/or penalties related to income tax matters in provision for income taxes on the Consolidated Statement of Income.

3. Related-Party Transactions

BX engages in related-party transactions with the Parent. Third-party revenues earned by BX are collected on its behalf by the Parent resulting in receivables from affiliated companies. Additionally, expenses incurred by BX are settled on its behalf by the Parent or the Parent's other subsidiaries and allocated through intercompany charges resulting in payables to affiliated companies.

BX, its Parent, and affiliates share certain services such as accounting, finance, legal, and other administrative support. Expenses related to these shared services are allocated to the Exchange and its affiliates through intercompany charges based on an allocation model. These expenses represent amounts related to the Exchange's proportionate share of each expense incurred. Expenses allocated to the Exchange totaled \$2.8 million for the year ended December 31, 2013, and are included in general, administration, and other on the Consolidated Statement of Income.

For the year ended December 31, 2013, occupancy expense totaled \$0.2 million which represents a corporate allocation from NASDAQ OMX for NASDAQ OMX office space used by the Exchange's employees. This amount represents various occupancy expenses, including rent expense from leases and is included in occupancy on the Consolidated Statement of Income. The Exchange incurs expenses relating to equities and options contracts routed to other venues on behalf of the Exchange by Nasdaq Execution Services, LLC (NES) and NASDAQ Options Services, LLC (NOS), respectively, resulting in payables to affiliated companies. NES and NOS are SEC registered broker- dealer subsidiaries of the Parent. The Exchange also earns transaction and access fees from NES for equities shares and NOS for options contracts routed to BX, resulting in amounts receivable from affiliated companies. BX paid \$0.1 million in transaction and access fees, net from NOS for options contracts routed to BX.

Notes to Consolidated Financial Statements (continued)

3. Related-Party Transactions (continued)

A Regulatory Services Agreement (RSA) exists between BX, The NASDAQ Stock Market, LLC, and NASDAQ OMX PHLX LLC (PHLX) that allows one SRO to use the regulatory services of another SRO and its staff. Services are provided without cost or expense to the individual SRO.

At December 31, 2013, BX is owed \$68.4 million from the Parent and affiliates, representing net revenues associated with operating the Exchange. This balance is presented as receivables from affiliated companies, net on the Consolidated Balance Sheet. Intercompany balances are settled on a periodic basis.

4. Goodwill and Intangible Assets

Goodwill

On August 29, 2008, NASDAQ OMX completed the acquisition of BX for approximately \$43.3 million, which included \$38.4 million cash paid plus \$4.9 million of other costs, subject to certain post-closing adjustments. The acquisition was treated as a purchase for accounting purposes. As a result, push-down accounting was applied for the associated intangibles, related deferred tax liabilities, and goodwill balances.

BX utilized the qualitative assessment option for its annual goodwill impairment test performed for fiscal 2013. In conducting the initial qualitative assessment, BX analyzed actual and projected growth trends, as well as historical performance versus plan and the results of prior quantitative tests performed. Additionally, BX assessed critical areas that may impact the business, including macroeconomic conditions and the related impact, market related exposures, competitive changes, new or discontinued products, changes in key personnel, or any other potential risks to projected financial results. At December 31, 2013, goodwill relating to the acquisition was \$31.0 million. There were no changes to goodwill during the year ended December 31, 2013.

The Exchange completed the required annual impairment test, which determined that goodwill was not impaired for the year ended December 31, 2013. However, events such as economic weakness and unexpected significant declines in operating results may result in goodwill impairment charges in the future. A significant impairment charge in the future could have a material adverse effect on our operating results.

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Notes to Consolidated Financial Statements (continued)

4. Goodwill and Intangible Assets (continued)

Intangible Assets

The following table presents the details of the purchased intangible assets acquired in the Exchange acquisition. All purchased finite lived intangible assets are amortized using the straight-line method.

	Gross Carrying Amount	Weighted- Average Useful Life (In Years)	
	(In Millions)		
Intangible assets:			
SRO license	\$ 48.4	Indefinite	
Customer relationships	2.0	17 years	
Clearing license	1.4	Indefinite	
Total intangible assets	\$ 51.8		

The Exchange completed its annual impairment test during 2013 and determined that its indefinite-lived intangible assets were not impaired. Finite-lived intangible assets are assessed for impairment upon certain "triggering events" and impairment is recognized if the carrying amount is not recoverable and the carrying amount exceeds the fair value of the intangible asset. For the year ended December 31, 2013, no impairment was recorded with respect to finite-lived intangible assets.

Notes to Consolidated Financial Statements (continued)

4. Goodwill and Intangible Assets (continued)

The following table presents details of the Exchange's total purchased intangible assets, both finite- and indefinite-lived:

	December 31, 2013					
	Gross Carrying Amount		Accumulated Amortization		Net Intangible Assets	
			(In	Millions)		
Finite-lived intangible assets	1					
Customer relationships	\$	2.0	\$	(0.6)	\$ 1.4	
Total finite-lived intangible assets		2.0		(0.6)	1.4	
Indefinite-lived intangible assets						
SRO license		48.4		_	48.4	
Clearing license		1.4			1.4	
Total indefinite-lived intangible assets		49.8		_	49.8	
Total intangible assets	\$	51.8	\$	(0.6)	\$ 51.2	

Amortization expense for purchased finite-lived intangible assets was \$118 thousand for the year ended December 31, 2013, and is included in amortization of intangible assets on the Consolidated Statement of Income.

The estimated future amortization expense of purchased finite-lived intangible assets as of December 31, 2013, is as follows (in millions):

2014	\$ 0.1
2015	0.1
2016	0.1
2017	0.1
2018	0.1
2019 and thereafter	 0.9
Total	\$ 1.4

Notes to Consolidated Financial Statements (continued)

5. Income Taxes

The Exchange is included in the consolidated federal income tax return and certain state tax returns of NASDAQ OMX. For financial reporting purposes, the provision for income taxes for the Exchange is determined as if the Exchange filed separate returns.

The Exchange's income tax provision for the year ended December 31, 2013, consists of the following amounts (in thousands):

Current:	
Federal	\$ 10,717
State and local	 1,568
Total current income taxes	12,285
Deferred:	м.
Federal	(173)
State and local	 555
Total deferred income taxes	 382
Total provision for income taxes	\$ 12,667

A reconciliation of the U.S. federal statutory rate to the Exchange's effective tax rate for the year ended December 31, 2013, is as follows:

Reconciliation of statutory U.S. federal income tax rates:	
Federal	35.0%
State taxes	3.1
Non-controlling interest in partnership	(14.4)
Other	0.6
Effective tax rate	24.3%

Notes to Consolidated Financial Statements (continued)

5. Income Taxes (continued)

The temporary differences, which give rise to the Exchange's deferred tax assets and (liabilities) consisted of the following:

	December 31, 2013 (In Thousands)	
Deferred tax assets:		
State net operating loss	\$	8
Sublease reserve		432
Other		168
Total gross deferred tax assets		608
Deferred tax liabilities:		
Acquired intangible assets		(20,608)
Investment in partnership		(1,034)
Other		(94)
Total gross deferred tax liabilities		(21,736)
Net deferred tax liabilities before valuation allowance		(21,128)
Less: valuation allowance		(8)
Net deferred tax liabilities	\$	(21,136)

As of August 29, 2008, a \$0.1 million current deferred tax liability and a \$20.7 million non-current deferred tax liability (total deferred tax liability of \$20.8 million) had been established against the \$50.4 million value of BX's assets and total deferred tax liabilities of \$0.8 million had been established against the \$1.8 million value of Clearing Corporation's intangible assets. The deferred tax liabilities represent the tax effect of the difference between the estimated assigned fair value of the acquired intangible assets (\$52.3 million) and the tax basis (\$0) of such assets. The estimated amount of \$21.5 million is determined by multiplying the difference of \$52.3 million by the BX combined federal and state statutory tax rate of 41.2%. As of December 31, 2013, the total deferred tax liability with regards to intangible assets is \$20.6 million.

As of December 31, 2013, the Exchange established a valuation allowance against certain DTA's related to net operating loss carry forwards as it is not more likely than not that the deferred tax asset will be utilized in later years.

Notes to Consolidated Financial Statements (continued)

5. Income Taxes (continued)

For the periods after August 29, 2008, the Exchange's operating results are included in the consolidated federal income tax return and applicable state and local income tax returns filed by NASDAQ OMX. NASDAQ OMX federal income tax returns for the years 2008 through 2010 are currently under audit by the Internal Revenue Service and we are subject to examination for 2011 and 2012. Several state tax returns are currently under examination by the respective tax authorities for the years 2005 through 2010 and are subject to examination for 2011 and 2012.

Federal and state income tax liabilities of \$12.2 million were settled through intercompany accounts.

At December 31, 2013, the Exchange has accrued no interest and/or penalties related to income tax matters.

6. Commitments and Contingencies

General Litigation and Regulatory Matters

Self- Regulatory Organizations in the securities industry are an essential component of the regulatory scheme of the Securities Exchange Act of 1934 (the Exchange Act), for providing fair and orderly markets and protecting investors. The Exchange Act and the rules thereunder impose on the SROs many regulatory and operational responsibilities, including the day-to-day responsibilities for market and broker-dealer oversight. In general, an SRO is responsible for regulating its members through the adoption and enforcement of rules and regulations governing the business conduct of its members. A Regulatory Services Agreement exists between NASDAQ OMX PHLX, LLC, The NASDAQ Stock Market, LLC, and BX that allows one SRO to use the services of another SRO and its staff. Services are provided without cost or expense to the individual SRO.

The Exchange is subject to SEC oversight, as prescribed by the Exchange Act, including periodic and special examinations by the SEC. The Exchange is also potentially subject to regulatory or legal action by the SEC or other interested parties at any time in connection with alleged regulatory violations. The Exchange is also subject to Section 17 of the Exchange Act, which imposes record-keeping requirements, including the requirement to make records available to the SEC for examination. We have been subject to a number of routine reviews and inspections by the SEC or other third parties in the ordinary course and because of settlements with the SEC. To

Notes to Consolidated Financial Statements (continued)

6. Commitments and Contingencies (continued)

the extent such actions or reviews and inspections result in regulatory or other changes, we may be required to modify the manner in which we conduct our business, which may adversely affect our business.

General Litigation and Regulatory Matters

FINRA provides regulatory services to the markets operated or regulated by the Exchange, including the regulation of trading activity and surveillance and investigative functions. We have a limited direct regulatory role in conducting real-time market monitoring, certain options surveillance, rulemaking and some membership functions through NASDAQ OMX's MarketWatch department. We refer suspicious trading behavior discovered by our regulatory staff and all other employees of the markets operated or regulated by the Exchange to FINRA for further investigation.

Under our Limitation of Liability Rule and procedures, we, subject to certain caps, provide compensation for losses directly resulting from the systems' actual failure to correctly process an order, quote, message or other data into our platform. We do not record a liability for any potential claims that may be submitted under the Limitation of Liability Rule unless they meet the provisions required in accordance with U.S. GAAP. As such, losses arising as a result of the rule are accrued and charged to expense only if the loss is probable and estimable. The Limitation of Liability Rule and procedures apply to both cash equity and derivative trading in the aggregate. See discussion of derivative trading in Note 2.

BX is named as one of many defendants in a case captioned *City of Providence v. BATS Global Markets, Inc., et al.*, 14 Civ. 2811 (S.D.N.Y.), which was filed on April 18, 2014, in the United States District Court for the Southern District of New York, and five other similar lawsuits. The plaintiff in *City of Providence* and two of the other cases have named as defendants sixteen national securities exchanges; fourteen of the largest brokerage firms in the United States; and twelve financial-services firms whose primary business allegedly is engaging in highfrequency trading. The plaintiffs allege that the defendants engaged in a scheme to manipulate the securities markets through high-frequency trading; they assert claims against us under Section 10(b) of the Securities Exchange Act of 1934, or the Act, and Rule 10b-5, as well as under Section 6(b) of the Act. The plaintiff in three other cases has alleged breach of contract and related theories in connection with the distribution of market data to firms engaged in highfrequency trading and other market participants. Given the preliminary nature of the proceedings, we are unable to estimate what, if any, liability may result from this litigation. However, we believe the claims to be without merit and intend to litigate them vigorously.

Notes to Consolidated Financial Statements (continued)

6. Commitments and Contingencies (continued)

BX may be involved in litigation arising in the normal course of business. Except as disclosed above, BX is not a party to any litigation that management believes could have a material adverse effect on BX's business, financial condition, or operating results. Consequently, no legal reserve has been recorded or is deemed necessary as of December 31, 2013.

Operating Leases

BX has a facility lease agreement for a property in Woburn, MA (the Woburn Lease), a non-cancelable lease, which contains escalation clauses based on increases in property taxes and building operating costs. The Woburn Lease will expire in December 2015.

The Exchange's minimum future annual rental obligations, exclusive of insurance, maintenance, and other costs, applicable to existing operating leases with third parties, are as follows (in thousands):

Year Ending December 31:			
2014		\$	270
2015			270
Total		\$	540

7. Risks and Uncertainties

Our market participants operate in a highly regulated industry. The SEC and other regulatory authorities could impose regulatory changes that could adversely impact the ability of our market participants to use our markets. Regulatory changes by the SEC or other regulatory authorities could result in the loss of a significant number of market participants or a reduction in trading activity on the exchange.

Notes to Consolidated Financial Statements (continued)

7. Risks and Uncertainties (continued)

The markets in which we compete are characterized by rapidly changing technology, evolving industry standards, frequent enhancements to existing products and services, the adoption of new services and products and changing customer demands. We may not be able to keep up with rapid technological and other competitive changes affecting our industry. For example, we must continue to enhance our electronic trading platforms to remain competitive, and our business will be negatively affected if our electronic trading platforms fail to function as expected. If we are unable to develop our electronic trading platforms to include other products and markets, or if our electronic trading platforms do not have the required functionality, performance, capacity, reliability and speed required by our business, as well as by our customers, we may not be able to compete successfully. Further, our failure to anticipate or respond adequately to changes in technology and customer preferences, especially in our market technology business, or any significant delays in product development efforts, could have a material adverse effect on our business, financial condition and operating results.

BX is exposed to credit risk related to transaction, access, and market data services that are billed to customers on a monthly basis, in arrears. BX's potential exposure to credit losses on these transactions is represented in the receivables, net of reserve for uncollectible accounts balance on the Consolidated Balance Sheet. BX's customers are financial institutions whose ability to satisfy their contractual obligations may be impacted by volatile securities markets. On an ongoing basis, management reviews and evaluates changes in the status of the counterparty's creditworthiness. Credit losses such as those described above could adversely affect BX's consolidated financial position and results of operations.

Our business is subject to extensive regulation. Under current U.S. federal securities laws, changes in the rules and operations of our markets, including our pricing structure, must be reviewed and in many cases explicitly approved by the SEC. The SEC may approve, disapprove, or recommend changes to proposals that we submit. In addition, the SEC may delay either the approval process or the initiation of the public comment process. Any delay in approving changes, or the altering of any proposed change, could have an adverse effect on our business, financial condition and operating results. We must compete not only with ATSs, known collectively as dark pools, that are not subject to the same SEC approval process but also with other exchanges that may have lower regulation and surveillance costs than us. There is a risk that trading will shift to exchanges that charge lower fees because, among other reasons, they spend significantly less on regulation.

Notes to Consolidated Financial Statements (continued)

8. Fair Value of Financial Instruments

The carrying amounts reported on the Consolidated Balance Sheet for cash and cash equivalents approximate fair value based on the on-demand nature. Other assets and liabilities with short and intermediate-term maturities and defined settlement amounts, including receivables, net of reserve for uncollectible accounts, receivables from affiliated parties, net, accounts payable and accrued expenses, Section 31 fees payable to the SEC and other accrued liabilities, are reported at their contractual amounts, which approximate fair value due to the short- term nature of these assets and liabilities.

9. Subsequent Events

The Exchange has evaluated subsequent events through the date the consolidated financial statements were available to be issued and has determined that there are no known events that require disclosure in the consolidated financial statements or accompanying notes in accordance with ASC 855, *Subsequent Events*.

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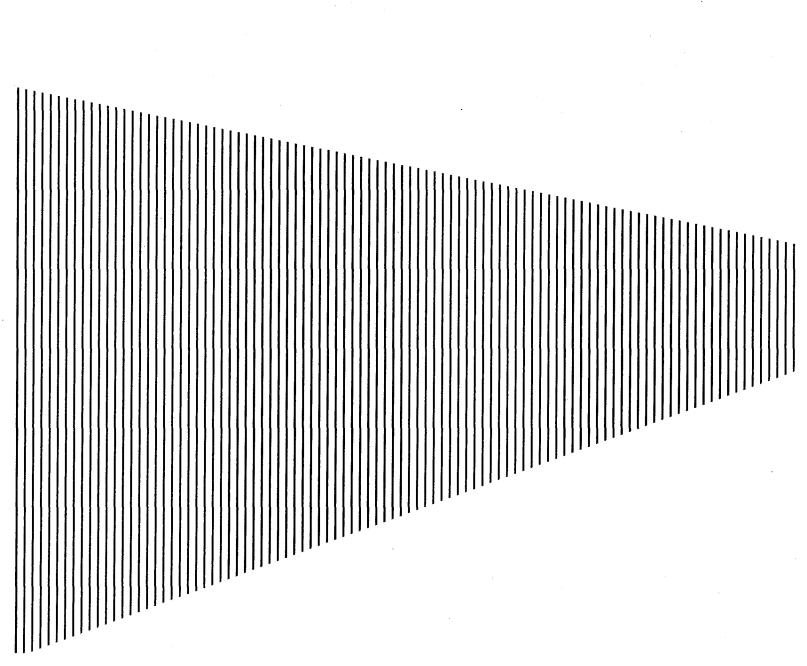
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