For Internal Use Only Submit 1 Original **OMB Number:** 3235-0504 Sec File No. 9- RECEIVED and 9 Copies Expires: August 31, 2010 **UNITED STATES** Estimated average burden 2014 DEC 12 AH 9: 04 SECURITIES AND EXCHANGE COMMISSION hours per response. . . . . 3.60 Washington, D.C. 20549 SEC SEC / ME FORM 19b-4(e) Mail Processing Section Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1950 7 1 2014 Wasnington DC READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM <del>404</del> Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. BATS Y-Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. 4. Name of Underlying Instrument: See attached. 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Cocurines Exchange Act of 1934

1954 1954

December 10, 2014

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SEC 2449 (6-01)

Date:

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
COMMENCING ON DECEMBER 10, 2014

(6) <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
CRBN	Trust	Investment Company Units	MSCI ACWI Low Carbon Target Index	World	Broad



December 10, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on BATS Y-Exchange on December 10, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

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