i and in the second OMB APPROVAL Submit 1 Original For Internal Use Only **OMB** Number: 3235-0504 and 9 Copies Sec File No. 9-Expires: August 31, 2010 UNITED STATES Estimated average burden hours per response.....3.60 SECURITIES AND EXCHANGE COMMISSION SEC Washington, D.C. 20549 Mail Processirlg FORM 19b-4(e) Section 0663 OCT 282014 Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934. Washington D() 404 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. EDGA Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. RE 4. Name of Underlying Instrument: See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. ڡۧ Ш See attached. ~ цн II : . 10 Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. 28 Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Act COLUMN 120-0 Date: 195-4(e) Engle October 27, 2014 FUULE OCT 2 8 2014 Availability: SEC 2449 (6-01)

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGA EXCHANGE, INC. COMMENCING ON OCTOBER 24, 2014

<u>(6)</u>	(2) Type of			(7) Market(s) upon Which Securities	<u>(5) Broad</u>
Ticker	Issuer of		(4) Name of Underlying	Comprising Underlying	<u>or</u>
<u>Symbol</u>	NDSP	(3) Class of NDSP	<u>Instrument</u>	Instrument Trades	Narrow
	•				
		Investment Company	· ·		
DAX	Trust	Units	DAX Index	World	Broad
	•			•	
• *			DMassan FTF Filipate 40		· · ·
EFFE	Trust	Investment Company Units	JPMorgan ETF Efficiente 10 TR Series X Index	World	Broad
				· · · ·	
		Investment Company	JPMorgan US Sector Rotator		
SCTO	Trust	Units	TR Series X Index	World	Broad



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October 27, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – EDGA Exchange, Inc.

Ms. Jackson,

On behalf of EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on EDGA Exchange on October 24, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel 913.815.7154

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